

FUNDAMENTALS

of

SPUN YARN
TECHNOLOGY

Carl A. Lawrence, Ph.D.



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Dedication

to Mary

Preface

The fundamentals of spun-yarn technology are concerned with the production of yarns from fibers of discrete lengths and the structure-property relation of the spun yarns. Ever since humans moved from using the skins of hunted animals for clothing to farming and using farmed animal hairs and fibers from nonfood crops, and eventually to the manufacture of synthetic fibers, the spinning of yarns has been of importance to (initially) the craft and (subsequently) the science, design, and engineering of textiles.

This book is aimed at giving the reader a good background on the subject of the conversion of fibers into yarns, and an in-depth understanding of the principles of the various processes involved. It has become popular among some textile technologists to view the subject area as *yarn engineering*, since there are various yarn structures that, with the blending of different fiber types, enable yarns to be constructed to meet specific end uses. It is therefore necessary for the yarn engineer to have knowledge of the principal routes of material preparation and of the various modern spinning techniques. These topics are covered in this book. A distinction is made between the terms *spinning method* and *spinning technique* by referring to a technique as an implementation of a method, and thereby classifying the many techniques according to methods. The purpose is to try to get the reader to identify commonality between spinning systems, something that the author has found useful in carrying out research into new spinning techniques.

With any mass-produced product, one essential requirement is consistency of properties. For yarns, this starts with the chosen fiber to be spun. The yarn technologist has to understand the importance of the various fiber properties used in specifying raw materials, not just with regard to the relation of fiber properties to yarn properties, but especially with respect to the effect of fiber properties on processing performance and yarn quality. These aspects are given careful consideration in various chapters throughout the book. An understanding of the meaning *yarn quality* is seen to be essential; therefore, some effort is devoted to explaining the factors that govern the concept of yarn quality.

Textile designers prefer to use the term *yarn design* rather than *yarn engineering*, since the emphasis is often on the aesthetics imparted to the end fabric as opposed to any technical function. Fancy or effect yarns, blends of dyed fibers of different colors, and the plying together of yarns are important topics in yarn design, and the principles and processes employed are described in this book.

The material presented is largely that delivered over many years of lecturing and is arranged to be suitable for readers who are new to the subject as well as those who are familiar with the technology and may wish to use this book as a reference source. A basic knowledge of physics and mathematics will be helpful to the reader, but is not essential, since a largely descriptive approach has been taken for the

majority of the chapters. The few chapters that may be considered more mathematically inclined present a more detailed consideration to a particular topic and should be easily understood by anyone who has studied physics and mathematics at the intermediate level.

Chapter 1 gives a suitable introduction to the subject area by outlining much of the basic concepts and discussing what technically constitutes a spun yarn. Chapters 2, 3, 5, 6, 7, and 9 should cover most topics studied by technology students up to graduate level, and Chapter 9 collates material that has been delivered as a module component largely to design students. Chapters 4 and 8, and some areas of Chapter 6 that deal with yarn structure-property relation, have been used as topics within a Masters-level module. Although, at the advanced level of study, programs are mainly based on current research findings, some areas of the earlier chapters may prove useful for conversion candidates.

Throughout the book, definitions are used, where appropriate, in an attempt to give the reader a snapshot of a particular technical point or topic, which is then explained in greater detail. It is said that a picture is worth a thousand words, and in dealing with technical concepts, this is a truism. The reader will find, therefore, that effort has been given to fully illustrating the substance of each chapter, and the author hopes that this makes the book a pleasant read for you.

Author

Carl Lawrence, B.Sc. (Applied Physics), Ph.D., is Professor of Textile Engineering at the University of Leeds and was previously a Senior Lecturer at the University of Manchester Institute of Science and Technology. Before joining academia in 1981, he worked for 11 years in industrial R&D. Many of these years were with the former Shirley Institute, now the British Textile Technology Group (BTTG). In 2002, he was awarded The Textile Institute's Warner Memorial Medal for his contributions to investigations in textile technology — in particular, unconventional spinning systems. He is the author of many research papers in the field of yarn manufacture and has several patents in the area of open-end spinning.

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1 Fundamentals of Yarns and Yarn Production

1.1 EARLY HISTORY AND DEVELOPMENTS

Although it has yet to be discovered precisely when man first began spinning fibers into yarns, there is much archaeological evidence to show that the skill was well practiced at least 8000 years ago. Certainly, the weaving of spun yarns was developed around 6000 B.C., when Neolithic man began to settle in permanent dwellings and to farm and domesticate animals. Both skills are known to predate pottery, which is traceable to circa 5000 B.C.

Man's cultural history goes back about 10,000 to 12,000 years, when some tribes changed from being nomadic forager-hunters, who followed the natural migration of wild herds, to early farmers, domesticating animals and cultivating plants. It is very likely that wool was one of the first fibers to be spun, since archaeologists believe that sheep existed before *Homo sapiens* evolved. Sheep have been dated back to the early Pleistocene period, around 1 million years ago. The Scotch black-face and the Navajo sheep are present breeds thought to most closely resemble the primitive types. Domesticated sheep and goats date from circa 9000 B.C., grazing the uplands of north Iraq at Zam Chem Shanidar; from circa 7000 B.C., at Jarmo, in the Zagros Mountains of northwest Iran; and in Palestine and south Turkey from the seventh and sixth millennia B.C. Sheep were also kept at Bougras, in Syria, from circa 6000 B.C.

We can speculate that early man would have twisted a few fibers from a lock of wool into short lengths of yarn and then tied them together to make longer lengths. We call these staple-spun yarns, because the fibers used are generally referred to as staple fibers. Probably the yarn production would have been done by two people working together, one cleaning and spinning the wool, the other winding the yarn into a ball. As the various textile skills developed, the impetus for spinning continuous knotless lengths would have led to a stick being used, maybe first for winding up the yarn and then to twist and wind up longer lengths, thereby replacing the making of short lengths tied together and needing only one operative. This method of spinning a yarn using a dangling spindle or whorl was widely practiced for processing both animal and plant fibers. Seeds of domesticated flax (*Linum usitatissimum*) and spindle

whorls dating back to circa 6000 B.C. were found at Ramad, northern Syria, and also in Samarran villages (Tel-es Swan and Choga Mami) in north Iraq (dated circa 5000 B.C.). In Egypt, at Neolithic Kom, in Fayum, stone and pottery whorls of about 6000 B.C. have been discovered, while at the predynastic sites of Omari, near Cairo, and Abydos, both circa 5500 B.C., flax seeds, whorls, bone needles, cloth, and matting have been found.

Flax was probably the most common ancient plant fiber made into yarns, though hemp was also used. Although flax thread is mentioned in the Biblical records of Genesis and Exodus, its antiquity is even more ancient than the Bible. A burial couch found at Gorigion in ancient Phrygia and dated to be late eighth century B.C. contained twenty layers of linen and wool cloth, and fragments of hemp and mohair. Cotton, native to India, was utilized about 5000 years ago. Remnants of cotton fabric and string dating back to 3000 B.C. were found at archaeological sites in Indus in Sind (India). Many of these fibers were spun into yarns much finer than today's modern machinery can produce. Egyptian mummy cloth was discovered that had 540 threads per inch in the width of the cloth. Fine-spun yarns, plied threads, and plain-weave tabby cloths and dyed garments, some showing darns, were also found in the Neolithic village of Catal Huyuk in southern Turkey.

The simple spindle continued as the only method of making yarns until around A.D. 1300, when the first spinning wheel was invented and was developed in Europe into "the great wheel" or "one-thread wheel." The actual mechanization of spinning took place over the period 1738 to 1825 to meet the major rise in the demand for spun yarn resulting from the then-spectacular increase in weaving production rates with the invention of the flying shuttle (John Kay, 1733). Pairs of rollers were introduced to thin the fiber mass into a ribbon for twisting (Lewis Paul, 1738); spindles were grouped together to be operated by a single power source—the "water frame" (Richard Arkwright, 1769), the "spinning jenny" (James Hargreaves, 1764–1770) and the "mule" (Samuel Crompton) followed by the "self-acting mule" by Roberts (1825). In 1830, a new method of inserting twist, known as *cap spinning*, was invented in the U.S. by Danforth. In the early 1960s, this was superseded by the ring and traveler, or *ring spinning*, which, despite other subsequent later inventions, has remained the main commercial method and is now an almost fully automated process.

Today, yarn production is a highly advanced technology that facilitates the engineering of different yarn structures having specific properties for particular applications. End uses include not only garments for everyday use and household textiles and carpets but also sports clothing and fabrics for automotive interiors, aerospace, and medical and healthcare applications. A detailed understanding of how fiber properties and machine variables are employed to obtain yarn structures of appropriate properties is, therefore, an important objective in the study of spinning technology. In this chapter, we shall consider the basics for developing an understanding of the process details described in the remaining chapters.

1.2 YARN CLASSIFICATION AND STRUCTURE

A good start to our study of staple-yarn manufacture is to consider the question, "What is a staple-spun yarn?"

There are three ways of constructing an answer to this question:

- To present a classification of yarns
- To look at the importance of yarns in fabrics
- To analyze various yarn structures and identify their most common features

1.2.1 CLASSIFICATION OF YARNS

Table 1.1 shows that yarns may be classified into four main groups: continuous filament, staple spun, composite, and plied yarns.

TABLE 1.1
Yarn Classification

Group	Sub-group	Examples
Continuous filament yarns	Untextured (flat)	Twisted Interlaced Tape
	Textured	False twisted Stuffer box crimped Bi-component Air-jet
Staple spun yarns	Noneffect/plain (conventional)	Carded ring spun Combed ring spun Worsted Semi-worsted Woolen
	Noneffect/plain (unconventional)	Rotor spun Compact-ring spun Air-jet spun Friction spun Hollow-spindle wrap spun Repcó
	Fiber blend	Blend of two or more fiber types comprising noneffect yarns
	Effect/fancy	Fancy twisted Hollow-spindle fancy yarn Spun effects
Composite yarns	Filament core	Core spun (filament or staple fibers
	Staple core	forming the core) and staple fibers as the sheath of a noneffect staple yarn
Folded/plied/doubled	Filament staple	Two or more yarns twisted together

These groups may be further subdivided, with the final column giving the commonly used names for particular yarns, and are based largely on the method or technique used to produce the yarn. Generally, a particular technique produces a yarn structure that differs from those of other techniques.

Continuous filament (CF) yarns are basically unbroken lengths of filaments, which include natural silk and filaments extruded from synthetic polymers (e.g., polyester, nylon, polypropylene, acrylics) and from modified natural polymers (e.g., viscose rayon). Such filaments are twisted or entangled to produce a CF yarn.

CF yarns can be subdivided into untextured (i.e., flat) and textured yarns. As Table 1.1 shows, CF textured yarns may be further separated into several types; the more commonly used are false-twist textured and air-jet textured yarns. For the former, extruded filaments are stretched, then simultaneously heated, twisted, and untwisted, and subsequently cooled to give each filament constituting the yarn a crimped shape and thereby a greater volume or bulk to the yarn (see Figure 1.1). Alternatively, groups of filaments forming the yarn can be fed at different speeds into a compressed-air stream (i.e., an air-jet), producing a profusion of entangled loops at the surface and along the yarn length. These processes are known as texturing or texturizing^{1,2} and form an area of technology that is outside the context of this book, so they will not be given further consideration. The actual principle of false-twisting is used in other processes and is explained in a later section.

Continuous filaments can be chopped into discrete lengths, comparable to the lengths of natural plant and animal fibers. Both manufactured fibers and natural fibers can be assembled and twisted together to form staple-spun yarns. Table 1.1 shows that this category of yarn can be subdivided into plain and fancy yarns. In terms of the quantity used, plain yarns are of more technological importance, and the chart indicates the wide range of differing types (i.e., structures) of plain yarn, and thus spinning techniques used to produce them. In the later chapters, we shall consider the production of both plain and fancy yarns. For the moment, we will confine our attention to plain yarns.

1.2.2 THE IMPORTANCE OF YARNS IN FABRICS

Textile fabrics cover a vast range of consumer and industrial products made from natural and synthetic fibers. Figure 1.2 illustrates that, to produce a fabric for a particular end use, the fiber type has first to be chosen and then spun into a yarn

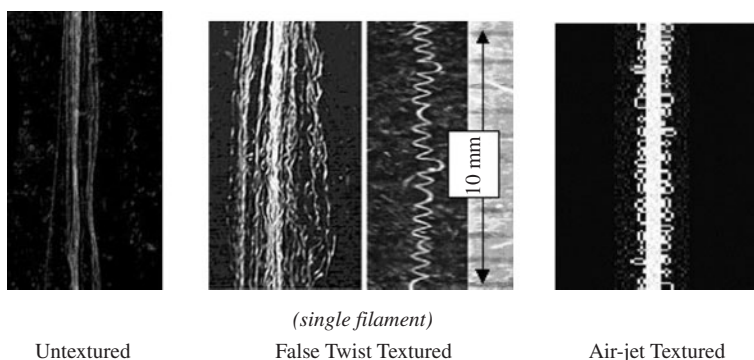


FIGURE 1.1 Continuous filament yarns.

BASIC SEQUENCE TO GARMENTS

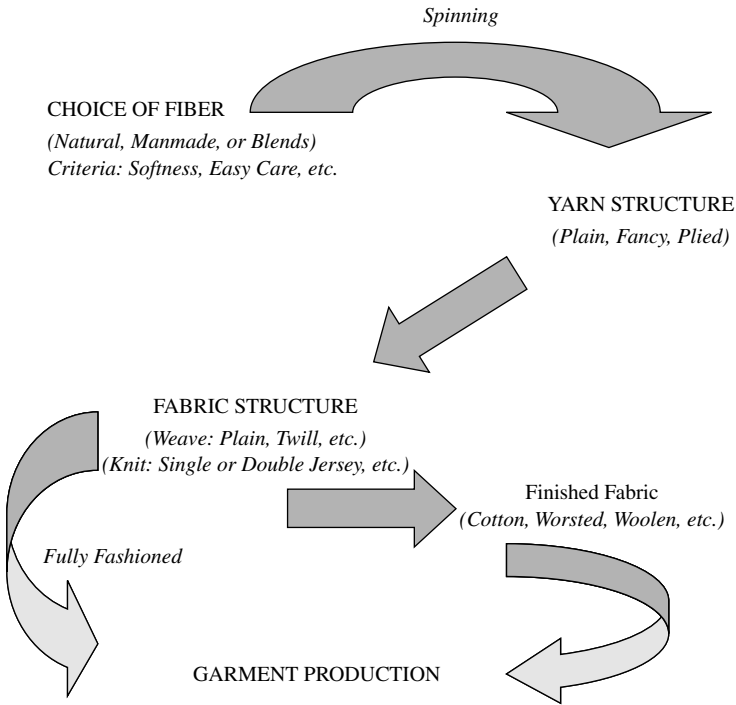


FIGURE 1.2 Production chain.

structure of specified properties so that the subsequent woven or knitted structure give the desired fabric aesthetics and/or technical performance.

Textile fabrics are also made by means other than knitting and weaving, which may just involve bonding fibers or filaments together without the need of converting them into yarns. Although such *nonwoven fabrics* are an important area of textile manufacturing, especially for technical and industrial end uses, they have limited application in the consumer sector. It is reasonable, then, to say that, second only to fibers from which yarns are made, yarns are the basic building blocks of most textile fabrics. Many required fabric properties will, in addition to the fiber properties and the fabric structure, depend on the structure and properties of the constituent yarns. Therefore, in the study of yarn manufacture, we need to determine not only how yarns are made but also how to get the required properties for particular end uses. To achieve these two goals, we must first establish the factors that characterize a yarn.

1.2.3 A SIMPLE ANALYSIS OF YARN STRUCTURE

In [Chapter 6](#), we will consider in detail the various yarn structures. Here, a simple analysis is given so as to answer our question, “What is a staple-spun yarn?”

Figure 1.3 shows highly magnified photographic images of a twisted filament yarn structure and a typical staple-spun yarn structure (ring-spun yarn).

The following three characteristics are evident:

1. A linear assembly of fibers. The assembly could be of any thickness
2. The fibers are held together by twist. However, other means may be used to achieve cohesion
3. There is a tendency for fibers to lie in parallel along the twist spiral.

From these three characteristics, we can now answer the question, “What is a staple-spun yarn?” with the following definition:

A staple-spun yarn is a linear assembly of fibers, held together, usually by the insertion of twist, to form a continuous strand, small in cross section but of any specified length; it is used for interlacing in processes such as knitting, weaving, and sewing.

The reader should note that there are several other definitions,^{3,4} but these are more general, covering filament as well as staple-spun yarns.

1.2.3.1 The Simple Helix Model

Based on the three common characteristics, a simplified model can be constructed to represent yarns in which filaments or fibers are held together by twist, i.e., twisted yarns. Table 1.2 lists the assumptions that are made to construct the model.

The manner in which fibers are packed together in the yarn cross section is important to the effect of frictional contact between fibers on yarn properties. If fibers are loosely packed so that they can move about in the interstitial space, the yarn will appear bulkier and with a larger diameter than if fibers are closely packed. Two types of packing have therefore been proposed:⁵ *close packing*, which gives a hexagonal arrangement of the fibers in the yarn cross section, and *open packing*, where the fibers are considered to be arranged in concentric circles of increasing radii. The basic helix model assumes an open packing configuration. Figure 1.4 depicts the geometry of the model, and the equations in Table 1.2 give the relations between the model parameters.

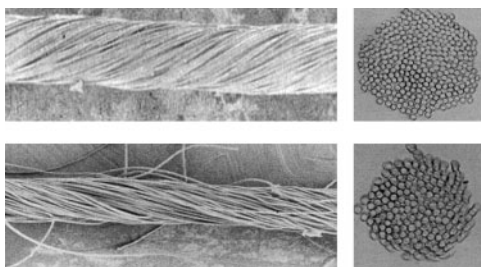


FIGURE 1.3 Scanning electron micrograph of continuous filament and ring spun yarn structure: polyester continuous filament yarn (above) and ring spun yarn (below).

TABLE 1.2
Assumptions and Geometrical Relations for Helix Yarn Model

Assumptions for helical structure with open packing of constituent fibers	Geometrical equations defining the helix model
• Yarn composed of a large number of fibers	$h = t^{-1}$ (1.1)
• The yarn structure consists of a central fiber lying straight along the yarn axis and surrounded by successive, concentric cylindrical layers of fibers of increasing radii.	$I^2 = h^2 + 4\pi r^2$ (1.2)
• The fibers in each layer are helically twisted around preceding layers.	$L^2 = h^2 + 4\pi R^2$ (1.3)
• The helix angle of twist gradually increases with radius from 0deg. for the central fiber to α for the surface fibers.	$\tan \theta = \frac{2\pi r}{h}$ (1.4)
• All fibers in a given layer have the same helix angle of twist	$\tan \alpha = \frac{2\pi R}{h}$ (1.5)
• By convention the yarn twist angle is α	$R = (2n - 1)r_f$ (1.6)
• The turns per unit length is constant throughout yarn	$m = \frac{180}{\sin^{-1}\left[\frac{1}{2(n-1)}\right]}$ (1.7)
• The fiber packing density is constant throughout the yarn	
• A 90-degree cross section to the yarn axis shows the yarn and fibers to be circular and the fiber cross sections lying in filled concentric circular layers.	where $n = nth$ fiber layer, $m =$ the number of fibers in the n th layer, and the remaining parameters are defined by Fig. 1.4

We must consider several important limitations to the basic model.

- Many fibers do not have circular cross sections. Furthermore, when fibers of circular cross sections are inclined at a helix angle of twist, they appear elliptical in the yarn cross section 90° to the yarn axis. Thus, only the circular fiber on the yarn axis strictly meets this assumption. Nevertheless, fiber diameters are sufficiently small, and generally tend sufficiently toward circular, for the model to remain useful.
- In the yarn cross section, the concentric circular layers are filled with fibers in contact with each other. Therefore, if there are N layers comprising the yarn, then the arithmetic sum of the number, m , of fibers in each layer should equal the total amount of fibers in the yarn cross section. This, however, is not always so, and the outer layer then becomes partially filled. The result is that the yarn radius, R , is ill defined. In practice, there are many fibers in the cross sections of yarns and correspondingly many circular layers, each only the thickness of one fiber — a few microns in diameter. Thus, a partially filled outer layer may not give too great an error.
- The model does not take into account the projection of fiber ends from the yarn surface (termed yarn *hairiness*) or the relative positions of fiber ends within the body of a spun yarn. The projection of fiber ends from the yarn surface suggests that fiber lengths must move across layers for their ends to become hairs. Fibers at the yarn surface must have part of their lengths within the body of the yarn; otherwise, the yarn would not

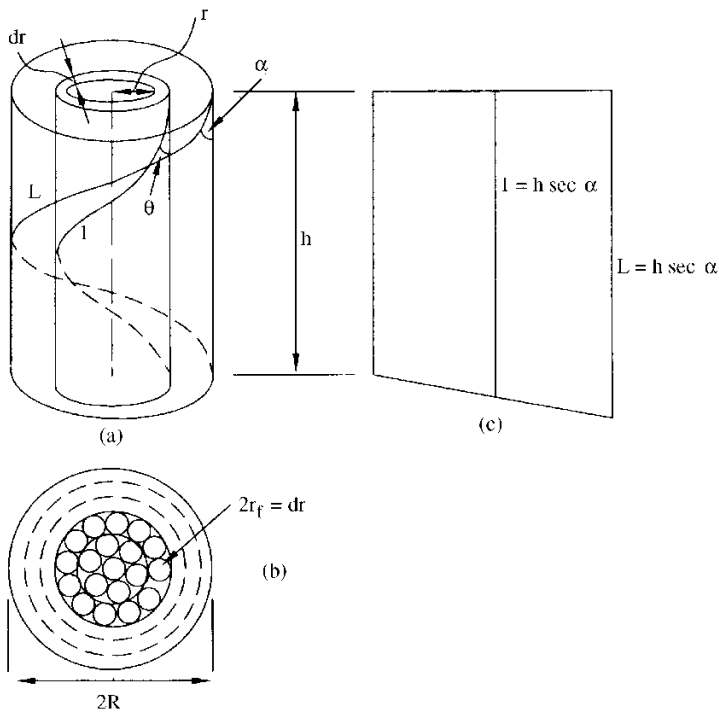


FIGURE 1.4 Simple helix model of a yarn with open packing fiber arrangement.

hold together. The fibers of a yarn are therefore interlaced. The interlacing of fibers is called *migration* and is further described in [Chapter 6](#). Migration enables the frictional contact between fibers to resist fiber ends slipping past each other. When compared with [Figure 1.3](#), the model is clearly more appropriate for continuous filament yarns. It can be assumed, however, that, under applied axial loads, where overlapping fiber ends have sufficient frictional contact because of migration and twist, such sections of a staple yarn will approximate the behavior of a continuous filament yarn, and, where insufficient, the ends will slip past each other. Hence, by introducing the idea of slippage of overlapping fiber ends, the model can be used to interpret the effect on yarn properties of important geometrical parameters such as twist.

1.3 YARN COUNT SYSTEMS

1.3.1 DIMENSIONS OF A YARN

Let us now consider in more detail the three common characteristics deduced from [Figure 1.4](#). First, the idea of a linear assembly of fibers raises the question of how

the dimensions of yarns are expressed. In specifying the thickness of a yarn, we could refer to its diameter or radius as in the above model. This, however, is not a straightforward parameter to measure. Clearly, we would need to assume that the yarn is circular. Then, if it were to be measured on a linear scale, we can see from [Figure 1.3](#) that consideration must be given to whether yarn hairiness is included in the measurement.

Straightening the yarn length to measure the diameter involves tensioning the yarn, which also narrows the cross section by bringing fibers into closer contact and increasing the packing density. Although there are test methods⁶ for yarn diameter measurements that attempt to circumvent these difficulties, they are not appropriate for use in the commercial production of yarns. Also, in spinning yarns, there is no direct relationship between spinning variables and yarn diameter, so it is not the practice to set up a spinning machine to produce a specified yarn diameter. A more useful and practical measure that indirectly gives an indication of yarn thickness is a parameter that is termed the *yarn count* or *yarn number*.

The yarn count is a number giving a measure of the yarn linear density. The linear density is defined as the mass per unit length. In *Système International (SI)* units, the mass is in grams, and the unit length is meters. In textiles, a longer length is used for greater meaningful measurements, since this would average the small, random, mass variations along the length that are characteristic of spun yarns. There are two systems by which the count is expressed, as described below.

- *Direct system.* This expresses the count as the mass of a standard length. The mass is measured in grams, and the specific length is either 1 km or 9 km.
- *Indirect system.* This gives the length that weighs a standard mass. The standard mass is either 1 kg or 1 lb, and the associated length is, respectively, in meters or yards.

Usually, thousands of meters of a yarn are required to weigh 1 kg and, similarly, thousands of yards to weigh 1 lb. This makes measurements and calculations cumbersome. To circumvent any such awkwardness, a standard length is used. The standard length can be 1 km, 840 yd, 560 yd, or 250 yd. The standard lengths in yards are commonly called *hanks*, or some cases *skeins*. Thus, we can now say that the indirect system gives the number of kilometers that weigh a kilogram (metric units) or the number of hanks that weigh one pound (English Imperial units). The type of hank being referred to depends on the type of yarn or, more correctly, the manufacturing route used to produce the yarn. For carded and combed ring spun yarns, an 840-yd hank is used; a 560-yd hank is associated with worsted and semi-worsted yarns, and a 256-yd hank with woolen yarns. Generally, cotton fibers are made by the carded and combed ring spun yarn routes, and synthetic fibers of similar lengths to cotton are made by the carded ring spun route, whereas wool and similar lengths synthetics are processed by the worsted, semi-worsted and woolen routes. With respect to the unconventional processes, if a fiber type spun by any of these systems can be also spun by one of the conventional systems, the hank associated with that conventional route is used. For example, the production of rotor spun yarns

is usually from cotton and synthetic fibers of cotton lengths, and the 840-yd hank is therefore used. Recco yarns can be made of wool or synthetic fibers of wool lengths, and the 560-yd hank is the applicable standard length.

Table 1.3 summarizes the most commonly used units of count for the direct and indirect systems. A more comprehensive list can be found in a publication by The Textile Institute, "Textile Terms and Definitions,"⁴ and a number of the references cited at the end of this chapter give a brief account of the historical origins of several units of the indirect system.

TABLE 1.3
Systems for Yarn Count

<u>Unit of count</u>	<u>Symbol</u>	<u>Abbreviation for unit</u>	<u>Standard mass unit</u>	<u>Standard length unit</u>	<u>Equivalent tex</u>
Direct System					
Tex	T_t	tex	gram	1 km	1
Decitex		dtex	gram	10 km	0.1
Millitex		mtex	gram	1000 km	0.001
Kilotex		ktex	gram	1 m	1000
Denier		den	gram	9 km	0.1111
Indirect System					
Cotton (English)	Ne_c	cc (cotton count)	1 lb	840 yd (1 hank)	590.5
Metric	Nm		1 kg	1 km	1000
Worsted (English)	Ne_w		1 lb	560 yd	885.8
Woolen (Yorkshire, UK)	Ny		1 lb	256 yd (1 skein)	1938

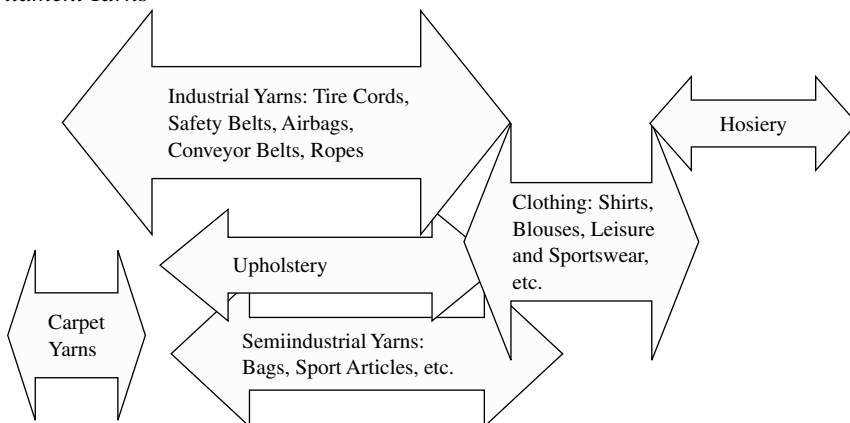
Although all the units of count in Table 1.3 are used in practice, we shall use only the tex throughout the remaining chapters of this book. The table gives the conversion factors in relation to tex. A clear advantage of the tex is that we can refer to multiples and decimal fractions of the tex in terms of the base 10 scale. Thus, 1000 tex = 1 kilotex (ktex), 0.1 tex = decitex (dtex), and 0.001 tex = millitex (mtex). In this way, the tex unit can be used for fibers and yarns. Hence, if we have a yarn of 100 tex spun from fiber of 1 dtex (0.1 tex), we can estimate the number of fibers in the yarn cross section to be 1000. A 50-tex yarn should be half the size of a 100-tex, requiring only 500 fibers in its cross section. It is the practice to refer to the dtex of a fiber as the *fiber fineness*; the denier (den) is also used to express fiber fineness. A fiber fineness of 1.5 den is therefore equivalent to 1.7 dtex.

Two or more yarns may be twisted together to make a coarser yarn. Using the tex unit of count, the resultant yarn count would be the sum of the individual counts or, if yarns of the same count are twisted together, the product of the number yarns and the count. The process of twisting yarns together is generally known as *plying*, *folding*, or simply *twisting*, and the resulting yarns as *plied* or *folded* yarns. The term *doubling* is also used when two yarns of the same count are plied, and the

plied yarn is then called a *doubled yarn*. Assume that two 50-tex yarns are doubled; the resultant yarn count would be 100-tex yarn. However, the doubled yarn (i.e., the *two-ply yarn* or *twofold yarn*) may be written as $R100/2$ tex or just $2/50$ tex — meaning a two-50 tex plied yarn. The R denotes resultant count, and the $/2$ signifies twofold. If we let N yarns of the same count, X , be plied, then the plied yarn would be written as N/X tex and termed an N ply.

Figure 1.5 illustrates the wide count range for the various end uses of filament and staple yarns. Besides the very fine yarn count range of 2 to 7.5 tex for hosiery, staple fiber and continuous filament yarns have quite similar market areas, where the fine to medium yarn counts, 7.5 to 40 tex, are largely used to make textiles for

Filament Yarns



Staple Spun Yarns

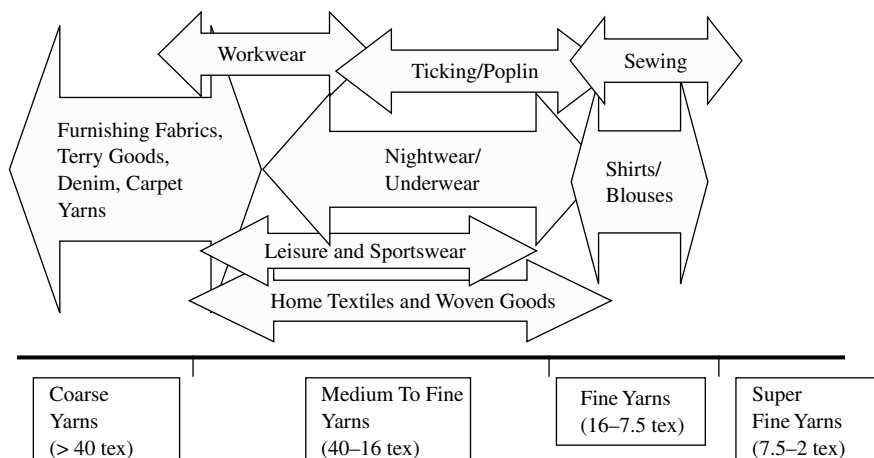


FIGURE 1.5 Count range of product areas for continuous filament and staple spun yarns.

apparel. Spun staple yarns hold a principal position in the market for shirts, blouses, home textiles, bed linen, trousers, suits, and so on. Filament yarns are highly competitive in the carpet-yarn and sportswear sectors and in the industrial yarn area for technical textiles.

When yarn is sold to a weaver or knitter, one of the buyer's fundamental concerns is the length of yarn that gives a specified number of grams per square meter (g/m^2). The count system enables the meterage of yarns wound onto bobbins to be sold in terms of the yarn mass of the formed package.

After giving some thought to the tex unit, the reader should see that the use of count as an indication of yarn thickness does not take account of the issue of differing fiber densities when comparing the size of yarns spun from different fiber types. At times, the bulkiness (i.e., voluminosity) of the yarn is of interest, and then yarn diameter can either be measured or the equivalent diameter, d_y , can be calculated.

With reference to the yarn helix model, the yarn diameter is related to the count as follows:

$$T_t = \frac{1000\pi d_y^2}{4\delta_y} \quad (1.8)$$

where δ_y = the specific volume in g/m^3

T_t and δ_y can be measured,⁷ and d_y can be calculated.

1.4 TWIST AND TWIST FACTOR

Let us now consider the second of the three identified common characteristics, that of twist. The following four parameters are of importance when discussing twist in yarns:

1. Direction of twist
2. Twist angle
3. Twist level (degree of twist)
4. Twist multiplier

The terms *real twist* and *false twist* need also to be explained.

1.4.1 DIRECTION AND ANGLE OF TWIST

From the simple geometrical model of a yarn, the spiral direction and angle of the surface helix, representing the yarn surface fibers, are by convention the direction and twist angle of the yarn. In [Figure 1.4](#), the yarn twist angle is α . Looking along the axis of the model, the helix has a clockwise direction. The spiral direction of a helix may be made counterclockwise. The diagonal of the clockwise spiral conforms to the diagonal of the letter Z, and a counterclockwise spiral to the letter S. Thus, the directions of twist are referred to as either Z or S. When making microscopic

observations of yarns. Matching the inclination of the surface fibers to the center portions of the letters Z and S will determine if the yarn is S-twisted or Z-twisted, and the angle of inclination to the yarn axis would be the twist angle α . In Figure 1.3, the CF yarn is S-twisted with a twist angle $\alpha = 30^\circ$, whereas the ring-spun yarn is Z twisted and $\alpha = 20^\circ$.

1.4.2 TWIST INSERTION, REAL TWIST, TWIST LEVEL, AND FALSE TWIST

1.4.2.1 Insertion of Real Twist

The simplest way to insert twist into a strand of fibers (or filaments) is to hold one end (or part) of the strand while the strand length (or the length of the remaining part) is made to rotate on its axis. Figure 1.6a illustrates this. The strand is nipped at point A between a pair of stationary rollers while the end, B, is turned to cause rotation of the strand on its own axis. The first rotation of the strand will cause the fibers (or filaments) to adopt a helical form, and each subsequent rotation will increase the number of spirals of the helical form and the helix angle, i.e., the number of turns of twist and the twist angle. Figure 1.6b shows an alternative situation in which B is now attached to a bobbin placed on a rotating spindle, and A is still nipped by the rollers, but the length AB is made to bend through the angle β at M. The nipped point A is in line with the spindle axis of rotation. As the spindle rotates, the length BM is made to rotate with the spindle, and M circulates the spindle axis. Each rotation (circulation) of M will cause the strand to also rotate on its own axis, thereby inserting twist. The twist will initially appear in AM and, if unrestricted at M, propagate through to B. In both situations, the twist inserted

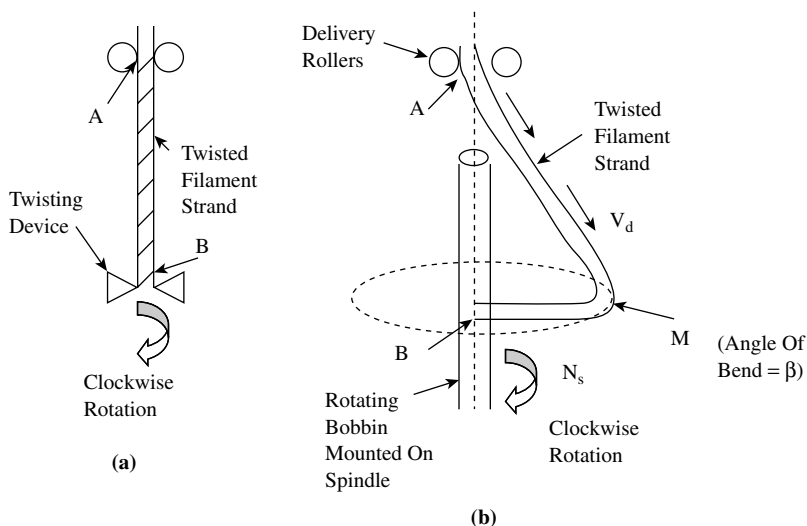


FIGURE 1.6 Real twist insertion.

will remain in the strand and is therefore called *real twist*. If Y is the number of rotations of M , then the twist per unit length inserted into the strand would be equal to Y divided by AB . Thus, if $Y = 100$ and $AB = 10$ cm, the twist inserted would be 10 turns per centimeter.

Consider now the dynamic situation of [Figure 1.6b](#), when the rollers are delivering the strand to the twisting zone at a *delivery speed*, V_d , of, say, 20 m/min. In this situation, if the bend M is made to circulate the spindle axis at a rotational speed, N_s , then the twist inserted would be given by the general formula,

$$t = N_s/V_d$$

where t = inserted twist (tpm)

N_s = rotational speed of the twisting device (rpm)

V_d = yarn delivery speed (m/min)

If the speed of M is 10,000 rpm, the twist inserted into the strand would be 500 turns per meter or 5 turns per centimeter. If V_d were to be increased to 40 m/min and the twist per unit length kept constant, then the *twisting rate* would have been doubled, i.e., N_s increased to 20,000 rpm.

The rotation speed of M should actually be slightly lower than that of the spindle so that the filament strand can be wound onto the bobbin at the delivery speed V_d . Some means would be also needed to make the yarn traverse up and down the length of the bobbin on the spindle during winding. This method of twist insertion combined with winding is used in a commercial process known as *ring spinning*, which is described in detail in [Chapter 6](#).

1.4.2.2 Twist Level

The twist level (or degree of twist) in a yarn is the number of turns of twist per unit length. In Imperial units, we refer to the turns per inch (tpi), whereas, in metric and SI units, we speak of turns per meter (tpm), although turns per centimeter (tpcm) is also used. Turns per meter will be used throughout the remaining chapters.

1.4.2.3 Insertion of False Twist

[Figure 1.7](#) shows a situation where a strand of filaments, nipped by two pairs of rollers at A and B , is driven at a linear speed of V_d m/min while being twisted at a rate of N_s rpm at some point X along the length spanning the distance between the two sets of rollers. If the twisting device is rotating in the direction shown, it will appear to be turning clockwise when viewed along the length AX , and counterclockwise when viewed along BX . Thus, at the start of twisting, Z-twist will be inserted in the strand as it passes through the AX zone, and S-twist is inserted as it moves through the XB zone. As time passes, the Z-twist in the strand length passing through the AX zone will increase to a constant value of N_s/V_d . In zone XB , S-twist initially will be present in the yarn length passing through the zone; it will increase to a

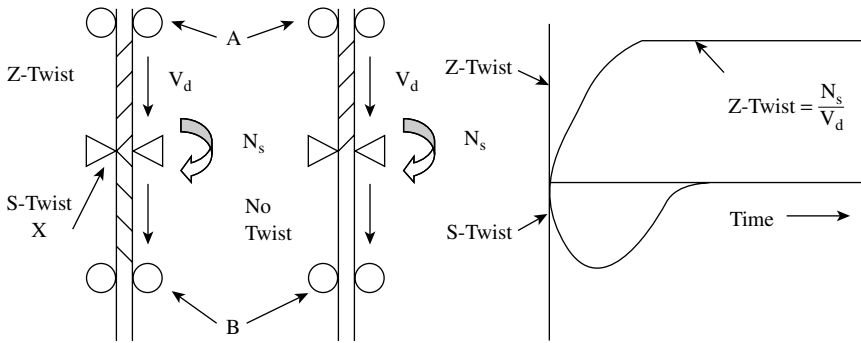


FIGURE 1.7 False-twist insertion.

maximum value and then decrease to zero. This is because each length of strand moving from zone AX into zone XB will become untwisted by the counterclockwise torque that is present as it enters zone XB. A derivation of the equations for Z and S twist as function of time is given in [Appendix 1A](#).

The time over which the Z-twist builds up to its constant value and the S-twist increases and then decreases to zero may be termed the *transient period*. At the end of this period, the system is said to be in dynamic equilibrium. Z-twist will be observed in the AX zone and no twist will be seen the XB zone. This twisting action is called *false-twisting* because, under dynamic equilibrium, the strand, although being twisted, has no twist when it leaves the twisting device. A number of spinning systems employ the false-twisting action for producing yarns, and these are also described in [Chapter 6](#).

1.4.3 TWIST MULTIPLIER/TWIST FACTOR

The twist angle α has an important influence on yarn properties, as is explained in [Chapter 6](#). It can be seen from the equations defining the yarn helix model that the twist angle is related to the twist level, t , according to

$$\tan \alpha = \pi dt \tag{1.9}$$

Substituting for d from Equation 1.8,

$$\tan \alpha = t \left(\frac{\pi T_t 4 \delta_y}{1000} \right)^{\frac{1}{2}}$$

Rearranging,

$$TM = t T_t^{\frac{1}{2}} \tag{1.10}$$

where

$$TM = \frac{\tan \alpha}{[(\pi 4 \delta_y / 1000)]^{1/2}}$$

and is called the *twist multiple (TM)*, expressed in turns $\text{m}^{-1} \text{tex}^{1/2}$.

With regard to the indirect system of count, Table 1.4 gives the corresponding equations for the Imperial and metric units. Note that, with the former, we refer to the twist factor (*TF*) and, for the latter, alpha metric (α_M).

TABLE 1.4
Twist Multiples/Twist Factors

System	Equation
English Imperial (twist factor, <i>TF</i>)	$TF = t / \sqrt{N_{e_c}}$, where <i>t</i> is the twist in turns per inch (tpi)
Metric (alpha metric, α_M)	$\alpha_M = t / \sqrt{N_m}$, where <i>t</i> is the twist in turns per meter (tpm)

If there is a change of count but the twist angle, and therefore the twist multiple, is to remain unchanged, then Equation 1.10 would be used to calculate the required new level of twist. For example, with a 25-tex yarn spun at a twist multiplier of 4000 $\text{m}^{-1} \text{tex}^{1/2}$, the twist inserted would be 800 tpm. Spinning 16-tex and 64-tex yarns with the same TM would require 1000 tpm and 500 tpm, respectively. In practice, different ranges of twist multiples are used in spinning yarns for particular end uses, and Table 1.5 gives examples of the TM range of yarns for knitting and weaving.

TABLE 1.5
TM, TF, and α_M Values for Knitting and Weaving

Spinning system	End use	Twist multiple (TM)
Cottons (staple length < 25mm)	Weaving, ^a warp yarns	3800–4800
Blends with man-made fibers	Weaving, weft yarns	3170–3650
Cottons (staple length > 25 mm)	Weaving, warp yarns	2400–2860
Blends with man-made fibers	Hosiery	2050–2550
Wool and blends with man-made fibers	Weaving, warp yarns	2050–2400
	Weaving, weft yarns	1750–2050
	Hosiery ^b	1420–1750

a. Warp yarns run the length of woven cloth; weft yarns run across the warp.

b. Knitted fabrics and goods made up of them.

As indicated in the table, short fibers require a greater level of twist than longer fibers so as to hold together to form a yarn of useful strength. The level of twist in

a yarn has a strong influence on the yarn properties — in particular, strength, hairiness, and bulk. In weaving, warp yarns require more twist than weft yarns, because they need to be of a higher strength and lower hairiness to withstand the tensions and frictional forces of shedding. The lower twist gives weft yarns greater bulk, which is imparted to the fabric. Knitted fabrics are generally required to have good bulk and softness; consequently, hosiery yarns have the lowest twist levels. A yarn with a high twist level is often referred to as *lean* and is not suitable for knitwear.

1.4.4 TWIST CONTRACTION/RETRACTION

The insertion of twist gives a small increase in count, referred to as *twist contraction*. In Figure 1.4, the simple helix model, if we compared fiber lengths within a yarn length having one turn of twist, we would find that all but the fiber length on the central axis would be longer than the yarn length. That is to say, from the figure, $L > l > h$. This can be viewed as contraction of the fiber lengths, where h is the contracted length compared with L and l . If we imagine cutting a length h from the yarn and then untwisting it to straighten all the fibers, then L and l would be the untwisted length. It should be clear that the count of the untwisted length will be lower than the twisted length; hence, twist contraction. As the straightened fiber lengths will vary, increasing from h at the yarn axis to L at the surface, the untwisted yarn length is taken as the mean of the straightened fiber lengths.⁵

Letting L_m be the mean untwisted length, we can define the magnitude of length change in two ways.

1. Contraction,

$$C_y = \frac{\text{Mean untwisted length}}{\text{Twisted yarn length}} = \frac{L_m}{h}$$

2. Retraction,

$$R_y = \frac{\text{Mean untwisted length} - \text{Twisted yarn length}}{\text{Twisted yarn length}} = \frac{L_m - h}{h}$$

$$L_m = \frac{1}{2}h[\sec \alpha + 1]$$

Substituting for L_m ,

$$C_y = \frac{1}{2}[\sec \alpha + 1] \quad \text{and} \quad R_y = \frac{(\sec \alpha - 1)}{(\sec \alpha + 1)}$$

Thus, by determining the twist angle, the level of twist contraction can be calculated.

1.5 FIBER PARALLELISM

The third common structural feature of yarns is the tendency for fibers to lie in a parallel manner. When twist is present in the yarn, the fiber parallelism is along the twist direction (see [Figure 1.3](#)). We will see, in [Chapters 5](#) and [6](#), that this orderly arrangement of fibers and therefore the level or degree of fiber parallelism varies between yarn types, some showing some significant randomness. Important to the degree of fiber parallelism is fiber shape or configuration within the yarn. Where almost all the fibers have their full lengths following the twist helix, as depicted in the [Figure 1.3](#), there is a high degree of parallelism. The presence of looped, hooked, and folded fiber configurations and of fibers lying at different twist angles within a fiber layer would significantly reduce the degree of parallelism.

The orderly arrangement of fibers in a yarn strongly influences yarn properties and, for the majority of yarns, is dependent on the mechanical actions utilized in processing the fibers up to the point of inserting twist to form the yarn structure. It is therefore appropriate to now describe these basic mechanical actions and their influence on parallelism prior to considering, in the following chapters, the detailed operating principles of the machinery used.

1.6 PRINCIPLES OF YARN PRODUCTION

It can be reasoned that to obtain a high degree of fiber parallelism in a yarn, the fibers must be already straight and parallel in the fiber assembly presented for consolidation by twist or some other means. [Figure 1.8](#) shows the process sequence for the manufacture of the more common types of staple-spun yarn.

When fibers are first purchased for conversion to yarns, they are usually obtained in large fiber bales. At this stage, the fibrous mass is referred to as the *raw material*; some raw material may be waste for recycling. In the raw material state, fibers have no definite orientation or configuration. A high proportion will be entangled and, in the case of natural fibers like cotton and wool, dirt and vegetable particles and other impurities (e.t., grease) will be present. The first stage in a yarn production process is therefore the cleaning and disentangling of the raw material. Where grease has to be removed, the material is scoured. The disentangling of the fiber mass occurs progressively using pin or saw-tooth wire-covered rollers. The earlier stages are collectively referred to as *opening* and *cleaning*, since, as the compressed fiber mass is opened up, solid impurities are released to become waste. The final stage of disentanglement is called *carding*, where the fiber mass is separated into individual fibers that are collected together to form a twistless rope termed a *card sliver*. Because of the carding process, the fiber orientation is very close to the sliver axis; therefore, carding may be considered as the start of the parallel arrangement of fibers. However, only very few fibers in a card sliver have a straightened shape.

To straighten hooked and folded fibers, and greatly improve fiber alignment along the sliver axis, the sliver is thinned by stretching; the mechanical action is called *drafting*, and the amount by which it is stretched is the *draft*. Clearly, the count of the sliver will decrease, so drafting is an attenuating action, and the draft is equal to the factor by which the sliver count is reduced. Thus, if a 6-ktex sliver

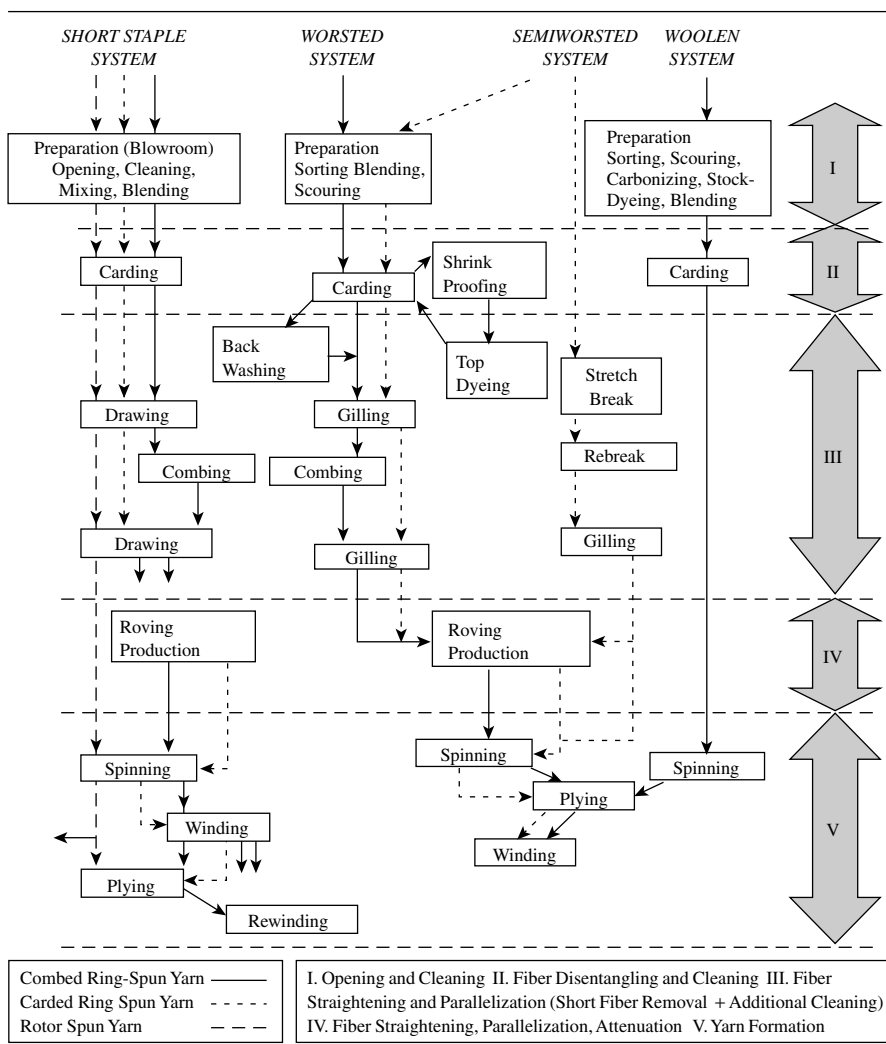


FIGURE 1.8 Yarn production process sequence.

is reduced by a draft of 3, a 1-m length would be stretched to 3 m, and the resulting sliver count would be 2 ktex. This means that

$$\text{Draft} = \frac{\text{stretched length}}{\text{initial length}} = \frac{\text{initial count (tex)}}{\text{final count (tex)}} \quad (1.11)$$

The drafting of the sliver gives rise to shear within the fiber mass; fibers slide past each other as the sliver is stretched, giving the permanent extension or elongation. The friction contact between fibers during the sliding motion straightens and aligns fibers along the sliver axis. Figure 1.9 shows the situation where two pairs

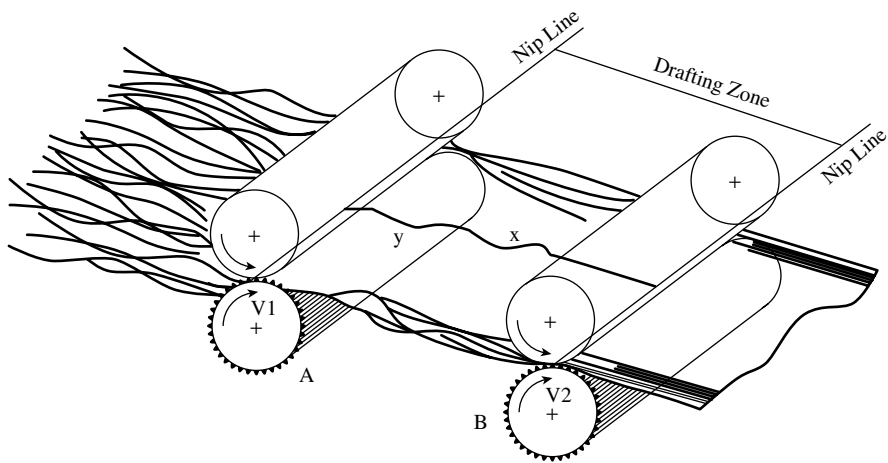


FIGURE 1.9 Single-zone roller drafting.

of rollers are used for drafting. The bottom rollers are fluted metal rollers that are driven through a set of gears by an electric motor. The flutes may be straight, as illustrated in Figure 1.9, or given a slight spiral. The top rollers are synthetic-rubber-covered rollers and are pressured down onto the bottom rollers (termed *weighted down*) and driven through frictional contact. The compression, referred to as the *hardness*, of the synthetic rubber cover can be varied to suit the fiber frictional properties. The flutes of the bottom rollers and the resilience of the top rollers are important for the nipping of fibers during drafting.

The diagram illustrates what is called a *single drafting zone arrangement*, and the method of drafting is termed *roller drafting*. There are other methods of drafting, and these are described in the later chapters wherein we consider the processes in which they are used. The basic idea of drafting is explained here, using roller drafting as an example.

The drafting zone in which the material is stretched and attenuated is the horizontal area between the nip lines of the two pairs of rollers. The material is fed into the zone at the surface speed, V_1 , of rollers A and pulled out of the zone by rollers B at speed V_2 . Thus, Equation 1.11 can be rewritten,

$$\text{Draft} = \frac{V_2}{V_1} = \frac{\text{stretched length}}{\text{initial length}} = \frac{\text{initial count (tex)}}{\text{final count (tex)}} \quad (1.12)$$

Ideally, where two fibers, x and y, are in frictional contact with the leading end of x nipped by rollers B while its trailing end is free, and the converse is true for y with roller A, then the sliding of x past y will be effective in straightening and aligning the fibers along the sliver axis. Even if we were to assume that fibers forming the card sliver were of equal lengths, there will be differing fiber shapes (i.e., configurations) giving different extents and orientations to the sliver axis. Conse-

quently, on the first pass of the sliver through the drafting zone, there would be fibers that are not nipped effectively to be straightened and aligned. The use of more than one drafting zone and the passing of the material through the drafting process several times therefore would be beneficial. In practice, the process stage after carding, known as *drawing*, involves six or eight card slivers of the same count being drafted to the count of one sliver, and the drawing passage repeated with six or eight of the first drawn sliver. Up to three drawing passages can be used. [Chapter 5](#) describes in more detail the drawing processes used in the production of staple yarns.

In bringing six or eight slivers together, termed a doubling of six or eight, and applying to them a draft of six or eight, the resulting sliver will comprise a sixth or an eighth of the count (and also of the number of fibers in the cross section) of each original sliver. Repeating the process further reduces the proportion to 1/36 or 1/64. There is, in effect, a blending of the original slivers, and the greater the number of drawing passages, the better the blending. This blending by doubling of slivers improves the uniformity of the final slivers and, ultimately, that of the spun yarn. Drawing is, therefore, an important stage in the sequence of preparatory processes when producing yarns from either one fiber type or a blend of two or more fiber types.

It should be evident that important factors in roller drafting are

- The distance between the nip lines (termed the *roller setting*) in relation to the distribution of fiber lengths within the sliver
- The applied draft (i.e., the relative roller speeds)
- The number of fibers (or the input count) fed into the drafting zone

Chapter 5 gives an account of drafting theory and considers these factors in more detail.

Where the raw material has a broad distribution of fiber lengths, it is sometimes necessary, after the first passage of drawing, to remove from slivers some fibers that are much shorter than the mean length of the distribution. The process for doing so is known as *combing*, and, as the name implies, a pin surface is used to comb through the fiber mass of first-passage drawn slivers, removing fibers of preselected short lengths. Combing also has the added benefit of contributing to the straightening and alignment of fibers and of removing residual impurities present in the material after the opening, cleaning, and carding stages. Combed ring-spun yarns and worsted yarns are produced from combed material, making them of the highest quality in terms of yarn properties, and enabling such yarns to cover the finer end of yarn count range. Chapter 5 describes the principles of combing.

Following the final passage of drawing, the sliver produced has to be attenuated to give the required yarn count. The most common approach is to attenuate the sliver into a roving and then to attenuate the roving during spinning prior to twist insertion, or other means, to form the yarn structure. Roving production is then the last of the preparatory stages to spinning. However, the total required attenuation can be achieved directly from sliver, either with high-draft, roller drafting systems or by pin and saw-tooth-covered rollers, known as opening rollers, used in rotor and friction spinning. In Chapter 5, a detailed description is given of the roving produc-

tion process, and [Chapter 6](#) explains the operating principles of opening-roller systems.

The carding process also involves attenuation of the fiber mass to obtain the required sliver count but, as mentioned, few fibers in the card sliver are straight. This indicates that drafting during carding is not suitable for fiber straightening. From a yarn structure perspective, low fiber straightness and parallelism will significantly reduce certain important properties (e.g., strength) but increase yarn bulkiness. This yarn characteristic is a requirement for some fabrics and other more technical end uses (e.g., water filtration packs), and a compromise is then reached between yarn strength and bulk. The woolen spinning process makes use of the fiber randomness at the card to achieve yarn bulk. In this case, the web of carded fibers is split into thin strands and consolidated to form slubbings, which are subsequently spun into yarns. High-bulked yarns are also produced by differential shrinkage of fibers that are obtained by stretch-breaking filaments. [Chapter 5](#) describes the stretch-breaking process.

Following the ring spinning and any plying processes, yarns are usually rewound into large-size packages; these usually take the form of a *parallel-sided cheese shape* or a *cone shape*, suitable for use in fabric production and the process of producing such packages is known as *winding*. Winding is important, because it provides the opportunity for removing imperfections (faults) from the yarn and thereby assists the efficiency of the subsequent processes and improve fabric quality. Yarns can also be waxed during winding to improve knitting efficiency. The point of importance, however, is that a rewound package is along continuous length of yarn, which enables a long running time of fabric production. The principles of winding are described in [Chapter 7](#). Several spinning systems are, however, able to produce large-size waxed- and unwaxed-yarn packages of the above types, and rewinding then is not practiced.

1.7 RAW MATERIALS

The old adage among some yarn spinners, “If it has two ends, it can be spun,” is not strictly true, but it is indicative of the wide range of fiber types and lengths that are today converted into yarns. [Figure 1.10](#) charts the broad variety of fiber types that may be converted into yarns. It is not the intention to describe the production processes or the detailed chemical properties of these fibers, since the subject of fiber technology would form a textbook in its own right, and indeed many books and scientific papers are readily available for the interested reader; several are cited at the end of this chapter.^{8–10} It is, however, appropriate to consider certain aspects of fiber properties relevant to the production of staple yarns involving those fibers that are used in large tonnages.

1.7.1 THE GLOBAL FIBER MARKET

The statistics for organic fiber production are regularly reported by Fibre Organon,¹¹ ICAC,* and CIRFS†.^{12–13} The reader may wish, in the future, to keep an updated

* International Cotton Advisory Committee, www.icac.org.

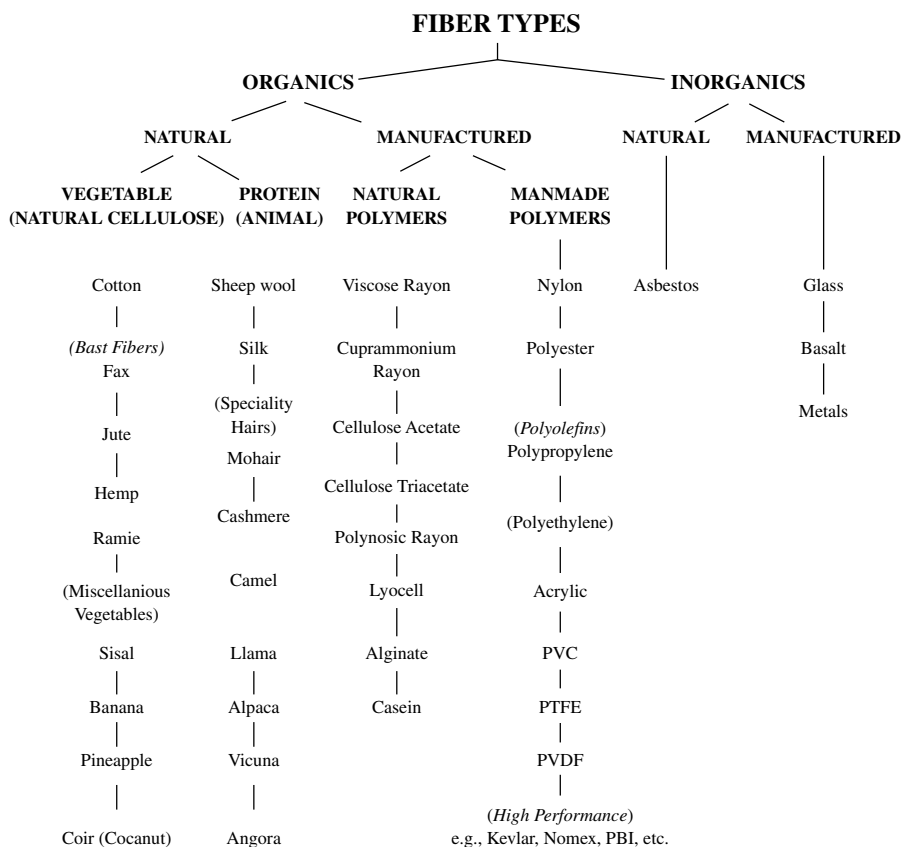


FIGURE 1.10 Examples of the range of fiber types.

check of the production statistics from these sources. The latest figures (published in 2001) at the time of writing this book showed that textile fiber production had reached 57.2 million tons. Figure 1.11 illustrates the breakdown of the production tonnage by fiber type, and Table 1.6 lists the main producing countries, Asia and Oceania being the dominant geographical regions.

Approximately 90% of world fiber consumption is processed into yarns, 7% into nonwovens, and the remainder used for fillings, cigarette filters, etc. Since circa the 1960s, there has been a general growth in world population and an increase in disposable income in the developed economies. As a result, consumer demand for easy-care, comfortable fabrics has led to manufactured fibers, largely synthetics, assuming a significantly increased share of world fiber production, accounting for 57% of production, while natural fibers have declined to 43%. Of the synthetic fibers,

† Comité International de la Rayonne et des Fibres Synthétiques (International Rayon and Synthetic Fibres Committee), www.cirfs.org.

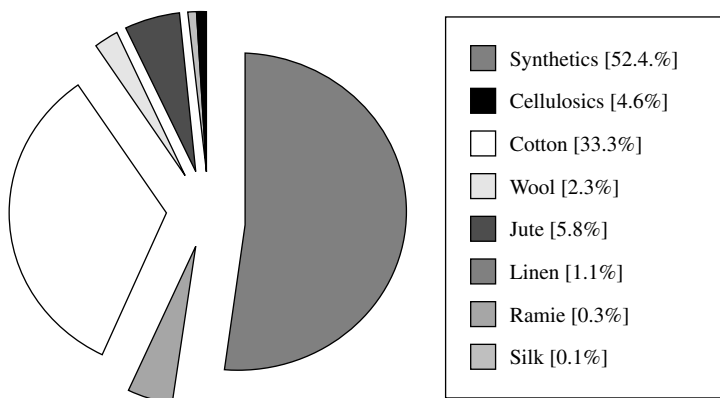


FIGURE 1.11 World production of textile fibers (57 million tons).

TABLE 1.6
Principal Producing Countries

Country	Synthetics ^a (× 1000 tons) ^d	Cotton ^b (× 1000 tons)	Wool ^c (× 1000 tons)
Australia	–	700	673
Brazil	–	569	–
China	6,156	3,900	290
Germany	880	–	–
Greece	–	390	–
India	1,430 ^e	2,800	–
Indonesia	1,026 ^e	–	–
Japan	1,460	–	–
Korea	2,687	–	–
Mexico	638	–	–
New Zealand	–	–	252
Pakistan	–	1,800	–
Syria	–	325	–
Taiwan	3,066	–	–
Turkey	936	850	–
USA	4,583	3,690	–
Uzbekistan	–	1,160	–
Others	7,112	3,066	1,141
World totals	29,974	19,250	2,356

^aCourtesy of Fibre Organon, June 2000.

^bCourtesy of ICAC Washington, August–July 1999/2000.

^cCourtesy of *Wool Statistics*, Greasy Wools 1999/2000, Brussels, Belgium, International Wool Textile Organization.

^d1999 production.

^eExcluding polypropylene.

polyester accounts for the largest tonnage (59.3%), followed by the polyolefins {polypropylene + polyethylene} (18.4%), polyamide (13.1%), and acrylic (8.5%).

Manufactured cellulosic fibers have an 8% share of global production. Cotton has been the most widely used natural fiber for over 5000 years¹⁴ and is still a very popular material among consumers for many items of textiles and apparel. Cotton accounts for around 33% of total fiber production. Wool has only a 2.3% market share but, like cotton, is still an important fiber with respect to spinning technology, since many machinery developments have been directed at the worsted and woollen spinning sector. The remaining natural organic fibers, except for jute, have comparatively much lower tonnage. Other than the bast fibers, these remaining organic fibers can be spun on either (a) the short-staple, worsted, woollen or (b) the unconventional spinning systems described in this book. The bast fibers have specific process technologies for yarn production, which are more specialized areas and are described elsewhere.^{3,15}

Only very small quantities of inorganic fibers are spun into staple yarns. Asbestos is used very little because of well reported associated health problems;^{16–18} glass, basalt, and metal fibers are used largely in filament form or as nonwovens, but small amounts of staple yarns are made from metal fibers on conventional spinning systems and find applications in the areas of conductive fabrics and some protective clothing.^{19,20}

Although the inorganic fibers and the lower-tonnage organic fibers have less significance in yarn technology as compared with, say, polyester, acrylic, cotton, the regenerated cellulose, and wool, they are of much importance with respect to textiles for medical and industrial applications, i.e., technical textiles^{21,22} and, in the case of silk and speciality hairs, luxury fabrics.²³ The luxury fabrics market, being more exotic, will always remain relatively small, but the technical textiles sector is seen to have major growth potential.

The market drivers that influence growth in yarn production are population and general economic growth, and increasing consumer purchasing power. The world population is above 6 billion people and is predicted to grow at approximately 1.7% per annum. Around 16% of the world population currently has a per capita fiber consumption of greater than 10 kg, with the richer countries having 20 to 40 kg per capita. The remaining 84% of world population has between 3 to 10 kg per capita fiber consumption. It is reasoned that by the mid twenty-first century, the average annual per capita fiber consumption will exceed 12 kg, of which 60% will be consumption of synthetic fibers. The effect of population growth alone will provide only 40% of this projected increase; the remaining 60% is ascribed to anticipated economic growth and a rise in disposable income. This projection is equivalent to a 2.8% per annum growth rate in world fiber consumption; approximately 62% will be converted into spun yarns and 30% into filaments, and the greatest demand is likely to be for polyester fibers.

1.7.2 THE IMPORTANT FIBER CHARACTERISTICS AND PROPERTIES FOR YARN PRODUCTION

If we consider the points made earlier about what constitutes a yarn, we can make reasonable deductions as to what fiber characteristics and properties are important

in yarn production. The process sequence of [Figure 1.8](#) is a useful starting point. The actions involved in stages I and II indicate that most materials have to be cleaned and disentangled. The level of cleaning is important because, the more work done to clean the material, the greater the chances of damaging fibers. Thus, cleanliness of the material prior to its processing is an important parameter; this is mainly applicable to natural organic fibers. The processes in stages III, IV, and V involve attenuation and, as indicated in [Figure 1.9](#), this may involve drafting zones where rollers are placed a specified distance apart. As explained in [Chapter 5](#), this distance depends on fiber length.

With any type of natural fiber, there are different lengths of fibers in a fibrous mass. Since drafting zones must be set to avoid the breaking of the longer fibers during attenuation, very short fibers at times will be between the two drafting rollers and are not properly attenuated. Thus, as we will see in [Chapters 5 and 6](#), very short fibers tend to cause irregularities in the drafted material and ultimately in the yarn. It is therefore important to establish the fiber length distribution of the raw material to be processed so that, where necessary, the short fibers can be removed during processing. It is also important to minimize fiber breakage in processing; therefore, the fiber strength-extension characteristic, which is indicative of the fiber toughness, can play a major role in the limitations placed on parameters of the operating machine — in particular rotational speed and the set closeness of component parts.

Fiber length, fineness, strength, and extension are also important material parameters to the spinning process of stage V. All contribute significantly to the yarn tensile property and thereby enable the forming yarn to withstand the mean tension and the peak values of tension fluctuations during spinning and in subsequent processing. The strength of a yarn depends on how well its constituent fibers can equally share the tension induced by the load applied to the yarn. The finer the fiber, the greater is the number of fibers in a particular count of yarn to share the applied load; finer fibers therefore tend to give stronger yarns. The distribution and transfer of tension among fibers in a yarn depend on the length and frictional contact of the overlap of their ends. Longer fibers tend to give longer overlaps. The frictional contact is largely governed by the level of twist; the higher the twist, the higher the frictional contact. Also, the greater the number of fibers present, the greater is the number of frictional contact points. Thus, for adequate frictional contact, a yarn composed of fine, longer fibers will require less twist than one composed of short, coarser fibers. Fine-count yarns have fewer fibers in the yarn cross section and are therefore made from fine, longer fibers.

Fiber rigidity and cohesion are important properties in twist insertion. The intrinsic rigidity of fibers is the property that determines resistance to the twist insertion. Although there are experimental ways⁶ of measuring intrinsic rigidity, they are impractical commercially. An intuitive understanding is gained from the elastic modulus of the load-elongation fiber characteristics and from the general knowledge that, the finer the fiber, the easier it should be to twist. The rigidity can be strongly affected by the moisture absorbency of the fiber; the rigidity of dry wool fibers is about 15 times greater than that of wool fibers saturated with water. Thus, the moisture regain of fibers, which can be easily measured,⁷ is also important to spinning.

Cohesion is a fiber property that aids spinning, since it is essentially the ability of fibers to hold together in a mass. Cohesion is related to the relative fiber rigidity as well as its ability to blend or mix with other fibers, and it is influenced by the surface characteristics of fibers or by the frictional resistance of fibers. Fiber friction is again a very difficult property to measure, but simple nonstandard tests can be used for evaluating fiber friction.⁶

For fibers to be processed without difficulty through stages I and II, they must have what is termed *crimp*; this is a waviness along the fiber length. Crimp enables the cohesion of fibers during carding, combing, and the drawing stages. However, a high level of crimp can be counterproductive, causing particular difficulties in disentangling the raw fiber mass.

Natural organic fibers usually retain small amounts of wax or grease on their surfaces after cleaning, which is an aid to the disentangling and the drafting actions of the subsequent processes. They also have significant moisture regain. Most fibers exposed to the atmosphere pick up some moisture; the quantity will depend on the relative humidity and temperature of the atmosphere and on the fiber chemistry.¹⁰ The percentage of moisture present, calculated on the oven-dry weight, is the moisture regain of the fiber.⁷ The moisture regain of natural fibers assists in dissipating electrostatic charges generated during processing. Electrostatic charges can cause processing difficulties such as fibers sticking to and wrapping around components, and static can also cause a lack of fiber cohesion resulting from the action of repulsion of electrostatic forces. Synthetic fibers have low moisture regain and no natural surface lubricants, so fiber manufacturers apply special surface chemicals as processing aids, commonly referred to as *surface finishes*.

The reader should be aware that, even with a given fiber type (such as the diverse cotton varieties and wool breeds) there are variations, and with man-made fibers, variations occur between different manufacturers' polyester, polypropylene, etc. The sourcing of raw materials that will give the required yarn properties and process satisfactorily on the particular machines making up a process line is essential. Raw materials usually account for 50% or more of production cost and, being a major factor in processing efficiency, strongly influences the remaining 50%, referred to as *conversion costs*. Having outlined, in a general way, the fiber characteristics important to yarn production processes, it is useful to consider these in more detail for cotton, wool, and the manufactured fibers that are processed in sizable tonnages.

1.7.2.1 Cotton Fibers³

Although cotton is grown in more than 80 countries worldwide,²⁴ botanically, there are three principal groups of cotton that are of commercial significance.

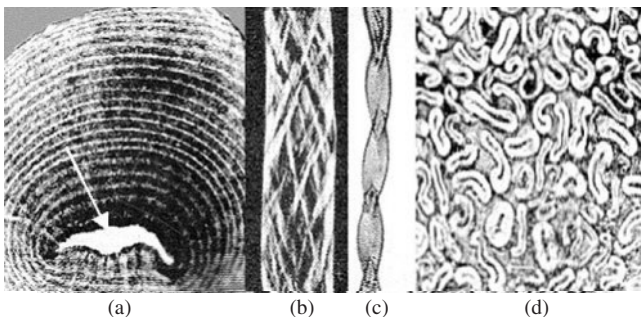
1. *Gossypium hirsutum*. This first group is native to Mexico and Central America and varies in length from about 22 to 24 mm (7/8 to 1 5/16 in.). It accounts for more than 95 percent of U.S. production is commonly called American upland cotton.
2. *Gossypium barbadense*. This second botanical group is of early South American origin and varies in length from 32 to 40 mm (1 1/4 to

1 9/16 in.). It makes up the remainder of U.S. production and is referred to as American pima, or extra-long-staple (ELS) cotton.

3. *Gossypium herbaceum* and *Gossypium arboreum* form the third group, which covers cottons of shorter lengths, 13 to 25 mm (1/2 to 1 in.), that are native to India and Eastern Asia.

A cotton fiber grows in two stages from the surface of the seed coat. During the first stage of its growth, ten days from flowering, the fiber elongates to its full length as a thin-walled tube. As it matures, during a further 35 days after flowering, the fiber wall is thickened by deposits of cellulose inside the tube, leaving a hollow area in the center. These deposits have the form of concentric layers, and each layer is made up of fibrils arranged in a helical manner (which can be seen only microscopically). When the growth period ends and the cotton crop is harvested, the fiber collapses and twists about its own axis. Figures 1.12a through 1.12c depict the cross section of a cotton fiber, where the collapsed central hollow is evident, as are the fibrillar structure and the typical collapsed and twisted longitudinal appearance. As illustrated, the cotton fiber may be divided into four parts. The cuticle is composed of wax and pectic substances. The primary wall consists of very small threads or fibrils of cellulose, and the secondary wall is made up of the concentric cellulose layers. The collapsed central hollow forms the lumen. It is evident from the fiber cross sections in Figure 1.12d that the thickness of the secondary wall varies from fiber to fiber. There are fibers with thick walls and others with very thin walls. The latter are referred to as *immature fibers*, and there are various soil and climatic reasons for immature growth.¹⁴ Clearly, the mature fibers are stronger.

From the above descriptions, we can reason that the quality of cottons will depend on the fiber length distribution, degree of maturity, fineness, and strength. However, a number of other factors have also to be taken into account. The natural color of cottons is a light to dark cream, depending on the variety and weather and



- (a) 4 parts: –Cuticle; primary wall; secondary wall of concentric cellulose layers; lumen (arrows).
- (b) –Fibrillar structure
- (c) –Collapsed and twisted longitudinal appearance
- (d) –Fibers of different degrees of maturity

FIGURE 1.12 Cotton fiber morphology.

soil conditions. However, climatic changes and poor farming practices can result in color discoloration [e.g., brown spots (*spotted cotton*), extensive brown discoloration (*tinged cotton*), or a mottled tan appearance (*yellow-stained cotton*)], which would deteriorate the final shade of a dyed yarn or fabric. Color is therefore an important factor in the cotton quality assessment.

Foreign particles, inevitably, get into the harvested crop and, although the cotton is removed from the cotton seed by saw gins for intensive cleaning, the result can be finer particle contaminants remaining in the cotton mass (referred to as *lint*) that is baled and sold for yarn production. The types of particle contaminant are leaf and seed fragments, sand, dirt, and dust. With intensive cleaning, fibers can be damaged, thereby increasing the amount of very short fibers present in the cleaned mass (the lint).

Batches of cotton can become sticky during processing. Such cottons may reduce processing efficiency, lower yarn quality, cause excessive wear and increased maintenance of machinery, and (in severe instances) mill shutdown, with a thorough cleanup being required. Stickiness occurs when excessive sugars present on fibers are transferred to machinery surfaces. Cotton fibers are largely cellulose formed from sugars synthesized by the cotton plant. Sugars are therefore always present in lint, but they usually occur at levels that pose no processing difficulties. The excess sugars may be insect or plant derived. Honeydew is the main source of excess sugars that can result in sticky lint. Common cotton pests such as aphids and whiteflies ingest sucrose and then transform and excrete it as honeydew. Another source of stickiness is free plant sugars sometimes found in immature fibers. Dry, mature cotton fibers contain little free sugar, while immature cotton contains glucose, fructose, sucrose, and other sugars. Trash content and excess sugar or stickiness therefore are also factors affecting cotton quality.

When considering the quality of cottons, the practice is to refer to cotton classification standards, primarily as established for the above-described three principal groups by the United States Department of Agriculture (USDA). The USDA classification²⁵ for cottons is based on specific test methods and standardized procedures for measuring the physical characteristics of raw cotton that affect the manufacturing efficiency and/or quality of the finished product. In the past, these qualities were classified by hand-and-eye inspections by experienced classers.²⁶ Since 1991, classification has been based on objective measurements using precision instruments called high volume instrumentation (HVI),²⁷ the purpose being to test sizeable quantities as representative samples of a batch of cotton. Currently, cottons are graded by HVI measurements on the following parameters:

- fiber length
- length uniformity
- strength
- micronaire
- color
- preparation
- leaf
- extraneous matter

Fiber Length (UHM)

There are two well known methods of assessing fiber length: the staple diagram and the fibrograph (or fibrogram). Appendix 1B gives a brief description each. The fiber length is determined from the fibrograph and is the average length of the longer half of the fiber span length distribution (i.e., the upper half mean length, or UHM length).⁷

Length Uniformity Index (LUI)

Length uniformity is the ratio of the mean length and the UHM length of the fibrograph and is expressed as a percentage.⁷ If the fibers in a bale were all of equal length, the mean length and the UHM would be equal and would give a uniformity index of 100. However, because there are variations in length among cotton fibers, the length uniformity will always be less than 100. The LUI gives an indication of short fiber content, since cottons of low length uniformity index are likely to contain a high percentage of short fibers and would be difficult to process and would produce lower yarn quality. Thus, the length uniformity index is important to yarn production efficiency as well as yarn strength and evenness. The following table illustrates the classification.

Degree of uniformity	HVI length uniformity index (percent)
Very high	>85
High	83–85
Intermediate	80–82
Low	77–79
Very low	<77

Fiber Strength

Strength measurements are made on the same beards of cotton that are used for measuring fiber length. The beard is clamped in two sets of jaws, 12.5 mm (1/8 in.) apart, and the amount of force required to break the fibers is determined. Strength measurements are reported in terms of grams per tex (g/tex). Therefore, the reported strength is the force in grams required to break a bundle of fibers one tex in count. The classification of strength values is as follows:

Degree of strength	HVI strength (g/tex)
Very strong	>30
Strong	29–30
Average	26–28
Intermediate	24–25
Weak	<24

There is a high correlation between fiber strength and yarn strength. Also, cottons of high fiber strengths are less likely to get broken during the manufacturing processes.

Micronaire

This is a measure of fiber fineness and maturity. The micronaire of a cotton is determined by measuring the resistance to airflow of a sample of fibers of a specified mass compressed to a fixed volume.⁷ Low micronaire values indicate fine and/or

immature fibers; high values indicate coarse and/or mature fibers. The table below can be used as a guide in interpreting micronaire measurements.

<u>Cotton range</u>	<u>Micronaire reading</u>
Premium	3.7–4.2
Base range	4.3–4.9
Discount	>5.0

Fiber fineness affects processing performance and the quality of the end product in several ways. In the opening, cleaning, and carding processes, low-micronaire, or fine-fiber, cottons require slower processing speeds to prevent damage to the fibers. Yarns made from finer fiber result in more fibers per cross section, which in turn produces stronger yarns, although immature fibers have a negative effect. Dye absorbency and retention vary with the maturity of the fibers. The greater the maturity, the better the absorbency and retention.

Although the micronaire test enables sizable samples to be evaluated, it does not give precise indication of fiber maturity and fineness. The development of better high-volume methods is a requirement, and there is ongoing research into improved test methods.

Color

The color of cotton samples is determined from two parameters: degree of reflectance (Rd) and yellowness (+b). Degree of reflectance indicates the brightness or dullness (degree of greyness) of the sample, and +b the pigmentation level in the fibers. There are five recognized groups of color: white, grey, spotted, tinge, and yellow-stained. A three-digit color code is used. The color code is determined by locating the point at which the Rd and +b values intersect on the Nickerson-Hunter cotton colorimeter diagram for cotton variety (see [Figure 1.13](#)).

Even though USDA provides instrument measurements of color, the traditional classer's method of color determination is still used in the industry and is included as part of the official USDA classification. For Upland cotton, there are 25 official color grades plus five categories of below-grade color, as shown in the following table. USDA maintains measured standards for 15 of the color grades. The others are descriptive standards.

	Color Grade: Upland Cotton (1993)				
	White	Light Spotted	Spotted	Tinged	Yellow Stained
Good middling	11*	12	13	—	—
Strict middling	21*	22	23*	24	25
Middling	31*	32	33	35*	35
Strict low middling	41*	42	43*	44*	—
Low middling	51*	52	53*	54*	—
Strict good ordinary	61*	62	63*	—	—
Good ordinary	71*	—	—	—	—
Below grade	81	82	83	84	85

*Physical standards; others are descriptive.

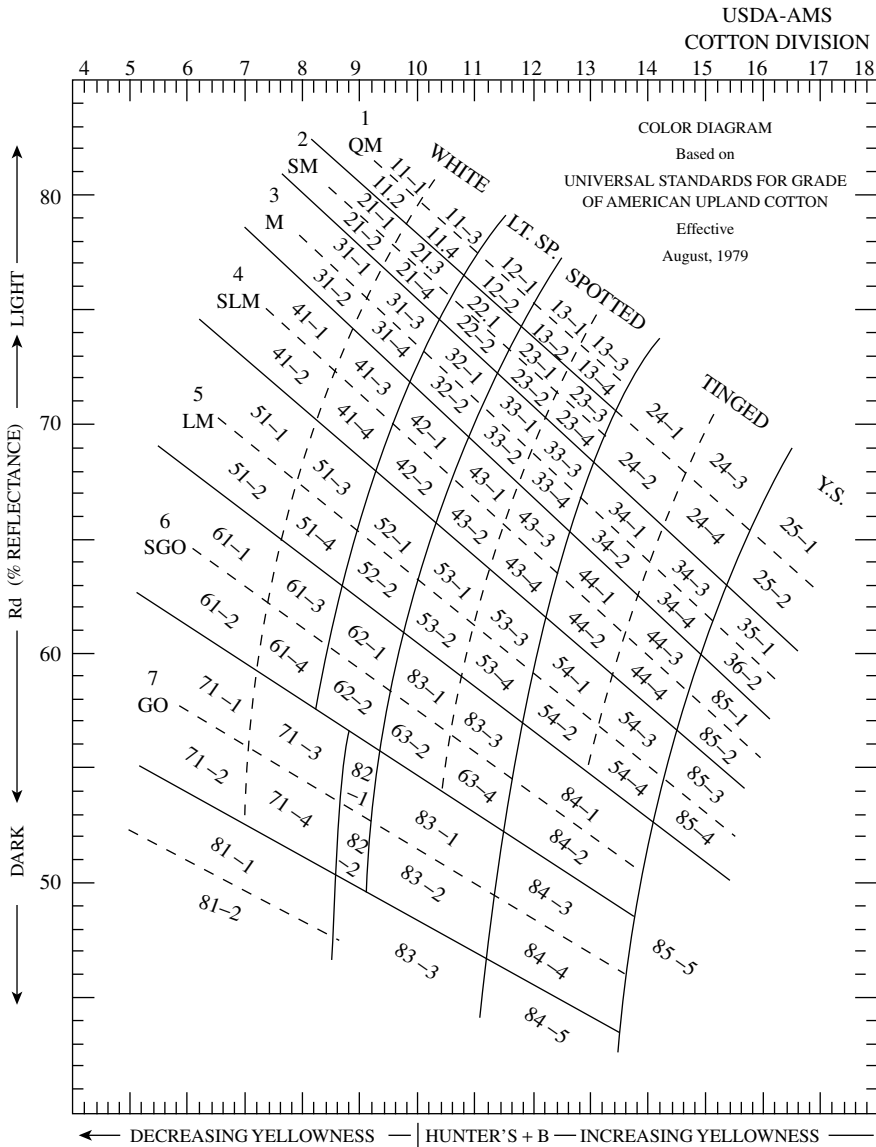


FIGURE 1.13 USDA color classification.

Small quantities of cottons with intrinsic basic colors of brown, red, and green²⁷ are commercially available. These fibers are regarded as speciality fibers and are not part of the classification.

Preparation

Preparation is a term used by classers as an indication of fiber processability in terms of how easily the cotton was ginned, i.e., smoothness or roughness. Various

methods of harvesting, handling, and ginning cotton produce differences in roughness or smoothness of preparation.

Leaf and Extraneous Matter (Trash)

Trash is a measure of the amount of nonlint material in the cotton, such as leaf and bark from the cotton plant. With HVI evaluation, the surface of the cotton sample is scanned by a video camera, and the percentage of the surface area occupied by trash particles is calculated. The image area is divided by 59,520 pixels or 248×240 frame lines, and areas 30% darker than cotton mass are counted as trash particles. This method, however, does not detect seed coat fragments or dust particles. Although there are other long-established methods for measuring trash by weight in the cotton mass,^{28,31} the HVI method is preferred for classification because of the speed of measurement. The limitation is somewhat circumvented by relating HVI classification to the traditional classer's leaf grade as indicated below.

The classer's leaf grade is a visual estimate of the preponderance of leaf particles in the cotton. There are seven leaf grades, designated "1" to "7." Extraneous matter is any substance in the cotton mass other than fiber or leaf; for example, bark, grass, spindle twist, seedcoat fragments, dust, and oil are extraneous matter. The kind of extraneous matter and an indication of the amount (light or heavy) are noted by the classer on the classification document. From a yarn production viewpoint, leaf content and extraneous matter are waste, and there is a cost factor associated with their removal. Also, small particles cannot always be removed, and these particles may detract from the quality of the yarn and the finished fabric.

Relationship of HVI Trash Measurement to Classer's Leaf Grade

Trash measurement (% area)	Classer's leaf grade
0.12	1
0.20	2
0.33	3
0.53	4
0.68	5
0.92	6
1.21	7

Although the above HVI-measurable characteristics form a baseline for raw material specification, there are three further parameters that are of major importance to yarn production efficiency and yarn quality, namely *stickiness*, *nep content*, and *short fiber content*.

Stickiness

Stickiness is the tendency for the cotton mass to adhere to process machinery. The severity of a stickiness problem will depend on the type of sugar (chemically), on the amount of sugar present, and on the ambient conditions (especially humidity) during processing. Stickiness is consequently a difficult cotton characteristic to

measure. The chemical identity of sugars that are correlated with stickiness may be measured in several ways, each with differing usefulness. Reducing-sugar tests, based on reduction of the cupric ion, are relatively quick and inexpensive, and they may be used to screen cottons for high levels of plant sugar contamination. Using potassium ferricyanide (KFeCN) for the screen test,²⁹ cottons with reducing sugar levels less than 0.3% usually process without difficulty. Insect sugars are nonreducing sugars. High performance liquid chromatography (HPLC) can be used to measure both reducing and nonreducing sugars.²⁹ The main insect sugars [honeydew, trehalose (from whiteflies) and melezitose (from aphids)] and plant sugars (glucose, fructose, and sucrose) are easily identifiable by this test. HPLC is, however, a relatively slow test and does not indicate how a sugar-contaminated cotton may actually perform during processing.

There are three commercially available performance test instruments,^{30,31} the minicard (MC), the high-speed stickiness detector (H2SD), and the fiber contamination tester (FCT). With the MC device, a web of fibers is passed between stainless steel rollers, and the degree of stickiness is rated on a scale of 0 to 3, where 0 is no stickiness and 3 is the severe level. The test is carried out under a controlled environment of 24°C and 55%RH. The test has the major disadvantage of being slow. In addition, the rating is a subjective assessment (i.e., operative dependent), and it is unable to assess cottons usefully with high plant sugar evenly distributed along the fibers. The H2SD uses image processing to measure the number of sticking points and the point size distribution on aluminum plates between which a cotton sample has been pressed. The measurements are made for heated (54°C for 30 s) and unheated pressings. The FCT also measures sticking points, but on pressure rollers after a thin web has passed between them, and in a conditioned environment of 65% RH. A laser beam is used to scan the contaminated rollers to allow the sticking points to be counted. The H2SD and FCT are fairly rapid tests, taking 30 and 45 s per test, respectively.*

Nep Content

There are various definitions of a nep,^{32,33} but the following is the most informative: *A nep is a small, tangled knot of fiber often caused by processing fibers.* It is informative because it indicates that nep is not a fiber property but may result from passing fibers through process machinery. Thus, in ginning, neps are readily formed and are an unwanted part of the cotton mass characteristic. There is a high association between neps in the ginned cotton and neps in the resulting yarn. Neps in yarns result in spottiness of dyed or printed fabrics, which lowers the market value of the end product. Neps, consequently, not only need to be removed during yarn production processes, thereby adding to the waste, but also should be prevented from forming by these processes as is explained in [Chapter 3](#). The *neppiness* of the raw

* Only the H2SD method is approved by the International Committee for Cotton Test Methods (ICCTM), but more research is required to minimize inter-laboratory variations. The purpose of the ICCTM is to establish suitable harmonized test methods for cotton. The H2SD was developed by CIRAD (Centre de Coopération Internationale en Recherche Agronomique pour le Développement) in Montpellier, France, and is sold by SDL International Ltd., in Manchester, UK, and SDL America Inc., in Charlotte, NC.

cotton, following ginning, may be seen as indicative of the nepping potential of the cotton batch.

Research³⁴ into the relationship between nep potential and fiber properties has shown that fine fibers and immature fibers have a tendency to become neps. There is a linear correlation between micronaire and the neppiness of processed fibers; the neppiness decreased with increased micronaire values, and it was observed that neppiness in yarns was significantly correlated with the amount of immature fibers in the lint.

The recommended method^{30,31} for nep measurement is with the Zellweger Uster Advanced Fiber Information System (AFIS). The AFIS nep tester gives the average number of neps per gram in the fiber mass and the average nep size in millimeters.

Short Fiber Content (SFC)

Like nep content, SFC is usually the result of mechanical processing of the cotton lint. There are, however, a number of factors that influence the resulting amount of short fibers. Clearly, the strength of a fiber is related to its ability to withstand mechanical stresses during processing. Growing conditions affect the cotton maturity and therefore its strength, but the factors most influential to SFC are harvesting and ginning.³⁵

Picking and stripping are the two major mechanical methods of harvesting cotton. With picking, revolving spindles are used to twist the locks of cotton from the open bolls, whereas strippers employ fingers or brushes to remove all the cotton bolls from the stalk — both mature and immature bolls. The immature cotton bolls are either not opened or only partly opened, and the picker cannot pull cottons from such bolls. Thus, harvesting with strippers results in more immature and weaker fibers, and stripped cotton contains more foreign particles. Despite these disadvantages, stripping has become the preferred process because of its production efficiency, and because the market system gives a premium for clean cotton. Since grading of cotton does not include SFC, farmers tend to promote intensive ginning. This practice results in significant levels of SFC in the ginned lint. SFC has a negative effect on the yarn production process and on the yarn quality, and therefore quantifying SFC is of commercial importance.

There are various methods for determining SFC, and all have one or more shortcomings with respect to variability, reliability, sample volume, and rapidity of test procedure. However, the aligned comb sorting techniques such as the Baer, Shirley, and Suter Webb, which manually produce fiber length distributions by either counting or weighing the measured lengths of the fibers in a sample, are the ones that give the best estimate of SFC.

In determining the amount of short fibers present in a batch of cotton, the absolute and the relative SFC are often considered. The later is calculated as defined by Lord²⁸ from a fiber length distribution (i.e., staple diagram). (See Appendix 1C.) The absolute SFC is defined as the proportion of fibers shorter than a specified length. The specified length can vary from one geographical region to another but is within the range of 6 to 25 mm (~1/4 to ~1 in.).³⁶ In the U.S., all fibers shorter than 12.7 mm (~1/2 in.) are defined as short fibers; countries employing metric units set 12 mm as the specified length.

With the need for high-volume rapid sampling for commercial practicality, there is much interest in the use of automated systems. Sanderson³⁷ and Muller³⁸ report results of comparisons of HVI, the Almeter AL-100, and Suter Webb. It was found that, for a specified length of 17 mm, both automated test devices gave results that showed good correlations with Suter Webb values, but the Almeter had the best correlation. Other researchers³⁷ have reported the following equations relating SFC to span length (SL) measurements:

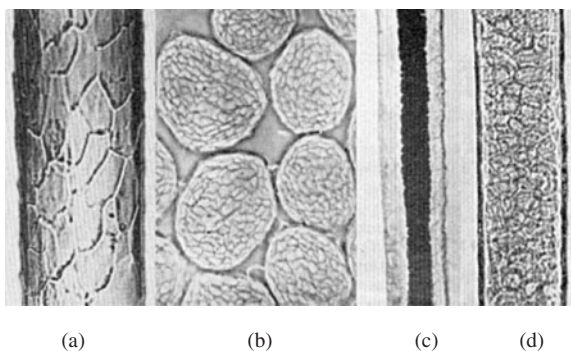
$$\text{(Preysch formula)} \quad \text{SFC} = 39.4 + (1.3 \times 2.5\% \text{ SL}) - (4.5 \times 50\% \text{ SL})$$

$$\text{(Ahmad and Kahn)} \quad \text{SFC} = 4.17 (12.5\% \text{ SL}) - 9.1(50\% \text{ SL}) + 41$$

1.7.2.2 Wool Fibers

Wool is an animal hair fiber that, chemically, is made of a naturally occurring protein called keratin. This is a general definition that would include the body hairs of many animals but, in common parlance, the word is used for the body hair of sheep.

Wool is a complex layered structure, as shown in Figure 1.14, largely comprising a sheath of protective overlapping scales called *cuticle* (or *epidermis*) cells; within the sheath is the bulk of the fiber, called the *cortex*. The cuticle cells make up 10% of the fiber, and their overlaps are always away from the fiber end nearest the skin (the base) and toward the opposite end (the tip), causing the fiber surface to have a serrated surface appearance. In the loose state during processing, the scales on fibers can catch in one another and give considerable frictional resistance. The cortex



- (a) –Overlapping scales of cuticle or epidermis cells giving serrated surface appearance
- (b) –Fiber cross sections showing cortex
- (c) –Longitudinal cross section showing hollow medulla
- (d) –Longitudinal cross section showing medulla lattice

FIGURE 1.14 Wool fiber morphology.

consists of many long, spindle shaped cells — cortical cells — that microscopically are made up of microfibrils held together by strong natural binding materials known as the *para* and *orthocortex*, each with slightly differing properties that give wool fibers their characteristic *crimp*, or small curls. The cortex constitutes 90% of the fiber and determines the physical properties of the fiber. In particular, it is highly moisture absorbent and is able to carry water equivalent to 30% of its dry weight before feeling damp. When wet, the proteins in the wool fibers also release heat, all of which make it a suitable fiber for garments worn next to skin. The hydrophilic core of the fiber takes up heat given off from the human body in the form of water vapor; it has a good capacity to absorb body perspiration. Static electricity in garments can cause discomfort, but, in wool fabrics, the moisture absorbency of wool reduces static charge buildup.

With some wool, usually medium and coarse wools of around 70 to 200 μm diameter, there is a central layer — a cellular marrow or medulla that forms an air-filled honeycomb-lattice-like structure within the cortical layer.

Kempy fibers are short, wavy, very coarse, and brittle, and they taper along their length toward each end. They are of a ribbon-like cross section, strongly medullated, smooth and opaque in appearance, and mainly present in mixed wools such as carpet wools.

The density of wool is one of its fundamental characteristics, which, for practical purposes, seems to be fairly constant in all varieties of the fiber. (Measurement in benzene gives a value of 1.304 g/cm^3 ; solid keratin is 1.31 g/cm^3). Medulla wools tend to have lower density — particularly kempy wool.

Wool of some variety can be found in most countries of the world, but the commercially significant wools (the parlance used is *wool clips*) are from Australia and New Zealand. South Africa, Uruguay, China, the former Soviet Union, Turkey, and the UK are also sizeable producers, the former two of fine wools the latter three mainly of coarser wool. (The UK is the largest producer in Europe.)

Australia dominates the world market for fine wool fiber suitable for worsted processing (mainly in the region of 17 to 25 μm diameter), having over 30% of the world's production and around 50% of the total exported volume of greasy wool. The wool comes from Merino sheep that represent around 75% of Australia's sheep numbers. New Zealand wools are crossbreds (crossbred sheep result from crossing Merino sheep with other types, mainly the Romney breed),^{39,40} accounting for 10% of world production and 20% of wool exports. This breed produces wool that is stronger, longer, and coarser than Merino wool, but it is much less crimped and bulky. About 70% of the New Zealand wool clip is greater than 32 μm diameter (typically 33 to 35 μm) and is processed into yarns mainly by the woollen spinning route; end uses include carpets, blankets, furnishings, Shetland-style knitwear, and hand-knitted yarns.

As regarding the trade classification of wool, the available types are broadly classified as

1. Merino
2. Crossbreds (fine, medium, and coarse)
3. Carpet-wool types

But there are many grades of wool within these groups. Generally, merino fibers have the finest diameter and shorter lengths than carpet wools, which are known to be long and coarse.

Usually, wool is obtained by shearing the fleece off the sheep's back once a year. A 12-month growth is required for the worsted sector, but, for lambswool products, wool is shorn from a lamb not older than 7 months, and wools (referred to as *slipe* or *pulled* wool) are also removed (pulled) from the skins of slaughtered sheep. The shorn fleece is appraised for quality and *skirted* by removing badly contaminated pieces of wool (e.g., paint markings, etc.) from the neck, legs, belly, and rump areas. These heavy contaminated parts (see Table 1.7) are rejected or may be grouped and sold for some woolen yarn production.

TABLE 1.7
Wool Contaminants and Treatments

Impurity	Description	Cleaning process
Accretions	Pigmented fibers (black)	General sorting, dispensing, and scouring
Secretions	Dung, etc.	
Excretions	Grease, sweat	
Organic and mineral impurities	Parasitic insects, vegetable matter, sand and dirt particles	Carding, carbonizing for heavy contamination
Markings	Paints, etc.	Sorting and dispensing
Chemical treatments	Dips, pesticides	Scouring

Wool fibers vary in length, diameter, and other properties from one part of the sheep to another. The fleeces are sorted by dividing the wool into various matchings based on length, waviness, and a general appearance of characteristics. Traditionally, the wool sorter's matchings were given quality numbers, such as 70s, 64s, 60s, etc.; the higher the number, the better the quality of the wool. Thus, wool classifications with their average quality numbers typically would be

1. Merino wool, 60s and above
2. Fine crossbreds, 56s to 58s
3. Medium crossbreds, 46s to 50s
4. Low crossbreds, 44s
5. Carpet-wool types

At one time, these numbers were related to the fineness of worsted yarn count into which the fiber could be spun, but this is no longer the case. Although wool quality numbers may still be referenced, wools are sold by their measured properties, i.e., objective specifications or "sale by description." Objective specifications may include measurement of

1. Fineness (mean fiber diameter and distribution)
2. Length and distribution
3. Tensile properties
4. Vegetable matter content
5. Bulk
6. Medullation
7. Color
8. Grease content and yield
9. Moisture content

These properties are important to processing performance and to the basic characteristics of the end products. Properties 1, 2, and 4 are also measured during preparation stages in yarn production so that appropriate spinning conditions can be used to obtain optimal yarn quality. The International Wool Textile Organization (IWTO)* has recommended test procedures for determining these properties.

Fineness

The average fineness of a wool clip is an important dimensional characteristic greatly affecting its processing value. Unlike cotton and man-made fibers, the wool fineness is traditionally expressed by the average of the measured diameters, in microns, rather than a measure of its linear density, which nevertheless can be calculated.

The mean fiber diameter can be used to estimate the finest spinnable yarn count according to minimum number of fibers required in the cross section; 40 for worsted spinning, and 100 for woolen spinning.⁴¹ The mean fiber diameter therefore influences spinning efficiency and yarn quality. It also affects fabric handle, and, in the apparel market, the demand for lighter garments with the highest possible comfort has meant a low micron value being equated with quality. Worsted yarns are generally used for apparel, and fiber fineness therefore is a critical factor. Woolen yarns for carpets are seldom spun near the minimum number, so there is flexibility in selecting the mean fiber diameter. However, lambswool and Shetland woolen yarns for apparel are often spun to as fine a yarn count as possible.

Fine fibers give a soft handle and therefore greater comfort. For garments worn next to the skin, the mean fiber diameter in the spun yarn should be less than 28 μm . This is not an exact limit, but it is referred to as the *itch point* or comfort limit, because people generally experience discomfort if greater than 3 to 4% of the fibers in the yarn are coarser than this value.^{42,43}

The fibers of merino wools have diameters of 10 to 30 microns. As a result, the mean of a given mass can be from 15 to 25 μm . Merino wools are suitable for garments worn next to the skin. Blends of wool incorporating coarser fibers can be made to give an average diameter of 25 μm , but the fineness range can be 15

* The International Wool Textile Organisation (or IWTO) represents the wool and wool textile industries worldwide. It links participants of the entire wool pipeline, from producers of the raw material through traders and early stage processors, and on to the late-stage spinners and weavers. Founded in 1927, IWTO membership currently includes 23 countries. IWTO provides a forum for discussion of problems that affect the wool industry internationally.

to 45 μm . Thus, for comfort garments, both the mean micron value and the distribution should be as small as possible.

Although wool fineness is always given as a diameter, strictly speaking, the cross-sectional shape of wools can vary greatly; some are nearly circular, and most have varying degrees of ovality or ellipticity. A suitable way of expressing the ellipticity is by the ratio of the major to minor axis to give what may be called a *contour figure* (*CF*). Generally, fibers of *CF*s less than 1.22 will process acceptably well.³⁹

Fiber Length Measurements

The measured parameters may be separated according to the state of wool and the processing route. For greasy wools, the staple length is measured along with the staple strength (see “Tensile Properties” below), whereas parameters associated with the fiber length distribution are determined following initial processing of the greasy wool through to combing in the worsted sequence (the wool state being referred to as *combed top*) or carding for semi-worsted (the *card sliver* state). In woolen spinning, reference may be made to the *length after carding*, which effectively deals simultaneously with the staple length and staple strength.⁴¹

Once wool is in combed top or card sliver state, little further change in length or strength occurs, provided appropriate process conditions are used. In these material forms, the IWTO-recommended wool length measurements are the Hauteur, H, the Barbe, B, and the 30- and 40-mm short-fiber content. The Hauteur is the mean of all fiber lengths related to number of fibers in the wool sample. Yarn spinnability improves with increasing hauteur, although beyond 80 mm, the improvement is only slight.

Hauteur and the CV(H) (the coefficient of variation of the fiber lengths) can be obtained from the hauteur diagram, which is similar to the staple diagram. The hauteur diagram indicates the percentage number of fibers that exceed a stated fiber length. Thus, subtracting this percentage value from 100 gives the percentage of fibers shorter than the stated length. The optimal CV(H) for spinning purposes is between 42 and 52%.⁴⁴

Barbe is the fiber weight biased mean length, and along with CV(B), it can be obtained from a fiber weight diagram. Like the hauteur diagram, every point on the fiber weight diagram indicates by what percentage of the sample weight a particular fiber length is exceeded, and the amount by which this percentage differs from 100% indicates the weight percentage of the shorter fibers in the sample. The coefficient of variation of fiber lengths, CV(B), indicates how much the individual fiber weights vary from the weight biased mean length, B. The optimum for spinning is around 30 to 33%.⁴⁴

The time between successive shearings of sheep will influence fiber length values, and the longest lengths are associated with coarse fiber types for carpet yarns. Good hauteur values for worsted spinning are from 60 to 80 mm with short fiber content < 30 mm of 7 to 15%. Lambswool can have mean fiber lengths ranging from 30 to 55 mm, with CVs around 35%. The better qualities are at the higher end of the length range and can include shortened worsted grades, i.e., broken top; poor quality lambswool has mean lengths around 20 mm and CVs as high as 70% and may include carbonized wool. This is where part of the fleece that is heavily

contaminated with vegetable matter is chemically and mechanically treated for cleaning. (See [Chapter 2.](#))

Tensile Properties

In general terms, the breaking load is the force required to rupture a single fiber or group of fibers, whereas tensile strength is the breaking load normalized by the measured linear density of tested sample. There are three measures of wool tensile strength: the fiber strength, staple strength, and bundle strength. As may be expected, coarser fibers have greater breaking loads, but the material strength may be the same as finer fibers. There are natural variations along the fiber length that introduce variations in strength, and seasonal changes or poor husbandry can cause nutritional influences resulting in thin sections along fiber lengths and thereby weaker or tender fibers.⁴⁵

The three measures of strength will not necessarily give identical values. The single strength is a true measure of fiber strength but, to be meaningful, many fibers have to be tested. Testing is therefore tedious, costly, and generally not suitable for commercial practice. The staple and bundle strengths, being based on a fiber group, will be influenced by how parallel the fibers are in the group. Nevertheless, these may be used in forecasting yarn quality and processing performance, i.e., predicting yarn strength and spinning performance.

A staple is a well identified bundle of fibers that is removed from a mass of greasy wool as a unit, and the strength of this bundle is usually accepted as a measure of the wool strength. Usually, the staple length is first measured, then the staple is stretched to its breaking load and the broken staple weighed. The linear density is calculated from the weighed mass and measured length, and the normalized load gives the staple strength in newtons per kilotex (N/ktex). The test is usually carried out on the automatic tester of length and strength (ATLAS) instrument, which measures the staple length by photoelectric scanning.

The results of work carried out by the Australian wool research organization CISRO⁴⁶ show that H and CV(H) values of tops can be reasonably well predicted from measurements of raw wool using the following formulas.

$$\text{Hauteur} = 0.52 \text{ SL} + 0.47 \text{ SS} + 0.95 \text{ D} - 0.19 \text{ M}^* - 0.45 \text{ VM} - 3.5$$

$$\text{CV(H)} = 0.12 \text{ SL} - 0.41 \text{ SS} - 0.35 \text{ D} + 0.20 \text{ M}^* + 49.9$$

where SL = staple length
SS = staple strength
D = fiber diameter
M* = adjusted% middle breaks
VM = vegetable matter base (see below)

Wool has useful elasticity. When slowly elongated without rupture and then subsequently released, it will recover, initially showing a temporary set extension that is slowly lost with time; wool fibers can be elongated up to 30% and fully recover

with time. This inherent elasticity is a contributory factor to the ability of wool to recover from wrinkling, particularly noticeable in lightweight fabrics.

Color

Wool from most domesticated breeds of sheep is nearly always white, although the degree of whiteness may vary considerably, and some pigmented fibers can be present as contaminants. However, years of breeding have resulted in the majority of wools containing a negligible amount of such fibers. Greasy wool may be discolored, usually varying in shades of grey or yellow. Most of this color is removed by scouring, as it is largely caused by grease and dirt. Some stains are not scourable, in which case the fibers may be dyed a dark color.

Measuring the color of the substrate is an important part of modern dyehouse practice. Discoloration restricts the colors to which wools can be dyed, so white wools are preferred. Wool color measurements should be carried out on scoured samples. This is termed the *base color* or *clean color*. If color measurements are to be made of uncleaned wool samples the values are called *as-is color*. Tristimulus* values X, Y, and Z are determined to identify the precise color. Y is associated with brightness, and Y–Z is a measure of yellowness. A bright white wool has a high Y value and a low Y–Z value. Typical values for wools of good, average and poor color are as follows:

Tristimulus Values				
Color assessment	X	Y	Z	Y–Z
Good	60.0	61.5	58.5	3.0
Average	56.1	57.1	52.0	5.0
Poor	51.5	52.8	45.3	7.5

N.Z. Standard 8707:1977.

Vegetable Content, Grease, and Yield

Greasy fleece contains moisture absorbed by the fiber, wool grease (lanolin), suint (perspiration), pesticides, dirt, and vegetable matter. It is of commercial importance, therefore, to establish the actual percentage of pure dry wool in a consignment of greasy wool, i.e., the yield. The main producing countries follow a market procedure where wool bales are sampled and tested before auction so that buyers see a representative sample and full test information prior to sale.

The percentage of the impurities varies considerably in the different classes. Merino quality will contain around 45 to 55% of impurities. New Zealand wool usually contains less than 1% vegetable matter. These impurities may be categorized as indicated in [Table 1.7](#). The table also indicates the production stages in which the impurities are removed during yarn manufacture. Importantly, the advent of pollution control measures [e.g., European Pollution Prevention and Control (IPPC) Directive 96/61/EC] means that the scouring process (see [Chapter 2](#)) has to conform to environmental best practice for scouring effluent so as to meet the stringent

* Color is determined by tristimulus values defined by Commission Internationale de l'Eclairage (CIE) publication for illuminant D65.

TABLE 1.8
Comparison of Fiber Properties for Staple-Spun Yarns

Fiber type	Fineness (dtex)	Staple length (mm)	Tennicity (cN/tex)	Breaking extension (%)	Density (g/cm ³)	Percent moisture 20°C/65% RH
Cotton	~1.7	<40	34	7	1.52	7
Wool	2.2–38	35–350	10 ^b 15 ^c	40	1.31	17
Cellulosic:	1.3–3.3	Cut to any length				
Rayon		required in	12–20 (8)	25 (35)	1.52	12–13
Lyocell		manufacture	35 (29)	14		
Polyamide	<1 ^a –18		49–38	22–45	1.14	4
Polyester	<1 ^a –6		35–31	25–40	1.38	0.4
Polypropylene	2.2–120		80–75	17–20	~0.9	0
Acrylic	<1 ^a –22		25–20	28–35	1.14–1.17	1–2

a. microfibers 0.5–0.9 dtex
b. single fiber
c. staple bundle wet strength

regulations imposed. This, in turn, means a stronger shift to wools of low residue, particularly with respect to pesticides applied externally to sheep (ectoparasitocides).

Crimp, Bulk, Lustre, Resilience

Crimp, which is usually measured microscopically, occurs in the form of waves or curls along the fiber length and gives wool its natural resilience. The number of waves is closely associated with fiber fineness; finer fibers generally have more crimps per inch. Fiber crimp is a major factor affecting yarn bulk in that crimped wool produces more bulky yarns. Loose wool bulk — an indirect measure of fiber crimp — is closely linked with yarn bulk, which is related to cover in a carpet, and in woven or knitted fabrics.

Resilience is the springiness of a fiber mass, or the ability of a fiber to come back to its original volume after compression. There is a strong correlation between compressibility, yarn bulk, and covering power. Resilience is important in wool, as it enables wool fabrics to retain shape, have good drape, and resist wrinkling. It is also a property that is highly desired in carpet wools.

Wools vary in lustre, and lustrous wools can be difficult to process, as they are less cohesive. In carpets, such wools are associated with shading effects. Lustre is inversely correlated with bulk, so measurement of bulk can advantageously be used to assess lustre where lustre is required.

Medullation

The hollow medulla cells reflect light and make medullated fibers, especially kempy fibers, appear opaque. When these fibers are dyed together with nonmedullated

fibers, they look much lighter because of the reflected light. In fine wools, the percentage of medullated fibers is very low, ~0.1%. Their presence may be detrimental to the wool quality. They are more difficult to spin, introduce a harsh handle (feel) to fabrics, and, by their tendency to give a lighter shade, can be a cause of uneven dyeing faults in fabrics, producing a “tippy” effect.

These hollow fibers are sometimes considered to be desirable in carpets, because they impart improved resistance to compression of the pile. Their stiffness gives increased bulk to yarns and a firmer handle and resilience to carpets. Yarns become much hairier, which is desirable for Berber carpet yarns. However, with light, plain shades, medullated wool is undesirable. New Zealand Drysdale wool is a medullated fiber that is used in blends for carpet yarns.

1.7.2.3 Speciality Hair Fibers

Animal fibers, other than sheep’s wool, that are used in the production of textile fabrics are generally classified as speciality hair fibers (see [Figure 1.10](#)). They may be spun in their pure form (i.e., 100%) or as a blend component for enhanced fabric aesthetics, especially for increased fabric softness and lustre. Because of their properties giving exceptional fabric aesthetics, they are also commonly referred to as *luxury fibers*.

Luxury fibers, which include silk, are not produced in large quantities, but their much-desired sensual characteristics make them important raw materials in the luxury apparel and fine furnishings sectors. The more important of the speciality hair fibers are from the goat family; the Angora goat that produces mohair fibers and the Kashmir goat from which we obtain cashmere fibers.

Mohair accounts for only 0.1% of the global production of natural fibers, i.e., 15,000 tonnes (www.fao.org) compared with approximately 19 million tonnes for cotton and 1.3 million tonnes for wool. An accurate figure for cashmere fiber production is difficult to acquire. However, current estimates of global production of the fiber are within 4500 to 5000 tonnes.

Mohair

Mohair is the hair fiber that forms the long lustrous coat of the Angora goat, *genus Capra*, a species indigenous to Turkey but said to have originated in Tibet (although this is subject to speculation). The Angora breed is thought, however, to be around 3000 years old and to have evolved within the Anatolian Plains of Turkey, close to the modern city of Ankara (formerly Angora), from which the breed derives its name. The name for the fiber (mohair) comes from the Arabic word *mukhayyar* [mukhyar] meaning “best of the selected fleece.” Today, the major producers of mohair are southern Africa (South Africa and Lesotho), followed by the U.S. (Texas). Other significant but much smaller producing countries are Turkey, Argentina, Australia, and New Zealand.

Mohair is a very hard wearing and versatile fiber, which is used in its pure form and in blends for both clothing and furnishing fabrics. Mohair fibers give good comfort fabrics; besides being a desired raw material for warm winter luxury garments, the fibers are also processed into suiting fabrics that are comfortable to wear in humid conditions and are popular in the Far East, particularly in Japan. About

12% of total mohair production goes into furnishings, such as upholstery velours and moquettes; mohair fiber gives these fabrics good soil and pill resistance and also has useful flame resistance and high sound absorbency.

Types of Fleeces

Based on the formation of the lock, there are three primary types of fleece.

1. Tight lock (which is in ringlets along its length)
2. Flat lock (which is wavy and gives a bulky fleece)
3. Fluffy fleece

The preferred fleece is the tight lock, but the fiber of the flat lock has acceptable properties. The fluffy fleece is open in character, and the fiber is weak and of the lowest quality.

Fleece quality is determined by the diameter, lustre, and softness of the fibers; by the yield after scouring; and by the level of kemp fiber contamination. Grading is based primarily on fiber diameter, and the age of the goat is probably the most important factor in terms of both the quality and the quantity of mohair produced.

Fleece production increases from birth and peaks at approximately three or four years of age, averaging around 4 to 5 kg p.a. for females and 5 to 6kg p.a. for males. Kid mohair is generally from offspring less than a year old; it is the finest and softest mohair fiber and is used for high-fashion garments. The fiber from a one- to two-year-old goats is termed *young goat mohair*; this is longer than kid mohair and has acceptable softness and lustre. *Adult mohair* is the material from animals over two years old, which give the longer and coarser fibers.

The unwanted part of the fleece is the kemp fibers. These are short, very medullated, coarse fibers that have reduced dyeability and, in the dyed fabric, appear lighter in color than the finer mohair fiber. Kemp is undesirable in a fleece, and various genetic selection breeding programs are underway in producer countries, aimed at eliminating or reducing kemp fibers to negligible levels.

Physical Properties

The unscoured raw fiber has a yellowish or greyish-white color and contains 15 to 25% impurities such as sand, dust, and grease, the latter being less than 4%. After scouring, the fiber becomes lustrous, and the best qualities are clear white. On average, Angora goats grow fiber at a rate of 20 to 25 mm per month, so the staple length depends on the time of shearing. Half-year growths range from 100 to 150 mm, and a full-year's growth is around twice the half-year length. Because of the differing ages of the kids at shearing, the length distribution is wider than for young and adult goats.

Fineness is the main factor of importance. The fiber diameter increases with the age of the goat. Kid's fleece has an average fiber fineness of 23 to 30 μm , with a dispersion of 11 to 35 μm at the finer end and 14 to 40 μm at the coarse end. The fineness of young and adult goat fleeces may range from 30 to 60 μm , with corresponding dispersions of 15 to 50 μm and 35 to 90 μm .

Mohair, like wool, consists of the protein keratin. Microscopically, the fiber structure is also similar to wool, but there are important differences that give it its desirable characteristics. The epidermal scales are less distinctive than wool and are only slightly overlapping, lying close to the stem. The scale length ranges from 18 to 22 μm , and there are about 5 scales per 100 μm , compared with 10 to 11 for a fine wool fiber. These differences give the mohair fiber its smooth texture and high lustre, since light rays are directly reflected, rather than scattered, from the smooth surface.

The cortical layer appears as clear striations throughout the fiber length and, with some fibers, cigar-shaped air pockets, called *vacuoles*, are present between the spindle-like cells of the cortical layer. These air pockets appear as black dots in the fiber cross section. The cross section has a high degree of circularity; the major–minor diameter ratio is around 1.12.

The number of medullated fibers is usually less than 1%, and the continuous medullas are the most common type, but interrupted or fragmented types can be present.

Cashmere

Called the “fiber of kings,” cashmere fiber has long been valued for its luxurious softness, and its use in Western civilization can be dated back to Roman times. The fiber comes from the Kashmir goats (*Capra hucus laniger*), from which the name of the fiber is derived and which originated in Tibet. These goats are farmed in the mountainous regions around the Himalayas and Central Asia, particularly the regions around the Gobi Desert (i.e., Tibet and Mongolia) and in Turkestan and the Kashmir region of Northern India. The natural color of cashmere may be white, grey, or tan, and the goats of the Gobi desert region give the much-preferred white fibers. Variants of the breed are found in Iran, Afghanistan, and, to a lesser extent, Turkey. The fiber from these goats is less desired because of its darker color and the coarseness of the fiber, which results in an end product that is not as desirable as that obtained from the white fiber.

The knitting industry is the largest user of cashmere, especially that of the United Kingdom; Scotland is one of the biggest markets outside of China. White, unpigmented cashmere fiber is preferred, because it is the easiest to dye, giving an unblemished color, particularly pastel shades. As a consequence, 60% of the total world production of cashmere fiber comes from China, which amounts to around 3000 metric tonnes. The finest cashmere is produced north of the 40th parallel in Inner Mongolia, north of the Yangtze River, and Inner Mongolia accounts for about 70% of the Chinese production. The less desirable darker fibers are processed mainly for woven cloth.

The Kashmir goat has an outer coat of predominantly straight, coarse, and long “beard” or “guard” hairs and a smaller amount of fine downy undercoat fibers grown during the winter months under the more weather-resistant guard hair. The undercoat fiber is the material that is wanted, and it was traditionally made into shawls, called *pashmina*, by the villagers in the Kashmir region. During the warmer spring period, the down moults and can be removed from the coat by combing or shearing. Traditionally, the two fiber types were separated by hand, but the commercial practice is to use mechanized systems of fiber separation.

Physical Properties

Cashmere undercoat fiber is composed of a cortical layer and epidermis. There are approximately 6 to 7 scales per 100 μm , which slightly project beyond the cortical layer to give the fiber a serrated appearance. The fiber length varies from around 32 to 90 mm. The fiber is effectively circular; the average fiber diameter of a sample of the undercoat is likely to be within 12.5 to 21 μm with a coefficient of variation on the order of 18 to 20%, which means the fiber is of a fairly uniform fineness. Chinese cashmere is at the finer end of the range, with an average diameter of between 12.5 and 16.0 μm , with 15 μm being a standard fiber. The length is usually greater than 32 mm, with a measured length of around 46 mm being considered a long fiber. The variants from Iran, Afghanistan, and elsewhere are usually coarser, with Mongolian cashmere being between 16 and 17 μm and the others 17 to 21 μm . Whereas Chinese cashmere is used for knitwear, the others are spun into yarns for weaving.

The guard hair is made up of the epidermis, the cortical layer, and the medulla, which forms the greater part of the fiber. Guard hairs are 38 to 130 mm long and are not only coarse but very irregular in diameter; the average diameter is around 62 μm with a spread of 30 to 150 μm .

1.7.2.4 Silk Fibers

Silk fibers are produced as continuous protein filaments by several insects. However, the commercial material, cultured silk, is produced by the farmed larvae of a caterpillar, called the silkworm, which uses the silk to form its cocoon. This species of silkworm is *Bombyx mori*, more commonly called mulberry silkworm because the caterpillar feeds on mulberry leaves. The culture of silk originated in China and, based on Chinese myths, can be dated back to 2640 B.C. The industry gradually spread to such countries as Japan, Turkey, Spain, Italy, France, and U.S. There are also wild varieties of silkworms such as the Eri, Muga, and Tussah (Tussa or Tussur) that are mainly found in India and China and feed on castor oil plants or, in the case of Tussah, on oak trees that are kept as shrubs at a height of 1.5 to 2 m by pruning.

In producing silk, the caterpillar builds its cocoon by winding and gumming the silk thread, layer upon layer, around its body. After its transformation to a moth, the cocoon thread is degummed and unwound to obtain the raw silk of 1.9 to 4.4 dtex fineness, a process called *reeling*, and the resulting filament threads are then twisted together (folded or doubled) to obtain the desired count of continuous filament yarn, the process being termed *silk throwing* and the manufacturer a *throwster*. Hence, thrown silk is a plied yarn made of continuous filament silk. With respect to spun yarns, it is the discrete length silk fiber that is of interest, and waste silk forms the basic raw material.

Waste Silk

There are two primary sources of waste silk: *gum waste* (occurring, as the name implies, at the reeling stage) and *throwster's waste* (resulting from the production of thrown silk and the subsequent processes of cloth production).

The waste silk can be classified into the following four qualities, according to length:

- First quality: average length 165 mm; spread 73 to 250 mm
- Second quality: average length 114; spread 60 to 152
- Third quality: average length 89; spread 50–152
- Fourth quality: the waste from the production processes used to convert the above qualities in spun yarns

The fiber of the first three qualities is first converted into sliver by gilling and then processed into a yarn (see [Chapter 2](#)). The lowest-quality waste, termed *silk noils*, has many very short fibers but may be used as a blend component in the production of woolen yarns.

1.7.2.5 Manufactured Fibers [Man-Made Fibers (MMFs)]

[Table 1.8](#) lists, alongside values for cotton and wool, the properties of man-made fibers commonly spun either in their pure form (termed 100%), in blends (e.g., 50/50%, 65/35%) with each other (e.g., polyester/cellulosic blends), or in blends with cotton or wool to develop a wide range of yarns that give many fabrics their real utility value.

There is an important distinction between cellulosic and synthetic fibers. Cellulosic fibers (e.g., viscose, lyocell), are made from wood pulp, whereas synthetic fibers (e.g., nylon, acrylic, polyester, polypropylene) are made from chemicals fractionated from crude oil. The actual fiber formation process is called *fiber spinning*, but it is effectively extrusion of polymers through sets of very small holes termed *spinnerets*. In general, fibers may be produced by either a dry-spinning, wet-spinning, or melt-spinning process. In the dry-spinning process, the polymer, dissolved in a solvent solution, is extruded through the spinneret into a vertical chamber through which warm air is circulated to evaporate the solvent and congeal the polymer into filaments. For wet spinning, the solution is extruded into a bath (a coagulation bath) containing an appropriate chemical for coagulation of the polymer to occur by the action of diffusion. With melt spinning, as the term implies, the molten polymer is extruded through spinnerets in a vertical chamber where the molten filaments are cooled with circulating air. Following the spinning stage, the extruded tow of filaments are subjected to a high degree of stretching to align the constituent polymers and achieve the required fiber fineness and usable tensile properties. The tow may be then cut to produce fibers of a length suitable for processing by the various staple-yarn spinning routes.

The manufacturing processes can be adapted to impart specific properties to fibers so as to achieve certain functional requirements, e.g., flame retardancy, and end uses. Choice of spinneret enables different shapes of fiber cross section (round, trilobal, etc.) and fiber finenesses to be made — finer than the finest cotton (<1 dtex, microfiber). Fibers can be straight or crimped, giving a greater bulk than wool to insulate against the cold, and they can be made to transport moisture much better than natural fibers. Additives to the polymer solution prior to extrusion (e.g., delu-

tring pigment) enable dull or semidull as well as bright fibers to be produced. References are given at the end of the chapter for the reader who is interested in the detailed polymer synthesis and extrusion processes for producing fibers.^{8,10}

Viscose Rayon and Lyocell

There are several man-made cellulosic fibers, but viscose rayon and lyocell are the more important ones with respect to staple-spun yarns. Both are produced by the wet extrusion process, but, whereas rayon is produced using solutions of sodium hydroxide and dilute sulfuric acid,¹⁰ lyocell is called a *solvent spun cellulosic*, as the production involves organic solvents that are retrieved at the end of the manufacturing process, making it environmentally friendly (certified OEKO TEX Standard 100).⁴⁷

Like cotton, both fibers have good moisture absorbency and are generally comfortable to wear. Lyocell is more absorbent than cotton but less so than rayon. Cellulosic fibers are not resilient to wrinkle, but lyocell has moderate resiliency. It does not wrinkle as easily as rayon and cotton. Lyocell is the strongest of the three cellulosic fibers in both dry and wet states. Market areas for cellulosic fibers include women's fashion garments as well as men's shirts and casual wear.

Polyamide (Nylon)

Polyamide fibers are melt-spun. Characteristically, they are very durable and resistant to abrasion, have good strength and elasticity, are easy to wash, are quick-drying, and give fabrics with good shape retention. Primary consumer end uses are clothing (wool blends for outerwear, stockings and tights, lingerie, corsetry) as well as sports and swimwear.

Polyester

These fibers are also produced by the melt spinning process. The process is highly developed to produce fibers suitable for a wide range of applications. Fibers can have round, oval, or angular profiles to assist in developing soft fabric handle. Polyester fibers have good tensile properties that provide fabrics with above-average wear qualities. They are particularly resistant to light and weather, have good moisture transport, are quick drying, and can withstand climatic effects. Fabrics of 100% polyester or polyester rich blends have good crease resistance and shape retention.

Polyester fibers are the popular choice for apparel, in particular trousers, skirts, dresses, suits, jackets, blouses, and outdoor clothing. Blends with man-made cellulosic fibers, cotton, and virgin wool are widely used; the "classical blends" are around 65% polyester/35% man-made cellulosic fibers or cotton, and 55% polyester/45% wool.

Acrylic

Dry and wet spinning are employed in producing acrylic fibers. The largest proportion of the production is made and used as crimped staple fibers. They can be given high bulk, and they have low thermal conductivity, good shape retention, durability, and easy-care properties. Its softness of touch and bulk makes it attractive for use in the knitwear sector. These positive features of acrylic fibers are also apparent in blends with wool or other natural fibers. Hence, 75% of acrylic fibers are used in

apparel, 20% in home furnishings, and 5% in industrial end uses. For apparel, these fibers are used in jumpers, waistcoats, cardigans, jackets, socks, knee-high stockings, and training and jogging suits, either pure or in blends with wool. Modacrylic fiber is a modified form of acrylic used in flame-retardant garments, in children's and baby wear, and in doll clothes and soft toys.

Polypropylene

These are melt-spun fibers and, although produced in significant tonnage from a yarn perspective, they are largely used in filament form. Little of the fiber is used in staple-spun yarns because of the difficulty in conventionally dyeing the fiber (generally, dye pigments are added to the molten polymer). The vast majority of the staple fiber finds applications in the nonwovens sector. Polypropylene fibers have a density less than 1.0 and, therefore, at a given decitex, are thicker than other man-made fibers and give more cover. They do not absorb moisture, which is an advantage in many technical textiles end uses. They also have a high resistance to chemical attack, and additives may be used to give the fibers resistance to UV degradation.

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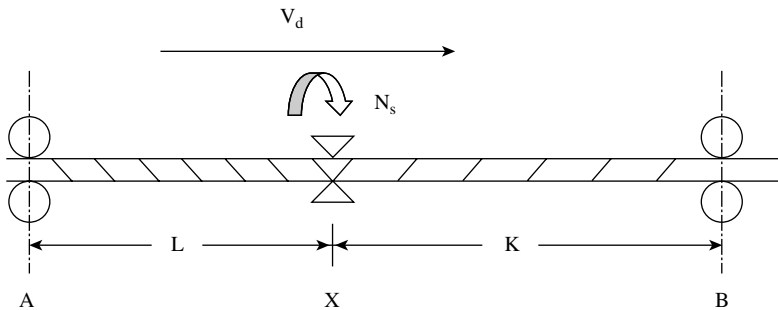
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APPENDIX 1A

Derivation of Equation for False-Twist Insertion



where N_s = the twist insertion rate in turns per minute
 V_d = filament strand speed in m/min
Zone AX = L meters
Zone XB = K meters
 L does not = K

Assumptions:

- At time $t = 0$, no twist is present in the strand.
- When twist is inserted in each zone, it is uniformly distributed.
- Twist contraction is negligible.
- At time $t = t_1$, there are x turns/m in zone AX, and y turns/m in zone XB.

1A.1 TWIST EQUATION FOR ZONE AX

At time $t = t_1 + dt$, the number of turns in zone AX will be the sum of the following:

- The turns already present = xL .
- The turns inserted by the twisting device = $N_s dt$.
- The turns lost because of the filament length moving into zone XB = $x V_d dt$.

Thus, the twist level in AX at $t = t_1 + dt = x + (N_s - x V_d) dt/L$

The change in twist, dx , is

$$dx = (N_s - x V_d) dt/L$$

Rearranging,

$$\int \frac{dx}{N_s - x V_d} = \frac{1}{L} \int dt$$

when $t = 0, x = 0$, thus the result of the integral gives

$$x = \frac{N_s}{V_d} \left(1 - e^{-V_d \frac{t}{L}} \right)$$

1A.2 TWIST EQUATION FOR ZONE XB

Following a similar reasoning to that above, the change in twist in Zone XB is

$$dy = \frac{[xV_d - (N_s + V_c y)]dt}{K} = -\frac{1}{K} \left[N_s e^{-V_d \frac{t}{L}} + V_d y \right] dt$$

Rearranging,

$$\frac{dy}{dt} + \frac{V_d y}{K} = -\frac{N_s}{K} e^{-V_d \frac{t}{L}}$$

multiplying throughout by $e^{-V_d \frac{t}{L}}$ and integrating gives

$$y = -\frac{N_s L}{V_d [L - K]} \left(e^{-V_d \frac{t}{L}} - e^{-V_d \frac{t}{K}} \right) \quad (\text{A.1})$$

If $L = K$,

$$y = -\frac{N_s t}{K} e^{-V_d \frac{t}{K}} \quad (\text{A.2})$$

APPENDIX 1B

Fiber Length Parameters

1B.1 STAPLE LENGTH

Staple length came into use well before suitable methods for measuring fiber lengths were devised. It was used by graders, merchants, and spinners for raw fiber business transactions. It is a measured estimate of the principal length of a tuft of fibers. Cotton staples are prepared by hand-and-visual assessment (i.e., hand straightened and parallelized) and therefore influenced by personal judgement, which makes the measured estimate subjective. Accordingly, it is still possible to find individuals differing in their judgement by as much as 1 to 1.5 mm (0.04 to 0.06 in.) in extreme cases. A wool staple is usually considered to be a well identified bundle of fibers that is removed from a mass of greasy wool. However, the “well identified bundle” is a subjective one.

1B.2 FIBER LENGTH DISTRIBUTIONS

Cumulative frequency distribution (CFD). This is more commonly called the staple diagram (in short staple spinning) or diagram of Hauteur (worsted spinning). It shows, for a random sample taken from a fibrous mass, the proportion of fibers that are greater than specified lengths. It is produced by sorting, either by number or by weight, the straightened lengths of the individual fibers making the sample. The following CFD was obtained manually by the Suter-Webb method, where a sample of fibers was separated into to small class groups of known lengths, with a class interval of 3 mm (0.12 in.). The groups were weighed and the data used to determine the relative percentages (abscissa) of the fiber mass weighing greater than the specified lengths shown along the ordinate.

1B.3 CFD BY SUTER-WEBB

Percentage of fibers (%). From [Figure B.1](#), the “effective length” proposed by Clegg (the length that gives optimum drafting roller settings for this distribution of lengths) and the short fiber content defined by Lord can be determined as illustrated in [Figure B.2](#).

CFD BY SUTER-WEBB

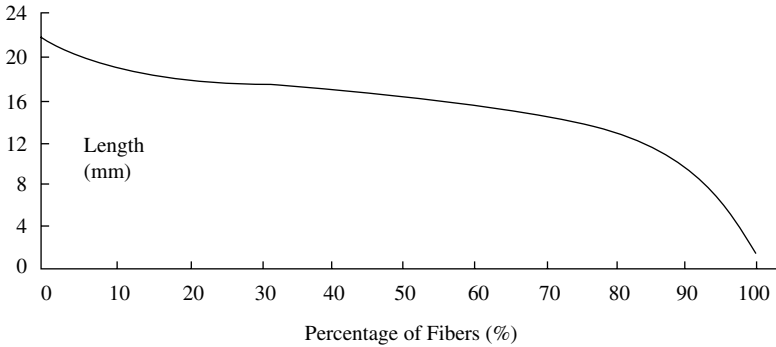


FIGURE B.1

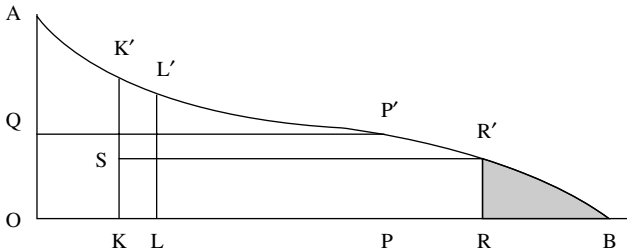


FIGURE B.2

- The ordinate OA is divided in half, to OQ and QA.
- The horizontal through Q intersects the curve at P'.
- The perpendicular through P' intersects the abscissa at P.
- OK is the first quarter of OP.
- The perpendicular through K intersects the curve at K'.
- The bisection of KK' is S.
- The horizontal through S intersects the curve at R'.
- The perpendicular through R' intersects the abscissa at R.
- The “effective length” = LL' corresponding to the first quarter of OR (i.e. L%).
- The short fiber content (SFC) is RB%, i.e. fibers < RR'.

The Almeter is a widely used instrument for determining a the CFD more speedily. This gives the frequency histograms as well as the CFDs of the fiber lengths determine by number and by weight. The mean fiber length (MFL) (sometimes shortened to mean length (ML) or Hauteur (H), the coefficient of variation about the mean, and percentage short fibers according to specified minimum lengths.

Cumulative frequency distribution of span length (CFDSL). This only applicable to short-staple spinning and is commonly called the *fibrogram* or *fibrogram*. It is based on the way fiber lengths occur in textile processes when caught by roller nips as illustrated in Figure B.3.

At any instant in time, fibers caught by the roller nips will depend on the randomness of their overlapping lengths; therefore, not all the length of a given fiber projects into draft zone. The lengths that project into the draft zone are called the *span lengths*, and the cumulative frequency distribution of the span length gives the fibrogram. In comparison with the staple diagram, its importance is that it gives a graphical representation of the fiber segment lengths found to influence drafting processes. (See Chapter 2.) In this respect, various parameters relating to the actual lengths of the fibers projecting into the draft zone can be determined. They are as follows (see Figure B.4):

1. *Upper-half-mean length (UHM)*. This is defined as the mean length of the longer half (50%) of the fiber distribution by weight (ASTM-D 4604 and D 4605).
2. *Upper quartile length (UQM)*. This is defined as the fiber length that is exceeded by 25% of the fibers by weight in the test sample based on the staple diagram method (ASTM-D 1440-90).
3. *Length uniformity index (LUI)*. The LUI is the ratio of the mean length (ML) and the UHM expressed as a percentage (ASTM-D 4604 and D4605).
4. *Length uniformity ratio (LUR)*. This is the ratio of 2.5% and 50% span lengths.

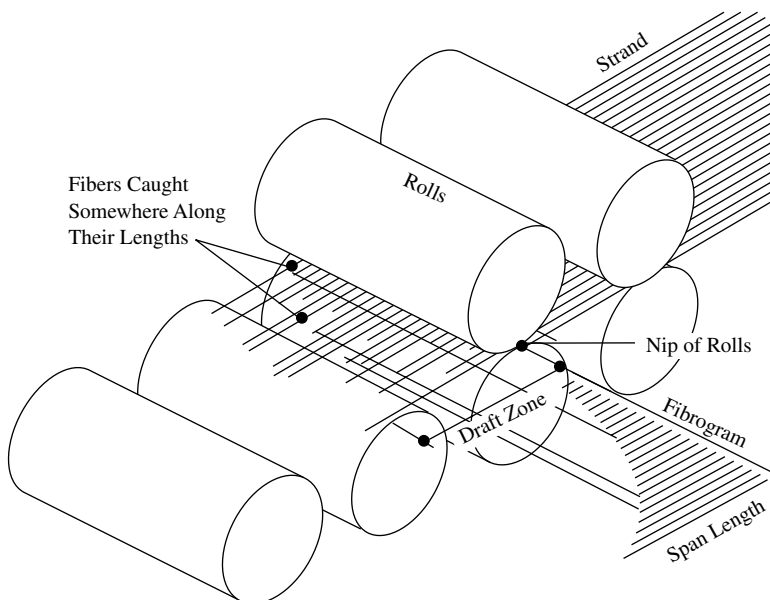


FIGURE B.3 (Courtesy of Spinlab, Inc.)

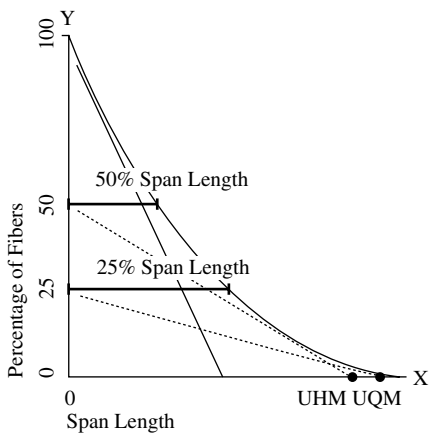
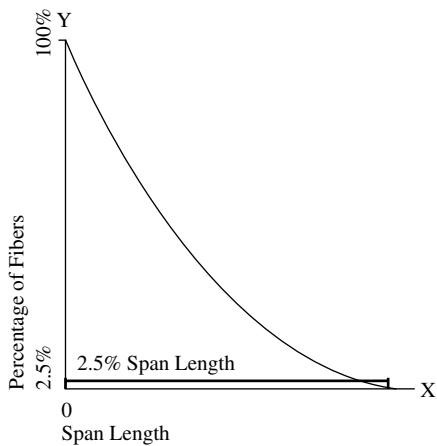


FIGURE B.4

2 Materials Preparation

Stage I: Opening, Cleaning, and Scouring

2.1 INTRODUCTION

In Chapter 1, it was shown that the yarn production process sequence generally has five stages (see [Figure 1.8](#), in Chapter 1).

- Stage I. Opening and cleaning
- Stage II. Disentangling and further cleaning
- Stage III. Fiber straightening and parallelizing (with short fiber removal and additional cleaning)
- Stage IV. Additional fiber straightening, parallelizing, and attenuation
- Stage IV. Yarn formation

We may consider the first three stages collectively as material preparation. This chapter is concerned with the process technology of stage I.

In the conversion of baled cotton into finished yarn, the primary purpose of the preparatory processes is to open, clean, and parallelize the fibers and then present the material for spinning. In doing so, these processes convert a three-dimensional bale of compressed, entangled, matted fiber mass into an orderly arrangement of fibers in a one-dimensional continuous strand length. The objective is for the conversion to be achieved with minimal fiber breakage and no fiber entanglement remaining in the strand length. A great deal of attention has been paid to, among other factors, improving machine setting and the operating speeds of component parts so as to attain gentle working of fibers and to avoid fiber breakage.

Fiber properties (such as length, fineness, strength, elongation, frictional characteristics, and the level of impurities present in the fiber mass) are of much importance to machine design and development. Man-made fibers (mmfs) present little problem where impurities are concerned, and, compared with natural fibers, their properties can be more easily modified to meet process requirements. Therefore, the development of most production machines has largely focused on cotton and wool

processing; this is particularly so in the area of material preparation. Consequently, most available information on the subject of material preparation mainly concerns cotton and wool fibers, and this is reflected in the present chapter. In certain areas, machinery developments, mainly concerned with component parts, have had to take into account the thermal and frictional characteristics of synthetic fibers. For the conversion of mmfs into semi-worsted yarns, machines have been developed to greatly shorten the production sequence. These topics are also covered in this chapter.

Over many years, machinery developments have been directed at improving yarn quality and reducing production costs by decreasing the number of production stages, reducing maintenance and labor requirements, and increasing production rates. During the last decade, the rapid growth in microelectronics, dedicated software, and information technology has contributed to major advances in automation and production management through machine monitoring and process control. Where relevant, the importance of these developments in the material preparation stages is explained.

2.2 STAGE I: OPENING AND CLEANING

The early stages of material preparation generally involve the removal of impurities from the fiber mass by mechanical or chemical means and the blending of the mass to produce a homogeneous feed to stage II. Several machines are usually arranged in sequence to carry out the cleaning and blending of the fiber mass. The type of machines and the sequence used will depend on the fiber type and grade to be processed. We first concentrate on the rudiments of cleaning and blending, giving examples of machines employed for such tasks, and then present an overview of a typical machine sequence for short-staple, worsted, and woolen systems.

2.2.1 MECHANICAL OPENING AND CLEANING

Ideally, the way to remove dirt and trash particles from within a fiber mass is to physically separate the fibers and allow the foreign particles to fall away or to be pulled off the fibers. If fibers have nonparticulate matter on them that needs to be removed (such as grease, to which dirt particles may adhere), this may be removed by scouring the fiber. In situations where there is a high level of particulate impurities, a combination of chemical and mechanical cleaning may be necessary. The chemical used should degrade the physical properties of the impurities without damaging the fiber. In this way, the impurities can be mechanically removed easily.

In an industrial context, fiber mass has to be processed at rates of hundreds of kilograms per hour (kg/h) for manufacturing operations to be economically viable. Cleaning therefore has to be done progressively, since it is not practical to separate hundreds of kilograms per hour of a fiber mass into individual fibers. For example, cotton fiber may be supplied to a manufacturing plant in compacted bales of about 226.8 kg each. The bale dimensions may be typically $1.4 \times 0.53 \times 0.64$ m, and the bale density is 478 kg/m³ (www.cotton.org). If the individual fibers were, say, 30 mm in length and 1.7 dtex fineness, then there would be around 45 billion fibers in each bale. A typical production rate of an average size plant would be 500 kg/h, which would mean separating nearly 98 billion fibers per hour (i.e., 27 million fibers per

second), which is not a practical proposition. However, it is practical to break up the hundreds of kilograms of fiber into a collection of progressively smaller and smaller clumps, called *tufts*, until we obtain sufficiently small tuft sizes that can be then separated into individual fibers at the required production rate. The action of progressively breaking up the fiber mass into smaller clumps is referred to as *opening*.

Definition: Opening is the breaking up of the fiber mass into tufts.

During the process of breaking up the fiber mass into initially large tufts, these large tufts into smaller tufts, and so on, fibers of one part of a tuft bundle slide past fibers of the other part. Light particles of impurities, such as dust, are freed and can be removed by air currents. Larger particles of leaf, seed, dirt, and sand that are lodged between fibers are loosened, and some are sufficiently freed to be removed by beating the tufts against grid bars or perforated plates. We may refer to these actions as *mechanical cleaning* actions or *simply cleaning*.

Definition: Cleaning is the removal of unwanted trash by mechanical means.

Through random variations, fibers from differing parts of the same bale, as well as between bales of the same batch of raw material, will differ in properties, and the difference is more marked for natural fibers than for mmfs. It becomes necessary to mix, as thoroughly as possible, the fiber tufts obtained from opening the various bales to be processed. We therefore speak of *tuft blending* or simply *blending*.

Definition: Tuft blending is the mixing of fibrous tufts from opened bales to produce a homogenous mass for consistent yarn properties.

Tuft blending may take place from the start of removing tufts from the baled fiber mass, but machines purposely designed for blending are incorporated within the sequence of opening and cleaning machines so as to use a suitable tuft size for optimal blending. Often, different grades of a natural fiber or different fiber types are blended for reasons of product economics, product performance, or both. Tuft blending is therefore an essential part of the early processing stage. The reader may reason that the best level of blending would come from mixing individual fibers, but, as we have seen above, the baled fiber mass cannot be “opened up” to the level of individual fibers in the early processing stage. Intimate blending of individual fibers can take place during stages II and III. However, for a good-quality blend to be obtained in stages II and III, it is important that small size tufts of the different fiber types be homogeneously distributed so that, in stage II, the fibers of one type are individualized in close proximity to those of the other fiber types.

Each machine that opens and cleans the fiber mass may be referred to as a *cleaning point*, although some machines do not reduce tuft size but only expel dust, dirt, and trash particles from tufts. Machines are usually placed sequentially in a *cleaning line* so as to progressively intensify the degree of opening and cleaning and to blend the tufts. The fiber tufts are usually transported from one machine to another by airflow through connected ducting. At the end of the cleaning line, 40 to 50% of impurities are removed (largely heavy particles), and the opened material is then fed into the carding process of stage II.

Opening and cleaning machines employ one or more of the following actions:

- The action of opposing spikes, which is principally an opening action
- The action of beater and grid bar, which gives both opening and cleaning
- The action of air currents, which gives only cleaning

The Action of Opposing Spikes

This is effectively an opening action and is usually used at the start of a cleaning line, where the baled fiber mass is initially opened up into large size tufts (e.g., up to 200 g). The machines at the start of opening and cleaning lines may be referred to as *bale openers*, and the following are typical examples.

Figure 2.1 Illustrates the working principle of a *mixing bale opener, bale breaker, or hopper feeder*. This is a traditional way of initially opening the fiber mass. It comprises an extended feed apron (a) onto which layers of the fiber mass are placed, usually manually, a bottom apron (b) within a hopper that assists in transporting the fiber mass to an inclined apron (c) that is covered in spikes and, at the top of this lattice, a spike-covered lattice (d) and a spike stripping or doffer roller (e). The speeds of the component parts can be varied according to production rates. However, the inclined apron (c) operates at a faster surface speed than (b), and both (d) and (e) have higher surface speeds than (c). As illustrated in the diagram, (c) plucks large tufts from the fiber mass fed to it by (b). The gap between (c) and (d) can be set to ensure that the tufts are reduced to a predetermined size by a portion of tuft being removed and returned to the fiber mass on (b), with these portions thereby becoming mixed with new layers of the incoming feed.

Once reduced in size, the tufts are stripped from (c) by (e), the faster speed of (e) giving an additional amount of opening. The components (c) and (d) are termed the *evener apron* and *evener lattice*, as the adjusted gap between the two components enables a reasonably even mass flow of opened tufts at the output. In some machine designs, (d) may be a spike roller, i.e., an evener roller. As described later, the evener apron/evener roller may be used for “weigh-pan blending” of opened tufts.

The mixing bale opener gives a gentle opening action and can be used for the initial opening of man-made fibers, since little cleaning is required, and of wool

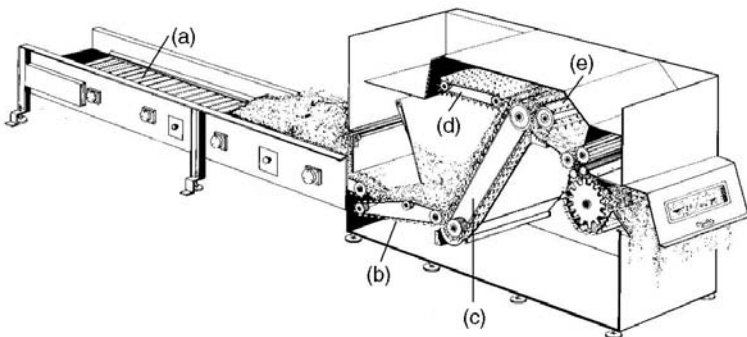


FIGURE 2.1 Mixing bale opener. (Courtesy of Marzoli.)

prior to scouring. It can also be used for the feeding of cleaned wools to the carding process. When clean cotton waste is to be recycled and blended with virgin cotton, the mixing bale opener may be also used as a feed for the cotton waste. For short-staple processing, the production rates can be up to 600 kg/h, and up to 3,500 kg/h for longer staples.

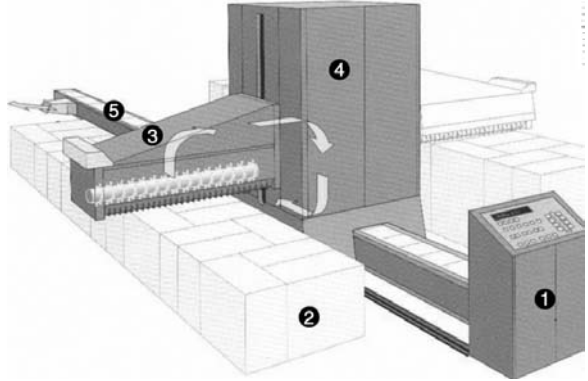
The initial opening of bales of virgin cotton and short-staple man-made fibers is commonly performed by machines called *automatic bale openers*. Figure 2.2 depicts a typical arrangement. As shown, rotating opening rollers fitted with toothed discs are made to traverse a line of preassembled cotton bales, the toothed discs plucking tufts from each bale as they move from bale to bale. The arrows show the path of tufts transported by airflow. Figure 2.2c illustrates the situation for two opening rollers traversing the bale laydown. Whatever the traverse direction, one roller will always have its working toothed discs opposing the traverse, while the other will be with the direction. Two layers of tufts are plucked in one pass of bale laydown; the opposing toothed discs pluck the first layer, and the discs rotating with the traverse direction penetrate at a lower level from the bale surface to pluck a second layer of tufts. It is claimed that the toothed discs give a gentle opening to prevent, or at least minimize, fiber breakage while producing smaller tuft sizes at higher production rates than the mixing bale opener. For production rates from 400 to 1400 kg/h, tuft sizes fall with the range of 30 to 80 mg and, depending on machine type, bales can be processed at an incline so as to facilitate better tuft blending. Bale laydowns can be up to 180 bales and, as the working head tower can be made to automatically swivel, bales can be assembled on both sides of the traversed path (see Fig. 2.2d). This facility can enable early stage blending of tufts of, for example, a three-component blend as illustrated in Fig. 2.2d.

Automatic bale opening machines have yet to be developed for wool and long-staple man-made fibers. However, the action of opposing spikes has been used for finer opening of long-staple materials. Figure 2.3 shows a *turbo opener*, or *pneumatic opener*, which may be linked to the outlet of a mixing bale opener and utilized to further open the fiber mass. As shown, a rotating cone covered in spikes is fitted to the shaft of a motor drive. The housing matches the profile of the cone, and one section is fitted with rows of spikes or saw-toothed wire. The clearance between the housing and cone varies, becoming progressively narrower from the inlet to the outlet; the latter is tangential to the rotation of the cone. As the cone rotates, the generated airflow sucks the tufts onto the spikes of the cone. With the continued motion of the cones, the tufts make contact with the stationary spikes and are further opened and then ejected with the airflow through the outlet. It is claimed that the turbo opener can process fiber finenesses and lengths of up to 150 dtex and 200 mm, and achieve production rates of 3000 kg/h, depending on fiber type and the degree of opening required.

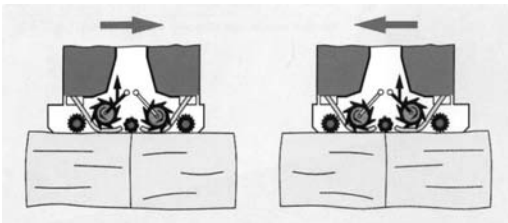
Action of Beater and Grid Bars

This action gives the most effective opening and cleaning of fibrous material. It is therefore utilized in the cleaning stages following the initial opening. Depending on fiber type and the level of impurities, an intermediate opening and cleaning stage may precede a final, intensive opening and cleaning stage, with both stages employ-

(a) Diagram of automatic bale opener. (1) Control unit, (2) fiber bales, (3), working head with tooth discs, (4) swivel tower, and (5) air duct for material transport. (Courtesy of Rieter Machine Works Ltd.)



(b) Opening of cotton bales. (Courtesy of Marzoli.)



(c) Operation of tooth discs. (Courtesy of Trutzschler GmbH.)

(d) Two-sides laydown. (Courtesy of Trutzschler GmbH.)

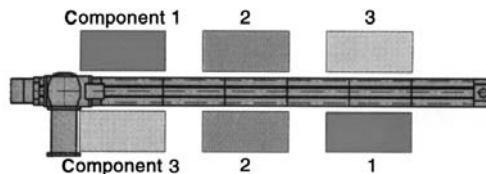
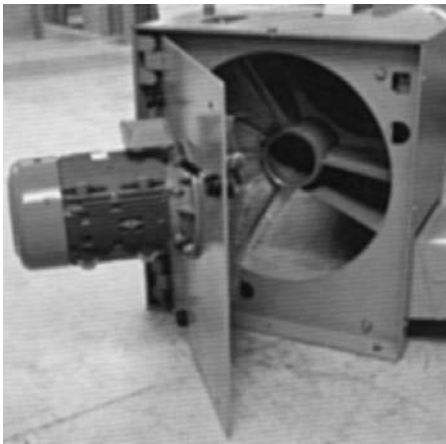


FIGURE 2.2 Automatic bale opener.



(Courtesy of Houget Duesberg Bosson.)

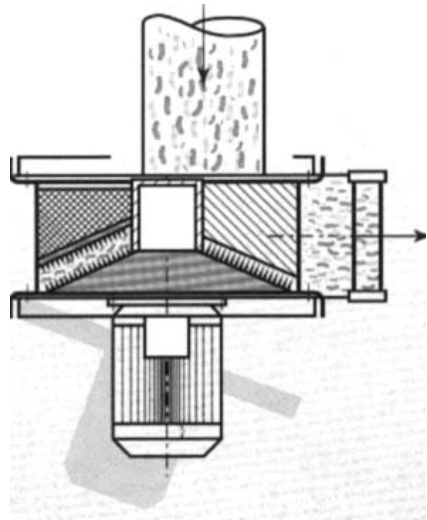


FIGURE 2.3 Turbo opener.

ing certain beater and grid bar combinations. There are three different ways of applying the beater and grid bar action. Essentially, these involve striking the fiber mass while it is undergoing one of the following:

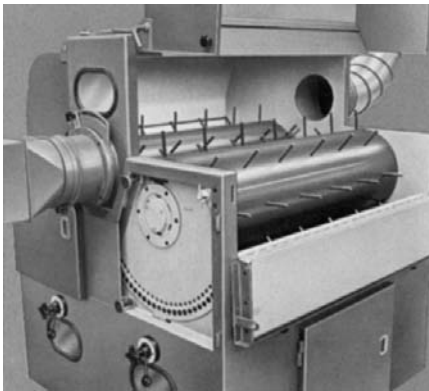
- being transported by airflow
- held by a spike
- held by a pair of feed rollers

With the last two combinations, the beater further opens the material into smaller tuft sizes. In all three, the beater throws the tufts against a set of grid bars. The grid bars are spaced sufficiently close together to prevent tufts from passing between them, but they allow trash particles clinging to the tuft surfaces to be ejected to waste.

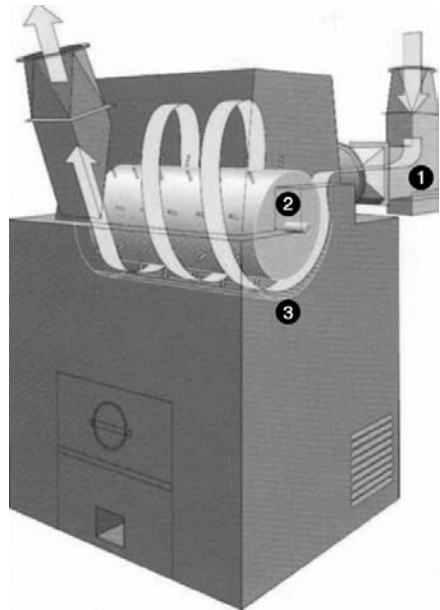
The following machines illustrate typical examples of the application of the beater/grid bar combination.

Beater, Grid Bar, and Airflow

Figure 2.4 illustrates arrangements for ejecting trash particles from tufts carried in airflow. The system comprises one or two rotating cylinders with rods or flexible pins projecting from its surface (i.e., the beater) and a series of grid bars positioned below the beater. The system is used as a first cleaning stage for cotton tufts with high trash content and therefore receives tufts from the automatic bale opener. The two-beater Axi-Flo unit gives added opening as well as cleaning. The outlet for the transporting the airflow is located at a higher position than the inlet so that, on entering the system, small tufts, being lighter, are retained in the airflow and pass through the system with little contact with the beater. This keeps fiber loss to a minimum. Large tufts, which cannot get lost through the grid system, follow a spiral



(a) Axi-Flo cleaner, twin beater with projections. (Courtesy of Trutzschler GmbH.)



(b) Mono cleaner. (1) Inlet, (2) single beater with projections, and (3) grid bars. (Courtesy of Rieter Machine Works Ltd.)

(c) Unifloc cleaner. (1) Single beater, (2) flexible pin projections and grid bars, and (3) trash removal paddle. (Courtesy of Rieter Machine Works Ltd.)

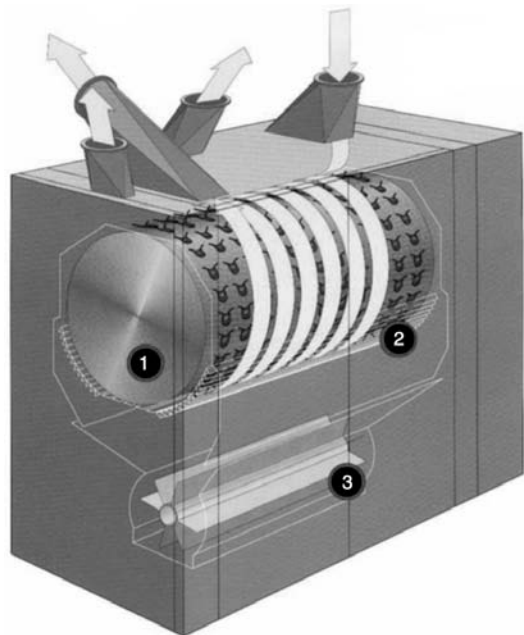


FIGURE 2.4 Beater/grid bar airflow systems.

path around the beater to the outlet and, in doing so, make contact with the projections on the rotating beater surface. The tufts are then flung against the grid bars to eject coarse trash particles. As these large tufts pass between the two beaters, they are further opened by the action of opposing spikes.

The Unifloc single-beater system is a more recent development, and it takes advantage of the small tuft size that can be produced by automatic bale openers. The pin projections from the beater surface are smaller and greater in number, and the objective is to make contact with all tufts. It is claimed that, as well as removing the heavy impurities of sand, dirt, and fine trash, working on small tufts enables the removal of dust particles.

With these systems, curved plates are fitted above the beaters to control the number of spiral passes — usually a minimum of three times. The tufts are accelerated, decelerated, and turned over during each pass. The angle of the grid bars and the space between them can be adjusted so as to optimize the amount of impurities removed and to minimize any removal of fiber. The beater speed range is 400–800 rpm, with a diameter of 750 mm and a working width of 1.6 m; production rates are up to 1200 kg/h. Importantly, trash particles present in the tufts are not crushed. This would increase the number of fine particles, thereby reducing the effectiveness of the system and making subsequent cleaning more difficult.

2.2.2 STRIKING FROM A SPIKE

Machines that demonstrate this action are the multiple-beater cleaners. [Figure 2.5](#) shows one example, the inclined multiple-beater system, commonly called a *step cleaner*. Step cleaners perform opening on large tufts by the actions of opposing spikes and with grid bars. Located below each beater is a series of points for removing coarse trash particles. These units are used for the opening and further cleaning of scoured wool prior to carding. The inclined multiple-beater cleaner may have from three to six beaters, depending on the amount of opening required and/or the quantity of impurities to be removed from the material. Step cleaners may also be used in cotton cleaning lines, but, with the move toward mini-tuft size and the use of automatic bale openers, they are no longer part of the specification for a modern cotton spinning plant.

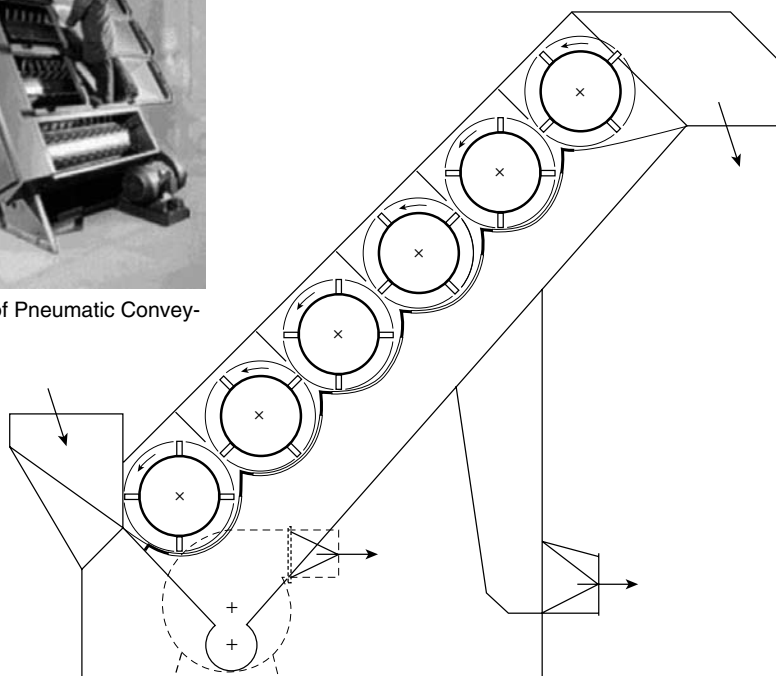
2.2.3 BEATER AND FEED ROLLER

Beater-feed roller systems are intensive opening and cleaning units and are usually employed as the last opening stage in a sequence. [Figure 2.6](#) shows two simple arrangements of the beater and feed roller system for short-staple materials. The feed rollers move the fiber tufts toward the pin-covered surface of the rotating beater or roller, which breaks up the tufts into smaller sizes. In the case of cotton, the opening action brings trash particles to the surface of the smaller tufts, and these particles are then ejected by the impact of the tufts on the grid bars. For man-made fibers, the grid bars can be replaced by a stationary pin-covered surface, which would enable a further opening of the tufts.

The beater-feed roller system has developed into a very effective device for cleaning cottons, and, with automatic bale openers being used to produce mini-tufts,



(Courtesy of Pneumatic Conveyors Ltd.)



(Courtesy of Rolando-Beilla.)

FIGURE 2.5 Multiple spike beater rollers with undergrids.

the system has the option of additional beaters to make it a *multi-roller cleaner* for intensive opening of mini-tufts, thereby dispensing with the use of step cleaners for cotton processing. As described in [Chapter 1](#), modern harvesting and ginning of cottons can be severe and, although a larger amount of impurities may be now removed during ginning, intensive ginning causes a greater quantity of fine trash fragments (referred to as *pepper trash*) and neps (i.e., small balls of entangled fibers) to be present in the baled fiber. (See [Figure 2.7](#).)

These particles are not easily removed by the simple impact of large tufts onto grid bars. Intensive opening of mini-tufts releases the fine trash fragments and neps into the boundary air layer of the beater. Replacing the grid bars with a knife-edged slot, and applying suction at the slot, gives effective removal of these particles. [Figure 2.8](#) illustrates a typical knife-edge suction slot device. It can be seen that adjustment of the slot size enables the boundary air layer to expand. The knife edge slows the airflow sufficiently for the trash particles to be ejected by the centrifugal forces acting on them; they are then sucked away. For a set beater speed, adjustment

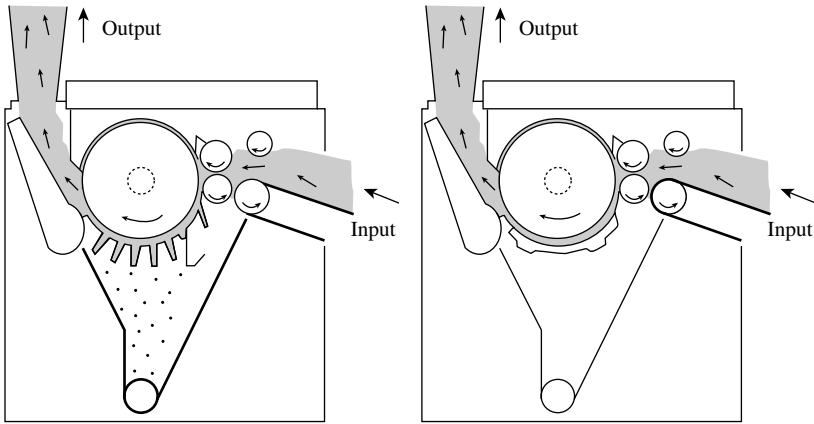


FIGURE 2.6 Beater-feed roller systems. (Courtesy of Crosrol UK Ltd.)

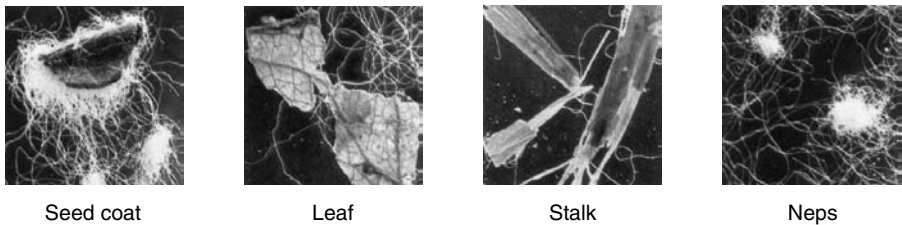


FIGURE 2.7 (See color insert following page 266.) Examples of trash fragments and neps found in baled cotton. (Courtesy of Trutzschler GmbH & Co. KG.)

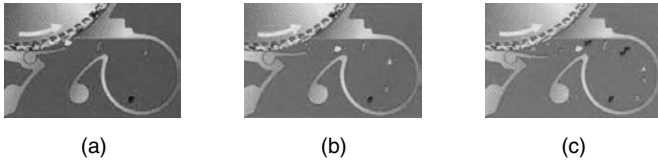


FIGURE 2.8 Knife edge and suction slot for fine particle removal. (Courtesy of Trutzschler GmbH & Co. KG.)

of the slot size controls the degree of cleaning and the amount of fiber removed in the waste. When little cleaning is needed, the slot is opened only slightly (see Figure 2.8a). If the trash level to be removed is high, then the slot is widened accordingly (see Figure 2.8b and c).

Figure 2.9 depicts a multi-roller cleaner having four rollers covered with differing types of working surfaces. Sequentially, the first beater is a spike or needle roll, the second and third are a coarse and medium grade saw-tooth wire roller, and the final roller would have a fine saw-tooth wire clothing. Knife-edged suction slots are positioned around each beater for fine particle extraction. The system may be fitted with two or three beaters or employed as a single beater unit.

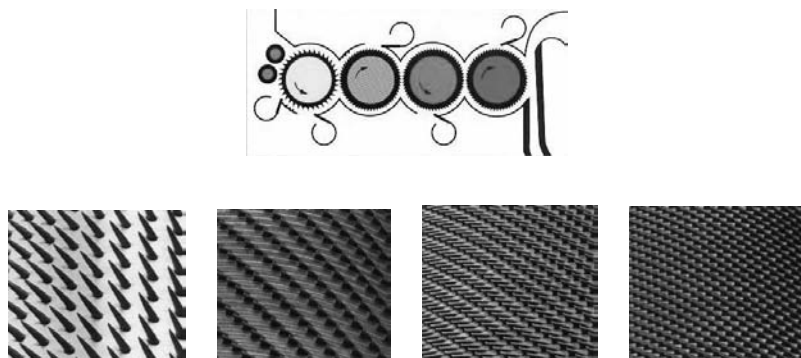


FIGURE 2.9 (See color insert.) (Top): Multi-roller cleaner. (Left to right) needle beater followed by coarse, medium, and fine saw-tooth beaters. (Courtesy of Trutzschler GmbH & Co. KG.)

The Picker and Fearnought Openers (Figure 2.10) are typical beater-feed roller systems used for producing mini-tufts in the opening of wool and other fibers of similar length and fineness. Whereas the Picker operates along the lines of a single beater unit, the Fearnought is more complex and functions similar to a carding system, which is described in Chapter 3.

2.2.4 USE OF AIR CURRENTS

There are two basic ways in which cleaning can be achieved with the use of air currents.

1. Removal of trash particles by an imbalance of centrifugal and aerodynamic forces on the particles
2. The use of a perforated screen to separate tufts from a dust-laden airflow

The knife-edged suction slot is a basic method of using an imbalance of centrifugal and aerodynamic forces, and Figure 2.8 in effect shows one example of its application. Figure 2.11 shows a more commonly used approach. Here (Figure 2.11a), a section of ducting is made with a bend of an almost 120° angle and an adjustable slot located at the bend. The tufts are pulled through the ducting by air suction, the speed of incoming air at the slot being greater than the flow transporting the fiber tufts at the inlet section of the ducting. The transporting airflow may contain trash particles that escaped removal in a prior cleaning stage.

If the forces on the tufts and the trash particles entering the inlet are resolved into their x and y components as shown, then it is clear that, with the x component being larger, the resultant paths are toward the slot. At the slot, forces from two other influences come into play: that because of the faster intake of air at the slot and centrifugal forces due to the action of moving around the bend. The larger surface area and lower relative density of the tufts mean that forces due to the intake

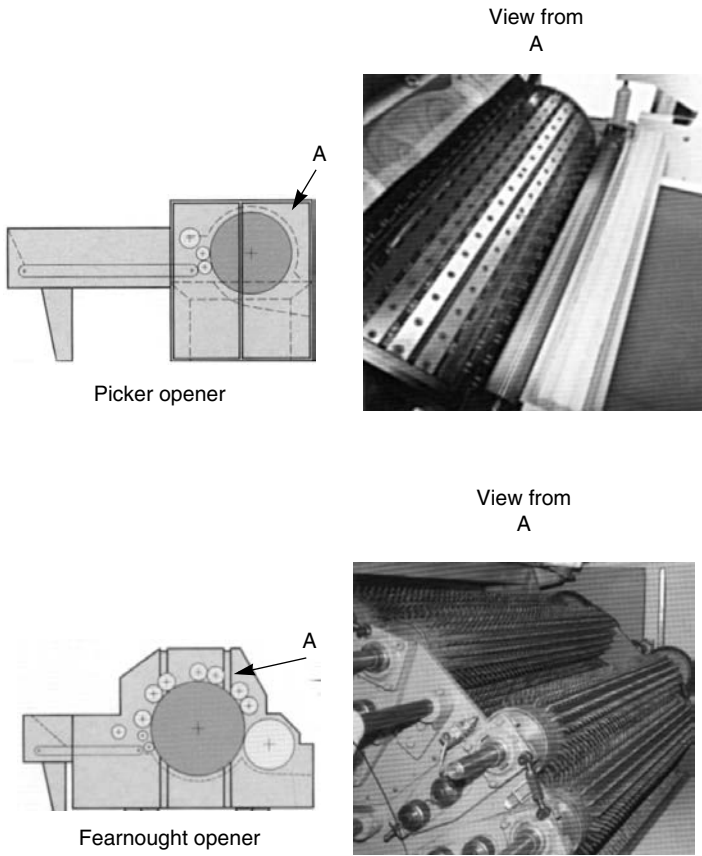


FIGURE 2.10 Picker and Fearnought openers. (Courtesy of Rolands Macchine Tessili.)

of air at the slot are greater than the centrifugal forces acting on the tufts, and the tufts are therefore retained in the airflow. The converse is true for the trash particles, and they are ejected from the trucking. Figure 2.11b illustrates the use of this principle to aid particle separation.

To remove dust particles in transporting airflow, a perforated surface may be used to separate the tufts from the dust-laden air. Figure 2.12a illustrates the use of a slowly rotating perforated drum as one example, often referred to as a *condenser drum*, *cage condenser*, or *dust cage*. The airflow in which the tufts are conveyed is generated by a fan connected by ducting to the interior of the cage. As shown, the tufts are pulled onto the outer surface of the drum, the holes being sufficiently small to prevent fiber loss, while the dust-laden air flows through the holes of the drum for the dust to be collected as waste. To remove the tufts attached to the slowly rotating drum, the suction is blanked off by a half-cylinder screen, which is positioned where the tufts are required to leave the drum. Condenser drums are positioned at the inlet to a hopper either before or after an opening stage (see Figure 2.12b).

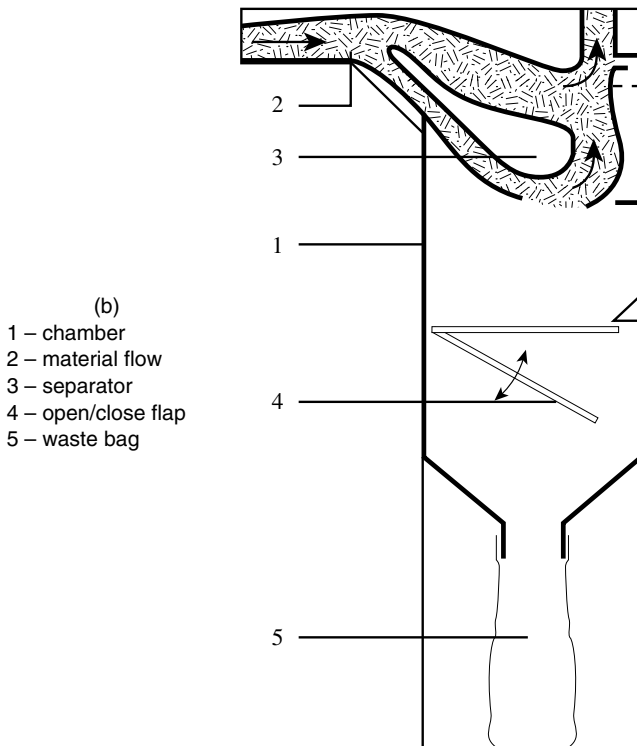
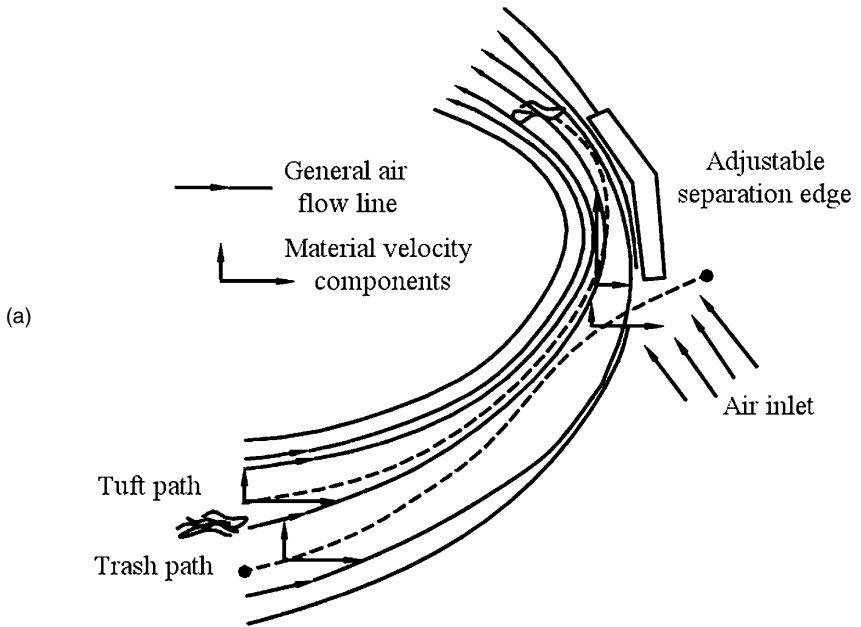
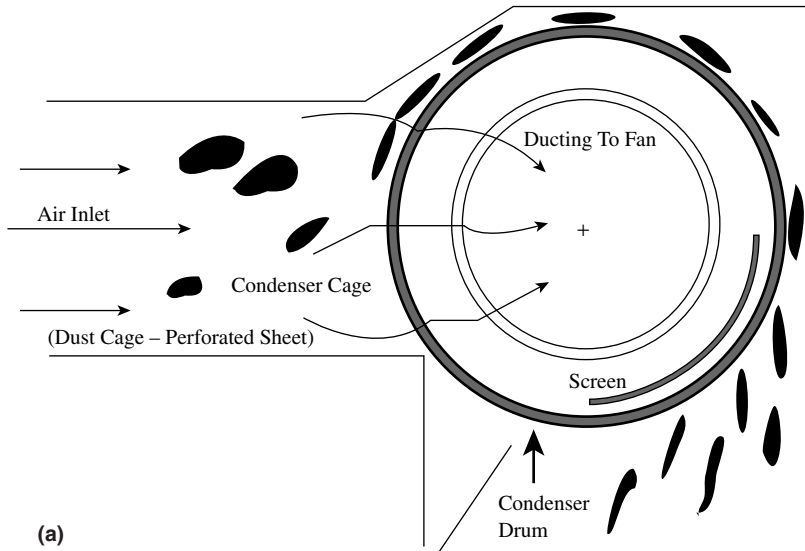
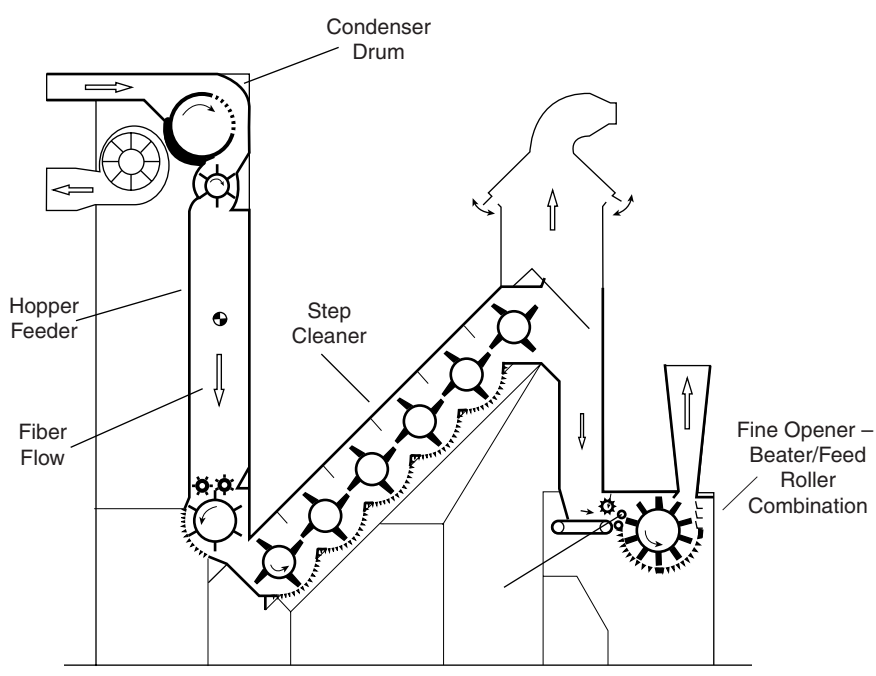


FIGURE 2.11 Heavy particle separator. (Courtesy of Trutzschler GmbH & Co. KG.)



(a)



(b)

FIGURE 2.12 Condenser Drum for Removal of Dust Particles. (Courtesy of Trutzschler GmbH & Co. KG.)

Clearly, the larger the area of the perforated surface, the more effective the dust removal will be. Figure 2.13 depicts several of the newer developments, which are self-explanatory.

2.2.5 ESTIMATION OF THE EFFECTIVENESS OF OPENING AND CLEANING SYSTEMS

2.2.5.1 Intensity of Opening

To assess the opening action of a beater, we refer to its *intensity of opening*. This can be defined as the amount of fibrous mass in milligrams per one striker of a beater for a preset production rate and beater speed.¹ Thus,

$$I = \frac{P \times 10^6}{60 \times n_b \times N} \tag{2.1}$$

where I = intensity of opening (mg)
 P = production rate (kg/h)
 n_b = beater speed (rpm)
 N = number of strikers

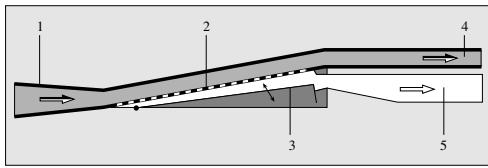
Table 2.1 gives examples of I values for commonly used beaters. The intensity of opening is an estimate of the tuft size produced by a given beater. From the I value, we can get an approximation of the number of fibers, n_f , constituting a tuft produced by a given beater.

TABLE 2.1
Examples of I Values of Beat

Beater type	Description	Intensity of opening
Buckley	A bladed beater that may be used in the early stages of opening. The number of blades varies from 20 to 32.	Typically, tuft sizes can be 500 to 600 mg (initial opening) or 85 to 100 mg.
Kirshner	This has three arms with wooden lags, each 11 cm wide. Each lag contains 1000 pins, 10 mm long.	Tuft size: 5 mg.
Saw-tooth	Usually, 1 m × 38 cm dia., with a tooth density of 2 per cm ² .	Tuft size: 0.88 mg.

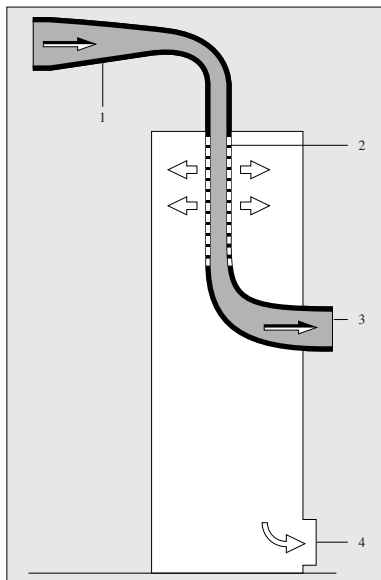
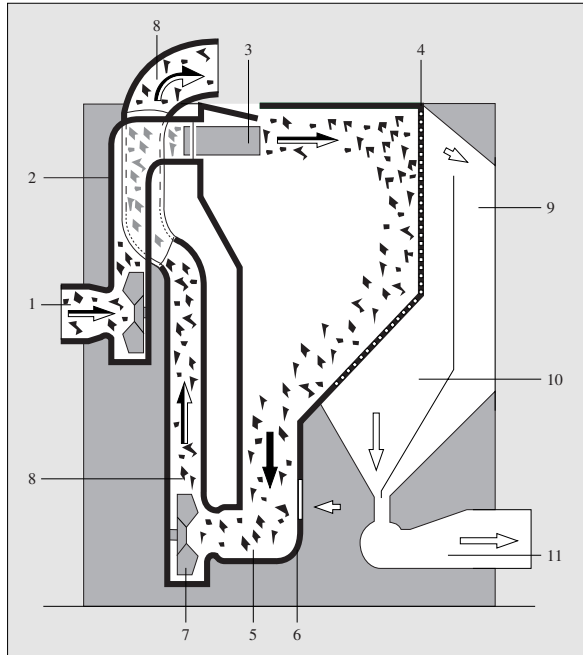
$$n_f = \frac{I \times 10^5}{L_f \times T_f} \tag{2.2}$$

where L_f = average fiber length (cm)
 T_f = average fiber linear density (mtex)



- 1 – Material Inlet
- 2 – Perforated Screen
- 3 – Adjustable Flap
- 3 – Material Exit
- 4 – Exit of Dust-Laden Air

- 1 – Material Inlet
- 2 – Perforated Screen
- 3 – Material Exit
- 4 – Dust Extraction



- 1 – Fan
- 2 – Material Feed
- 3 – Distributor
- 4 – Perforated Screen
- 5 – Suction Zone for Continued Material Flow
- 6 – Air Inlet
- 7 – Fan
- 8 – Material Flow
- 9, 10, 11 – Dust and Waste Removal

FIGURE 2.13 Dust removal systems. (Courtesy of Trutzschler GmbH & Co. KG.)

An alternative to tuft size (i.e., I) is the number of blows per kilogram, N_k .

$$N_k = (60 \times n_b \times N)/P \quad (2.3)$$

The I or N_k value gives an indication of the degree of treatment in that the more blows per kilogram of material, the smaller the tuft size and the more trash that is likely to be removed. However, the calculation does not take account of the effect of the space settings of the beater to the feed roller and of beater to grid, or of the grid spacing. The mechanical removal of trash and dirt particles is always accompanied by some loss of fiber; with cotton cleaning, the amount of fiber in the waste is referred to as the *lint content*. Usually, this is composed of short lengths of broken fibers, but poor processing can cause the loss of fibers of much longer lengths and/or a high level of fiber breakage. The objective is to optimize the machine settings (i.e., beater speed, production rate, and gap settings of the working components) to minimize the percentage lint and usable fiber length in the waste.

2.2.5.2 Openness Value

The more effectively the material is opened, the better the chance of trash removal and the lower the fiber content of the waste. The opening action primarily does two things. It reduces the fiber mass into small clumps (tufts), as described above, but it also loosens the tightness of packing of the fibers within each tuft, thereby reducing the tuft density or increasing its specific volume. In common parlance, we could refer to the tufts being more *fluffed up*, which describes their visual appearance. The openness value (OV) is a measure of how fluffed up the fiber mass has become on passing through a beater system, i.e., its degree of opening. Since we are concerned with changes in tuft density and want to account for different fiber densities, OV is defined as the product of the specific volume of the fiber mass and the specific gravity (SG) of the constituent fiber (or, for a blend of fibers, the sum of the product of their relative proportions and their SGs).¹

Szaloki¹ describes a simple method of measuring the specific volume, in particular for cotton and short-staple mmfs. A sample of the fibrous mass is used to fill a 4000-ml Pyrex® beaker. A Plexiglas® disc weighing 200 g, with air-escape holes and having a slightly smaller diameter than that of the beaker interior, is placed on top of the sample in the beaker. After a settlement time of 15 to 20 s, the compressed volume is noted, the sample is weighed, and the specific volume in units of cm³/g is calculated. Eight to ten measured samples are usually required for a 95% confidence level in the resulting data. Measured values¹ show a typical OV for the fiber mass in a bale of cotton at the beginning of an opening and cleaning line to be around 51 cm³/g, while, at the end of the line, the OV can be greater 140 cm³/g.

2.2.5.3 Cleaning Efficiency

This is the percentage of the impurities removed from the fiber mass. Hence,

$$CE = \frac{(W_{IN} - W_O)100}{W_{IN}} \quad (2.4)$$

where W_{IN} and W_O = respective mass values of the impurities in the fiber at the input and output to a machine or a sequence of machines
 CE = cleaning efficiency

As referred to earlier, some unavoidable fiber loss occurs during mechanical cleaning. The settings of grid spacing will evidently control the fiber content of the waste. When considering this fiber loss, we can refer to the *effective cleaning (EC)* of a machine or a sequence of machines as

$$EC = \frac{(W_T - W_F) 100}{W_{IN}} \quad (2.5)$$

where W_T = mass of waste
 W_F = mass fiber in the waste

2.2.6 WOOL SCOURING

It was explained in Chapter 1 that raw wool contains particulate and nonparticulate impurities (e.g., dirt, squint, grease, soiling substances, and pesticides) that are removed by scouring. Vegetable fragments are also present and are removed either chemically by wool carbonizing and/or mechanically in the carding and combing processes of stages II and III (Figure 1.8, Chapter 1). Pesticides are used in sheep husbandry, and residues can remain in the fleece and be released when the shorn wool is scoured. Potential risks to the environment from pesticides have led to certain types (organochlorines and arsenic) no longer being widely used, and there is a move toward employing biodegradable chemicals that are applied in a controlled way to minimize residues.

Definition: Wool scouring may be described as a process by which a solvent or detergent is used as a scouring agent to remove grease, sweat, minerals, and other impurities from the wool fleece.

One of two methods may be used: solvent scouring or emulsion scouring; the latter is the most widely employed. The conventional emulsion scouring process involves a heated aqueous scouring solution, termed the *liquor*, in a set of two, three, or four scouring bowls (depending on the amount of impurities to be removed), and a warm rinse bowl. These are sometimes referred to as a *washing set*, and the first bowl is called the *main bowl*. Placed between consecutive bowls are pairs of rotating squeeze rollers. The liquor in the first bowl is at a temperature that is hot enough to melt the grease. The scouring agent is added to emulsify the grease. The amount of scouring agent in the heated water, the water temperature, and the wash time in each bowl decrease from the first bowl, with the last bowl being just a short, warm rinse (see Figure 2.14 and Table 2.2).

The wool is automatically fed from a hopper into the first bowl of the series. When the wool falls into the scouring liquor, the entrapped air among the fibers causes the wool to float, so a paddle-type roller (called a *rotary immersion drum*, a *ducker*, or *posser*) immerses the wool so it is thoroughly wetted (see Figure 2.14).

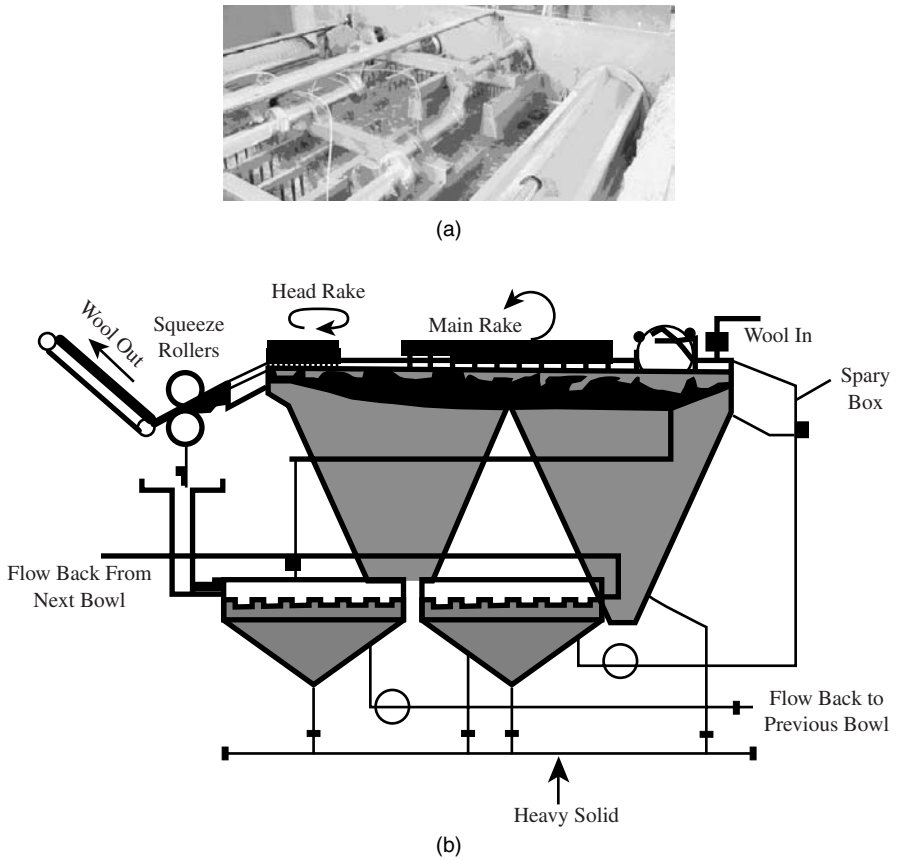


FIGURE 2.14 (a) Scouring blow with immersion drum and rakes (Courtesy of Andar Ltd.), and (b) schematic of scouring bowl showing liquor flow.

TABLE 2.2
Scouring Conditions for Merino Wool (Conventional System) — Average Yield 50 Percent

Parameters	Bowls			
	1st	2nd	3rd	4th
Temperature, °C (approximate)	54	51	49	46
Soap, percent by wt. of liquor	0.5	0.5	0.2	–
Alkali, percent by wt. of liquor	0.2	0.1	–	–
Time (minutes)	3	2.5	2	–

Courtesy of Brearley, A. and Iredale, J. A., *The Worsted Industry*, WIRA, Leeds, U.K., 1980.

Each bowl may be approximately one meter deep, and there is a perforated metal-sheet near the top of the bowl. This prevents the wetted-out wool from sinking to the actual bottom of the bowl. The wetted-out wool is then moved slowly forward, toward the opposite end of the bowl, by a set of forks in a harrow system. In more modern designs, rakes or rotating perforated “suction” drums are used. The forks or rakes move as a group so as to give the wool its forward movement, and their motion causes slight agitation of the wool mass. This assists the scouring action. However, excessive agitation can result in excessive fiber entanglement, i.e., *felting*.¹⁷ The objective is to minimize the movement of fibers relative to each other so as prevent the interlocking of the scales on their surfaces. With suction drums, the wool is held against the drum as it is washed by the liquor flow, and it then floats near the liquor surface between the drums. Suction drums give gentle handling of wools, which minimizes any entanglement, particularly of fine wools.

Soap and soda ash traditionally have been effective scouring agents for wool, the last bowl of the washing set being a warm-water rinse to remove the soap and alkali. Importantly, modern scouring processes ensure that wool is left with a neutral pH balance.

Various synthetic detergents (nonionic and anionic) have been developed to replace soap. A few studies into the use of synthetic detergents have been published,³⁻⁵ but commercial information is not readily available on scouring formulations of detergent and “builders” [i.e., added chemicals like washing soda (sodium carbonate)]⁶ to enhance detergent performance. Reported findings show that the percentage ratio of the scoured wool to the greasy-wool feed, termed the percent yield, can vary within 48 to 50%, depending on the alkalinity of the liquor. Neutral nonionic and soap-soda scouring gave high yields, but also high residual grease, and soap-soda was found leave residual dirt in the soured wool. Alkaline methods gave lower yields, the effect being greater for coarse wools. This is attributed to damage of the oxidized portion of the wool staple, i.e., near the fiber tips, by the warm alkaline liquor. Coarser wools have more open fleeces, which allows a greater length of the staple to be exposed to weathering and weakening by sunlight (photo-oxidation) than finer, denser wools (e.g., merino). Environmental considerations have led to nonionic/anionic biodegradable detergents replacing traditional scouring agents, with the claim by the detergent manufacturers of improved wetting and emulsification and of a gentler scouring action that can lead to reduced felting of the wool.

The hard dirt being washed from the wool is mostly detached in the first bowl to sink through the perforations in the false bottom. It is then continuously removed. The liquor flows counter to the direction of travel of the wool, compensating for the abstraction of water by the scoured wool and for water carried away with the effluent. The idea is also for the bowl prior to rinsing to have as low a level of impurities as possible, so released impurities should be transported back to the first bowl where they can be removed. The pressured rollers at the delivery end of each bowl squeeze the liquor from the wool. The liquor is filtered and recycled to the main bowl. This is important from the environmental viewpoint and for the process to be a continuous scouring system. When the wool is delivered from the rinse and squeezed dry, it should be lofty and soft, with a clean smell and residual grease content no greater than 0.75%.

Despite the use of the pressurized squeeze rollers, the wool leaving a washing set contains about 50% moisture, which must be reduced before further processing. Hot-air drying is frequently used (see Figure 2.15). The capacity for air to hold moisture increases with temperature, so, for efficient wool drying, the temperature must be sufficient to enable adequate moisture removal without scorching the wool, and the airflow rate must provide a sufficient volume of air to come in contact with the wool for effective moisture containment and transport by the air before its saturation point is reached. To achieve this, hot air at about 82°C is made to flow with or against the wool.

The gentle control of the wool during its passage through the bowls is important for minimizing fiber entanglement, which can present considerable problems in later processing. Fiber entanglement makes it more difficult to open the wool and to individualize fibers during carding. The more entangled the fibers, the greater the chance of fiber breakage and damage, resulting in considerable waste.^{7,8} The higher the production speed in scouring, the greater the likelihood of fiber entanglement.⁹ Therefore, the finer the wool, the lower the production speed and rate will be (see Figure 2.16). Large bowl widths, however, enable a greater mass throughput at low speeds and thereby facilitate higher production rates.

Research into various techniques for reduced felting during wool scouring has led to the use of high-pressure jets⁴⁻¹¹ and to methods for measuring fiber entanglement in scoured wool.¹²⁻¹⁵ Jet scouring, as it is termed, was first used as a solvent scouring system;¹⁶ wool does not felt when gently agitated in anhydrous solvents. Figure 2.17 shows that, instead of moving through bowls, the wool was transported by a traveling conveyor under jets of an organic solvent (white spirit). There were two solvent jet stages followed by two water jet stages for rinsing. The water jets displaced the solvent from the wool and dissolved the residual suint (perspiration salts), which is insoluble in white spirit. The sludge from the first bowls was collected and treated to separate the solvent from the grease so that the solvent could be

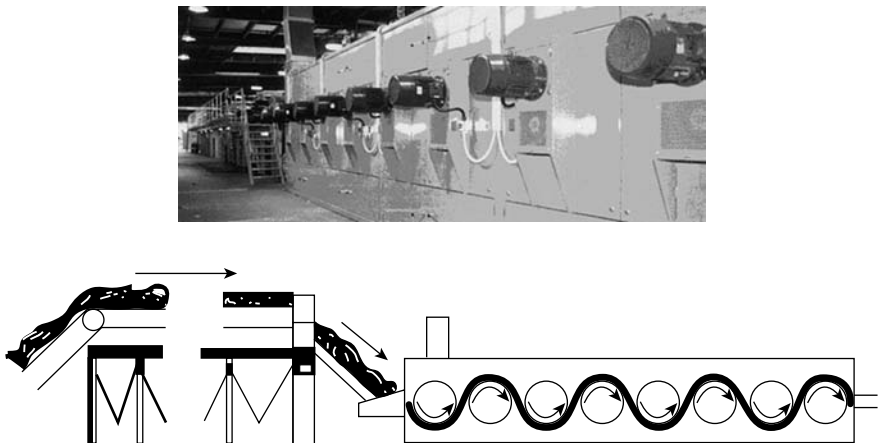


FIGURE 2.15 (a) Suction drum drying machine (Courtesy of Andar Ltd.), and (b) schematic of scouring line with suction drum dryer.

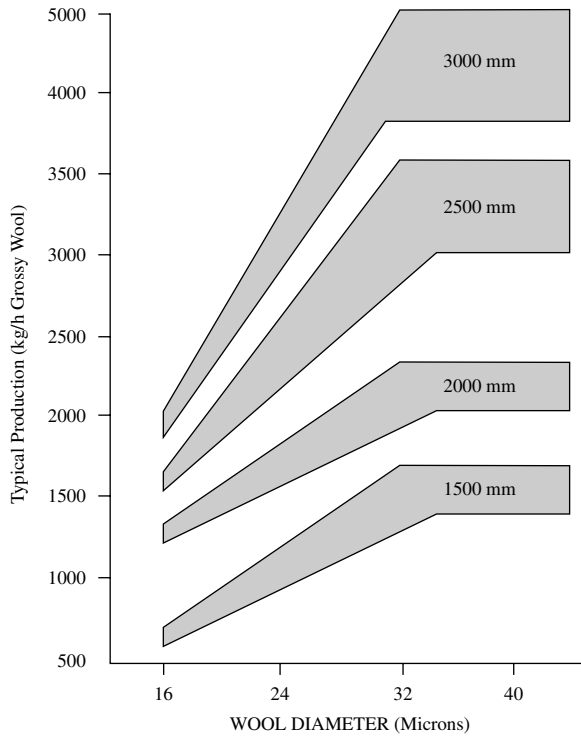


FIGURE 2.16 Plant capacity for differing working widths. (<http://www.austehc.unimelb.edu/tia/287.html>)

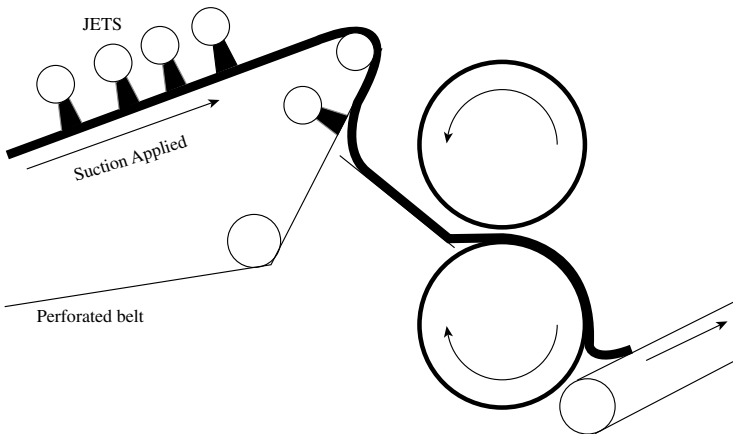


FIGURE 2.17 CSIRO jet scouring. (Source: <http://www.austehc.unimelb.edu.au/tia/287.html>.)

reused. This scouring system was less disturbing to the wool fibers and consequently resulted in lower entanglement and much reduced waste in the downstream process stages II and III (see [Figure 1.8](#), Chapter 1). The disadvantages of using an organic solvent were the capital cost of the solvent recovery and the potential safety risks. The solvent agent was subsequently replaced with aqueous liquor containing a nonionic detergent. Jet scouring, however, has not replaced the conventional system because of its inferior scouring ability.¹⁷

Although freedom from entanglement is an important objective in scouring, the treatment of waste from the process has become increasingly important, because the pollution associated with emulsion scouring can be extremely high.¹ The related environmental problems have led to some renewed interest in solvent scouring.¹⁸ One example of this is Wooltech (ICI-Triwool), which is a solvent scouring system based on trichloroethylene.¹⁸ However, the wider practice is to use some form of effluent treatment of the waste from the emulsion scouring process.

During scouring, a large amount of impurity is removed from the wool [up to 450 kg/h (1000 lb/h)], mainly in the first bowl. The high content of organic impurities in the raw wool often means that emulsion scouring can result in high biological oxygen demand (BOD)¹⁹ pollution waste. The wool grease can be recovered from the scour liquor as lanolin for cosmetics and pharmaceuticals, and doing so can reduce the BOD by 20 to 30%. Suint also can be recovered and used for detergent manufacture, and this extraction reduces the BOD by another 20 to 30%.^{2,19} Continuous scouring processes operate under equilibrium conditions wherein there is a balance in the impurities entering the system from the wool, the contamination levels in the scouring liquor, and the waste removed from the system. With systems that are not designed for continuous operation, the contamination of the liquors increases to a level that is too high for adequate scouring to continue. Dirt accumulation eventually requires dumping of the liquor, even if counter-current flow is used to extend the scouring run. Published figures of average concentrations of impurities in the main scouring bowl for a conventional scour in which soap and soda are used indicate that the concentrations, after a few hours of operation, are 0.5 to 5.0% wool grease, 1% suint, 0.15 to 0.4% soap, and 1% dirt. The maintenance of equilibrium in the scour liquor requires the removal of the emulsified wool grease, which, if allowed to remain, reduces the scouring effect, irrespective of the pH. The suint from the wool that is deposited in the bowls is water soluble and forms suint soaps, which assist in the detergent action of forming emulsified wool grease. As much dirt as possible must also be removed from the scour liquors because of the tendency for it to be redeposited onto the wool, causing a dirty scoured wool mass to be produced. Continuous scouring therefore usually incorporates centrifuge cleaning of the liquor for dirt removal to allow processing to continue.

Ideally, continuous process features multistage, counter-current water flow with in-line facilities for wool grease and dirt removal from the scouring liquor. Several different means may be employed to remove wool grease and dirt from scour liquors,^{20,23} such as evaporation, acid cracking, centrifugal separation, hypochlorite process, calcium-ion-carbon dioxide process, froth flotation, aeration, and solvent treatment. Centrifugal separation is, however, the simplest. Since the degreased

liquor from this process will still retain the suint and much of the detergent already used, it offers the advantage of simpler liquor recycling to the scouring bowl and, with metered topping up of the detergent concentration, significant savings on detergent costs (20 to 30%) can be realized.²

Centrifugal separation fractionates the wool grease into the auto-oxidized grease from the fiber tips, which remains emulsified in the degreased liquor, and the un-oxidized grease, which is the recovered product. The oxidized grease has a higher acidity, darker color, and is more sensitive to heat. Centrifugation, therefore, gives a good by-product. The technological principle is the mechanical separation of particles by centrifugal force according to density differences. Pretreatment by coagulation or flocculation may be necessary to increase particle size and separation efficiency.

Figure 2.18 illustrates the basic arrangement of a centrifuge system. The main components and ancillaries consist of rotary filter screens for each bowl (membrane filtration may be used for the rinse bowl), a settling tank (C) located underneath squeeze rolls, and liquor-reclamation equipment employing hydrocyclones (A) and centrifuges (B). Removal of fiber contaminants by the filter screens precedes particle solids removal. Heavy solids removal can be by either gravity via a settling tank, in which a flocculate can be employed, or by hydrocyclones as depicted. Sirolan CF, developed by CSIRO, is one example of a chemical flocculation process.²¹ It is reported to be effective as a first-stage treatment for the heavy waste. Table 2.3 shows report results of high removal efficiencies for BOD and COD. The sludge discharge may be dewatered to the state of a spadeable solid that is suitable for composting or palletizing, or the slurry disposed of by incineration.

TABLE 2.3
Typical Results for Sirolan CF

	SS concentration (%)	BOD (mg/l)	COD (mg/l)
Feed	3.5	11000	59000
Concentrate	0.03	2800	11500
Removal efficiency (%)	95+	75	80

SS = suspended solids (dirt, wool grease, etc.), BOD = biological oxygen demand, COD = chemical oxygen demand.

The removal of a high percentage of wool wax and particulate matter from the heavy waste facilitates further treatment of the effluent. This involves the temperature of the resulting grease emulsion being increased to about 95°C by a heat exchanger and specially designed jacketed storage tanks where the emulsion cracks thermally and dirt remnants and water are removed. The degreased liquor is then recycled to the first scouring bowl after being cooled via a heat exchanger to the operating temperature of the bowl.

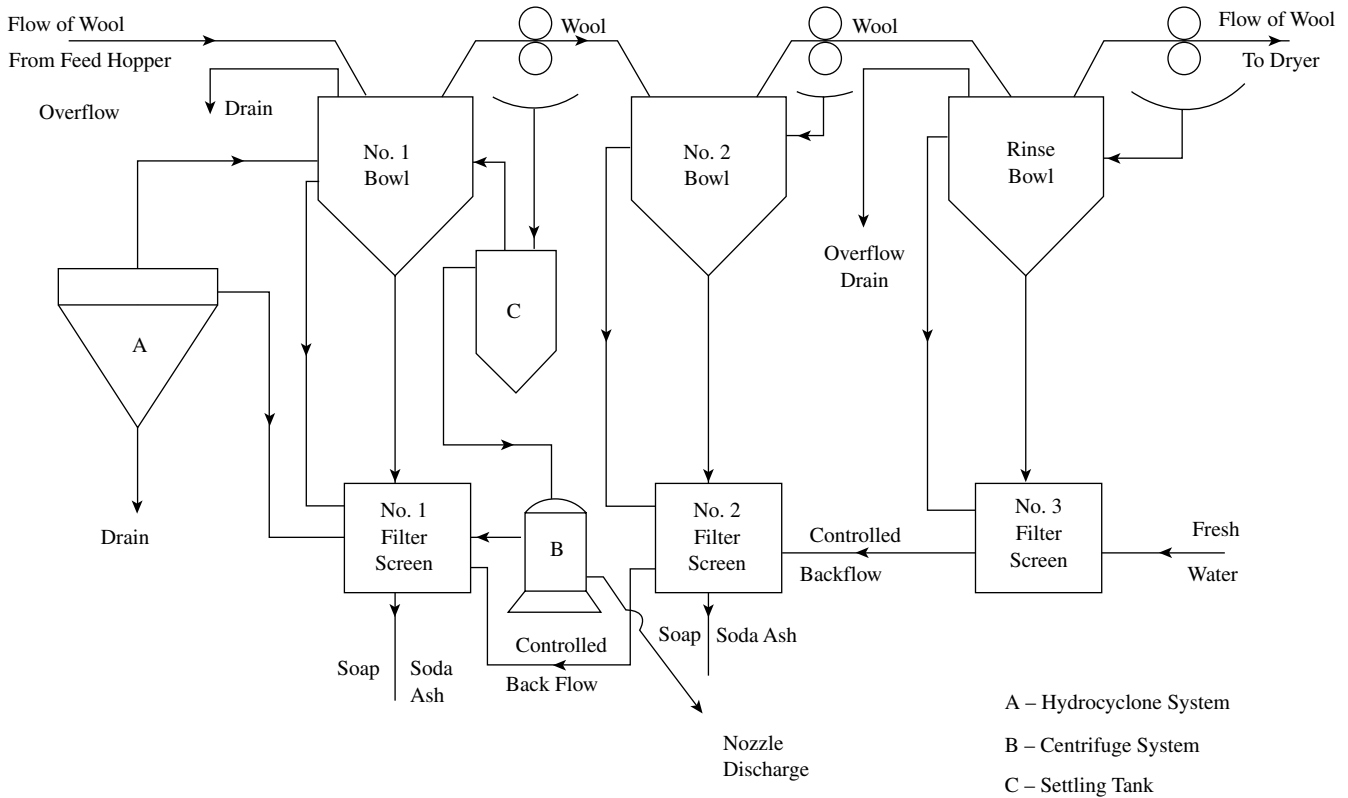


FIGURE 2.18 Basic arrangement of a centrifuge system. (Courtesy of McCracken, J. R., Samson, A., and Chaikin, M., “The Systematic Optimization of the Aqueous Compression-Jet Wool Scour: Part 1 Pre-Optimization Procedures,” *J. Text. Inst.*, 63(1), 1972, 1–23.)

A number of commercial wool grease recovery and effluent systems¹⁷ employ techniques that are variants of the above basic description. The well known ones are the Wool Research Organisation of New Zealand (WRONZ) system, Siroscour,TM and Sirolan Scour Waste Integrated Management System (SWIMS).²¹ Essentially, the WRONZ system is for coarse wool scouring operations and requires two-stage centrifuging, whereas the other two systems are suitable for fine wool and require three-stage centrifuging. The trend in the design of effluent treatment plants is toward “no aqueous discharge,” with the recovered liquor being fully recycled to the scouring line. Sirolan SWIMS falls within this category and is seen as setting a standard for future wool scour waste treatment systems.

2.2.7 WOOL CARBONIZING

In worsted processing, vegetable fragments such as burrs, seeds, and straw are preferably removed by mechanical means, whereas the higher vegetable content of lower-quality wools used in woollen processing requires both chemical and mechanical means. The chemical treatment is called *wool carbonizing* and is carried out on the scoured wool mass.

Definition: Wool carbonizing is the application of mineral acids to scoured wool to convert vegetable impurities into a black brittle hydrocellulose, making them more easily removable by mechanical means.

Following emulsion scouring, the loose wool is passed through bowls containing diluted sulfuric acid (5% H₂SO₄) at room temperature. The wool is then squeezed and hot dried (115°C) to concentrate the acid to degrade the cellulosic contaminants. Crush rollers and shaking subsequently crush the impurities to fragments and dislodge them from the wool fibers. The wool is then neutralized in a bowl of alkali solution and rinsed and dried. If severe treatment with the sulfuric acid is used to deal with, say, a sizeable amount of vegetable matter, considerable fiber damage may result.²⁸

Carbonizing tends to weaken fibers. Strength losses range from 15 to 40% and, owing to broken fibers, can result in increased loss of useful fiber (5 to 20% by weight) during subsequent mechanical processing. The ideal would be if the wool absorbed no acid while the vegetable matter absorbed enough to be decomposed. Since the ideal cannot be achieved, the objective is to keep the amount taken up by the wool to a minimum. Wool and vegetable matter can take up acid chemically (sorption by functional groups) and physically (by absorption). In the carbonizing bath, the acid pickup of vegetable matter is almost all by absorption, whereas, with wool, absorption is around the 50% level.²⁹ At moderate temperatures, vegetable matter tends to absorb the acid liquor (acid + water) at a faster rate than wool and therefore becomes saturated in a much shorter time. Strength loss of wool becomes of importance for absorbed acid content of 5.5% and above. Reportedly,^{30–34} detergents can be used to restrict the penetration of acid into the wool fibers without impairing the acid absorption by the vegetable impurities. The actual weakening of the wool occurs during drying and depends on temperature rather than drying time; below 50°C, the loss in strength is negligible, but drying time will be long; much higher temperatures with fast drying times are therefore used.

2.2.8 TUFT BLENDING

The blending of fibers is an important part of spun-yarn technology. Fibers are blended for many reasons, but in particular for one or more of the following:

- *To produce a uniform product.* There are usually significant variations in fiber properties among bales of the same fiber type or grade, and from one part of a bale to another. Such variations will be greater for natural fibers than for man-made fibers. Blending of the fibers within and among bales is therefore essential to obtain consistent yarns properties — especially mechanical properties, minimum variation in thickness along the yarn length, and uniformity of count and optical properties with regard to color when dyed. Dyed fibers are also blended, particularly for woolen yarns, and here consistency of shade is a requirement.
- *Reduce production cost.* Fiber cost is usually the major contributor to yarn cost and, in an ever increasingly competitive global textile market, product cost and product quality are key factors. Technical skill is required to keep fiber cost as low as possible without incurring unacceptable reductions in yarn quality. Blending of different grades is often used to reduce cost, but, in choosing the percentages of the different components, an understanding is needed of the effect of fiber properties on process performance and on yarn properties to ensure that the mixing of the different grades produces a uniform product. The effects of fiber properties on spinning performance and yarn properties are considered in the later chapters.
- *To enhance specific properties.* Consumer demands for easy-care clothing, improved comfort, and fashion have led to many blends of natural and man-made fibers. Most are two-component blends, but there is increasing interest in multicomponent blends and blends for special effects. In the technical textiles market sector, yarns may be spun from blends of up to five components (e.g., Kevlar, Nomex, PANOX, Wool, and PVC), where blend percentages for certain components can be as low as 1 to 5%. Again, the blending process must produce a uniform product.

In a well blended mass of fibers for spinning, the individual fibers of the different blend components should be present in the required proportions and well intermingled throughout the fiber mass. Certain operations in the process stages I to III play a part in achieving a well blended fiber mass, and therefore blending begins in stage I with the mixing of tufts.

At the start of opening and cleaning lines, bales of fibers of different grades or types are arranged in a *mixed laydown*, and tufts are removed from the bales and blended and then assembled to provide a homogenous feed to stage II in the production sequence. This means that, at any cross section of the assembled fiber mass fed to carding, the relative proportions of the different grades or types remain consistent.

A tuft, as we learned earlier, may consist of many fibers. Therefore, to obtain a yarn of a homogenous blend of fibers, blending must also occur downstream of

opening and cleaning, where the intermingling of individual fibers can be realized. However, to achieve a high degree of fiber blending, there needs to be a high degree of tuft blending. Since blending progresses through to the spun yarn, at any cross section of the yarn, the relative proportions of the different fibers (grades or types) should remain constant. Therefore, the yarn properties should be consistent.

Definition: Tuft blending is the mixing of fibrous tufts removed from a bale laydown to produce a homogenous stock for consistency of yarn properties.

2.2.8.1 Basic Principles of Tuft Blending

From a practical viewpoint, the required proportions for a blend are determined by measured mass.

$$W_b = w_1 + w_2 + w_3 + \dots + w_n \tag{2.6}$$

$$= \Sigma w_i \tag{2.7}$$

and

$$p_i = w_i/W_b \text{ or } p_i = w_i/\Sigma w_i \tag{2.8}$$

where W_b = the mass of the blend, and
 w_i, p_i = the mass contributions and blend fractions of the fiber components
for $i = 1$ to n components

In preparing to blend different fiber types or grades, it useful to estimate the average fiber characteristics of the blend that will influence yarn properties and spinning performance — in particular, the mean length, fineness, and strength. [Table 2.4](#) gives the relevant equations. Lee and Kin³⁵ and Kang and Lee³⁶ describe how such equations may be used in the application of linear programming for formulating blends of cotton and of wool.

An important point to consider is the number of bales to have in a laydown for a blend. The minimum number can be easily calculated, as it is directly related to the number of different fiber properties and the proportions of each type to be blended.^{37,38} For example, if a blend of cottons were to comprise three different micronaire values, two grades, and two different staple lengths, all blended in equal proportions, then a minimum number of 12 bales would be required in a laydown. The best degree of tuft blend is, however, achieved with the maximum number of bales in a laydown, which may be limited by practical constraints such as floor space and operational logistics.

At the start of the opening and cleaning operation, tufts are continuously removed from a laydown of bales. We can effectively visualize this as a layer of set thickness being removed from each bale in sequence going up and down a row of bales. There will be a time cycle in moving from one end of the row to the other and returning. The layer thickness removed is an important factor for optimum blending as is illustrated in [Figure 2.19](#).

TABLE 2.4
Formulation of Fiber Blends

Fiber characteristics	Blend equation
Fineness:	
Diameter (μ)	$d_m^2 = \sum p_i d_i^2$ where d_m = mean of the blend, p_i , and d_i = the proportion and mean diameter for each blend component for $i = 1$ to n components
Count (mtex)	$f_m = 100 / [\sum q_i / f_i]$ where f_m = mean of the blend, q_i , and f_i = the percentage and mean count for each blend component for $i = 1$ to n components
Length	$L_m = \sum p_i L_i$ where L_m = mean of the proportion and mean length for each blend component for $i = 1$ to n components
Strength	$S_m = \sum p_i S_i$ where S_m = mean of the blend, p_i , and S_i = the proportion and mean strength for each blend component for $i = 1$ to n components

Note: The table is not a comprehensive list of the properties accounted for in blend formulations; color is an important factor. For wools, the degree of bulk, the amount of medullation, and vegetable contaminant (VM = vegetable matter) are also used as blend parameters.³⁷

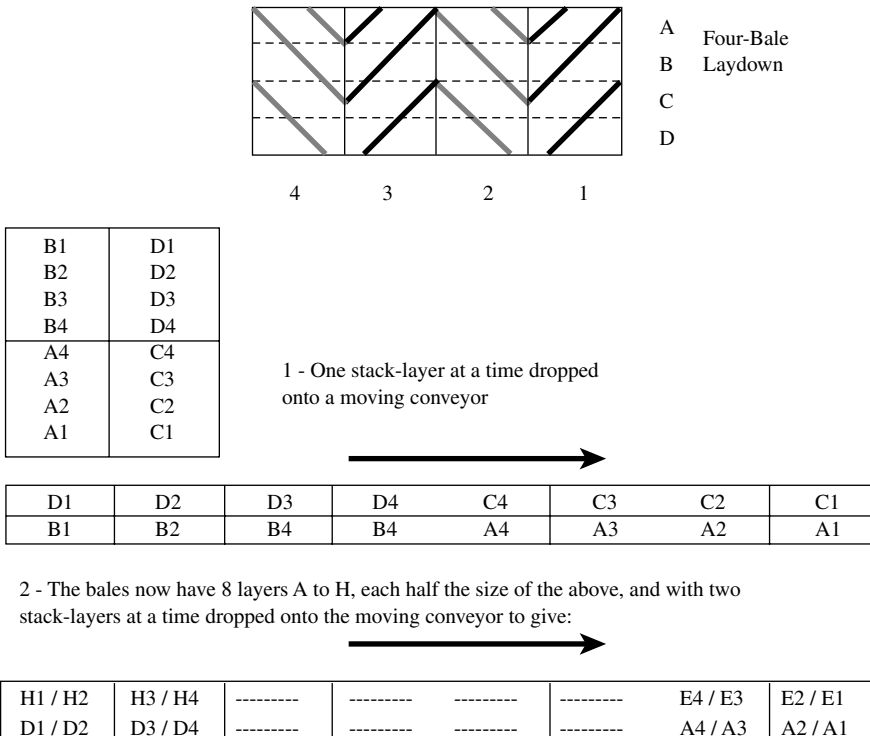


FIGURE 2.19 The basics of tuft blending.

For ease of explanation, we will assume a four-bale laydown and that the bales are of the same density and have an equal number of fibers. The only difference between the bales is that the fibers are dyed different colors. Layers of fiber mass are removed sequentially from the bales in the form of tufts, which are plucked from the bales at a fixed rate. We will also assume that the same thickness of layer is removed from each bale and that the thickness is such that a total of four layers are removed from a bale. These four layers are represented by the letters A, B, C, D in [Figure 2.19](#), Four-Bale Laydown section. For the first bale, which comprises dyed-red fibers (column 1), the layers are A_1 , B_1 , C_1 , and D_1 . A similar notation is used for the remaining three bales (green, blue, and yellow, represented in columns 2 through 4). All four A layers (A_1 , A_2 , A_3 , and A_4) are first removed and stacked consecutively, one on top of the other. The B layers are then stacked on top of the A layers. A new stack is then started with the C layers and finished with the D layers placed on top. If we now remove the bottom layer of the two stacks, one on top of the other, we will be blending A_1 and C_1 tufts, and, by progressing up the stacks we eventually will be blending B_1 and D_1 tufts. This gives a degree of blending of tufts from various parts of the same bale. Clearly, the smaller the layer thickness, the more layers that can be removed per bale and the higher the degree of within-bale blending.

If two thin layers at a time are moved from each stack and sandwiched, blending will occur between, as well as within, bales 1 and 2. By reducing the layer thickness and increasing the number of stacks, it should become evident to the reader that, subject to practical limits, blending improves with an increased number of stacks and layers per stack, and with the number of layers in each drop from a stack.

2.2.8.2 Tuft Blending Systems

Blending of tufts is carried out either gravimetrically (weight blending) or volumetrically (volume blending). Therefore, with respect to tuft size, it is important to take into account the density and moisture regain of the fibers. Tufts are often transported between machines of an opening line by airflow through ducting, and the uniformity of the tuft flow depends on the specific volume of the tufts. This parameter is a function of fiber density, moisture content, and the degree of openness of the blend components. Blend nonuniformity may arise as result of sizeable mass variations occurring after the start of the blending process. These variations can be the result of a drift in moisture content. As a result, bales of material for blending should be brought to the atmospheric conditions to be used in processing.

There are essentially four methods of tuft blending that follow the basic principle outlined in [Figure 2.19](#),

- a. Stack blending
- b. Hopper blending
- c. Batch blending
- d. Continuous blending

The first two methods are gravimetric procedures, and the last is volumetric; method c is a combination. Continuous blending is the more advanced technique and is widely used in short-staple mills, whereas all four systems can be found in the processing of longer staples. This is particularly so in woolen mills, where much

smaller batches of material are often processed, and capital investment has a longer replacement cycle. During blending, lubricants may be applied to the fiber mass as a processing aid for the downstream stages of II, III, and IV. Lubricants are usually applied only to wool fibers, since, with the removal of wool grease in scouring, the frictional characteristics of the fiber present difficulty in further processing. Cotton fibers have a wax film coating, and surface finishes are applied to man-made fibers during their production. A short account on lubricants is given in [Appendix 2A](#).

Stack Blending

This is the simplest method for blending small amounts of fiber mass. It is carried out manually. First, the total quantity of each blend component is weighed. Then, by removing large tufts (sometimes referred to as *flocks*) from the weighed material, each component is spread, one on top of the other, over a wide floor area, while a predetermined percentage of processing lubricant necessary for the carding in stage II (Chapter 1, [Figure 1.8](#)) is uniformly applied between each layer. Only a single stack is built, so vertical slices are removed from the stack and fed to a mixing bale opener to be opened into smaller tuft sizes.

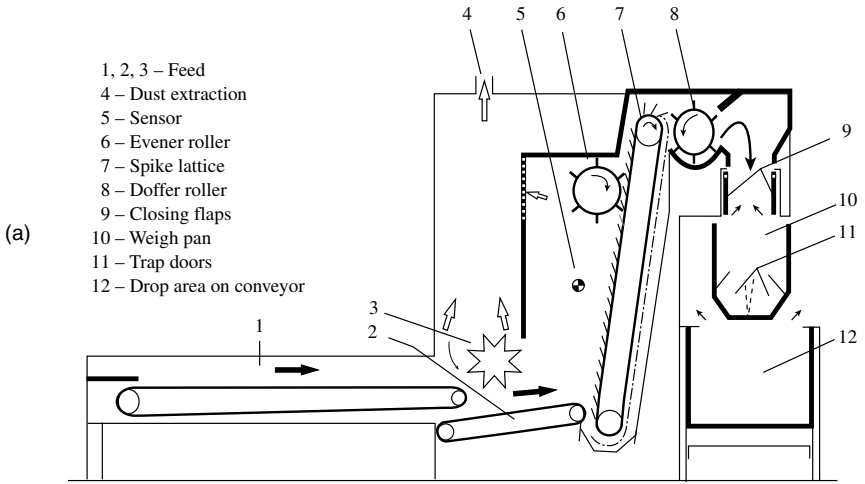
Hopper Blending or Automatic Weigh-Pan Blending

This method involves a weigh-pan fitted to the exit of a mixing bale opener, described earlier (see [Figure 2.20a](#)). The tufts removed from the spiked lattice by the stripper roller accumulate in the weigh-pan until a preset weight is reached, triggering the “closing flaps” and the opening of the weigh-pan. The accumulated tufts drop onto a transport belt. The emptied weigh-pan closes, and the “closing flaps” reopen; the cycle is then repeated. For blending to occur, several such mixing bale openers are arranged in parallel, as shown in [Figure 2.20b](#), to make drops on the transport belt, which moves intermittently so that a drop from one machine falls on top of the drop from the prior machine to form a small stack of sandwich formation at the end of the line. Sprays for applying lubricants can be fitted to operate synchronously with the transport belt. The small stacks are subsequently fed to a further opening machine.

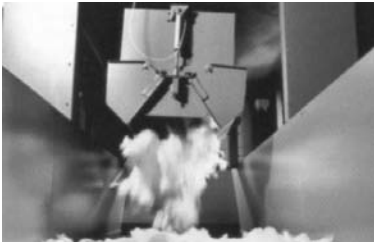
Batch Blending

A traditional method employed in woolen mills, batch blending is basically a scaled-up version of stack blending. The process requires two opening units (e.g., Fearnought) and two or more blending bins arranged as indicated in [Figure 2.21](#) and linked together by air ducting. Located at the top of the bins and connected to the air ducting is a rotating chute or spreader.

The components of the blend are fed in correct proportions into the first opening unit (A). With a Fearnought opener (see [Figure 2.10](#)), some amount of blending may occur in this initial stage of opening, but purposeful blending is carried out when the fiber mass has been sufficiently opened to give small size tufts. The tufts are transported through the ducting to the spreader of the first blending bin (B). As the spreader rotates, tufts are uniformly scattered over the floor of the bin, and they build up to form a large single stack. A significant degree of within-bale and between-bale blending can be achieved, depending on the floor area of the bin and the mass of the component layers fed from the bales. When the first bin is full, vertical slices are removed from the stack and fed pneumatically to the rotary spreader of the



(b) Arrangement of blenders



(c) Weigh pan drop

FIGURE 2.20 Automatic weigh-pan blending. (Courtesy of Trutzschler GmbH & Co.)

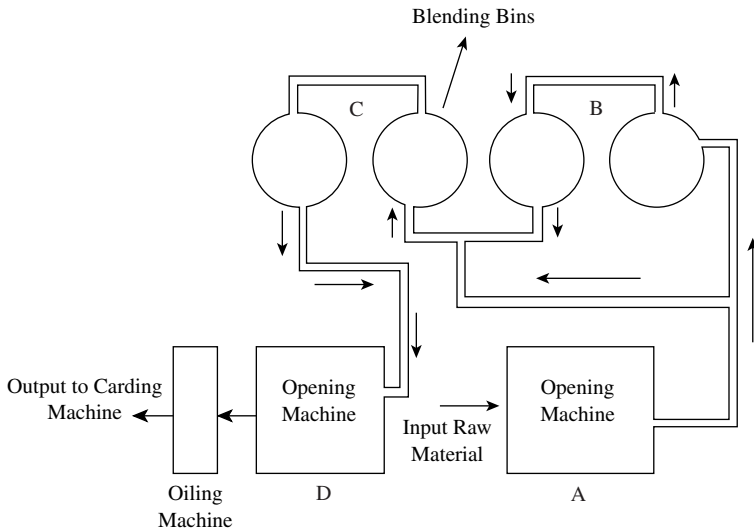


FIGURE 2.21 Batch blending.

second bin (C). The second stack formed has a much higher degree of within-bale and between-bale blending. Again, vertical slices are removed but this time are fed to the second opener (D), and lubrication is applied by an automatic unit to the material leaving this second opener. The blended material is then ready for the carding process of stage II.

Continuous Blending

Figure 2.22 illustrates a four-stack continuous tuft blender. Tufts are pneumatically fed into the top of each of four vertical parallel chutes. The chutes are filled successively, and the material is removed simultaneously from the bottom of all four stacks and dropped onto a belt conveyor, thereby producing a sandwich formation. The blend then may be pneumatically conveyed to a second such stack blender and /or onto a fine opener/cleaner prior to being fed for carding. There are various designs of continuous blending units — some with up to ten stacks. Others include a specially built belt conveyor, which enables a large sandwich formation and the removal of material in vertical slices from the formation to give additional blending.

2.2.9 OPENING, CLEANING, AND BLENDING SEQUENCE

Figure 2.23 shows the stage I preparation sequence for wool fibers in worsted, semi-worsted, and woollen yarn manufacture. The main cleaning action is wool scouring but, as illustrated, mechanical cleaning to remove some particulate matter is incorporated prior to scouring.³⁷ The amount of dirt entering the scouring bowls is significantly reduced, resulting in lower volume of suspended solids and scouring effluent. In the semi-worsted and woollen process, blending is carried out after the wet treatment. The semi-worsted production route is not widely used for 100% wool processing, as will be explained later. One hundred percent man-made fibers are

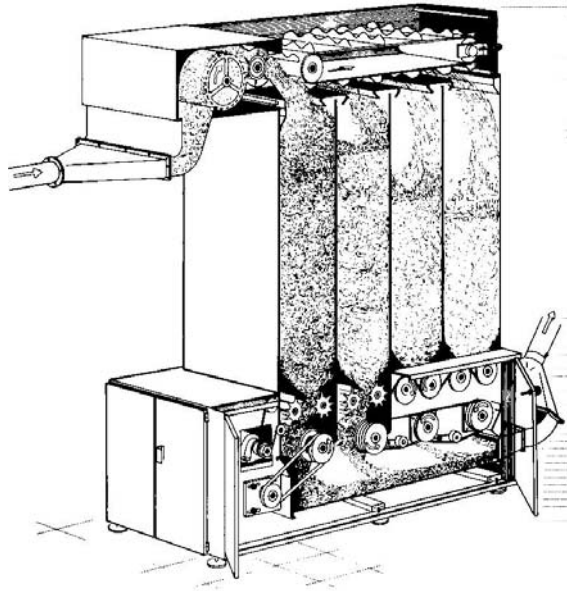


FIGURE 2.22 Four-stack continuous blending. (Courtesy of Marzoli.)

more frequently processed, in which case the opened fiber tufts are fed directly to blending. As explained in [Chapter 1](#), the woolen yarns can be spun from recycled waste, largely wool fiber waste from the downstream processes, and the material usually is subjected to a carbonizing treatment to remove impurities.

[Figure 2.24](#) illustrates a typical blowroom sequence for most cotton qualities. The automatic bale opener (a) produces small tufts from which dust and heavy particles are immediately removed, preventing fragmentation of the latter during intensive opening. A condenser drum (b) and the beater/grid-bar-airflow system (c) are used for this precleaning step. Following a multi-stack continuous blender (d), a multi-beater cleaner (e) is used for intensive opening and cleaning, and a final dedusting step (f) carried out prior to feeding the material for carding.

In mechanical cleaning lines, control of the flow of the fiber mass from one machine to another can have a significant effect on the overall performance of a cleaning line. This matter has received much attention for short-staple blowroom processes and has led to the use of microcomputer control of material flow. Traditionally, the pressure switches and light barriers fitted in the feed zone (i.e., a hopper or bin) of a machine were used to switch the preceding machine on and off to prevent material overflow. The material throughput of machines fluctuated from zero to near maximal production speed with a significant downtime (i.e., when a machine is not running). For example, if the required production rate from a machine is 400 kg/hr and the downtime is, say, 50%, then the machine needs to operate at maximum rate of 800 kg/hr. This has often meant larger than optimal tuft size for effective cleaning, resulting in lower cleaning efficiency, or a more aggressive cleaning action with the associated increase in the fiber content of the waste. Modern blowroom machinery

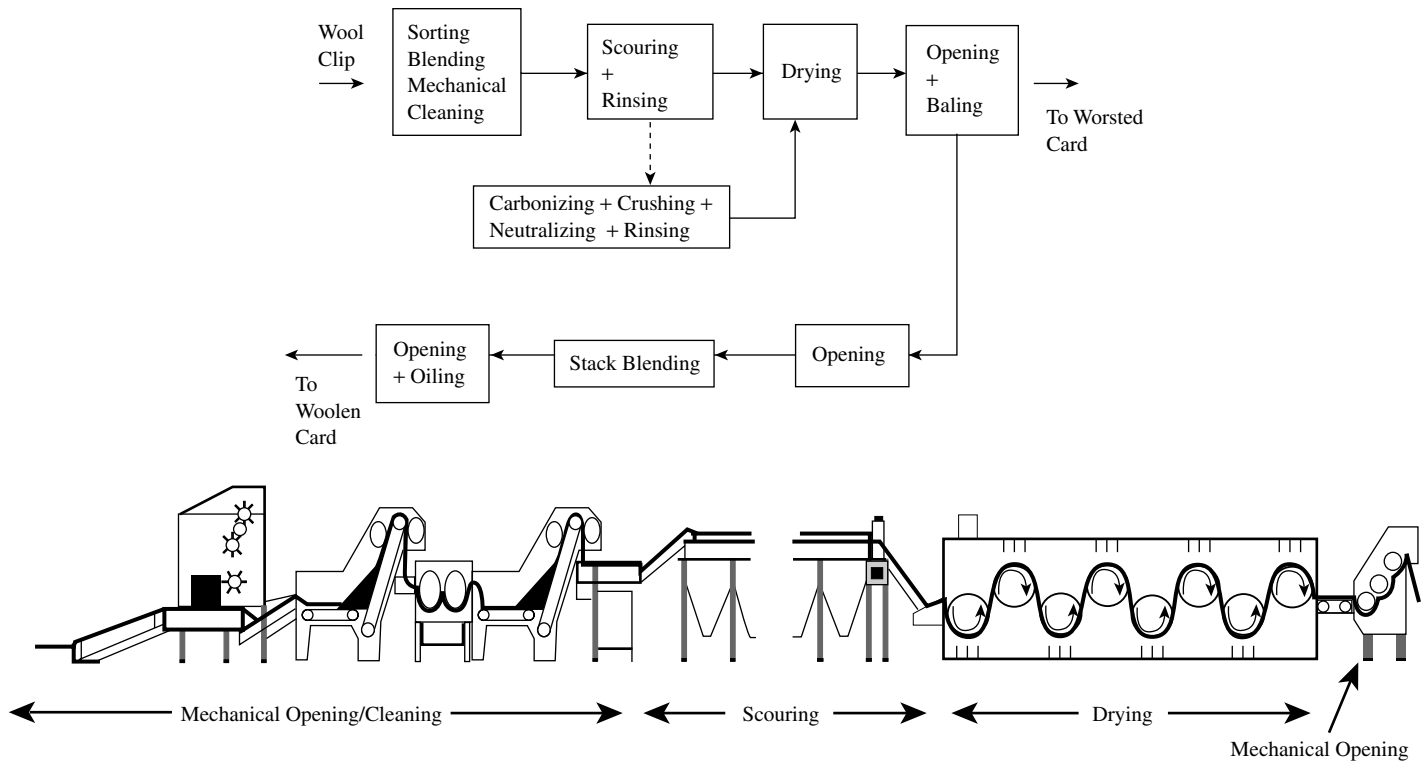


FIGURE 2.23 Stage I wool scouring sequence. (Courtesy of Andar Ltd.)

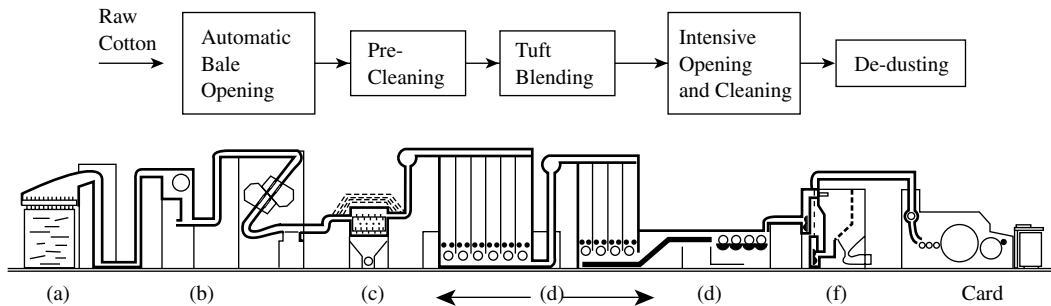


FIGURE 2.24 Example of blowroom sequence for cotton fibers. (Courtesy of Trutzschler GmbH.)

now has pressure and light sensors linked to a central computer control that monitors and regulates the pneumatic transport of material from machine to machine, thereby optimizing machine utilization. However, even with such sophistication, the overall cleaning efficiencies of blowroom installations fall within the range of 40 to 70%,^{1,38} depending on fiber type.

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APPENDIX 2A

Lubricants

Lubricants are applied to wool for a number of reasons associated with the friction characteristics of the scoured wool, but principally to minimize fiber breakage and static charges resulting from frictional contact with machine components, and to facilitate cohesion of blends containing short fibers or lustrous fibers with low interfiber friction. The alleviation of such problems minimizes the generation of fly (airborne fiber fragments and short fibers) and the loss of good fiber during the material processing. Lubricants may also be used to disperse antistatic agents in the production of yarns for certain end uses, e.g., carpets. It is, however, axiomatic that, once a lubricant has fulfilled its function of facilitating processing, it should be easily removable, preferably with a biodegradable synthetic detergent.

There are three main types of lubricants: fatty, saponifiable oils, mineral oils, and synthetic lubricants.

Fatty-based products. This is the traditional product, which is declining in use. It comprises oleine (oleic acid obtained through a chemical treatment of fats) with an emulsifying additive, oxidation stabilizers, and antistatic agents. Fatty-type lubricants are saponifiable (i.e., will form soap in the presence of an alkali) and are easily removed in soap/alkali scouring solutions.

Mineral lubricants. These are refined mineral oils blended with surfactants. Although they are not water soluble, they do produce emulsions when mixed with water, and this ease of emulsification aids scourability.

Synthetic lubricants. These may be based on silicones, polyglycols, or synthetic esters and are either water soluble but grease immiscible, or water soluble and grease miscible. The latter is used when the yield has a high residual grease content (approx. 5%), as is usually the case for carpet yarn production.

The choice of lubricant usually depends on the end use of the yarn, particularly with woolen spun yarns. Generally, the performance of fatty-based lubricants is favored by the knitwear sector, whereas, in the carpet sector, the trend has been to mineral-oil-based products and then to water-soluble lubricants. Reported studies of the range of lubricants on the worsted system show no preference as to lubricant type.¹

Yarns are usually sold by weight and, ultimately, the lubricant applied to fibers will be part of the measured weight. To satisfy both the commercial implications and the technical requirements, the amount of lubricants applied is kept within 5 to 10% of actual oil, based on weight of fiber (WOF). This may be administered to the fiber in an emulsion form having equal amount of water. The water evaporates during processing, having assisted in evenly distributing the lubricant throughout the fiber mass. A low level of lubricant may be used for processing, referred to as

dry processing, which must be carried out under high relative humidity of around 75% and 18°C. The wool should have a residual grease content of 0.3%. A mixture of 1% water-soluble lubricant and 6% water WOF is applied to the fiber blend to obtain a regain of 22%.

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3 Materials Preparation

Stage II: Fundamentals of the Carding Process

3.1 INTRODUCTION

From the descriptions in [Chapter 2](#), it should be clear that opened and cleaned or scoured materials arrive at the carding stage in the form of small tufts composed of entangled fibers. We learned in [Chapter 1](#) that the purpose of the carding stage is to disentangle these tufts into a collection of individual fibers, the collection being in the form of a web of fibers, and then to consolidate this collection into a *sliver* or *slubbing* of the required count. Cotton or wool tufts fed to the carding stage still contain impurities, which would not be the case for man-made fiber tufts. However, the disentangling of fibers facilitates removal of the impurities.

Definition: Carding is the action of reducing tufts of entangled fibers into a filmy web of individual fibers by working the tufts between closely spaced surfaces clothed with opposing sharp points.

Machines used to carry out this work are called *cards*, and we shall consider three types that are of importance in the processing of cotton, wool and man-made fibers:

1. revolving flat card
2. worsted card
3. woolen card

Before describing the main features and operation of these cards, certain basic principles common to all three will first be explained and then referred to subsequently.

From the definition, it can be easily reasoned that carding is most effective with very small, well opened tufts, i.e., containing only a few tens of fibers. Although the opening and cleaning stage produces tufts on the order of a few milligrams, further opening is required to obtain a uniform feed of suitably small tufts for carding. We have seen earlier that a beater/feed roller system can be used for intensive opening. Small tufts attached to the sharp points (i.e., the saw-tooth wire) of the beater can be easily removed by a second saw-tooth wire-covered beater rotating in

the opposite direction at a higher surface speed. The “front of the tooth” of the faster beater would be working on the “back of the tooth” of the slower beater and would “strip” the tufts from the latter. The principle is that *point-of-tooth* to *back-of-tooth* gives a *stripping action* (Figure 3.1a). To disentangle the fibers of the tufts now attached to the faster-moving surface, a stationary or much slower moving surface, covered in sharp points, is required to be in close proximity with the faster surface. The motion of the slower surface may be in the same or opposing direction, but the sharp points would be angled to oppose those of the faster-moving surface. Thus, *point-of-tooth* to *point-of-tooth* gives the *carding action* (see Figure 3.1b). For individual fibers attached to the faster-moving surface, *point-of-tooth* to *point-of-tooth* may also be used as a *stripping action* to build a web of individual fibers. Figure 3.1c illustrates the situation of *back-of-tooth* to *back-of-tooth*, which enables the points on the faster moving surface to lift individual fibers from the base of the

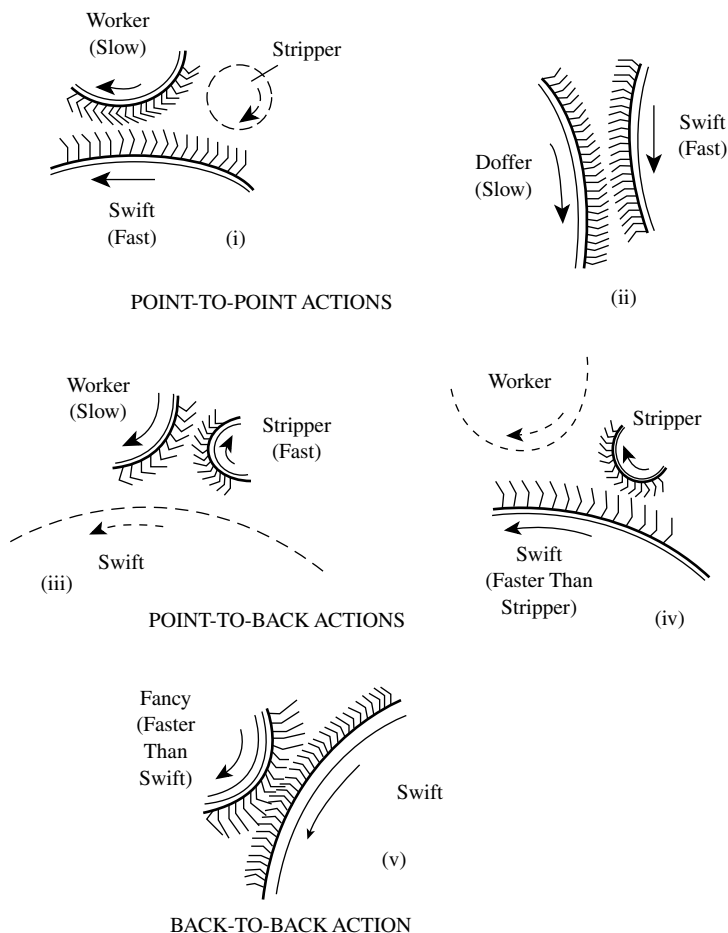


FIGURE 3.1 Basic carding actions. (Courtesy of Brearley, A., pub. Pitmans & Sons Ltd.)

points on the slower surface. This action may be used as an aid to fiber stripping for web formation.

3.2 THE REVOLVING FLAT CARD

3.2.1 THE CHUTE FEED SYSTEM

Earlier, the value of 500 kg/h was given as an average production rate for the throughput of a short-staple (e.g., cotton) opening and cleaning line. The revolving flat card, also frequently called a *cotton card*, is a short-staple carding machine, and production rates are usually below 100 kg/h, depending on fiber type. In certain situations, production rates of 30 to 50 kg/h are used commercially. The reason for this concerns the opening up of the fiber feed into suitable tuft sizes for the carding action. Equation 2.1 (Chapter 2) applies to the opening part of the card, and we can see that, with all other factors remaining constant, tuft size is largely dependent on the production rate, and that a modest rate will give small tufts. To obtain small tufts at very high production rates would mean increasing the beater speed, and the number of points on the beater surface also may be increased. The difficulty is that increased beater speed may result in fiber breakage. This is, therefore, a limitation to the speed that would be suitable. Fiber breakage in carding is discussed in Chapter 4. Since the production rate of a single card cannot match the blowroom output, several cards must be used and linked to the blowroom in such a way that there is a uniform feed of the fiber mass to each card.

Figures 3.2 and 3.3 illustrate that the tufts are transported pneumatically to each card via distribution ducting. Each card has a chute feed system connected to the ducting. There are various designs of chute feeds, but their working principles are basically similar, and Figure 3.4 depicts an example of the essential features. There is an upper and lower chute separated by a feed roller and beater, and a pair of feed rollers is positioned at the end of the lower chute. Each chute has air-escape holes and a pressure sensor fitted to control a preset compacted volume of tufts in the chute. The upper chute receives tufts from the distribution ducting, and the transporting air is exhausted through the air-escape holes. The feed roller and beater

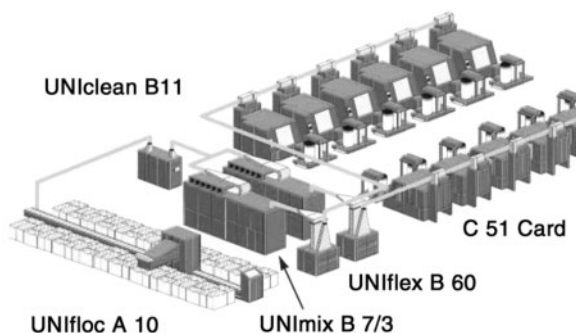


FIGURE 3.2 Short-staple opening, cleaning, and carding lines. (Courtesy of Rieter Machine Works Ltd.)



FIGURE 3.3 Short-staple carding line. (Courtesy of Rieter Machine Works Ltd.)

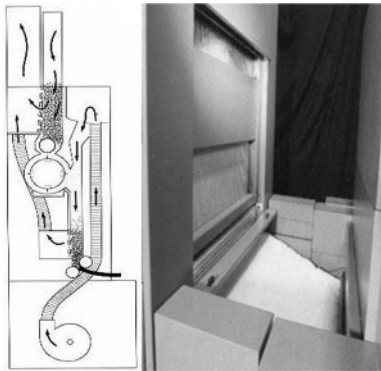


FIGURE 3.4 Basic features of a short-staple chute feed system. (Courtesy of Crosrol UK Ltd.)

remove the material at a slower rate, enabling incoming tufts to build up in this top chute. As the tufts build up and cover the air-escape holes, the pressure sensor detects the associated increased air pressure in the chute, and the tuft feed is closed off. As tufts build up in the top chute, the beater reduces the tuft size and feeds the smaller tufts to the bottom chute. Here, the compaction of the tufts is by air pressure from a fan blower. The rate of removal of the compacted material by the pair of feed rollers is slower than tuft feed, and, much as with the top chute, a pressure switch controls the feed by stopping and starting the upper feed roller.

Figure 3.5 shows the principal elements of the revolving flat card, after the chute feed section, and Table 3.1 gives examples of component dimensions and relative settings. The three main rollers — the *taker-in* (or *licker-in*), the *cylinder*, and the *doffer* — have saw-tooth wire spirally wound around their cylindrical surfaces to cover the surfaces in sharp points; this is referred to as *saw-tooth wire clothing*.

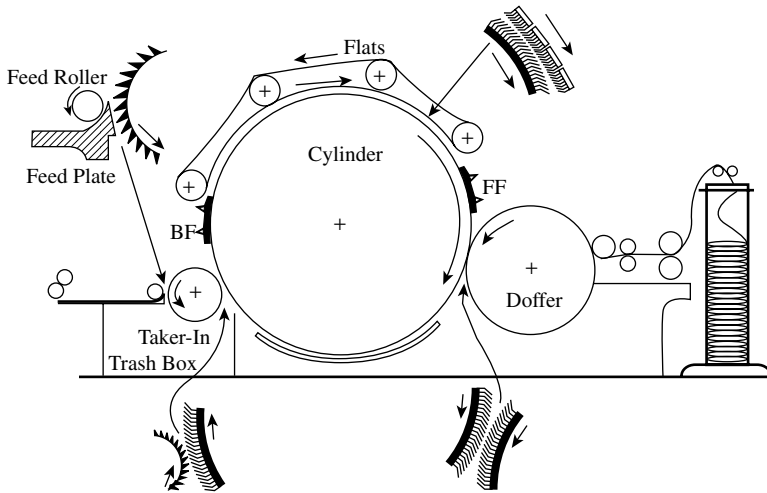


FIGURE 3.5 Basic features of a revolving flat card.

TABLE 3.1
Example of Dimensions and Relative Settings of Revolving Flat Card

Component	Diameter in mm [in]	Tooth density in pp/cm ² [pp/in ²]	Speed	Draft	Approximate settings in μm [in]
Taker-in (licker-in)	229 [9] over wire 248 [9.75]	7–8 [40–50]	800 rpm	1000	Taker-in/cylinder 25 [0.010]
Cylinder	1270 [50]	62–100 [400–650]	300 rpm	2.08	Cylinder/flats 25 [0.010]
Flats	44.5 × 1016 [1.75 × 40]	90–100 [600–650]	101.6 mm/min		
No. of	106–110				
Doffer	686 [27] over wire 705 [27.75]	100	16–40 rpm	15–35 times slower than cylinder	Cylinder/doffer 12.5 [0.005]

Positioned above the upper surface of the cylinder is a series of rectangular bars (80 to 116). Each bar has one flat surface, *the working surface*, clothed in sharp points. These components are called *flats*. The clothing specifications of the taker-in, cylinder, flats, and doffer vary with fiber type with respect to geometry and point densities. [Appendix 3A](#) discusses card clothing in greater detail.

The flats BF and FF have fixed positions, so they are motionless, with their working surfaces set very close to the cylinder clothing. We refer to these as the *back fixed flats*, BF, and the *front fixed flats*, FF; they may also be called *stationary*

carding elements. Incorporated in the fixed flats zone is a separator knife edge and suction unit for removing dust and trash particles. The remaining flats have their opposite ends attached to an endless chain of roller bearings so that they can be moved continuously over the upper surface of the cylinder, with around 30 to 46 flats¹ at any time having their working surfaces set very close to the cylinder clothing, with opposing angles of tooth. This circuitous path of the flats results in them being called the *revolving flats*. The diagram shows the directions of rotation of the main cylinders and the revolving flats, and the table gives typical speeds.

3.2.2 THE TAKER-IN ZONE

The taker-in, feed roller, and feed plate combination functions as a fine opener, with the taker-in playing the role of the beater as referred to earlier. The compacted tufts moving from the chute feed are brought into contact with the taker-in, which reduces the tufts to microtuftlets and individual fibers. During opening, trash particles and neps are released. The pair of knife-edge plates, termed *mote knives*, that are positioned close to the taker-in surface assist with retaining usable fiber while ejecting the impurities — vis-à-vis an imbalance of centrifugal and aerodynamic forces. The combination of grid bars and perforated plate, also positioned below the taker-in, enables the further removal for trash particles. However, in removing trash particles, fiber can also be removed; the aim is to keep this “lint” content of the waste to a minimum. The effectiveness of cleaning in this area has been improved in two ways. Most short-staple cards now employ two or more of what are referred to as *stationary carding plates* or *combing segments* positioned under the taker-in (see Figure 3.6a). These break up any tufts that might be the result of inadequate opening and reduces the lint content of the waste. Table 3.2 give examples of results obtained from mill trials with and without carding plates fitted to taker-in zone, and it is evident that significant reductions in lint content can be obtained with the use of carding plates. Figure 3.6b shows another development for improving the opening in the licker-in zone. This involves multiple taker-ins, carding plates with mote knives, and suction slots. Note that, in both diagrams, the feed roller lies below the feed plate. This enables improved opening of the fiber mass, and the feed plate is also used as a sensor for detecting thickness variations in the batt feed for the long-term autoleveling of the fiber mass.

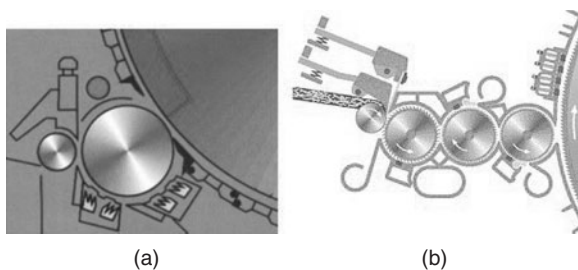


FIGURE 3.6 Carding plates and multiple taker-ins. (Courtesy of (a) Rieter Machine Works Ltd. and (b) Trutschler GmbH & Co.)

TABLE 3.2
Effect of Carding Plates

Fiber	Without	With	Difference (%)
<i>Cotton waste</i>			
• Taker-in waste, %	4.53	3.24	-28.5
• Lint content, %	2.60	1.28	-50.8
• Trash and dust content, %	1.93	1.96	+1.6
<i>Bleached waste</i>			
• Taker-in waste, %	17.6	8.0	-54.5
<i>Cotton: strict middling</i>			
• Taker-in waste, %	3.79	0.89	-76.5
• Lint content, %	3.28	0.68	-79.3
• Trash and dust content, %	0.51	0.21	-58.8
<i>Egyptian cotton</i>			
• Taker-in waste, %	2.75	1.61	-41.5
• Lint content, %	2.29	1.16	-49.3
• Trash and dust content, %	0.46	0.45	-2.2

3.2.3 CYLINDER CARDING ZONE

The microtuftlets and individual fibers retained on the taker-in clothing are transferred to the cylinder clothing by the *point-of-tooth to back-of-tooth action*. The cylinder motion brings the fiber mass into contact with the back fixed flats, BF, and the microtuftlets are further opened.

As the fiber mass on the cylinder clothing is transported by the cylinder into the zone of the revolving flats, the *point-of-tooth to point-of-tooth* carding action takes place between the revolving flats and the cylinder clothing so that the microtuftlets become caught in the flats. Most fibers (97%), with ends projecting from the flats and held by the cylinder clothing, are removed as individual fibers. Not all fibers have their ends projecting sufficiently close to the cylinder to be pulled from the fiber mass caught in the flats. These remain in the flats and, as each flat leaves the carding zone, the retained fiber mass is stripped from the flat as waste — collectively termed *flat strips*.

The objective of the stationary flats section above the licker-in-transfer is to ensure that any fiber tufts transferred to the cylinder are quickly opened so that, on arriving under the flats, the fiber mass can be easily separated into individual fibers. This prevents long fibers from getting embedded into the revolving flat clothing and allows these fibers to be hooked by the cylinder clothing points for effective carding.² Grimshaw³ reports that these flats are also a barrier to hard trash particles such as seed coatings and therefore protect the revolving flats clothing against damage by such particles, especially at high production rates. Leifeld⁴ claims that, at this point, the separate knife-edge and suction unit will remove even large seed fragments.

Nevertheless, the tendency is for fine trash or dust particles to remain attached to fibers, as [Figure 3.7](#) illustrates, and consequently pass into the carding zone. Feil² suggests that, in the carding zone, the motion of the cylinder clothing generates air

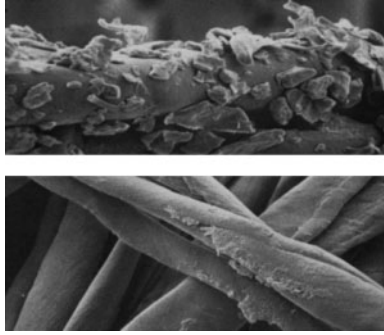


FIGURE 3.7 Trash and dust particles on fiber. (Courtesy of Rieter Machine Works Ltd.)

turbulence that, along with mechanical forces, causes the trailing ends of fibers attached to the teeth of the cylinder clothing to vibrate rapidly and shake loose trash particles and dust. The fiber mass on the revolving flats acts as a filter, and much of the impurities are deposited into it to be later removed as part of the strip. Figure 3.8 shows cotton flat strips containing trash particles and neps. In the carding of cotton fibers, revolving flats are an essential feature of the card. Since man-made fibers do not contain significant levels of impurities, stationary flats may be used in preference to revolving flats (see Figure 3.9).

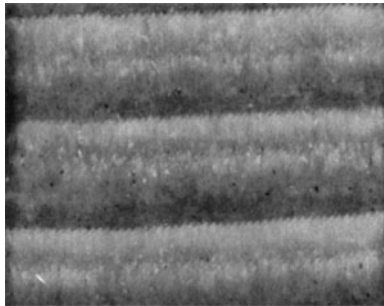


FIGURE 3.8 Cotton flat strips.

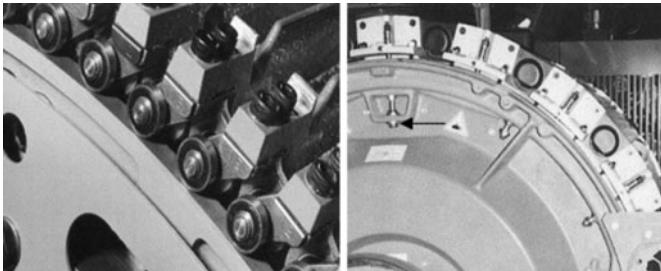


FIGURE 3.9 Revolving and stationary flats. (Courtesy of Rieter Machine Works Ltd.)

3.2.4 CYLINDER-DOFFER STRIPPING ZONE

The individual fibers attached to the cylinder clothing collectively appear as a very light web on the cylinder surface. This web moves with the cylinder rotation and first comes into contact with the front fixed flats, FFs, which further extract neps and fine trash particles and comb the fibers. It then comes into contact with the doffer clothing, which removes the fibers from the cylinder by the *point-of-tooth to point-of-tooth* stripping action. This cylinder-doffer area is called the *transfer zone*, since the objective is for fibers to be transferred from the cylinder to the doffer. Not all the fibers, however, are stripped on first contact with the doffer; some remain on the cylinder for several cylinder rotations before being removed. The cylinder under-screen controls the boundary air layer at the cylinder surface to prevent the undoffed fibers being ejected from the cylinder clothing during their motion from the doffer/cylinder area to the taker-in/cylinder area. Feil² reports that there appears to be an optimal number of times fibers should go through the transfer zone before being stripped by the doffer; too short or too long a dwell time on the cylinder impairs the quality of the output material, i.e., the sliver.

3.2.5 SLIVER FORMATION

Since the surface speed of the cylinder is much faster than the doffer (see [Table 3.1](#)), there is buildup of fibers on the doffer, forming a thicker web of fibers (the doffer web) than that on the cylinder. The rotation of the doffer brings the web to the front of the card, where it is removed (as the card web) by a wire-covered stripping roller (see [Figure 3.10](#)) passed through a pair of pressure rollers before being condensed into a sliver and wound into the sliver can (see [Figure 3.11](#)). The pressure rollers are used to crush trash particles such as, with cotton, seed coat fragments that may still be present in the web attached to fibers. The crushed particles would then be removed during subsequent processing of the sliver.

3.2.6 CONTINUITY OF FIBER MASS FLOW

Despite the situation that not all fibers are removed from the cylinder on their first pass through the transfer zone, there is continuity of mass flow within a few minutes of running; i.e., rate of batt feed to the taker-in equals the sum of the rate of waste accumulation and sliver production rate.

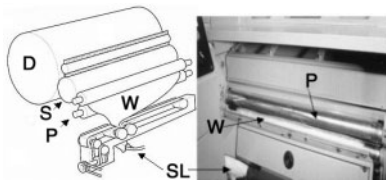


FIGURE 3.10 Stripping of doffer web and sliver formation. D = doffer, S = stripping roller, P = pressure rollers, W = doffer web, SL = sliver. (Courtesy of Rieter Machine Works Ltd.)

3.2.7 DRAFTS EQUATIONS

Let us consider surface speeds of the machine components moving the fiber mass through the card, which eventually forms the sliver that is coiled in the can. For simplicity, we can ignore the speed of the flats, because this only governs the rate of removal of the flat strips from the carding zone. Based on the above description of the operating principles,

$$V_f \ll V_t < V_c > V_d < V_s < V_{cr} < V_c < V_{sc} \quad (3.1)$$

where V_f = feed roller
 V_t = taker-in
 V_c = cylinder
 V_d = doffer
 V_s = stripping roller
 V_{cr} = crushing rolls
 V_{ca} = calender rollers
 V_{sc} = coiler rollers

The above are in units of meters per minute.

The relation of these surface speeds shows that the fiber mass is subjected to a sequence of drafts (see Equation 1.11, [Chapter 1](#)) as it moves through the card.

$$D_{ft} = V_t/V_f; D_{tc} = V_c/V_t; D_{cd} = V_d/V_c; D_{ds} = V_s/V_d; D_{scr} = V_{cr}/V_s; \\ D_{cre} = V_{ca}/V_{cr}; D_{esc} = V_{sc}/V_{ca} \quad (3.2)$$

All drafts are >1 except D_{cd} . The drafts D_{ds} , D_{scr} , D_{cre} , and D_{esc} are sufficiently close to one to be ignored. The total draft for the card is given by

$$D_{TM} = D_{ft} \cdot D_{tc} \cdot D_{cd} = V_d/V_f \quad (3.3)$$

It should be evident that the width of doffer web equals the width of the batt feed and that, in consolidating the doffer web into a sliver, the mass per unit length of

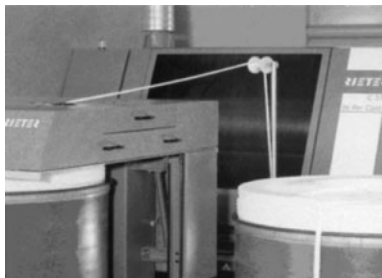


FIGURE 3.11 Sliver can build. (Courtesy of Rieter Machine Works Ltd.)

the sliver equals the mass per unit length of the doffer web, ignoring drafts D_{ds} , D_{scr} , D_{cre} , and D_{csc} . From Equation 1.11 (Chapter 1), we can write,

$$D_{TF} = M_L/S_L \quad (3.4)$$

where M_L = the mass per unit length (in g/m) of the batt
 S_L = sliver count in ktex

Since Equation 3.3 deals only with the fiber feed and the sliver, we can relate D_{TM} and D_{TF} by subtracting the percentage waste, W , from M_L removed during carding. Hence,

$$D_{TM} = D_{TF}(1 - 0.01W) \quad (3.5)$$

We may refer to D_{TM} and D_{TF} as the total mechanical draft and the total fiber draft. The carding of fibers will generate heat, which may alter the moisture content of fibers. Therefore, in determining W from measurements of M_L and S_L , the effect of moisture regain must be controlled by conditioning each fiber mass in a standard atmosphere.⁵

3.2.8 PRODUCTION EQUATION

Strictly speaking, the last pair of rollers that moves the fiber mass are the coiler rollers, which place the sliver in the sliver can. Therefore, V_{sc} is the production speed in meters per minute. Hence the production rate (P_C) in kg/h is given by

$$P_C = 60 \cdot V_{sc} \cdot S_L \cdot 10^{-3} \quad (3.6)$$

3.2.9 THE TANDEM CARD

From the above description of the operation of a short-staple card, we reason that the effectiveness of the carding action is related to, among other factors, the number of points used in attempting to separate the fiber mass into individual fibers, i.e., to discretize the fiber mass. Increased point density and surface speed of the cylinder are therefore important factors. The number of points per unit area per unit time can be used to make a judgement of the available carding capacity or carding power. A higher value should enable discretization of the fiber mass to the individual fibers level and permit a high fiber mass throughput, but the fibers will be further stressed. However, the use of two cylinders in tandem, with a higher point density on the second cylinder, will also give greater carding power — but with less stressing of the fibers.

Figure 3.12 is a diagram of a Tandem card arrangement. The first cylinder system is referred to as the, *breaker card*, and the second, which has the closer settings of machine components, is called the *finisher card*. The first cylinder substantially separates the microfuflets, and the resulting web passes through crush rollers before entering the second cylinder system. The finisher card receives the fiber mass in an

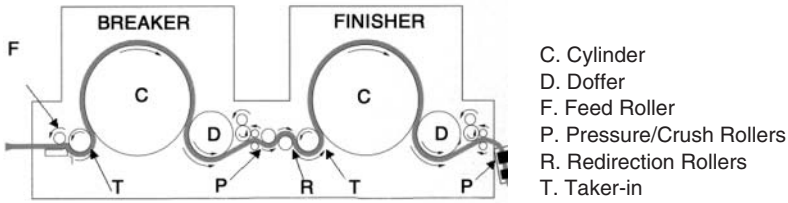


FIGURE 3.12 Schematic of tandem carding system. (Courtesy of Crosrol UK Ltd.)

ideally suitable state for completing the process of fiber separation and trash/microdust removal. Tandem carding gives a relatively gentle and progressive carding action, with less fiber breakage and waste than with single-cylinder cards. The cost of tandem cards is a major disadvantage; therefore, few tandem cards are in commercial use. These are mainly for high-quality cotton processing or where microdust presents problems in rotor spinning (see Chapter 6).

3.3 WORSTED AND WOOLEN CARDS

Figures 3.13 and 3.14 show typical examples of worsted and woolen cards. Collectively, these may be referred to as *roller-clearer* cards, and Table 3.3 gives relative dimensions.

Superficially, these cards appear to be an arrangement of large tandem cylinders with several pairs of large and small rollers positioned over each cylinder and a

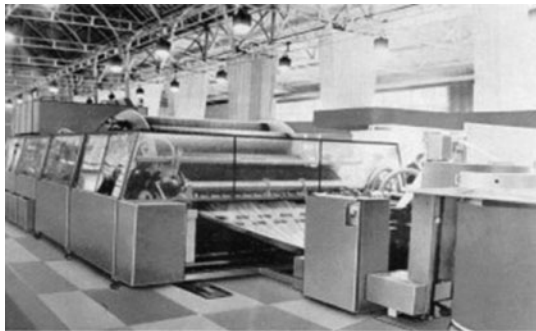
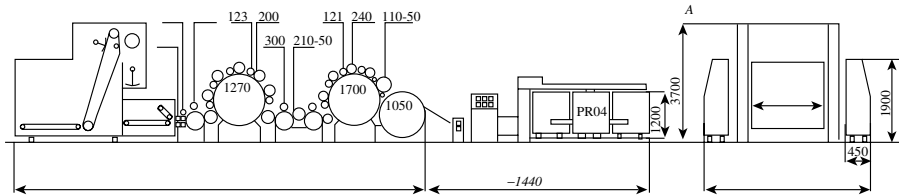


FIGURE 3.13 Worsteds carding set. (Courtesy of Befama Ltd.)

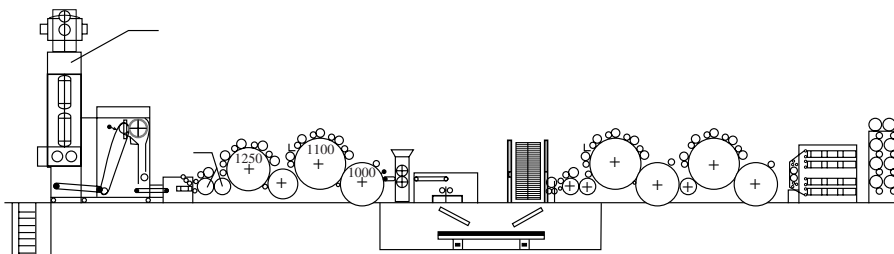


FIGURE 3.14 Woolen carding set. (Courtesy of W. Tatham Ltd.)

TABLE 3.3
Typical Dimensions of Roller Clearer Cards

Worsted carding set: 15-m length × 3.5-m working width	
Weighing hopper	Working width: 2–3.5 m Weigh-pan capacity: 0.58–0.75 m ³ Bin capacity: 3.8 – 12.8 m ³
Feed arrangement	Two pairs of feed rollers, 86 mm dia. Taker-in roller, 500 mm dia, with worker/stripper, 215/123 mm dia Transfer roller, 500 mm dia
Breast	Main cylinder, 1.27 m up to 5 workers/strippers 240/123 mm dia Transfer roller, 270 mm dia
Cleaning unit	Two Morel beaters, 500 mm dia Transfer roller, 270 mm dia
Roller card	Swift, 1.5m dia; up to five pairs of workers and strippers, 270/123 mm dia without fancy; four pairs with fancy, 270 mm dia Doffer, 1.27 m dia
Woolen carding set: 18- to 25-m length × 3-m working width	
Weighing hopper or volumetric feeder	(As above)
Feed	Two pairs of feed roller, up to 86 mm dia. Taker-in, 500 mm dia Transfer roller, 500 mm dia
Breast	(As above)
Roller cards	(As above with fancy)

double hopper and weigh-pan feed to the card. We refer to these arrangements as *carding sets*. The first cylinder in the set, usually the smallest in size, is termed the *breast*, and each of the others a *swift*. Each large and small roller positioned over the breast or swift is called, respectively, a *worker* and a *stripper*. In a carding set of up to three swifts, the first is referred to as the *scribbler*. This is followed by either a single or two-part *finisher card* or *carder*. One or two swifts may be used as the finisher card, depending on fiber type, fineness, length, and, in case of the woolen card, slubbing count range; in worsted carding, a single swift would be used for wool containing up to 4% VM and a double swift for wool containing VM > 5%.

The component settings and point density of the clothing, respectively, become progressively closer and higher from breast to second finisher. The point clothing on the components of the carding set are very different from those on the short - staple card. Saw-tooth wire and what is termed *fillet wire* are fitted to the working components of worsted and woolen cards. [Appendix 3A](#) gives detailed consideration of the clothing types. As the figures show, the output material from the worsted card is in sliver form, and the woolen card produces slubbings (see [Chapter 1](#)). Production rates depend on fiber fineness. It is lowest for finer wool and can range from 120 to 150 kg/h for 19 μ wool to 270 to 350 kg/h for 30 μ wool.

At one time, the general thinking was that the shorter wools were better carded on woolen cards and longer wools on worsted cards. However, the woolen card handles a wide range of lengths and length distribution for producing yarns for a broad range of end uses, such as hosiery knitting, woven cloth or carpets, blankets, and felts. Fibers carded cover a wide range of 100% virgin wool for knitting (e.g., lambswool, Hauter 44 mm, 19 to 23 μ ; Shetland wool, Hauter 56 mm, 26 to 32 μ) and luxury fibers such as mohair, vicuna, rabbit angora, or camel hair and manufactured and recycled fibers. Worsted cards also process luxury fibers like mohair, alpaca, and cashmere; man-mades; and spun silk.

3.3.1 HOPPER FEED

The fiber is usually supplied from the blending stage into a single or double hopper by pneumatic conveyance or by manual loading into the feeder bin. The principles of operation of hopper feeders and weigh-pan feeding have been described earlier. With double hopper feed, the hoppers work in series; the first hopper supplies the second with a regular uniform rate of fiber mass. The first hopper is not usually fitted with a weigh pan but is set to fill the second hopper when activated by an optical sensor positioned to monitor the reserve bin of the second hopper. The first hopper therefore runs intermittently as required. The double-hopper system is aimed at reducing variation in the feed to the card and to introduce an extra opening. However, compared with single-hopper weigh-pan feeders, there is a significantly increased space requirement and cost. Volumetric feed hoppers may be necessary for high production rates, as the response time of weigh pan hoppers can restrict these rates. Nevertheless, regularity of feed is essential to the uniformity of the carded material, particularly with respect to the slubbings produced by the woolen card. This topic will be discussed in more detail later, when carding quality parameters and autoleveling are considered.

3.3.2 TAKER-IN AND BREAST SECTION

The taker-in and breast sections of the card are for opening the fiber mass into small tuftlets and to start the process of individualizing the fibers. Generally, fibers processed by roller-clearer cards are coarser, longer, and often of a higher crimped state than fibers processed by revolving flat cards. The batt of such fibers is likely to be of greater bulk. The rollers covered with saw-tooth wire clothing are therefore used as the feed-rollers in the taker-in zone. Two pairs of feed rollers (see [Chapter 4](#)) may be used to feed the batt fringe to the taker-in. Single or multiple taker-ins may be used depending on material throughput, vegetable matter (VM) content, and fiber type. For high throughput and/or fine fibers, a worker/stripper pair may be used with the first taker-in, and if the VM level is high, a burr beating roller with catch tray may also be fitted. The breast is also fitted with pairs of workers and strippers so as to reduce the tufts transferred from the lick-in into microtuftlets and to begin the progressive process of fiber separation. The worker/stripper units, in combination with the swift, give a carding action (see [Figure 3.1](#)) and the burr beaters a cleaning action, which are described below.

3.3.3 INTERMEDIATE FEED SECTION OF THE WOOLEN CARD

In woollen carding, the web from the scribbler is condensed into a thick sliver and cross-fed to the carder; that is to say, the sliver is laid across the feed belt of the carder. This method of transferring the material from scribbler to carder effects an additional blending of the fibers and thereby assists in evening out variations in density across the width of the web from the scribbler to produce regular slubbings.

The device used to accomplish the cross-feed is called an *intermediate feed*. There are different types of intermediate feeds, but the simplest of the popular ones is Scotch feed, which is a sliver plaiting (cross-laying) mechanism. As illustrated in [Figure 3.15](#), the web of fiber is removed from the doffer of the scribbler by means of an oscillating comb and passed through crush rollers to pulverize any remnants of carbonized VM. It is then drawn through a condenser funnel and compressed by a pair of calender rollers to make a sliver. The sliver is guided by a lattice and is laid across the feed belt of the carder by a pair of rotating rollers that traverse across the feed belt. If the web of fibers is moving in the direction indicated by arrows X-X, and the cross-feed by Y, then any thickness variation across the width of the web will tend to be evened out by the cross-feed.

3.3.3.1 Carding Section

As the fibers/microtuftlets are transferred onto the swift in the finisher carding section, they are brought into contact with pairs of workers and strippers. [Figure 3.1](#) depicts the carding action at each worker-stripper pair. The swift has the fastest surface speed, and the clothing points oppose those of the much slower-moving worker. The resulting carding action divides the tuftlets making contact with workers; parts will remain on the swift clothing and be moved forward to the next and subsequent worker stripper pairs for further separation, and then eventually into the doffer stripping zone. The clothing points of the stripping rollers are angled in the

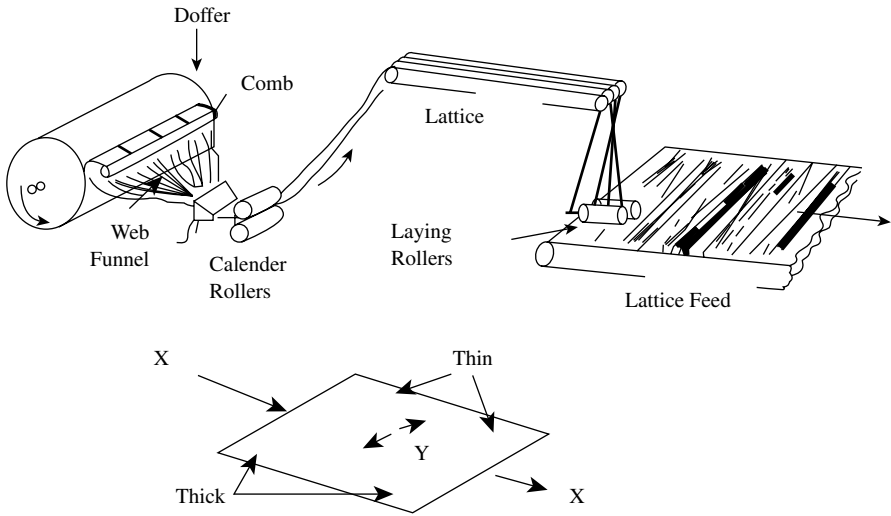


FIGURE 3.15 Intermediate feed: Scotch feed.

same direction as the workers but travel at a faster surface speed. The tuftlet portions on each worker move with the roller until they contact the clothing of the stripper roller, where they are removed by the stripping action. These tuftlet portions are then brought back into contact with swift, which removes them from the stripper to become mixed with other fiber/microtuftlets newly transferred from the take-in. The swift-worker-stripper combination gives both a carding and a blending action. The time taken for the portion of a tuftlet to be returned to the swift may be called the *delay*. The product of the swift speed and the delay would give the distance between the portion that remained on the swift and that caught by the worker and returned by the stripper. This gives a blending action along the length of the card, which is repeated at each worker-stripper pair. Similar to the revolving flats card, not all fibers reaching the cylinder/doffer zone for the first time are removed. Those that become part of the recycling layer can be, on each cycle past the doffer, repeatedly acted upon by the worker-strippers of the particular swift until they form part of the doffer web. Thus, the number of worker-stripper combinations and the cylinder-doffer influence the blending effect. It can be shown that, concurrent with this blending, there is an evening of the fiber mass flow. [Chapter 4](#) describes the theoretical basis of the blending and evening actions of roller-cards.

3.3.4 BURR BEATER CLEANERS AND CRUSH ROLLERS

We know that wool destined for the woollen process is usually carbonized to remove vegetable impurities. Wool used in the worsted process is likely to have a much lower VM content that can be removed mechanically during carding with less chance of damage. The publication, *Textile Terms and Definition*, by The Textile Institute,⁶ refers to *burry wool* as wool contaminated with vegetable impurities

adhering to the fleece. In worsted carding, VM is removed by what are called *burr beaters*. These are small-bladed rollers for detaching VM particles from the wool and ejecting them into waste trays. Figure 3.16 shows a burr beater positioned above a special wire-covered roller called *Morel roller*. The burr beater may be positioned above the licker-in of the breast section, but it is also used effectively between the breast and the intermediate, and between the intermediate and the finisher section. Here, the burr beaters and Morel rollers are used. The Morel roller has specially designed wire clothing, called a *Morel wire*, that enables VM particles to ride on the surface of the clothing (i.e., on the top of the teeth) and fibers to lie sufficiently below the VM so that burr beaters can strike the impurities from the rollers. The burr beaters rotate in the same direction as the Morel rollers but at higher surface speeds.

Crush rollers, which pulverize any VM remnants in the card web, may be optionally fitted to worsted card but today are rarely incorporated. As discussed in [Chapter 4](#), wool fibers can be damaged by crushing. Crush rollers are, however, present on woolen cards, located after the scribbler and before the intermediate feed, to crush remnants of carbonized VM, which is removed by subsequent carding actions.

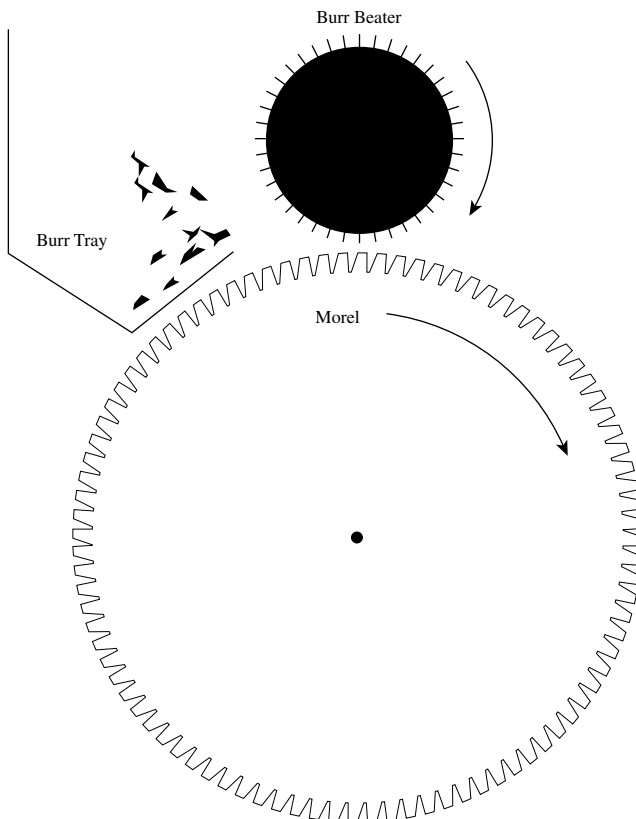


FIGURE 3.16 Burr beater and morel roller.

3.3.5 SLIVER AND SLUBBING FORMATION

Fibers are removed from the swift to form the doffer web in a similar *point-of-tooth* to *point-of-tooth* stripping action as described for the revolving-flats card. However, as we will see below, the wire clothing of the swift can be of such length that fibers at the base of the wire clothing need to be raised to the tips of the points for effective fiber transfer to the doffer. This is performed by the *back-of-tooth* to *back-of-tooth* action with a wire-clothed roller, called a *fancy*, mounted close to the surface of the swift just before the doffer. [Figure 3.1](#) shows the location of the fancy roller in relation to the swift and the doffer.

On the worsted card, the doffer web is removed either by a high-speed oscillation comb (fly comb) or by redirecting rollers and is then consolidated into a sliver, whereas, with the woolen system, the doffer web is converted into slubbings. Slubbings have the appearance of soft, twistless string, and they require only small amounts of attenuation before being twisted to form a yarn. To produce slubbings, the web from the finisher card is split into many strips, each of a width that will give the required slubbing count. Each strip is then rubbed to condense and consolidate it into a soft, twistless string. The part of the carding set that converts the finisher card web into slubbings is called the *condenser*. There are two general types of condenser, the tape condenser and ring-doffer condenser.

3.3.5.1 Tape Condenser

This comprises a set of rollers around which is threaded a series of narrow belts (called *tapes*) or an endless narrow belt to form a line of tapes across the width of the machine (see [Figure 3.17](#)). [Appendix 3B](#) describes three different tape threadings used for the tape network. The tape width and the mass per unit area of the web determine the slubbing count, and card manufacturers usually recommend tape widths to cover a range of counts. The web is pulled into the network of tapes by entry rollers and, at the dividing rollers, is split into ribbons by the scissor-like action of the tapes.

Positioned after the tapes are pairs of rubbing aprons (or rub aprons); their lengths span the width of the machine. The scissor-like action between the tapes splits the web into ribbons that are then transported to the rubbing aprons. Depending on the width of the tape and of the woolen card, there may be four or six pairs of rubbing aprons placed one above the other. Each pair of rubbing aprons feeds a bobbin build unit (see [Figure 3.18](#)).

What is depicted in [Figure 3.17](#) is a part of the tape network that is repeated across the card. For example, for a four-apron set, odd repeats would supply ribbons to rub aprons 1 and 3 in the set, and even repeats run aprons 2 and 4.

The rubbing aprons have two actions: they are driven circumferentially and simultaneously reciprocated lengthways, moving from side to side across the machine (see [Appendix 3B](#)). Each pair of rubbing aprons has the side-to-side movement of the aprons in opposite directions, so when one apron moves toward the left side of the machine, the other moves to the right.

On entering the rub aprons, the ribbons are consolidated by the rubbing action of the reciprocating movement of the aprons. The rubbing of the aprons rolls the

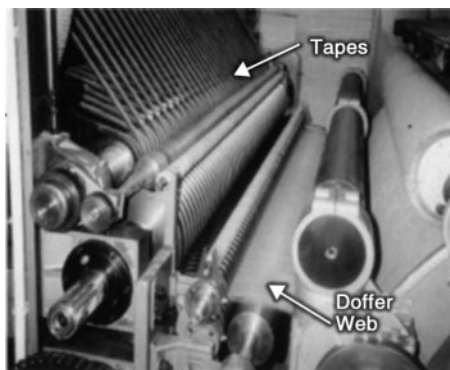
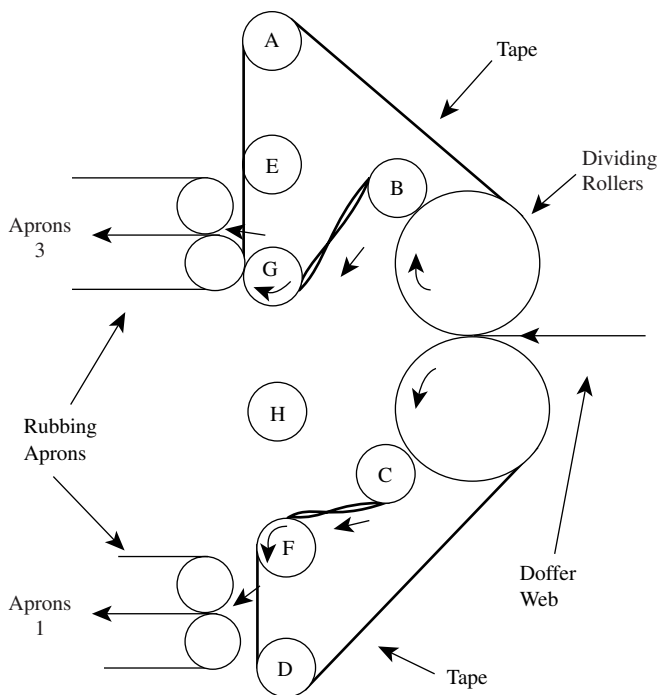


FIGURE 3.17 Tape condenser feed to rubbing aprons. (Photo courtesy of Befama Ltd.)

ribbons into slubbings. The slubbings are simultaneously fed by the aprons onto bobbins, each of which accommodates several winding positions and is driven to wind up the slubbings.

3.3.5.2 Ring-Doffer Condenser

This is an alternative method of slubbing formation, but it is not widely used. The last swift of the carder may be fitted with two or four doffers. The point clothing of each doffer is divided into a series of bands across the card width, each band



FIGURE 3.18 Bank of Rub Aprons. (Courtesy of Befama Ltd.)

being separated from the next by a narrow leather or plastic tape fixed to the doffer circumference. Thus, as fibers are transferred to the doffer, they form a series of ribbons on the doffer surface across the width of the card. The ribbons are removed from the doffer by oscillating combs and guided by separator rollers to the rubbing aprons to be condensed into slubbings.

3.3.6 PRODUCTION EQUATIONS

The draft equations for roller and clear cards can be derived along similar lines described for the short-staple card. As a worsted card produces sliver, the production rate of the card may be calculated using Equation 3.6.

The production rate, P_c , of the woolen card is determined by

$$P_c = 1.885 \times 10^{-7} \cdot C \cdot N_{dr} \cdot D \cdot N_{th} \quad (3.7)$$

where C = slubbing count (tex)

N_{dr}, D = rotation speed and diameter (in mm) of condenser bobbing drive rollers

N_{th} = total number of threads

3.4 SLIVER QUALITY

Much research has been carried out to establish an in-depth understanding of the physical principles of carding. Consideration of the reported findings and hypotheses merits a chapter in its own right, and therefore [Chapter 4](#) deals in more detail with the theoretical aspects of carding. Here, it is important to consider the matter of sliver and slubbing quality in relation to the effect of various process parameters.

In carding technology, the parameters that may be used as performance indicators with regard to the sliver or slubbing are the trash and dust content (i.e., the cleaning efficiency), the amount of neps and short fiber content resulting from fiber breakage, and the level of irregularity of the sliver or slubbing. As we will see in [Chapter 6](#), yarn quality is directly related to these parameters. The reader is referred to the list of recommended readings to become aware of the commonly used test methods for measuring these quality parameters.

Also of importance, with respect to yarn quality and spinning performance, are the degree of fiber individualization and the types and distribution of fiber hooks. These, however, are not easily measured characteristics, so they are not used in the general practice for monitoring quality. The quality of a yarn is determined primarily by the processes prior to spinning, and the card quality parameters are of fundamental importance to the resultant yarn quality.

3.4.1 CLEANING EFFICIENCY

The importance of cleaning efficiency solely concerns the carding of natural fibers (in particular cotton) on the short-staple system and wool in the worsted and woolen processes.

3.4.1.1 Short-Staple Carding

With cotton fibers, a low level of neps and trash particles in the sliver is a major objective,⁴ particularly as the impurity content of cotton slivers will increase almost linearly with carding production rate.⁷ As we have seen earlier, the licker-in, fixed and revolving flats, and the cylinder play important roles in the removal of trash particles and neps.

Since the trash is embedded in the tufts that constitute the batt, how well the licker-in opens the fiber mass will largely determine how effectively trash particles are removed from the flow of fibers through the card. Although there are a number of devices fitted around the surface of the taker-in to improve cleaning, the impurities must be removed with a minimum of fiber loss, and in this the taker-in speed is the controlling factor. Figure 3.19 and 3.20 show, for different cylinder speeds, how the impurity level in the sliver decreases, but the undercard waste increases

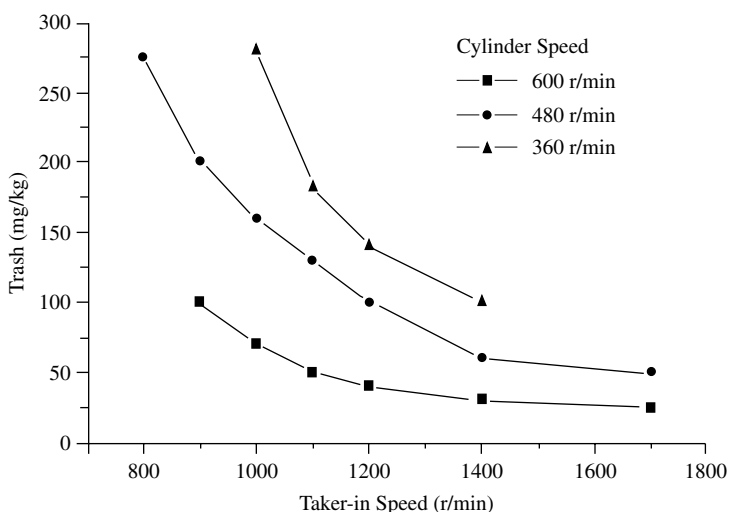


FIGURE 3.19 Trash content with increased taker-in speed.

with licker-in speed. Increasing the speed above 1500 rpm gives negligible improvement on sliver cleanliness but can lead to fiber breakage and increased waste (see Figure 3.20).

The removal of neps and trash improves with carding intensity, so the cylinder and flats speeds are important factors. Figures 3.19 and 3.20 show that, over the range of 300 to 600 rpm, high cylinder speeds will reduce trash content by greater than 50%, depending on taker-in speed, but may also caused more fiber breakage than high taker-in speeds. Increased flats speed will reduce the sliver impurity but increases flat strip waste. Adjustment of the setting of the upper edge of the top front plate to the cylinder clothing can be used to reduce flat strip waste. A close setting reduces the amount of flat strip, and a wide setting increases the waste, but reducing the waste by this means will cause much of the impurities to be fed back into the material flow and subsequently into the card sliver. Close flat settings give more intensive cylinder-flat interaction with the tuftlets; however, Van Alphen⁷ found flat setting to have no significant effect on the level of impurities in the carded sliver. Front fixed flats reduces neps and fine trash particles, referred to as *pepper trash*.

Artzt⁸ used a sensor fitted to the flats to determine the forces present in carding. Reportedly, the carding force, which is indicative of the stresses on fibers, showed an increase of up to 50% over the cylinder speed range. An increase of 10 to 20% was assumed to be tolerable with respect to fiber breakage. The rate of decrease in sliver impurities with rotational speed becomes asymptotic at 500 to 600 rpm, meaning that further increase in speed gives little improvement. The fiber breakage is evidently an important quality parameter. Consequently, trash and nep count on the one hand, and fiber damage on the other, are mutually conflicting quality parameters.

The overall carding system has an efficiency of 95%, which is much higher than the 65% for the opening and cleaning lines of a well equipped blowroom. Taking

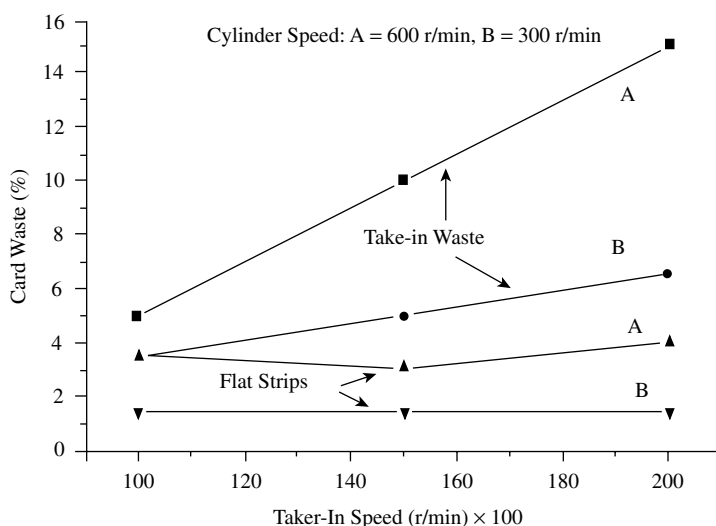


FIGURE 3.20 Card waste with increased taker-in and cylinder speeds.

the carding zones individually, the cleaning efficiency of the licker-in on its own approximates to 30%, the carding segments give 30%, and the cylinder/flats give 90%. The cylinder/flats carding action therefore gives the highest cleaning effect. Carding efficiency is better the higher the number of points, the closer the settings, and the higher the cylinder speed; the limitation on the values used for these parameters will be fiber breakage.

3.4.1.2 Worsted and Woolen Carding

VM contamination is considered to be an important problem, especially in fine wools. The number and location of deburring points and the use of crush rollers have been studied by Townend and Russell.⁹ Low burr roller speeds and high Morel roller speeds were found to improve VM removal, but the waste may contain up to 60% fiber. It was also found that VM was more easily removed when the fitted card clothing was flexible fillet, as opposed to metallic wire (i.e., saw-tooth wire). See [Appendix 3A](#). Several mechanical devices,^{10,11} based on taker-in developments in short-staple carding, have been developed for improving VM removal with reduced fiber loss, but these have yet to be adopted commercially. The effect of fixed flats positioned above the licker-in/cylinder transfer point on the roller and clearer was found to give much lower nep and VM content and a better fiber orientation in output material.¹²

3.4.2 NEP FORMATION AND REMOVAL

The formation of neps and fiber entanglements at various stages of the preparatory processes and the removal of neps particularly in carding have been well researched.¹³⁻²¹ The important fiber characteristics attributed to nep forming potential have been identified and, in the case of cotton, a neptometer,^{15,16} has been devised as a useful instrument for comparative evaluation of the nep potential of cotton blends.

Definition: A nep is one or more fibers occurring in a tangled and unorganized mass.⁶

While this is a useful general definition, when it comes to cotton processing there are various types of neps. Particles that have been identified as neps in the spun yarn may be classified into four categories as described in [Table 3.4](#).^{13,19} [Figure 3.21](#) shows typical figures for the relative proportions of each category determined with the use of an *inspection stop* fitted to the USTER[®] yarn irregularity tester.¹³ The number of neps per gram of sliver can be measured by an instrument known as the AFIS system,^{24,25} or the nep content of the card web counted manually or by online measurement with a digital camera as a sensor fitted to the card.⁴ [Figure 3.22](#) shows that there is a high correlation between measured card web neps and yarn neps. Such measurements are therefore useful for monitoring nep levels in the card slivers.

Neps usually migrate to the yarn surface during the spinning process and result in poor yarn and fabric appearance; they prevent the uniform appearance of dyed or printed cloth, instead giving spotty looking fabrics of lower market value.²² In

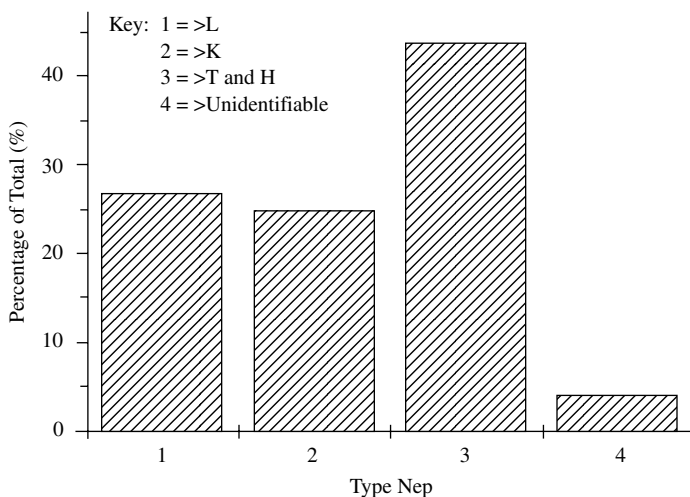


FIGURE 3.21 Types of neps in cotton fiber: analysis with Uster inspection stop. (Courtesy of Frey, M. and Schleth, A., Examples of data acquisition for fibres and the application in the spinning mill, Proceedings of the 22nd International Cotton Conference, Bremen, Germany, 1994, 1–30.)

TABLE 3.4
Nep Classification for Cotton Fibers

Nep class	Class abbrev.	Description
Loose fiber neps (may also be referred to as knops or burls)	L	A discrete entanglement of fibers larger than 1 mm; can be disentangled
Knot fiber nep	K	A discrete tightly knotted or highly entangled small (less than 1 mm) group of fibers or a single fiber; cannot be disentangled
Trash nep (not applicable to man-made fibers)	T	Leaf, stem, VM particle fragments at the core of an entanglement of a small group of fibers
Husk nep (only in cottons)	H	Seed coat fragment at core of the entanglement of a small group of fibers or with fibers attached to them

spinning, large neps may restrict twist propagation, which, for fine yarn counts, can result in unacceptably high end breakage rates. Thus, large neps may limit the fineness of count that can be spun. H-type neps can pose particular problems in certain spinning systems, in particular rotor spinning (see [Chapter 4](#)), where they account for up to 30% of thread breaks.¹⁹

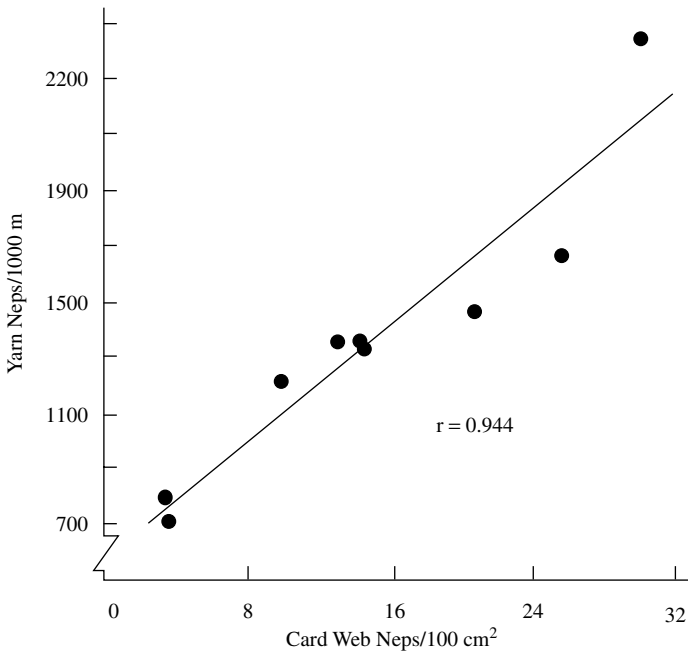


FIGURE 3.22 Relation between neps propensity in cotton yarn and card web. (Courtesy of Perkins, H. H. and Bargeron, J. D., Nep forming on a cotton card — Honeydrew — Additives as a means to reduce air-borne dust, *Melliand Textileberichte*, 2, 1981, 129–131.)

Neps generally are more conspicuous in finer-count yarns because of the diameter ratios. Such counts are often used for high-quality fabrics; therefore, the level of neps in the processed fiber mass reaching the spinning stage must be kept as low as possible. To do so, it is important to ascertain how neps are formed and how they can be removed.

3.4.2.1 Nep Formation

Neps are usually formed during the mechanical opening and cleaning processes, including ginning in the case of cotton fibers. Along with remnants of dirt, husk and trash particles in the opened mass, neps should be removed by the card. However, depending on fiber properties and carding conditions (i.e., machine settings and operating speeds), neps can be formed during carding, thereby reducing the amount that is removed. In worsted and woolen systems, the number of mechanical opening and cleaning points is much lower than in short-staple processing, which, in appropriate carding conditions, can increase the nep propensity.

Figure 3.23 shows the typical nep propensity profile for cotton processing from bale to spun yarn. L neps show a slight increase, and K neps a marked increase, during opening and mechanical cleaning, and both types decrease significantly in carding. T neps decrease at each process stage but particularly in carding. However,

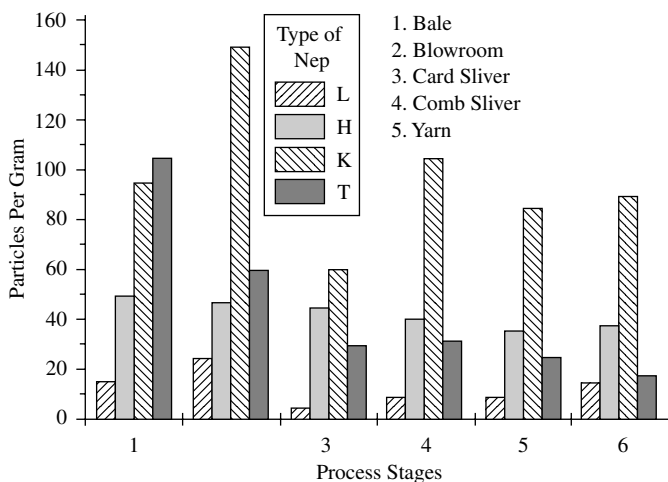


FIGURE 3.23 Typical nep propensity for cotton fibers at process stages. (Courtesy of Liefeld, F., The importance of card sliver quality for the running behaviour and yarn quality in unconventional spinning methods, *Int. Text. Bull., Yarn Forming*, 4, 1988.)

H shows no major change. It should be noted that the propensity profile refers to the number of particles per gram, not particle size. Generally, the revolving flat card has a cleaning efficiency of 90% or more, and this includes the extraction of the various nep classes. For example, if 1% of the mass of the material entering the card is H neps, then only 0.1% should be present in the sliver. The measured particle count, however, shows little difference between input and output values, and this suggests that, if only 0.1% by weight is present in the sliver, the particle sizes must be much smaller. It was found that the average nep size decreases from one process stage to the next, small neps increasing significantly at the carding stage.¹⁷⁻¹⁹ Similar findings have been reported for worsted processing.⁹ In effect, what is happening is that, during opening, cleaning, and carding, the closer settings used for reducing tuft sizes enable large neps to be subdivided into successively smaller sizes, thereby increasing the number of small neps. The large neps in the early process stages are a mixture of fiber entanglements with the presence of large particles of VM, trash, or seed coat. Some of the smaller neps in the later process stages contain small particles, but the majority are entirely of fibrous material. The card will remove some neps at the taker-in and via the flat strips; others are subdivided by the cylinder-flats carding action, some of these being fully separated into individual fibers. However, new neps can also be formed during carding. The objective, of course, is to optimize the carding conditions so that the formation of new neps is minimized and the subdivision and disentanglement of incoming neps is maximized to result in significant total nep reduction.

There are two possible ways by which fibers can be formed into a nep. One is when a fiber or a small group of fibers is loosely caught between two surfaces moving in opposite directions (e.g., the surfaces of two cylinders both rotating clockwise or counterclockwise) or between one stationary and one moving surface.

The frictional contact with the two surfaces will cause the fiber length(s) to buckle and roll up into an entangled knot. To avoid this mechanism of nep formation, the setting or clearance between components must ensure that the motion of fibers is controlled so that they are not free to roll.

The second mechanism of nep formation is associated with fiber breakage. During processing, fibers are stretched and thereby get elastic energy. If the fiber is broken, the tension is suddenly released, and ends of broken lengths will recoil. In so doing, the lengths will buckle and may become entangled on themselves or around neighboring fibers. It is likely that the shorter lengths of the broken fibers entangle on themselves to form a nep. The entangled mass may disentangle or tighten into a nep with further mechanical actions. To avoid this kind of nep formation, machine component speed needs to be optimized to minimize fiber breakage.

It should be appreciated that the above mechanism can occur with all types of beater actions, not only carding. However, fundamental to nep formation, and also removal, is the degree of fiber entanglement in the mass to be separated into tufts, and, in the resulting tufts, mini- and microtuftlets. Townend⁹ found that the most important cause of nep formation in worsted carding of wool was the entangled nature of the scoured wool fed to the card. We can see, then, that the problem of nep formation is attributable to the fiber properties important to nep-forming potential, and to the processing machinery conditions used in converting baled fiber mass into continuous strand.

3.4.2.2 The Effect of Fiber Properties

The fiber properties likely to affect the degree of fiber entanglement in a tuft, and therefore, nep formation, are

1. fineness (diameter and length, i.e., aspect ratio)
2. crimp level
3. impurity content of the baled mass (not strictly a fiber property but dependent on grade)

Townend²³ reports that, for a fixed diameter, longer fibers have a greater tendency to form neps in worsted carding. This is because longer fibers have a greater probability of being broken, the recoil of the shorter length causing it to become entangled into a nep. By analyzing neps, Alon and Alexander²¹ found that, in carding 50-mm 3.3-dtex acrylic fibers, 28% of the fibers forming neps were short, broken fibers, whereas, in the associated card sliver, only 7% of fibers could be classified as short fibers, and only 2% in the bale raw stock. Based on Alon and Alexander's observation, we may assume that around 72% of the fibers forming neps were either the longer lengths of the broken fibers or entanglement of these with unbroken fibers. They report that the number of fibers found to constitute a nep varied from 5 in a small nep to 24 in a large one. Neps were identified as having a dense center where one or more fibers were located that initiated the nep formation. These fibers were looped or had very complex knot configurations and could be short broken lengths or longer fibers.

Although the above discussion is about fiber length, we must remember that an important factor in nep formation is the buckling of the fibers, either by the effect of rolling or by the sudden release of tensile stress. Therefore, in addition to length, other important fiber characteristics are elastic modulus and fiber fineness. Alon and Alexander found that the propensity for fibers to form neps is related to a buckling coefficient,

$$\sigma L^2 / \Lambda \quad (3.8)$$

$$\Lambda = EI$$

$$I = \frac{\pi d^2}{64} \quad (3.9)$$

where σ = fiber stress

L = fiber length (2.5% fibrograph span length)

Λ = fiber stiffness or rigidity

E = elastic modulus

I = fiber cross section moment of inertia

d = fiber diameter

For a range of cottons, a definite linearity was found between measured neps per gram in the cotton slivers and the buckling coefficients of the cottons.²¹ Clearly, stiffer fibers should have a lower nep propensity. Thus, the parameters within the buckling coefficient that combine to have the greatest effect on nep formation are fiber diameter and elastic modulus. Fiber diameter also controls the number of fibers entangled in a given tuft size, i.e., mass. We learned in [Chapter 1](#) that, within a cotton variety, fineness and maturity are represented by micronaire; the higher the micronaire, the coarser and more mature the fiber and the greater its rigidity. [Figure 3.24](#) shows that, as the micronaire of cottons increases, the nep level of a card web decreases.^{15,16}

Immature cotton fibers have low micronaire values, and Gulati and Ahmed²⁶ and Pryor and Elting²⁷ observed that neppiness was significantly correlated with the number of immature fibers in a cotton mass. Evenson²⁸ considers the variation in fineness and maturity within a given grade to be of equal importance. Blending with higher micronaire cottons can therefore reduce nep levels. [Table 3.5](#) shows a similar trend for wool and mmf with respect to fineness.²³

[Table 3.6](#) indicates that nep levels increase with the degree of fiber crimp. The higher the crimp level, the greater will be the entanglement within fiber tufts and the more severe the shear action required to individualize fibers causing fiber breakage and associated neps.

Aside from the properties that define the buckling coefficient, it should be evident that the frictional characteristics would have strong influence on the disentanglement of neps in carding. Therefore, surface finish and applied lubrication to the fiber stock are of importance. Townend²³ also found a correlation between moisture content of the opened wool fed to the card and the neps per gram of sliver. Although not strictly a fiber characteristic, the type of particulate impurity in the baled fiber mass can

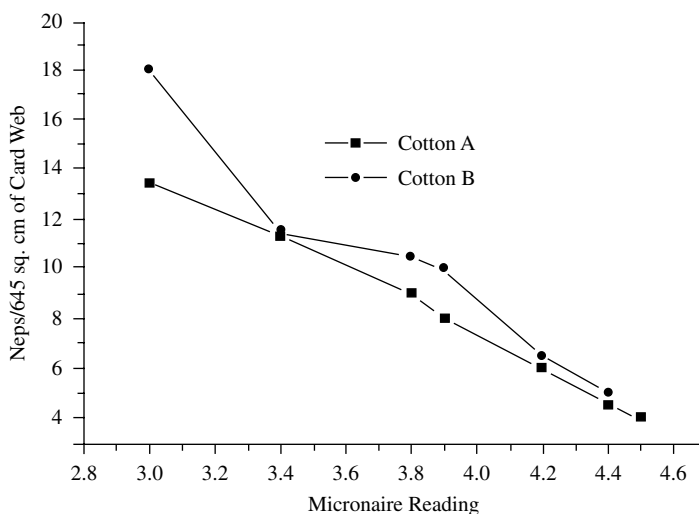


FIGURE 3.24 Effect of cotton micronaire on card web nep levels. (Courtesy of Perkins, H. H. and Bargeron, J. D., *Nep forming on a cotton card — Honeydraw — Additives as a means to reduce air-borne dust*, *Melliand Textilberichte*, 2, 1981, 129–131.)

TABLE 3.5
Effect of Fiber Fineness on Nep Levels in Card Sliver

Fiber type	Mean fiber diameter (μ)	Neps/g of card sliver
Australian 64s–70s	20–22	1,466
Australian 58s–64s	22–26	1,135
New Zealand 58s	26	640
New Zealand 56s	28	410
New Zealand 50s	31	277
New Zealand 46s	34	204
Nylon 3.3 dtex 57mm	19	474
Nylon 5.0 dtex 57mm	23.5	381
Nylon 8.8 dtex 57mm	33	100
Nylon 16.5 dtex	46	16

Courtesy of Townend, P. P., *Nep Formation in Carding*, WIRA, Leeds, U.K., 1983.

influence the nep level. Particles that are difficult to remove from tufts may require very intensive beater action and therefore cause high level nep to be generated during the mechanical opening and cleaning stages. This can lead to greater sliver neppiness.

3.4.2.3 Effect of Machine Parameters

The production rate employed in carding has a negative effect on nep removal, particularly when processing fine dtex man-made, low-micronaire cottons and low-

TABLE 3.6
Effect of Fiber Crimp on Nep Levels in Card Sliver

Fiber type	Crimps/cm	Neps/g of card sliver
Nylon 3.3 dtex 57mm	4.3	220
Nylon 3.3 dtex 57mm	6.3	294
Nylon 3.3 dtex 57 mm	7.9	361
Acrylic 5.0 dtex 57mm	2.0	6
Acrylic 5.0 dtex 57mm	5.5	78
Acrylic 5.0 dtex 57mm	9.5	205

Courtesy of Townend, P. P., *Nep Formation in Carding*, WIRA, Leeds, U.K., 1983.

micron wools. It is likely that, with increased production speed, the higher cylinder loading results in a lower subdivision of neps entering the card and in increased fiber breakage, thereby forming new neps. However, Harrison and Barger¹⁶ found that the tandem carding of cottons was more effective in nep removal than single-cylinder high-production cards. Kaufmann²⁹ reports that, with a revolving-flats card, taker-in speeds below 700 rpm have little influence on nep count at the card, but, above this value, the nep count in the card web increases significantly with speed. Harrison and Barger¹⁶ found that this increase with speed was applicable only to low-micronaire or immature cottons; generally, for coarser cottons, taker-in speed has no meaningful effect.

The settings and surface speeds associated with the flats-cylinder-doffer actions on short-staple cards, and likewise those for the worker-swift-fancy-doffer on roller and clear cards, have a significant effect on the nep level of the card web — so, too, the wire specifications for these components. Table 3.7 summarizes the reported findings with regard to these parameters.

TABLE 3.7
Effect of Machine Settings and Component Speeds on Card Web Nep Levels

Component	Parameter	Nep/g
Worker/cylinder/doffer	• Sharpness of tooth: increased land area of tooth	–ve
Flats/cylinder/doffer	• Surface speed: increasing	+ve
Swift/doffer	• Working angle of tooth: greater for cylinder and swift	+ve
Cylinder/doffer		
Worker/cylinder/doffer	• Setting between components: close setting	+ve
Flats/cylinder/doffer		
Fancy/swift	• Setting: close setting	+ve
	• Speed: increased speed	+ve

+ve = improvement in web quality; –ve = deterioration in web quality.

Clearly, subject to the avoidance of fiber breakage, close settings and increased speeds reduce nep levels. This is because both parameters influence the shearing action of microtufflets and neps entering the carding zone and any fiber agglomerates entering the cylinder-doffer zone. Townend²³ reports that improved performance with respect to nep removal is obtained with closer settings of the worker to the swift. Kaufman²⁹ claims that the cylinder-doffer transfer point is an important carding as well as stripping point, and that the intensification of the carding action here will produce a cleaner, less neppy web. A reduction in doffer surface speed increases the carding action and was found to reduce the nep level. The reason why this finding conflicts with the trend given in Table 3.7 is likely to be that increased doffer speed (at a fixed production rate) gives a lighter web, thereby dispersing neps over an effectively wider doffer surface area, which enables better contact between neps and wire points and thereby intensifies the carding action in the cylinder-doffer zone.

With regard to wire specifications, Table 3.7 indicates that the smaller the land area of tooth (i.e., the sharper the wire points), the lower the nep level, since the wire points can better penetrate neps to separate the fibers. It is also evident that reduced neppiness occurs when the working angle of the doffer is smaller than the swift or cylinder. The smaller doffer-wire angle gives a better transfer coefficient (see Chapter 4) and therefore less fiber in the recycling layer on the cylinder, resulting in a reduced cylinder load and a reduced chance of fiber breakage.

Ashdown and Townend³⁰ have shown that the point density of a card clothing is an important factor in reducing the nep level of the card web. The point density of a card wire clothing depends on the tooth pitch and the spacing between rows of teeth, and the wider the spacing, the greater the chance for neps to become lodged between rows of teeth and escape the carding action. However, as the point density increases, the possibility of fiber breakage increases. Consequently, an optimal specification has to be reached, depending on production rate and fiber type.

Husk and trash neps are not usefully affected by the shear action during carding. However, the combination of knife-edge and applied suction at the fixed flats may remove some of these neps, and others may be caught in the flat strips. The crush rolls are also used to dislodge particles from their attachment to fibers to be subsequently removed in downstream processes.

Although cotton stickiness in carding, i.e., honeydew, is not a nep-forming characteristic, it is a recurring problem that can have a disrupting effect on production and a degrading one on quality. Cottons contaminated with honeydew are difficult to card because of severe sticking and buildup of fiber on working components. This is particularly evident on the crush rolls, where the result is frequent breakage of the card web. Stickiness is largely associated with freshly cropped cotton and can become less problematic when the cotton is stored for three to six months.³¹ The cotton may then be processed by blending them with uncontaminated fiber, but a suitable blend proportion has to be determined by trial and error. Perkins and Barger²⁰ found that sticky cottons can be processed with little difficulty by a tandem card, but that the stickiness problem resurfaces in the downstream stages. However, when a dust-control additive or overspray³¹ was applied to the stored cotton, this generally alleviated the stickiness in carding and downstream processing.

The additive on the cotton continuously coats the surfaces of the working components with a thin film of lubricant to prevent the honeydew from gaining a purchase on the surfaces.

3.4.2.4 Short Fiber Content

What is meant by the short fiber content (SFC) of a fiber mass was explained in [Chapter 1](#). In the production of short-staple, worsted, and semi-worsted yarns, SFC can present difficulties in processes downstream of carding and, as a result, lower yarn quality. It is important that, during the carding operation, fiber breakage be kept to a minimum. With woolen spinning SFC is much less of a problem, since the slubbings produced at the woolen card are subjected to only a small draft in the subsequent spinning stage, and the method of drafting is effective for nearly all fiber lengths.

We learned above that nep formation is closely linked to fiber breakage. It is not too surprising to find that, for cotton fibers, the typical SFC profile for the process stages from bale to yarn is very similar to the typical nep propensity profile. Figure 3.25 shows the changes in SFC associated with the various stages of material preparation.

Cotton bolls have very few short fibers. A significant amount of fiber breaking occurs in the harvesting and ginning of cottons, which results in the baled fiber mass having an SFC of around 10%. As is the case with nep propensity, the mechanical opening and cleaning in the blowroom increases the %SFC, but the level is reduced in carding, since most of the flat-strip waste is composed of short fibers.

In worsted processing, the lower number of mechanical opening and cleaning steps means that, similar to neps, the SFC of the card sliver is mainly dependent on the carding conditions. Hence, the factors enabling low nep levels in the card sliver

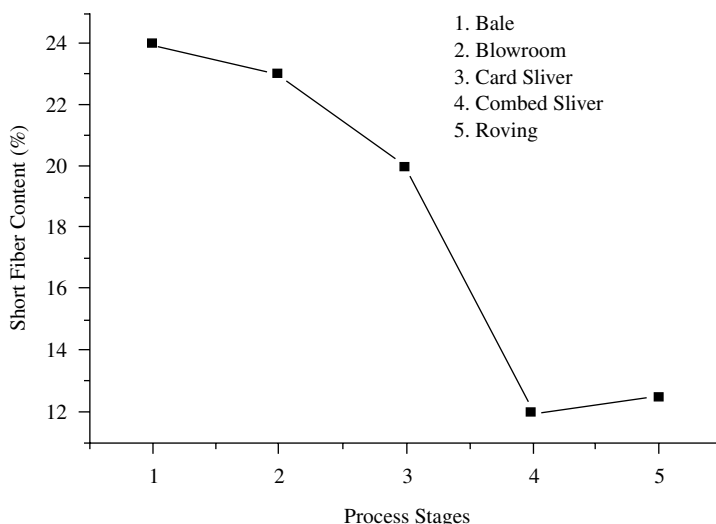


FIGURE 3.25 Changes in SFC during material preparatory. (Courtesy of WIRA.)

will also keep SFC to a minimum. Fiber-card wire friction experiments³² have shown that the lubricant viscosity is a key factor in reducing fiber breakage in worsted carding. The optimal viscosity was found to be 20 centipose, and the optimal add-on around 0.5% by weight. [Appendix 2A](#) discusses the application of lubricant to scoured wool.

3.4.3 SLIVER AND SLUBBING REGULARITY

The variation of the thickness of a yarn along its length and the measured yarn count, as we shall see in [Chapter 6](#), are very important yarn characteristics to the quality of woven and knitted fabrics, and the variation of the sliver thickness is a contributing factor. The terms used in referring to thickness variations along the length of linear fibrous assemblies are *levelness*, *evenness*, *regularity*, *unevenness*, and *irregularity*. The latter will be used in this and subsequent chapters, since it conjures up the appropriate mental image of a linear assembly of fibers in which the number of fibers in the cross section is not uniform along its length.

The Basic Concepts of Irregularity

In considering the basics concepts of irregularity, what will be explained is applicable not only to card slivers but also to all linear fibrous assemblies of subsequent processes, i.e., drawn and combed slivers, rovings and yarns. It is, therefore, appropriate to use the general term *linear fibrous assembly (LFA)* during the following descriptions.

The variation in thickness along the length of an LFA is related to the variation of the number of fibers in the cross section throughout that length. Therefore, the variation in mass per unit length can be taken as a measure of the irregularity of the LFA. There are several ways by which the variation in mass per unit length can be determined, but the most widely used instrument for doing so is the Zellweger Uster irregularity tester.⁵ This is based on a capacitance method in which a sample length of the material is made to run between a parallel-plate capacitor approximately 1 to 2 cm long, depending on the type of LFA; a 2-cm capacitor would be used for slivers and a 1-cm for yarns. The changes in capacitance reflect the mass variations between successive 1- or 2-cm lengths along the sample length, and if plotted on a chart would look similar to [Figure 3.26a](#). This shows the irregularity of a card sliver, caused by cardfeed variation, as a random waveform in which the peak values, or amplitudes, are the actual measurements. The coefficient of variation of the measurements, the CV%, may then be stated as the irregularity value for the sampled length of the LFA. This measure of mass variation with respect to 1 or 2 cm length is commonly called the Uster irregularity or Uster CV%.*

It is generally accepted that the most uniform arrangement of fibers that can be obtained with current process machinery is one in which fibers are randomly distributed. A number of researchers have developed mathematical models for such an ideal LFA.^{33–35} Martindale³⁶ has shown that, theoretically, the CV% for such an arrangement can be calculated from

* In many of the older references cited, U% values are given, representing the *Uster values*. The U% is the percentage mean deviation (PMD). $CV\% = 1.25U\%$.

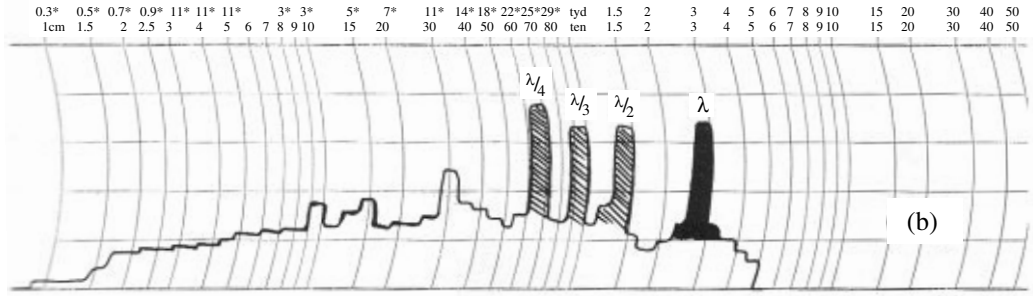
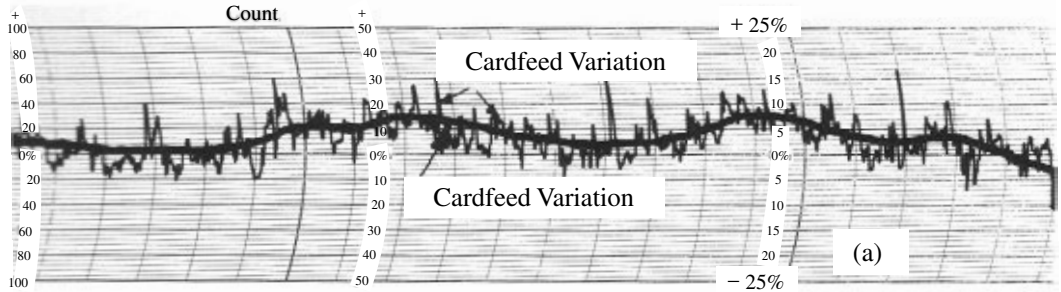


FIGURE 3.26 Irregularity trace and spectrograph of LFA. (Courtesy of Hattenschiler, P. and H. Muller, *Introduction to the Art of Quality Control in Yarn Manufacturing*, Zellweger Uster AG, 435.)

$$(CV_{min}\%)^2 = \frac{(CV_f\%)^2 + 10^4}{n} \quad (3.10)$$

where $CV_{min}\%$ = theoretical minimum coefficient of variation of the LFA

$CV_f\%$ = coefficient of variation of the fiber linear density

n = average number of fibers in a cross section of the LFA

(See [Appendix 3C](#).)

By measuring the actual irregularity $CV_{act}\%$ and calculating $CV_{min}\%$, the following ratio can be used as an *index of irregularity*, I :

$$I = \frac{CV_{act}\%}{CV_{min}\%} \quad (3.11)$$

Thus, the greater the value of I above unity, the more irregular the LFA will be. The index of irregularity, however, is a useful indicator of quality only if no machine faults or processing errors occur to add significantly to the measured $CV\%$.

Because of such faults, there may be recurring particular values of amplitude within the random waveform. These values denote periodic faults, and the distance between a particular reoccurring amplitude within the random waveform gives a measure of the periodic wavelength related to the fault.

As we shall see later, steps can be taken in post-carding processes to reduce a high $CV\%$ of a card sliver. Little, however, can be done with periodic faults. Therefore, periodic faults of sizeable amplitudes are detrimental to yarn quality and are very likely to result in seconds-quality fabrics. Since periodic faults can occur at any process stage, it is essential to identify the process at which they occurred so that the problem can be rectified. A graph of the periodic amplitudes plotted against their wavelength is used to highlight significant periodic faults. This wavelength spectrum is called a *spectrograph* or *spectrogram*, and [Figure 3.26b](#) shows an example of the spectrogram relating to the irregularity chart, [Figure 3.26a](#), for the card sliver.

The chart shows several periodic amplitudes among the random waveform, and the spectrogram depicts the associated amplitudes along the ordinate and the wavelengths along the abscissa. The pronounced amplitudes are the significant periodic faults. Periodic faults may be classified according to their wavelength using the fiber length as a unit length.³⁷

- 1 to 10 times the fiber length: short-term variation
- 10 to 100 times the fiber length: medium-term variation
- 100 to 1000 times the fiber length: long-term variation

The classification is important, since it can be used to trace the source of a periodic fault. The reader wishing detailed information on the tracing of periodic faults should consult [References 38 through 42](#).

From the chart in Figure 3.26a, it can be seen that the center line drawn through the random waveform also varies. This indicates an inconsistency in the sliver count and is attributable to variation in the feed to the card.

If a sufficiently long sample of an LFA were to be tested by the capacitor method, the measured values obtained could be divided into groups having an equal number of measurements. The sum total of each group would vary, and the product of the number of measurements and the capacitor length would give the set length on which the variation would be based. Hence, the CV% of the linear density for this set length could be calculated. Clearly, the CV% values for a range of set lengths could be determined in this way, the Uster CV% being one. The Zellweger Uster irregularity tester may be used to perform this task electronically and to plot a graph of CV% values against set lengths. The resulting graph is called a variance-length curve and is illustrated in Figure 3.27, plotted on logarithmic scales. It should be evident that, as the set length increases, the average total number of fibers constituting the length also increases. Consequently, the CV% of the fluctuations in the mass per unit length should decrease with increased set length. If the trend deviates from a straight line as shown, it signals unacceptable variations in the mass flow of the material during production. The source of the problem can be determined in a similar manner to the periodic faults described above. Variance-length curves are mainly used for yarns. With slivers and slubbings, the following alternative approach is considered more practical.

The irregularity of a sliver is determined for three types of variability: short-, medium-, and long-term changes.

Short-Term Irregularity

The Uster CV% of a sliver is a measure of the irregularity of short reference lengths along the sliver, but it is not a useful predictive indicator for the Uster CV% of the

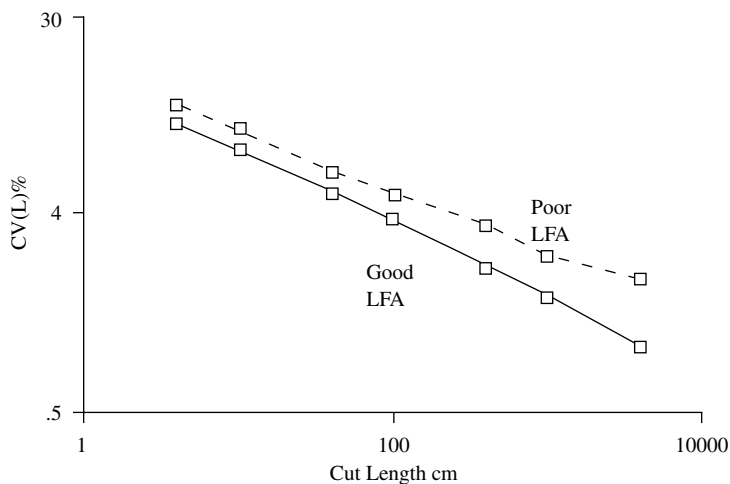


FIGURE 3.27 Variance-length curves of LFAs. (Courtesy of Saville, B. P., *Physical Testing of Textiles*, The Textile Institute, Manchester, UK, Woodhead Publishing Ltd., Cambridge, UK, and CRC Press, Boca Raton, FL, 1999.)

yarn.⁴³ This is because the short-term irregularity for a yarn is more dependent on the short-term variations introduced during post-carding processes in which there is attenuation of the sliver count to obtain the yarn count. However, the yarn resulting from the further processing of a sliver is usually checked for count consistency by using 100-m yarn lengths, and these would have originated from much shorter lengths of the sliver. Thus, the sliver Uster CV% is important to the measured count variation within, say, a given yarn package.

During carding, with each cylinder rotation, the doffer removes only a fraction of the fiber mass on the cylinder, and the recycled fraction gives a blending and evening effect to the mass flow while the card is running. This is of particular importance to roller-clearer cards, as is explained in [Chapter 4](#). The effects of the evening action are reflected in the Uster CV% of the sliver.

In woolen spinning, the card web is not consolidated into a single sliver but split into a series of ribbons, and each is consolidated to make a slubbing. The slubbings are subsequently given only a small attenuation during conversion to a woolen yarn. Thus, the Uster CV% of the slubbing has importance to both the yarn Uster CV% and the measured count variation within a yarn package.

Medium- and Long-Term Irregularity.

Figure 3.28 illustrates the medium- to longer-term variability of a sliver; that is to say, the irregularity of a sliver length that comprises a full can of sliver and the variation between cans of sliver. The Uster CV% is not a measure of this variability. The CV% of sliver count measurements, made at random intervals during carding, is therefore an appropriate indicator of such variations. The importance of monitoring and controlling medium and long-term variability can be seen from [Figure 3.29](#).

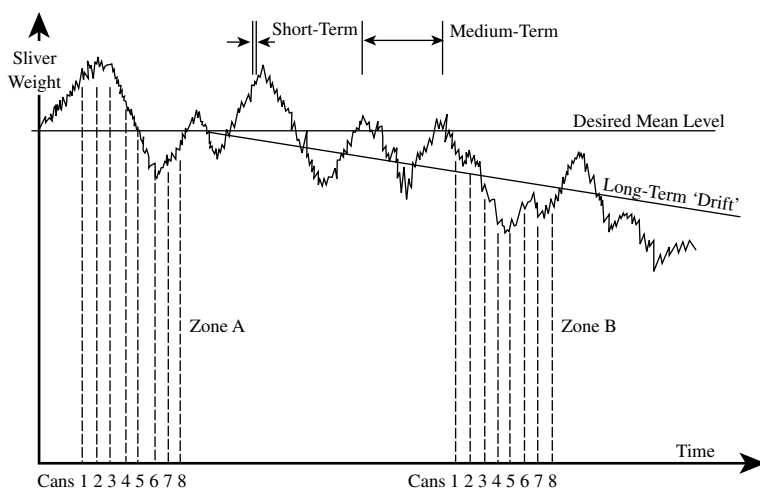


FIGURE 3.28 CV% of Sliver count: short-, medium-, and long-term irregularities. (Courtesy of Crosrol UK Ltd.)

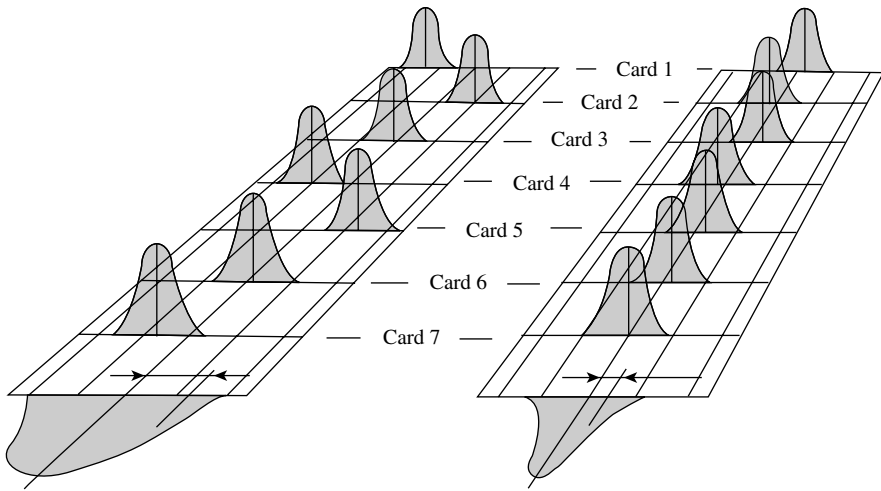


FIGURE 3.29 Count variations within and between cards. (Courtesy of Crosrol UK Ltd.)

Where there are several *drawing* process steps following carding; the short- and medium-term irregularities can be significantly reduced during these processes. However, these processes cannot readily correct for the long-term drift of the sliver count. The problem is particularly important for short-staple processing where a multiple of cards are needed to match the blowroom production. Long-term drift associated with each card can result in unacceptable sliver count variations. Thus, at any given moment during production, the mean sliver counts of each card may give, statistically, a widespread distribution for the carding process (see Figure 3.29). To overcome this difficulty, autolevelers are usually fitted to the cards.

In woolen carding, variation in the mass per unit area of the card web across the width of the woolen card will cause the produced slubbings to have significantly different counts as well as different Uster CV% values. One of the purposes of the intermediate feed section between the scribbler and the carder is to keep this variation to a minimum. However, long-term drift of the output from the scribbler may occur and cause a statistically wide spread distribution of the slubbing count that is unacceptable. Autoleveling is therefore also of importance in woolen carding.

3.5 AUTOLEVELING

The principles of autoleveling are based on the fundamentals of control theory, which is beyond the scope of this book. However, the reader wishing to study the theoretical aspects of autoleveling should find Reference 44 informative.

Essentially, the basis of an autoleveling system is to control the consistency of output from a process by deliberately altering the input so that a measured value of a parameter characterizing the output has minimum deviation from a preset value. Alternatively, a measured value characterizing the input may be monitored and, when deviating from a preset value, the input is deliberately changed with the

intention of maintain minimum variation of the output. The first approach is referred to as closed-loop autoleveling, and the second as open-loop autoleveling. Figure 3.30 depicts the main features of these two types of control system. S and A represent the locations of the sensors and actuators, the dotted lines show the signal path, and the solid lines illustrated the material flow.

The open-loop system results in a quicker response time to the deliberate changes, since the lag time of the process is avoided. However, there is no feedback from the output to ensure that corrections made achieve minimum variation of the output characteristics. Most autoleveling systems on cards employ the closed-loop principle. The idea is for the sensor to monitor the sliver irregularity and the control unit to interpret the electronic data in terms of variations in the sliver count from the preset count required. Then, according to the size of any unacceptable differences and whether they are greater or less than the preset value, the control unit automatically modifies the draft of the card by slowing or increasing the feed roller speed. The time elapsed between changing the feed roller speed and its effect detected in the output sliver is the *response time* of the carding process or the *lag time* resulting from the process. Carding has a slow response time, so, when closed-loop systems are used to adjust feed roller speed, only long-term sliver irregularity can be controlled, and the system is called a *long-term autoleveler*.

Various types of sensors may be used to monitor the sliver irregularity,⁴⁵ but the tongue-and-groove device is probably the most popular and is considered to be very simple and reliable. This basically consists of a grooved bottom roller through which the sliver passes while under compression by a top roller that fits the groove. Variation in the sliver thickness causes the top roller to rise and fall, thereby monitoring the sliver irregularity. The movement of the top roller is converted into an electronic signal, which is fed to the control unit. Figure 3.31 illustrates the tongue-and-groove system and also shows the use of two pairs of rollers to provide a quicker response time for the control of short-term sliver irregularity, i.e., a *short-term autoleveler*. The two pairs of rollers are used to apply a small draft of up to 1.5 on the output sliver. These rollers precede the tongue-and-groove sensor. The very small movements of the top measuring roller are amplified by a low-friction lever arrangement that, in turn, moves a hydraulic valve to vary the rate and direction of oil flow to a piston. The piston mechanically operates a speed variator that increases or decreases the speed of the draft control rollers. The speed of the coiler rollers depositing the sliver into sliver cans is also varied to avoid uncontrolled changes to the sliver count.

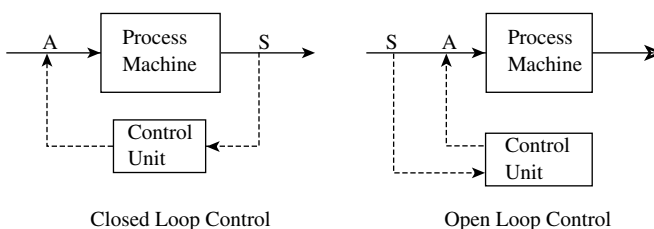


FIGURE 3.30 Closed-loop and open-loop control systems.

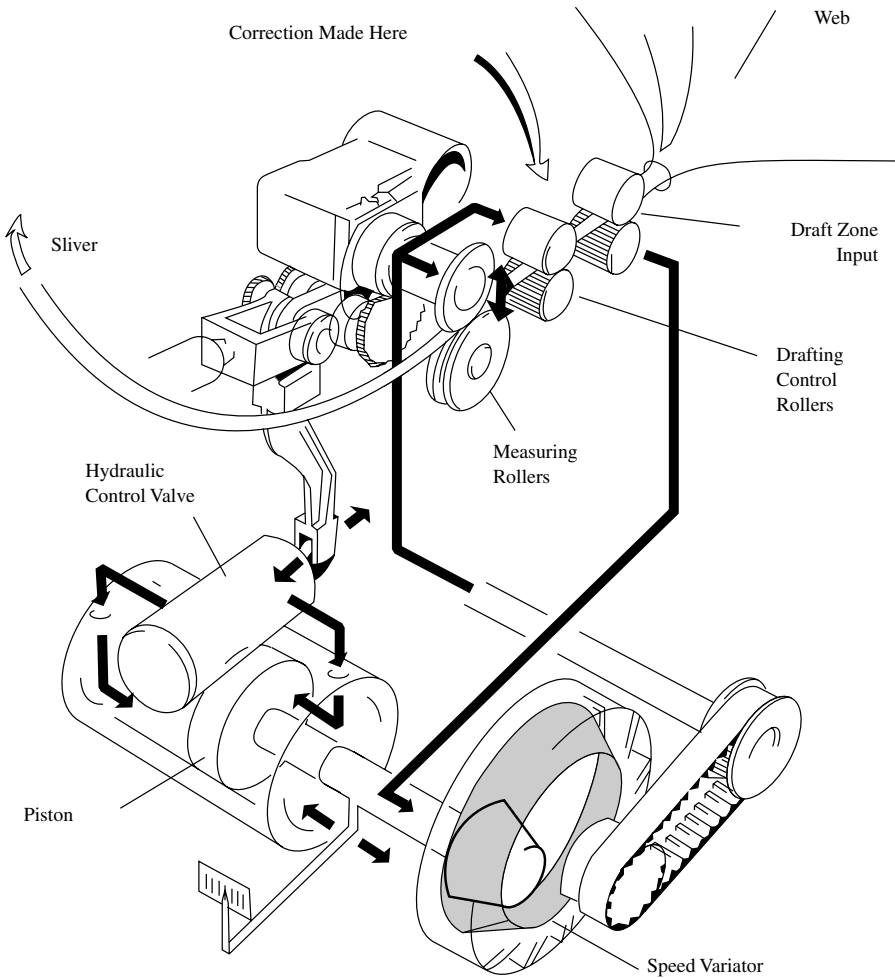


FIGURE 3.31 Tongue-and-groove device fitted for short-term closed-loop autoleveling at the card. (Courtesy of Crosrol UK Ltd.)

In woolen carding, the web leaving the last doffer is monitored for unacceptable variations. The sensor is an optical device that measures the intensity of a beam of light passing through the web. Variations in the mass per unit area of the web are detected through changes in the intensity of the transmitted light and the data received electronically by a control unit. To avoid a very slow response time caused by the size of carding set, or even that of the carder section, the control unit is made to alter the doffer speed so as to minimize variations of the mass per unit area of the web from the preset value required. The system, however, is capable of controlling only medium- and long-term variations in the carded web.

Open-loop systems, as indicated earlier, are fitted to the feed device to the card. The sensor either monitors thickness of the batt or mass per unit area. This is done

prior to the measured portion of the batt being fed forward by the feed roller, and the necessary change to the feed roller speed is regulated to increase or reduce the feed rate. The thickness may be monitored, as illustrated in Figure 3.32, for a short-staple card. The pressure sensor is fitted at the front of feed plate, where the plate and feed roller forms a wedge to progressively compress and nip the batt; changes in the batt thickness are readily detected.

An alternative thickness monitor, known as the Servolap system (developed by the manufacturer Houget Duesberg Bosson) and fitted to roller-clearer cards, uses gamma radiation to penetrate the fiber mass and a scintillation tube to detect the ray intensity transmitted through the mass. The measured changes are used to control a chute or volumetric hopper feed to the card, so the control system is in fact a closed-loop autoleveler for the hopper feeder rather than an open-loop system for the card.

Figure 3.33 illustrates the use of a weigh plate positioned between the lattice feed and the feed-rollers on the forepart section of the woolen card. As the fiber mass passes from the chute feed or hopper feed to the feed rollers, it crosses over the weigh plate. Deviations in mass per unit area from the preset required value are then corrected by automatically adjusting the speed of the feed rollers.

Most autolevelers will correct fluctuations in the monitored fiber mass of up to ± 30 percent and minimized deviations to within ± 1 to $\pm 2\%$, based on the mass of 5-m lengths of sliver. Closed-loop, short-term autolevelers and open-loop systems, because of their faster response time, give good levels of control over short lengths.

3.6 BACKWASHING

Traditionally, after worsted carding the wool sliver may be given a wet-cleansing treatment called *backwashing*. This would be done to remove soiling, which may have occurred during carding.⁴⁶ Since washing and drying of the sliver disturbs the fiber arrangements, the slivers have to be lubricated and gilled in preparation for

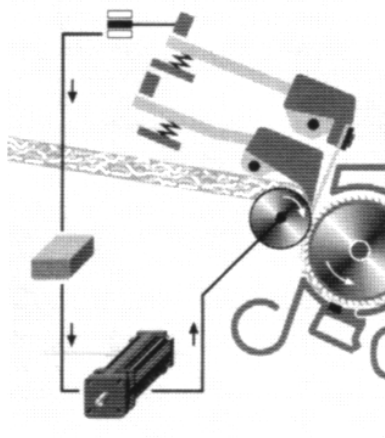


FIGURE 3.32 Open-loop autoleveler on short-staple card. (Courtesy of Rieter Ltd.)

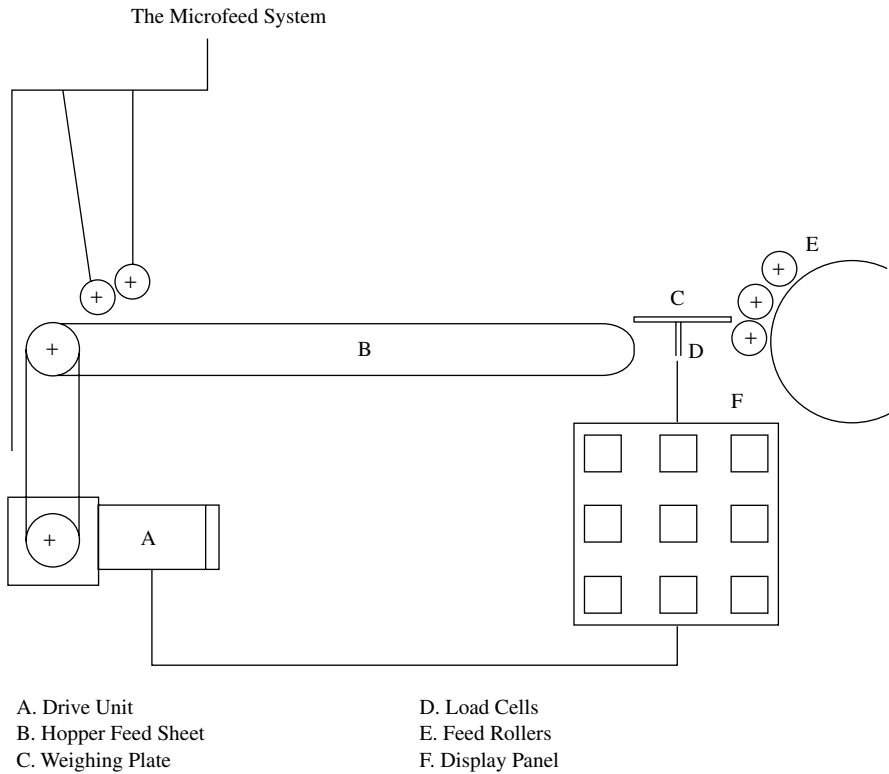


FIGURE 3.33 Use of weigh plate in open-loop autoleveler.

combing. Backwashing is not always practiced today because of the prohibitive cost and because improved lubricants applied at the blending stage reduce soiling during carding.

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APPENDIX 3A

Card Clothing

Two types of card clothing are used today: flexible or fillet wires, and saw-tooth wires (commonly called *metallic wires*). Historically, flexible clothing was the first to be fitted to all card components. Metallic wire became prominent in the early 1960s with the increase in production rates from 5 to 20.5 kg/hr of cotton cards. Fillet wires tend to require regular cleaning (termed *stripping and fettling*) to remove trapped waste fibers, whereas metallic wires dispense with this requirement. The use of metallic wire rapidly spread into worsted and semi-worsted carding as economics underlined its nonfettling advantages.

Fillet wire is still widely used in woolen carding because of its advantage over metallic wire in being able to process blends of very different fiber types, color mixtures, and oil and grease content, and because it provides a gentler action for weak fibers. However, metallic wires are used on the forepart of a woolen card set, where large tufts have to be opened, with the fillet clothing being fitted to the carder section where a high point density is required for more precise separation of fibers. The focus of this appendix will be on metallic wires. The subject of flexible card clothing is well covered in a monograph by Alan. G. Brydon.⁴⁷

3A.1 METALLIC WIRES: SAW-TOOTH WIRE CLOTHING

Figure 3A.1 shows the key parameters of saw-tooth wire geometry that govern the performance of metallic wire clothing, fixed flats and revolving flats. Table 3A.1 gives the range of typical values for the parameters.

3A.1.1 TOOTH DEPTH

In Figure 3A.1, $\leftarrow a \rightarrow$ is the working depth of the tooth. This determines the fiber-carrying capacity of a tooth. Taker-in wires are required to perform the initial task of breaking down and opening up the entangled fiber mass, and as such the tooth depth should be large. Cylinders, swifts, and stripper wires should have short working depths so as to prevent high fiber loading and to keep the fiber at the tip of the tooth to maximize the shear force of the carding action. Workers and doffers should have large working depths so as to hold the fiber mass for effective carding and fiber

Tooth Depth a and b
 Tooth Angles Θ and Φ
 Point Population m / in. and n/ in.
 Point Dimension x and y

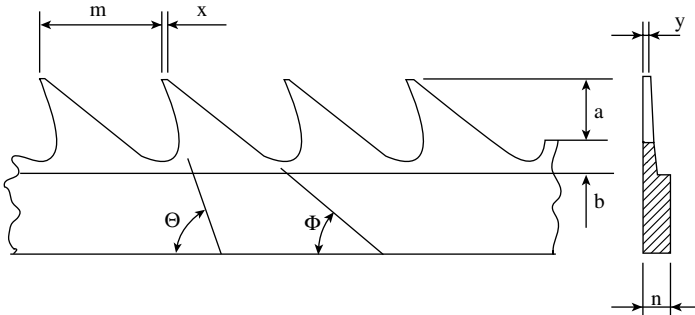


FIGURE 3A.1 Saw-tooth wire geometric parameters.

TABLE 3A.1
Revolving Flat Cards and Roller Clear Cards

Component	Θ	m (mm)	a (mm)	ppi
Revolving flat cards				
Taker-in	78–90°	1.95–760	(a + b) = 5 – 6.65	27–208
Cylinder	50–80°	1.30–3.0	(a + b) = 2.0 – 2.65	240–1075
Doffer	60°	1.80–2.20	(a + b) = 3.70 – 4.70	298–403
Roller clear cards				
Taker-in	50°	5.30–8.60	1.34–2.74	19–78
Swift	70–80°	1.80–3.20	1.46–1.85	224–398
Doffer	50–55°	2.50–3.10	0.95–1.25	166–272
Worker	50–60°	3.00–4.15	3.00–3.43	52–215
Stripper	50–75°	4.10–4.25	1.23–3.00	62–105
Morel	50°	3.80–5.50	1.60	73–212

transfer during doffer web formation. Morel wires have shorter depths, since the aim is to keep VM particles above the web of fibers and in easy striking range of the burr beater.

Measurement $\leftarrow b \rightarrow$ is the base depth of the tooth, which is usually free of the fiber mass but is important to the aerodynamic effects generated by the rotating components. Too shallow a depth can result in pressure points and fiber blowouts, producing a patchy web.

D3 \rightarrow is the tooth depth of the revolving flats. Strictly, the revolving flat wires may be either flexible, semirigid (as illustrated in the diagram), or rigid (metallic saw-tooth). Currently, flexible and semirigid are most widely used, but much research

and development is being invested in increased use of rigid wires. The clothing is flexible if it deforms under temporary bending stress and then resumes its original position. Basically, this is achieved by the base of the round wire lengths being held in a laminated foundation fabric, which has suitable elastic properties. Semirigid clothing is of a similar construction, but flat wire is used for increased rigidity, and base fabric is made of denser material. Since the vital function of the tops is to provide an effective holding of tuftlets during the carding action and to retain short fibers and impurities, the tooth depth must not be shallow.

3A.1.2 TOOTH ANGLES

Front angle, θ . This determines the fiber-holding power of the tooth. For roller-clear cards running without a fancy roller, the tooth angle of the swift clothing should be near upright, i.e., ranging from 70 to 80°, with the use of a fancy roller a shallower angle may be used, 60 to 70°. The teeth of swift clothing are required hold and carry fibers through the carding zones but enable a controlled release of fibers to the doffer. The doffer and worker clothing require more acute angles than the swift, which, combined with tooth depth, give greater fiber-holding power.

With the short-staple card, a more acute angle (60 to 66°) is necessary for cottons, since the fibers are more entangled than for man-made fibers (70 to 75°). For carding a blend of cotton and man-made fibers, cotton wire specifications are mainly used. Similar to roller-clear cards, the angle of the doffer wire is critical to the control of the fiber transfer efficiency and therefore the sliver quality. With too low a transfer efficiency, the cylinder load will be high, causing the sliver to have a high nep count. Reducing the tooth angle of the doffer should assist in rectifying the problem. However, if the transfer efficiency is too high, the card web will appear patchy with very small groups of unseparated fibers, and therefore the doffer tooth angle should be increased.

Back angle, β . This assists the holding power of the clothing in that a large angle assists fibers to become embedded in the teeth. This is an advantage for the worker and doffer clothing but not for the cylinder, swift, and stripper clothing.

Flats carding angle, α . The working angle of the flats is within the range of 75 to 80°. Low angles will too strongly resist the cylinder wire removing fibers and can result in fiber breakage. Conversely, too large an angle prevents effective carding and also results in neps.

3A.1.3 POINT DENSITY

Essentially, point density or tooth density is an important contributing factor to the carding capacity or carding power, and the amount of carding power needed to separate fibers is defined by a combination of the fiber length and fineness within fiber mass to be processed. The carding power is the number of teeth present on the working surfaces for processing the fiber mass passing through the card. Thus, the number of teeth per fiber can be determined from the equation for the intensity of opening. Carding quality improves when the number of teeth per fiber is just less than one for both the taker-in and the cylinder (or swift of the carder section). However, too low a value may increase the short-fiber and nep levels.

3A.1.4 TOOTH POINT DIMENSION

The x and y dimensions are important to point penetration into tufts. When $xy = 0$, the teeth has a needle point. This gives the best penetration and reduces nep levels but is most susceptible to damage and is important for the cylinder wires when carding fine fibers. With roller-clear cards, the tooth point dimensions should be finer on the carder section — particularly on the swift.

3A.2 FRONT AND REAR FIXED FLATS

The metallic wires used have a simpler geometry than the other wire clothing. To withstand the impact from large particle impurities, the rear fixed flat has a high front angle, θ , a low back angle, β , and a low population. The front fixed flats have a similar geometry so as not to hold fibers but to prepare fibers for doffing in a more controlled manner.

3A.3 WEAR OF CARD CLOTHING

Although heat treatment and wear-resistant coatings are used, the performance of card clothing degrades overtime because of tooth point wear. For example, in carding cotton, the cylinder and flats clothing usually must be repeatedly reground to sharpen the teeth after 200 to 1000 tonnes of throughput, depending on production rate and cotton grade. Even though grinding improves the performance of a worn clothing, the tooth point dimension would have increased, and therefore the effectiveness is less than when the clothing was new. With successive grinding treatment, clothing will reach a stage at which nep levels are considered unacceptable, and the clothing will then need to be replaced.

APPENDIX 3B

Condenser Tapes and Rub Aprons

3B.1 TAPE THREADINGS

The examples below are for a four-apron layer.

3B.1.1 THE FIGURE 8 THREADING

In this configuration (see Figure 3B.1), each tape is threaded in a figure 8 and, from one end, placed around and along the dividing rollers. Tensioning rollers A, B, C, and D are then brought into contact with the tapes. Tensioning rollers F and G are then positioned so that odd-number tapes feed the odd-number aprons (i.e., aprons 1 and 3). Similarly, rollers E and F are positioned so that even-number tapes feed even-number aprons (i.e., aprons 2 and 4). The half twists in the belts ensure that the same belt surface is always in contact with the dividing rollers, thereby transporting the fiber ribbons to the rubbing aprons.

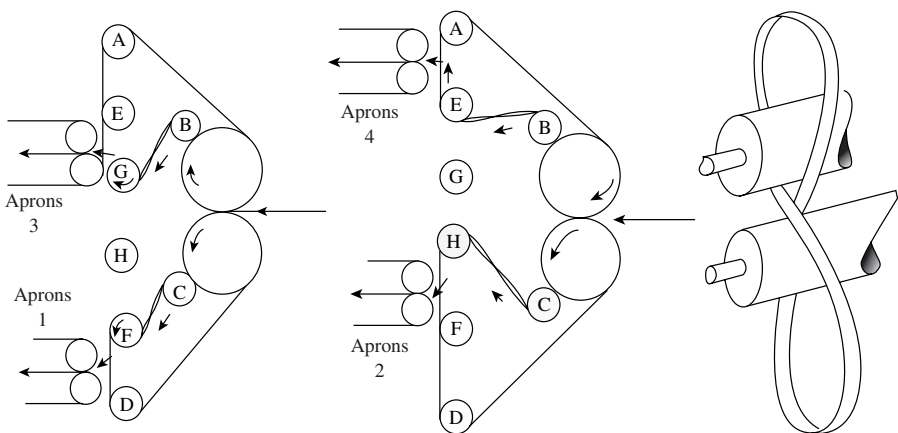


FIGURE 3B.1 Threading by Figure 8. This uses one tape to provide two slubbings.

3B.1.2 SERIES THREADING

Series threading (Figure 3B.2) uses one tape to provide one slubbing. For a one-to-one arrangement the card web must pass between the entry rollers so that odd-number tapes are above it and even-numbers are below it or visa-versa. The scissors action of the tapes divides the web and ribbons are transported to the relevant rubbing aprons. Again a half-twist is put in the tape but on the return path.

3B.1.3 ENDLESS THREADING

Here, an endless tape is made to follow the two paths shown in [Figure 3B.3](#) alternately across the card width, thereby providing the network for feeding the rubbing aprons.

3B.2 RUBBING APRONS

Figure 3B.3 shows diagrammatically the main features of one of a pair of rubbing aprons. The endless leather (or plastic-covered) apron is mounted on three rollers.

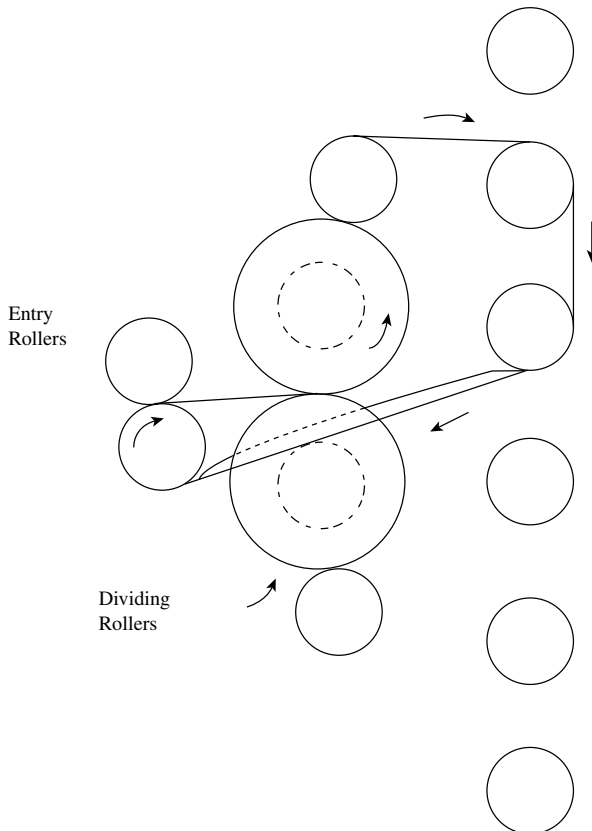


FIGURE 3B.2 Series threading.

The whole assembly stretches the width of the card. A gear wear fitted to the shaft of one of the rollers is free to slide in a driver gear wheel (not shown). This allows the reciprocating action of the aprons to proceed without hindering the rotation of the driven shaft and thereby the circulating movement of the apron. A driven shaft with a double eccentric gives the rubbing aprons their reciprocating action. Thus, the reciprocating action consolidates the fiber ribbon while the circulating movement simultaneously feeds the formed slubbing to the package build device. The number of rubs per minute may be 200 to 600. A higher rate is needed to make finer slubbings than that required for coarse ones, and the use of two banks of rubbing aprons in tandem improves slubbing consolidation.

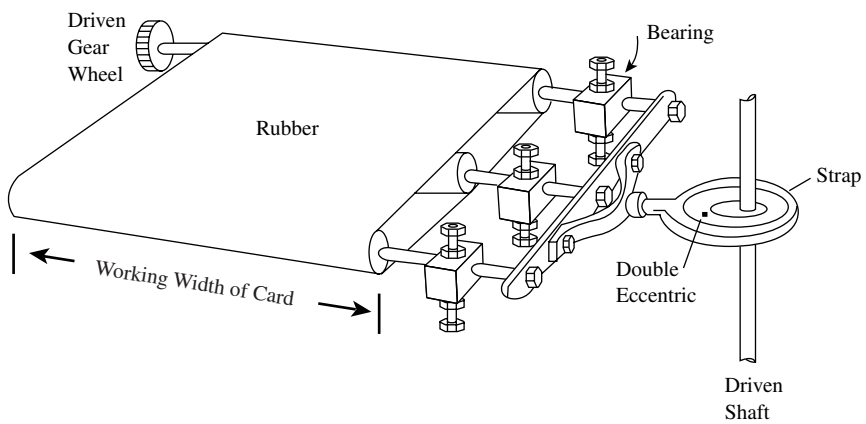


FIGURE 3B.3 Rubbing aprons.

APPENDIX 3C

Minimum Irregularity and Index of Irregularity

The theoretical minimum irregularity of a sliver can be derived as follows. In a sliver where fibers are randomly arranged, let the average number of fibers per cross section be n . Thus, the standard deviation of the variation is

$$\sigma_n = \sqrt{n}$$

The relative coefficient of variation or the minimum sliver irregularity resulting from variations in the number of fibers in the cross section is

$$CV_{\min} \% = \frac{100\sigma_n}{n} = \frac{100}{\sqrt{n}}$$

This equation is applicable for any fiber length and length distribution, provided all the fibers are uniform along their length and are of the same fineness. It is therefore also the minimum CV% of the linear density. However, if the fiber linear density varies, this effect must be added to the effect of the variation of the number of fibers so as to obtain the minimum variation of the sliver linear density.

With wool, the fiber fineness is given by the measured diameter. If CV_d is the coefficient of variation of the measured diameters, then

$$CV_{\min} \% = \frac{100}{\sqrt{n}} \sqrt{(1 + 0.0004 CV_d^2)}$$

With other fibers, fineness is expressed by their linear densities, and therefore

$$CV_{\min} \% = \frac{100}{\sqrt{n}} \sqrt{(1 + 0.0001 CV_f^2)}$$

where CV_f = coefficient of variation of fineness

The above can be rewritten in the more general form,

$$CV_{min}\% = \frac{100a}{\sqrt{n}}$$

where a = the fiber irregularity factor

By calculating $CV_{min}\%$ and measuring the actual irregularity $CV_{act}\%$, the following ratio can be used as an index of irregularity, I :

$$I = \frac{CV_{act}\%}{CV_{min}\%}$$

Thus, the greater is the value of I above unity, the more irregular the sliver or linear assembly.

4 Carding Theory

In [Chapter 3](#), it was explained that one of the main functions of a card is to disentangle tufts of fibers into a web of individual fibers. In this respect, important considerations are the process of fiber individualization, the formation of the doffer web, the fiber extent and configuration, and the degree of fiber damage during carding. This is because, in processes subsequent to carding, fiber breakage and poor fiber configuration may lead to unacceptable yarn irregularity and imperfections. As described in [Chapter 5](#), fiber individualization and fiber hooks have a major influence in combing and drafting processes and therefore, ultimately, on the yarn quality and spinning performance.

In this chapter, we consider in greater detail the way fibers are disentangled in the revolving-flat and roller-top cards, along with the carding actions that lead to fiber hook formation, the blending of fibers, the leveling of variations in fiber mass, and fiber breakage.

4.1 OPENING OF FIBER MASS

4.1.1 TAKER-IN ACTION

We learned in [Chapters 2](#) and [3](#) that the fiber mass fed to the card (i.e., the batt) is an assembly of tufts and that the principal objective of the taker-in is to open up these tufts. This means beginning the process of disentangling fibers by reducing the tufts into smaller sizes, which we called *tufilets*. The taker-in opens tufts effectively when one end of a tuft is momentarily held while the teeth of the taker-in pulls individual fibers and groups of fibers from the other end.

4.1.2 FEED-ROLLER, FEED-PLATE SYSTEMS

[Figure 4.1](#) illustrates the way tufts are opened by the taker-in on the short-staple card. The batt is composed of various sizes of tufts. Therefore, when opening a fiber mass of a typical short-staple fiber diagram, the leading ends of tufts (i.e., the batt fringe) must be positioned in such a way that the trailing ends of fibers that are much shorter than the mean fiber length are nipped along with longer fibers during the opening action. The importance of the “feed roller and feed plate” combination is that the surface of the feed plate tends to profile the roller, enabling the nipping

of all fibers to take place close to the taker-in teeth. Figure 4.1 illustrates how the compression forces on the batt, resulting from the feed roller loading, increase as the batt approaches the taker-in. The front of the feed plate facing the taker-in has a narrow horizontal plateau and then bevels steeply toward the taker-in, making a wedge space in which the batt fringe comes into contact with the taker-in teeth. This wedge space enables the taker-in teeth to progressively penetrate the batt thickness. The top layer is first removed, bringing the remaining fibers nearer to the teeth. In this way, most tufts are effectively opened.

Fiber properties such as fineness, length, friction coefficient, and elastic characteristics will play an important role in opening of the fiber mass. However, for a

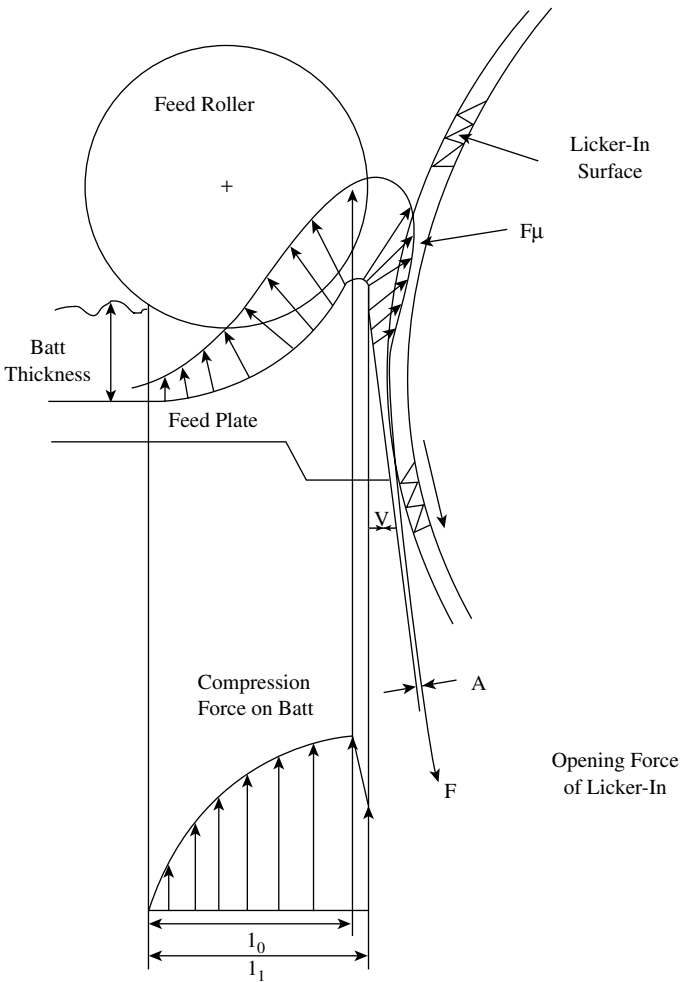


FIGURE 4.1 Opening of tufts by feed-roller, feed-plate taker-in combination. (Courtesy of Artz, P. and Schreiber, O., *Melliand Textilberichte*, 2, 107–115, 1973.)

given fiber type, the process variables that have been studied in relation to tuftlet size produced during opening by the taker-in of a revolving-flat card are

1. Basis weight of the batt (i.e., g/m²)
2. Feed rate
3. Taker-in speed
4. Taker-in clothing (angle of tooth and point density)
5. Setting of feed-plate to taker-in
6. Ratio of cylinder and taker-in surface speeds
7. Presence of rear fixed flats

Photographs taken of the fiber mass on a taker-in^{2,3} during the carding of cotton fibers showed that around 40 to 50% of the mass comprised individual fibers, and the remainder was tuftlets of various sizes. [Figure 4.2](#) shows a typical distribution of weighed tuft sizes before the action of the taker-in and the distribution of weighed tuftlets resulting from the licker-in action on the batt fringe. As can be seen, the tuft sizes are reduced to tuftlets, of which the vast majority are less than 3 mg. The figure also shows examples of the superficial size (i.e., surface area base on two-dimensional photographs) of the tuftlets. It is evident that the constituent fibers of some tuftlets appear less compact than in other tuftlets. The reason for this can be seen in [Figure 4.3](#), which shows a batt fringe from which fibers were removed by a taker-in.

The fibers indicate a combing type action by the taker-in. Individual fibers can be seen projecting from the fringe; the projecting lengths at the bottom of the batt are longer than those at the top.³ Also at the fringe are tufts that lie at various orientations to the direction of feed, i.e., the machine direction. They have dissimilar geometry, size, and density. Tufts that are not in line with the machine direction are likely to be either split into tuftlets of a few milligrams or, if already tuftlet size, to be removed unchanged rather than separated into individual fibers.^{2,3}

Nittsu et. al² studied the effect of the first five of the seven process variables listed above. Five classes of tuftlet size (i.e., areas) were established through measurements taken from photographic images of tuftlets on the taker-in surface. [Figure 4.4](#) depicts the changes in the number per taker-in revolution of each tuftlet class with changes in processing conditions. The total number of tuftlets decreases with closer feed plate settings, lower feed rates, smaller angles of saw-tooth clothing, and higher taker-in speed; the decrease is for all the tuftlet classes. Since the taker-in opens the batt into tuftlets and individual fibers, a decrease in the total number of tuftlets suggests an increase in the mass of individual fibers.

In Chapter 3, we learned that the surface speed of the cylinder is around twice that of the taker-in. When the fiber mass is transferred onto the cylinder by the *front-of-tooth to back-of-tooth action*, this speed difference tends to thin the fiber mass. That part of the mass comprising individual fibers is effectively attenuated by a draft of two, and so the number of tuftlets per unit area is reduced. The tuftlets, however, are not reduced in size — they become elongated.² Both the individual fibers and the tuftlets become more aligned to the machine direction.^{2,4} On making contact with the stationary flats at the back of the card, the tuftlets are reduced in size, and

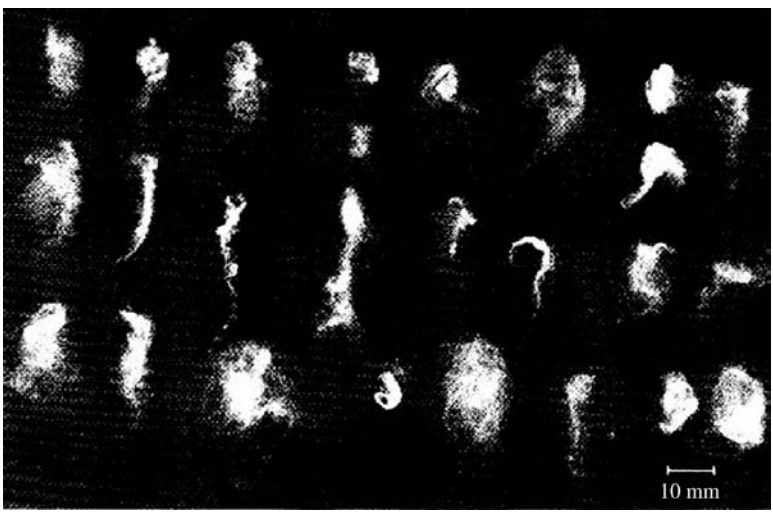
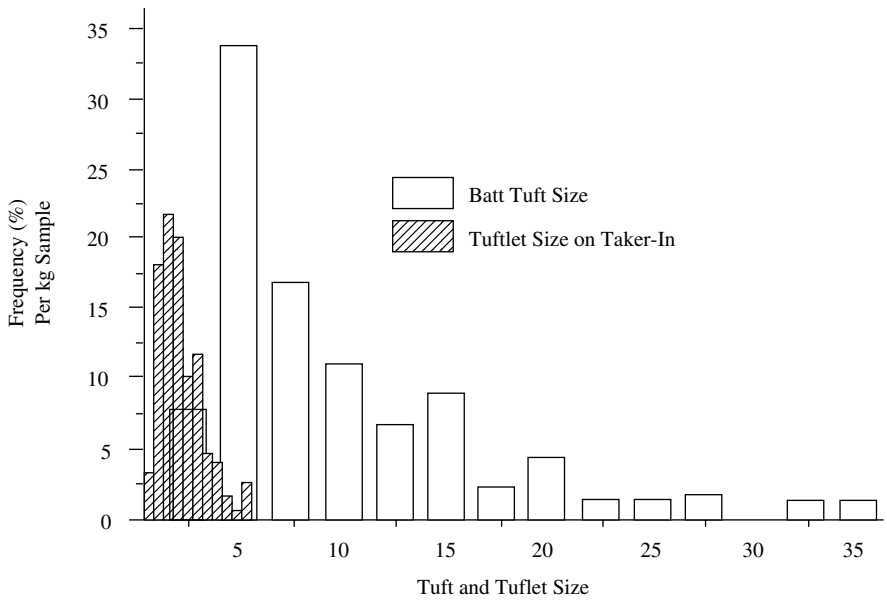


FIGURE 4.2 Distribution of tuft and tuftlet sizes.

this facilitates better fiber disentanglement and separation by the subsequent interaction of the revolving flats and cylinder.

4.1.2.1 Feed-Roller Systems

Figure 4.5 illustrates an example of the saw-tooth clothed, single-pair feed roller and the triple-merelle feed-roller systems used, respectively, on woolen and worsted

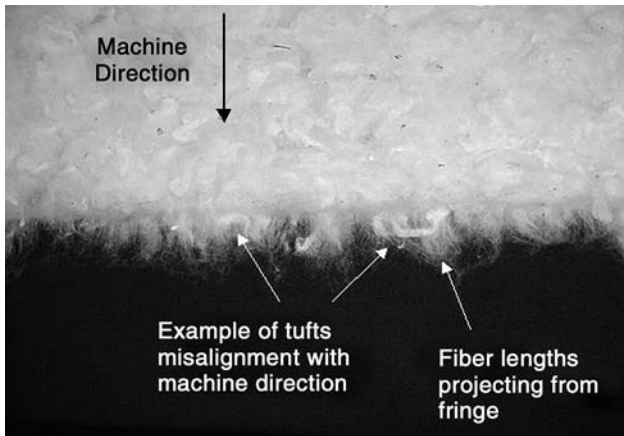


FIGURE 4.3 Cotton batt fringe fed to taker-in.

cards. With the merelle system, the first pair of rollers are clothed with saw-tooth wire, and the second pair are fluted and run at three times the surface speed of the first pair. The third pair are pin-rollers and have a surface speed half that of the second pair. The single-pair arrangement subjects fibers to a sudden step increase in speed as they make contact with the taker-in, similar to the feed-roller, feed-plate arrangement for short staples. However, with longer-staple fiber (in particular wool), the objective is to achieve a gentler, less aggressive opening and thereby lower the potential for fiber breakage resulting from the entanglement of fibers in the tufts. Fiber breakage will be discussed in more detail later. Townend et al.⁵ demonstrated that by having a series of roller trains, each pair running faster than the preceding pair, scoured wool tufts that were oriented at various angles to the mass flow would, through the small draft applied, become less entangled, more elongated, and closely oriented to the machine direction prior to their point of contact with the taker-in. As mentioned above, the more elongated a tuft is in the machine direction, the more easily it is to disentangle the constituent fibers. The increase in speed toward the taker-in provides a gentler progressive opening by the taker-in.

4.2 CARDING ACTIONS

4.2.1 CYLINDER-FLAT ACTION

The setting of the flats to cylinder leaves too small a gap for photographic observations of the way tuftlets are separated into individual fibers. Therefore, the consensus view is based on interpretation over many years of experimental data. Essentially, the very narrow gap between the flats and cylinder clothing enables tuftlets on the cylinder to be easily caught by the flats. Prior to the carding zone, air is dragged along by the rotating cylinder and, at the carding zone, the flow is suddenly restricted by the narrow gap. As a result, the air movement lifts the tuftlets toward the flats, assisting them in being easily caught. As explained in [Chapter 3](#), part of the fibrous waste from the carding process includes flat strips. Bodgan⁶ and Hodgson⁷ found

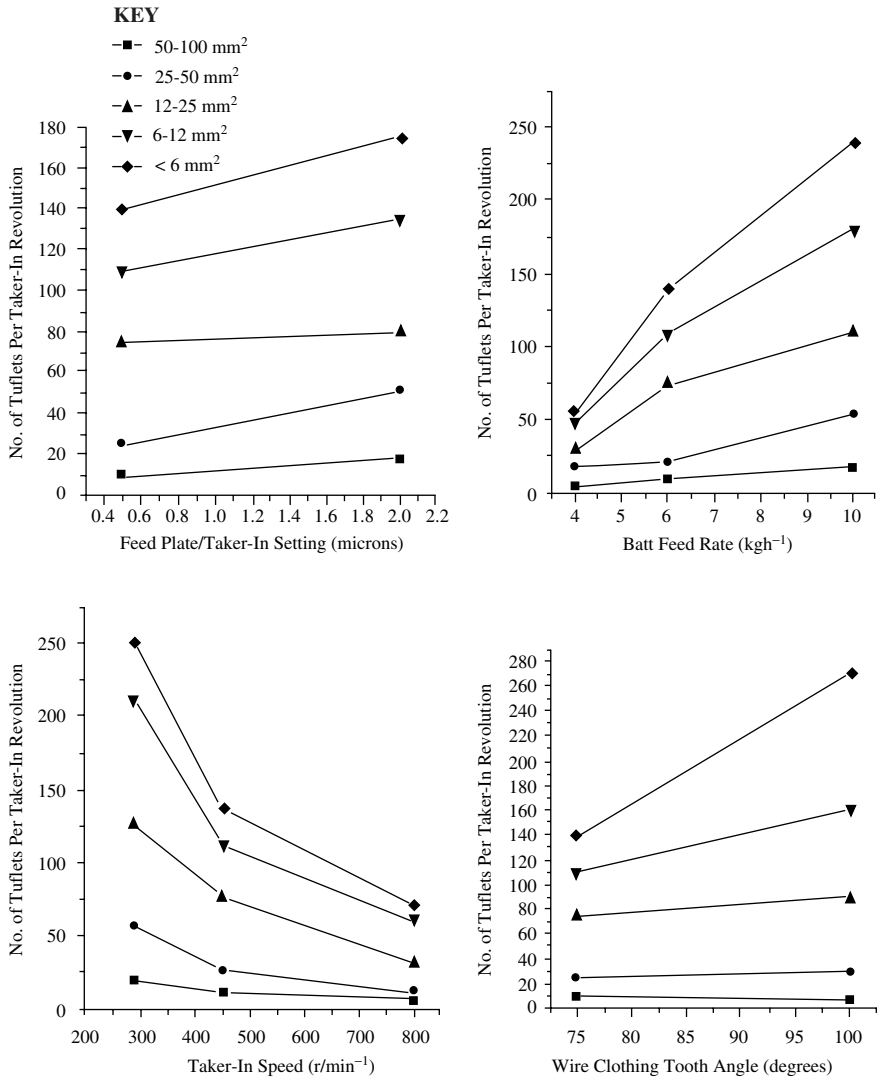


FIGURE 4.4 Effect of process variables on the number of tuftlets per taker-in revolution. (Courtesy of Nittsu, Y., et al., Cleaning action in the licker-in part of a cotton card, part 1: Opening action in the licker-in part., *J. Text. Mach. Soc. Japan*, 10, 218–228, 1964.)

that, as flats move in the direction of the cylinder rotation, they tend to load quickly with tuftlets as they reach the interface with the cylinder, acquiring two-thirds of their final load for each working cycle of the carding action with the cylinder.

Varga⁸ suggests that what is commonly called the carding action, i.e., the *point-of-tooth to point-of-tooth* for disentangling and separating fibers, is actually a combination of two sub-actions, shearing and combing. The shear action occurs first,

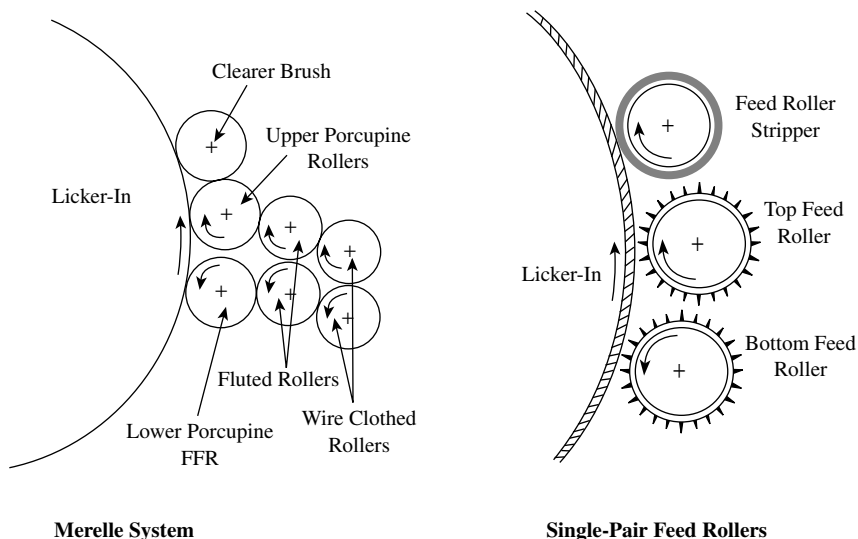


FIGURE 4.5 Feed-roller systems on woolen and worsted card.

whereby the upper layer of a tuftlet or a loosely opened fiber group is caught and held by a flat, while the bottom layer is sheared away by the faster-moving cylinder surface. The bottom layer comprises a much smaller number of fibers than the original tuftlet and can be termed a *minituftlet*. The top layer hangs from the flat and makes contact with subsequent teeth of the cylinder clothing as they pass by. This gives rise to combing, where the teeth hook single fibers or very small groups of fibers (microtuftlets) and comb them from the top layer. Flats downstream of the initially caught tuftlet catch the sheared-away bottom layer on the cylinder and any other mini- or microtuftlet, and the shear and combing actions are repeated on these. In this way tuftlets and mini- and microtuftlets are separated, i.e., carded, into individual fibers.

Oxley⁹ showed that a tuftlet is separated, as described, into individual fibers through the flat-cylinder interchange of fibers taking place over the four flats that precede the one on which the tuftlet was initially caught. It is reasonable to assume that the number of flats over which a tuftlet is reduced to individual fibers will depend on the tuftlet size, the mass flow rate, and the flat setting. For a fixed cylinder speed, the larger the tuftlet, the higher the production rate; and the closer the flat settings, the more flats will be involved in the separation of a tuftlet.

Sengupta and et al.¹⁰ made measurements of the shear and combing forces and found that, in general, the separation of tuftlets was confined to the first ten working flats in the carding zone. Thus, fiber individualization of tuftlets does not occur over the full carding zone. The full carding zone, however, aligns and parallelizes fibers in the machine direction. Generally, as Figure 4.6 shows, fibers are most aligned after leaving the cylinder-flat zone, and their alignment decreases during transfer to the doffer. The figure also shows that the relative alignments are affected by increased taker-in speed, flat speed, doffer speed, and calender draft.⁴

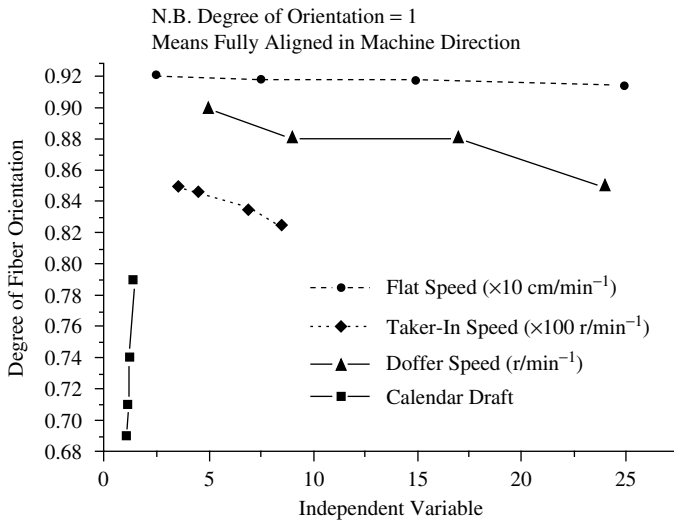


FIGURE 4.6 Fiber orientation during carding.

How well the revolving-flats card disentangles and individualizes fibers is often reflected in the propensity of thick and thin places in a carded-ring spun yarn. It is important that microtuftlets do not get caught at the base of the cylinder teeth, in particular between the teeth of the clothing (i.e., within the spiral pitch), because they then miss contact with the flats clothing and subsequently form thick places in the yarn. Artzt and et al.¹¹ studied the effect of cylinder and flat clothing parameters and cylinder speed on carded ring-spun yarn strength and imperfections. Only changes in the imperfections were statistically significant. Their results showed a complex interaction between fiber fineness, cylinder speed, and cylinder and flat teeth densities. Their findings can be summarized as follows:

1. Thick places in the yarn are generally microtuftlets.
2. Propensity of thick and thin places decreases with increased tooth density.
3. Coarse fibers are easier to individualize and, therefore, lower tooth densities are effective.
4. High tooth densities and the lower cylinder speed are as effective as lower tooth densities and high cylinder speed.

4.2.2 SWIFT-WORKER-STRIPPER ACTION

In Chapter 3, we saw that the swift-worker-stripper combination involves *point-of-tooth to point-of-tooth* action between swift and worker, and *point-of-tooth to back-of-tooth* between swift and stripper. The two actions give almost a similar shearing and combing effect as that proposed by Varga, only here tufts or tuftlets are sheared between the worker and swift and combing between stripper and swift. Shearing is the dominant action and contributes most to fiber disentanglement and individual-

ization. Importantly, a worker can subject the fiber mass it retains to several shear cycles. The combing effect is small, because the surface speed ratio of stripper and swift is much lower than revolving flats and cylinder.

It was stated in [Chapter 3](#) that the swift-worker-stripper action gives a blending of the fiber mass and a leveling of irregularities in the mass on the swift. To understand how effective the swift-worker-stripper combination is at individualizing the fibers of a tuftlet, and at blending and leveling the fiber mass, we must consider the fraction of the material retained by the worker as a result of the shearing action. In the literature, this fraction, p , is called the *collecting power*, as part of the fiber mass on the swift is initially collected by the worker. Alternatively, it is called the *lifting power*, since the worker lifts part of the fiber mass from the swift during the shearing action, or the *retaining power*, because part of the fiber mass is retained on the worker after the shearing action. The latter term seems more appropriate and is used throughout the following text.

It is important to know how the retaining power is affected by carding conditions, in particular the speed and setting of workers, and by fiber type. Martindale¹² published a simple formula for the retaining power of a worker. Referring to Figure 4.7, and assuming steady state running conditions, let

- Q (g/min) = the uniform rate of the fiber mass on the swift passing point A and leaving point C
- m (g) = the fiber mass on the worker (i.e., worker load)
- n (rpm) = the speed of the worker
- r = the radius of the worker
- f = the fraction of the worker surface retaining the fiber mass
- θ = the angle subtended at the center of the worker by f

Then, $f = \theta/360$, and the fiber mass on the worker is retained for $\theta/360n$ minutes, i.e., the time the worker takes to rotate through the angle θ . During this time, the

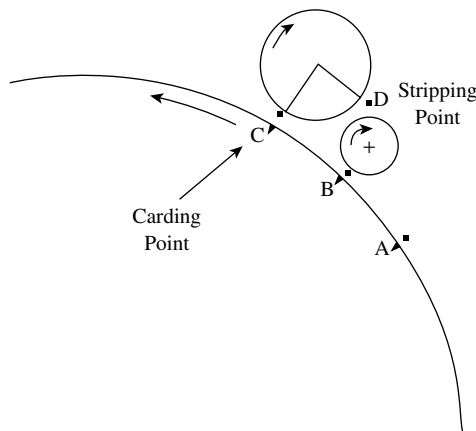


FIGURE 4.7 Swift-worker interaction.

amount of fiber reaching B from A is $Q\theta/360n$, and the amount laid down at B by the stripper is m . Therefore, the fiber mass reaching C is $[m + (Q\theta/360n)]$. However, the amount of fiber collected by the worker at C is m . Hence, the retaining power is given by

$$P = \frac{m}{\left(m + \frac{Q\theta f}{n}\right)} \quad (4.1)$$

Thus, P is the ratio of the mass per unit time being removed by the worker from the swift and the mass per unit time being taken into the carding zone by the swift. Practically, by stripping the worker, m can be determined; f and n are measured through observation, and Q can be obtained by either the Krylov¹³ or the Kaufman¹⁴ method. With a given fiber type and fixed carding conditions, experimental measurements have shown that P remains reasonably consistent for each worker-stripper pair around a swift.

Consider now the case for a blend of two fiber types. As an example, take a 50/50 blend of fibers A and B. If initially considered separately and then as the blend, we can see from the above equation that

$$m_A = \frac{P_A Q f}{[1 - P_A]n}; \quad m_B = \frac{P_B Q f}{[1 - P_B]n}; \quad m_C = \frac{P_C Q f}{[1 - P_C]n} \quad (4.2)$$

where P_A , P_B , and P_C are the corresponding retaining powers, and $m_C = m\#_A + m\#_B$.

A worker will retain $m\#_A$ from the 50% of fiber A contributing to Q and, similarly, $m\#_B$ from the 50% of fiber B. Thus, $m\#_A = 1/2 m_A$, and $m\#_B = 1/2 m_B$; hence,

$$m_C = \frac{P_A 1/2 Q f}{[1 - P_A]n} + \frac{P_B 1/2 Q f}{[1 - P_B]n} = \frac{Q f}{2n} \left(\frac{P_A}{1 - P_A} + \frac{P_B}{1 - P_B} \right)$$

$$\frac{P_C}{1 - P_C} = \frac{1}{2} \left(\frac{P_A}{1 - P_A} + \frac{P_B}{1 - P_B} \right) \quad (4.3)$$

This shows that a blend of different fibers does not affect the way the workers deal with each fiber individually. Table 4.1 gives experimental and calculated p-values that confirm this.

Figure 4.8 shows p-values for a four worker-stripper pair combination over the top of the swift on a scribbler and on an intermediate. The effect of worker speed and setting is also illustrated. It is evident that the retaining powers of the workers differ from each other. On both swifts, P decreases as the fiber mass moves forward toward the doffer, but the decrease is less rapid for the intermediate and would be even less if a carder were included in the system. Noticeably, the p-value is greater for the first intermediate worker than it is for the fourth scribbler worker, and this would be similar for the carder. This trend of decreasing P is assumed to be common

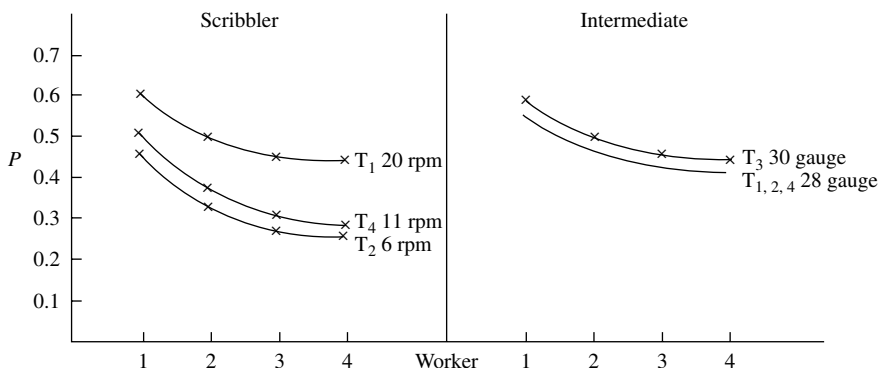


FIGURE 4.8 Mean p-values for workers on scribbler and intermediate. T₁, T₂, T₃, T₄, refer to sequence of workers.

TABLE 4.1
Effect of Collecting Power of Workers for a 50/50 Wool Blend*

Scribbler	P_A	$P_A/(1 - P_A)$	P_B	$P_B/(1 - P_B)$	$P_C/(1 - P_C)$	P_C (calculated)	P_C (experimental)
1st worker	0.430	0.754	0.606	1.538	1.146	0.534	0.533
2nd worker	0.346	0.529	0.473	0.897	0.713	0.416	0.418
3rd worker	0.304	0.437	0.414	0.706	0.572	0.364	0.368
4th worker	0.253	0.339	0.375	0.600	0.470	0.320	0.318

*Schlumberger noils = 10% oil/Australian pieces = 10% oil.

to both worsted and woolen carding where one or a combination of cards in a set is used. It is attributed to the idea that the first worker on the card does most of the work. The tufts or loose fiber groups are larger prior to the first worker and are therefore more easily caught by the first worker.

As the fiber mass on the swift moves toward the front of a card or a card set, it will have a greater percentage of individual fibers aligned in the machine direction and progressively smaller and fewer minitufts or microtufts. Therefore, the fiber mass on the swift will be less easily caught by downstream workers operating with the same machine conditions. It is also the case that, as fibers on the swift pass by successive strippers, and the fibers from the worker are returned to the swift, the pressure between the swift-stripper roller surfaces causes fibers to be pushed deeper into the swift clothing. This makes it more difficult for the downstream workers to catch fibers. The action of the fancy before the doffer is to lift the fiber mass to the tip of the clothing to enable easier fiber transfer to the doffer. The use of a small fancy between worker-stripper pair has also been used to increase p-values.

The significance of a high p-value is illustrated in Figure 4.9. Here, PM is the quantity of fiber removed by a worker, which is initially set to run with a p-value of 0.4 and is then changed to operate at 0.8. M is the input fiber mass on the swift

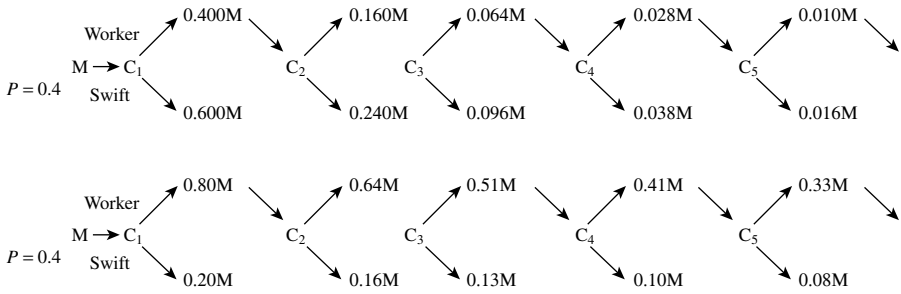


FIGURE 4.9 Effect of p-value on carding.

entering zone C (see Figure 4.7) Although with each revolution of the worker an equal mass will be retained, the figure shows what happens to the first mass of fiber, $0.4M$, removed from the swift and then fed back to the swift within a short time by the stripper, and a portion removed again by the worker. This cycle is shown for five revolutions of the worker. Thus, for $P = 0.4$ in the first cycle, C_1 , 0.4 of M is removed from the swift by the worker. This leaves $0.6M$ to move forward with the swift. The $0.4M$ is subsequently stripped from the worker and returned to the swift, and it becomes mixed with new tuftlets coming into this particular part of the carding zone. In the second cycle, C_2 , the worker will again remove 0.4 of the mass entering the carding zone. This means $0.4 \times 0.4M = 0.16M$ is now retained by the worker — that is to say, 0.16 of the first mass fed into the zone. At C_3 , $0.4 \times 0.16M = 0.64M$ is retained, and at C_4 and C_5 , $0.026M$ and $0.010M$, respectively. For $P = 0.8$, the retained mass per cycle is greater than for $P = 0.4$.

It can be seen that, with each revolution of the worker, the fraction of fiber mass M , taken forward by the swift, decreases. The higher p-value results in much smaller fractions taken forward. This means that, with an increase in the p-value of a worker, the fiber mass will undergo a higher number of shear cycles and therefore better carding.

The closeness of swift-worker settings, the density and condition of the worker clothing, the card production rate, and the relative surface speeds of worker to swift are the four principal factors controlling the p-value of a worker.¹⁵

Figure 4.10 shows that worker load varies almost exponentially with the closeness of setting; the closer the setting, the higher the p-value. This is because fiber capture by the worker depends on the height fibers project above the teeth of the swift clothing, and most fibers project only a shorter distance from the clothing. Thus, the closer the worker is to the swift, the greater the worker load and the higher the p-value.

In Chapter 3, it was explained that the worker, swift, and doffer clothing should be sharp (i.e., of a small land) for effective carding and low nep levels. Having sharp points to the worker clothing allows better penetration and retention of tuftlets and therefore a higher p-value. However, with regard to tooth density, the fraction of the unit area occupied by the wire points is as important as the number of points per unit area. Table 4.2 shows the effect on worker load of these two factors for fillet wires of different count, crown, and gauge. It can be seen that increasing the density of points is effective only if the area occupied by the points does not decrease.

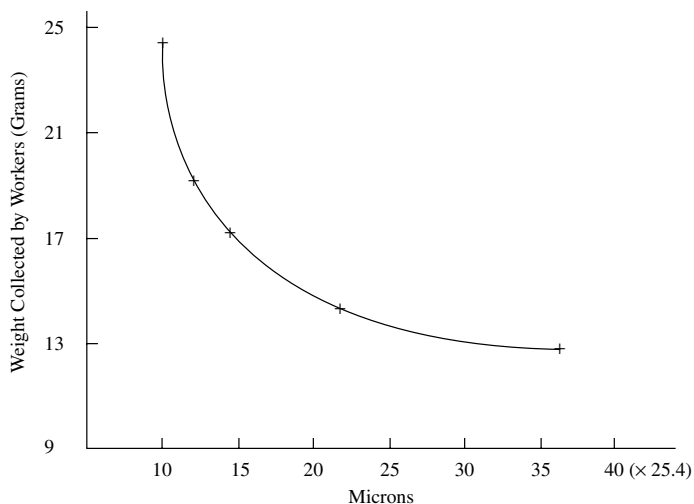


FIGURE 4.10 Effect of worker setting on p-values.

As would be expected, worker load increases proportionally with production rate; however, at wide settings, this does not hold true because of the effect of fiber protrusion height. Generally, increased worker speed gives the greatest increase in p-values. The reason is simply because, in a given interval of time, a larger surface area of worker clothing points will interact with the swift and retain more fibers. Thus, the higher the production rate of the card, the more important the choice of worker speed, as well as the worker setting, is for effective carding.

TABLE 4.2
Effect of Points Per Unit Area and Fraction of Area Occupied by Wire Points

Details of card clothing	Wire dia. (mm)	Points/25.4 mm ²	Area of wire in 25.4 mm ²	Mass collected by worker (g)
55/6/23	0.5842	132	0.055	2947
80/8/28	0.3910	256	0.045	2963
100/10/32	0.3048	400	0.045	5971
120/12/33	0.3048	576	0.065	4058
140/14/34	0.2794	784	0.075	3179

Although the carding action is the most important function of the swift-worker-stripper combination, the way in which this action occurs gives rise to two other important functions, namely blending and leveling. For the moment, only a basic description will be given of the blending-leveling effect. A more detailed treatment is provided in the next section, where the influence of the swift-doffer interaction is included.

The worker-stripper action (i.e., removing fibers from the swift and laying them down again after a short time delay) blends the material being carded and levels out variations in the feed from the hopper. The blending-leveling effect occurs in two ways: along the length of mass flow (i.e., lengthways) and by the superposition of fibers as the material passes through the card. Consider Figure 4.11. This shows that for $P = 0.5$, it would take about nine revolutions of a worker for the swift to remove all the fibers in a mass M fed to point C in Figure 4.7. To identify the mass, imagine that it was dyed red and fed among a mass of white fibers. Then, as Figure 4.11 shows, successively smaller amounts of it, each separated by an interval d_1 , would appear mixed with the other fibers taken forward by the swift. It can be seen that increasing P reduces the size but increases the number of small amounts removed and, thereby, the lengthways blending-leveling effect. The interval d_1 is the distance the swift surface rotates in the time it takes for the fraction of the fiber mass on the worker to return to the swift-worker interface and undergo the shearing action. The interval d_1 is therefore related to the time delay between each successive small amount, and this delay depends on the ratio of the worker and swift surface speeds. Thus, in the figure, the ratio for (c) is greater than that of (a) and (b), and consequently $d_1 > d_1'$.

To consider the second means of blending-leveling, i.e., by superposition, we need to imagine now that the material on the swift entering the swift-worker-stripper

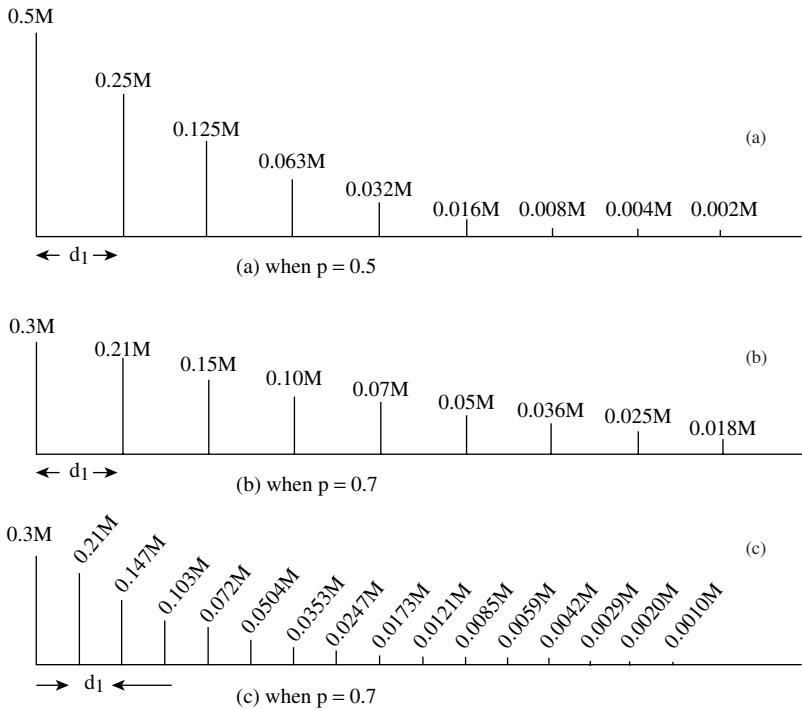


FIGURE 4.11 Blending effect of retaining power of worker. Data show decreasing amounts of red fiber mass removed by the swift per worker revolution.

carding zone is marked as successive blocks of fibers, A, B, C, ..., G, as shown in Figure 4.12. Each block is of a different color and of length d_1 . If A is our red block, then, when the worker-swift action first separates this fiber mass, the portion removed by the worker will be deposited on B (say, blue fiber mass) as the swift moves B to the point of the swift-stripper interface. If we follow this reasoning through to G (say, green fibers) and think of what the cross section of the fiber mass being taken away by the swift from the worker would look like, the appearance should be a layered structure as illustrated in Figure 4.12. This superposition of fibers is equivalent to a doubling action at the drawframe or gillbox (see Chapter 5). As depicted, the higher p-value, resulting in smaller amounts of fiber mass being removed by the swift, gives a better superposition blending-leveling effect.

Although increased p-values give better blending and leveling, increased worker speeds can militate against this in two ways. For example, if the worker speed is doubled, the time taken to redeposit the fiber retained by the worker will be halved. This reduces the blending-leveling effect, since the amounts of the red tuftlet carried forward by the swift, even though smaller, will now be half the distance apart.

Figure 4.13 illustrates the situation in which two worker/stripper pairs are run at the same speed. A and B are the respective fractions carried forward by the swift and retained by the first worker and, similarly, D and C at the second worker/swift interface. If the distance between the two worker/stripper pairs is such that, by the time B arrives at the second stripper, C is deposited on it, it can be seen that, when

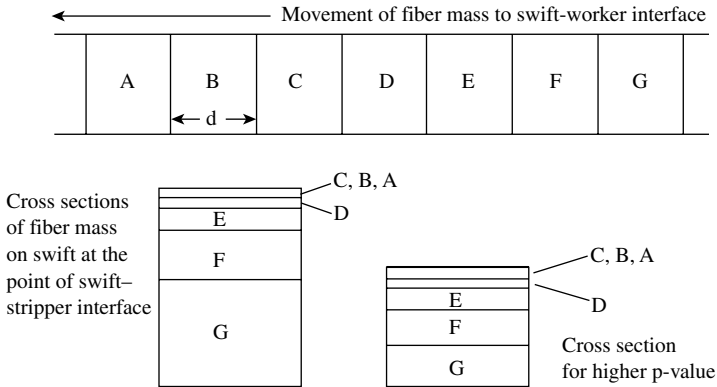


FIGURE 4.12 Effect of worker retaining power on blending by superposition.

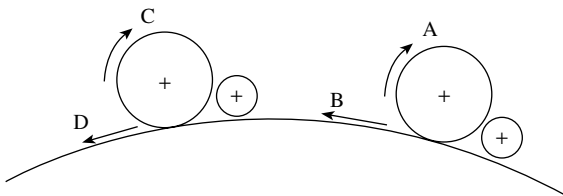


FIGURE 4.13 Effect of multiple worker-stripper pairs.

the workers have the same surface speed, as A arrives at the stripper of the second worker, B will be deposited on it. Since A and B are portions of the same retained material, the superposition of B on A militates against the blending and leveling actions. Worker/stripper pairs are usually mounted fairly close to each other so as to fit multiple positions over the top of a swift. It is therefore important that workers on the same swift run at different speeds.

4.3 WEB FORMATION AND FIBER CONFIGURATION

4.3.1 CYLINDER-DOFFER ACTION

In [Chapter 3](#), it was stated that fibers on the cylinder or swift were transferred onto the doffer and accumulated to form the doffer web as a result of the opposing directions of the saw-tooth-wire clothing of each roller set in close proximity, i.e., point-of-tooth to point-of-tooth action. In this section, we consider in greater detail the mechanism of fiber transfer with reference to the many studies undertaken to elucidate the possible effect of process variables. For ease of explanation, only the term *cylinder* will be used, since the swift is essentially the cylinder of the roller-clearer card.

Like the carding zone, the close cylinder-doffer setting has made it difficult to use photography to directly observe fiber transfer. However, several studies have made useful attempts at doing so, including the use of laser-doppler anemometry.^{2-4,16-18} These, along with other research, have helped to establish an understanding of the transfer mechanism. The referenced studies include work on the roller-clearer card, since the way fibers behave in the cylinder-doffer zone is comparable in both card types.

4.3.1.1 Fiber Configuration and Mechanism of Fiber Transfer

First, it is important to remember from [Chapter 3](#) that, ideally, there should be only individual fibers on the cylinder clothing as the cylinder surface leaves the carding zone and approaches the doffer transfer zone. Although, when viewed on the cylinder, these individual fibers appear to form a thin, filmy web (i.e., the cylinder web), they are transferred from the cylinder to the doffer not in the form of a web of fibers but as individual fibers. This gives rise to the shapes fibers have in the doffer web, i.e., the fiber configurations. The work by Sengupta and Chattopadhyay¹⁹ demonstrates this point.

While carding viscose fibers, they placed specially prepared viscose fiber tufts into the batt feed to a revolving-flats card. The fibers in these tufts were parallelized and had their ends dyed different colors so that they could be easily identified among the bulk of the fibers when observed on the cylinder surface prior to transfer, and then in the doffer web immediately after transfer. The idea was that these tracer fibers would be representative of the shapes fibers adopt before and after transfer. The fiber shapes observed were grouped into the five classes of configuration, illustrated in [Figure 4.14](#), and the relative frequencies of occurrence were determined.

[Table 4.3](#) shows that, both before and after transfer, most fibers had a hooked configuration. Prior to transfer, the vast majority of tracer fibers observed had a

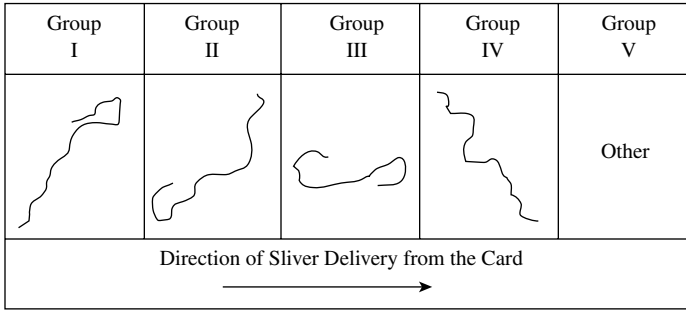


FIGURE 4.14 Classification of observed fiber configurations in doffer web.

leading hook configuration, i.e., hooked around the saw-teeth of the cylinder clothing. Their trailing ends showing no hooked configuration. In the doffer web, the greater proportion of the tracer fibers had trailing hooks. However, leading hooked and double-hooked fibers constituted a sizeable percentage of the observed tracer fibers, and there was a substantial drop in the number of straight fibers. A much earlier study by Morton and Summer²⁰ considered only the fiber configurations in the sliver but, although the relative values for the five classes were different from Sengupta and Chattopadhyay's data, the general trend was the same.

An important observation by Sengupta and Chattopadhyay was the mode of transfer of fibers from the cylinder to the doffer. Table 4.4 shows that around 50% of fibers underwent reversal during transfer so that their trailing ends became leading ends. The majority did so with a change of configuration. Of the 50% that did not undergo reversal, the majority also changed configuration. In total, 73% of fibers changed configuration. This particular observation of reversal, nonreversal, and change and no-change of configuration indicates that fibers transfer from the cylinder to the doffer as individuals and not in the form of a web of fibers.

TABLE 4.3
Fiber Configuration Before and after Cylinder-to-Doffer Transfer

Location	Trailing hooks [†]	Leading hooks [†]	Double hooks [‡]	No hooks (straight)	Other [§]	Total
Cylinder surface	61%	4.3%	4.3%	26.1%	4.3%	100%
Doffer web	43.5%	19.6%	21.7%	10.9%	4.3%	100%

[†]Trailing ends hooked, leading ends not hooked.

[‡]Leading ends hooked, trailing ends not hooked.

[§]Both ends hooked.

[§]Other configurations.

Figure 4.15²¹ shows that the cylinder-doffer region can be divided into two by an imaginary line passing through the point of closest approach of the two rollers,

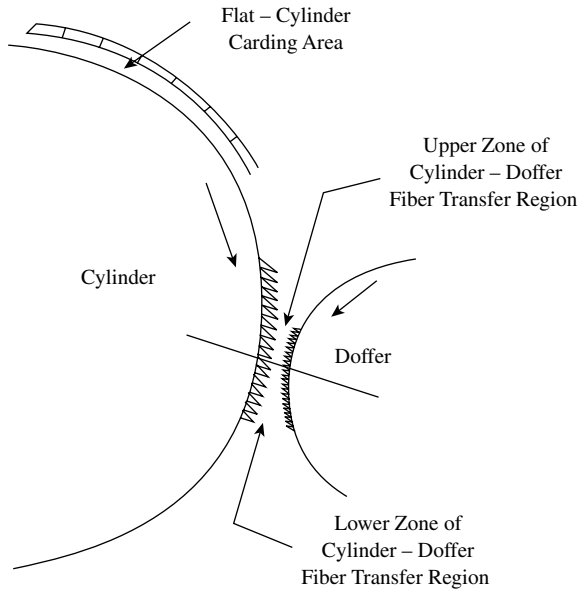


FIGURE 4.15 Upper and lower fiber transfer zones.

TABLE 4.4
Mode of Fiber Transfer from Cylinder to Doffer

Configuration in doffer web	Configurations and relative frequency cylinder surface			
	Leading (L) (65%)	Trailing (T) (4%)	Double hooks (D) (4%)	No hooks (N-H) (27%)
L (%)	5 (R-C) 5 (NR-NC)	2 (R-NC)	2 (NR-C)	3 (R-C) 4 (NR-C)
T (%)	16 (R-NC) 16 (NR-C)		2 (R-C)	7 (RC) 5 (NR-C)
D (%)	4 (R-C) 12 (NR-C)	2 (R-C)		2 (R-C) 2 (NR-C)
N-H (%)	5 (R-C) 2 (NR-C)			2 (R-NC) 2 (NR-NC)

Change of configuration during transfer from cylinder to doffer and mode of change: R-C = reverse + change, R-NC = Reverse + no change, NR-C = no reverse + change; NR-NC = no reverse + no change.

i.e., the cylinder-doffer setting line. The two regions may be referred to as the top and bottom cooperation arcs¹⁷ or, more simply, the top and bottom transfer zones.²¹ Most published studies support the idea that fiber transfer from the cylinder to the doffer largely occurs in the top zone, leading to the formation of the five classes of fiber configurations.

Based on their work with woolen cards, WIRA (formerly, the Wool Industry Research Association, now the British Textile Technology Group, BTTG)²³ reports that the transfer of fibers from cylinder (i.e., swift) to doffer is mainly a mechanical action involving the clothing of the two rollers, and that aerodynamic effects are small. A simple experiment was used to demonstrate this idea.

While carding an undyed fiber, a small square web of colored fibers was pressed into the doffer clothing to fully occupy the length of each tooth within the area of the square. Following fiber transfer, only a few undyed fibers were found on the web of colored fibers, even though the undyed fibers had formed a web surrounding that of the colored fibers. The web of colored fibers had therefore shielded the doffer clothing and prevented the mechanical action of fiber transfer within the area of the square. In the top zone, the circumferences of the two rollers converge toward the setting line and then diverge away from it in the bottom zone. It was assumed that the mechanical action of transfer would take place mainly in the top zone.

A second experiment established that, in the top zone, as fibers on the cylinder approached the setting line, their trailing lengths were lifted from the cylinder surface by the action of circular motion and centrifugal forces. This lifting of the trailing lengths makes it easier for fibers to be caught by the doffer clothing.

The experimental method used was to direct a narrow, rectangular beam of light onto the cylinder surface and take sideways photographs of the rotating surface along a line parallel to the axis of rotation. From the photographs, measurements were made of the distances that the fiber trailing ends lifted from the cylinder surface, i.e., the distance of projection, and Figure 4.16a shows a distribution of the measured distances. Because the cylinder-doffer setting is smaller than the average distance of projection, fibers will make contact with the doffer clothing over a certain length of the doffer circumference. Figure 4.16b and c show that this length of arc depends

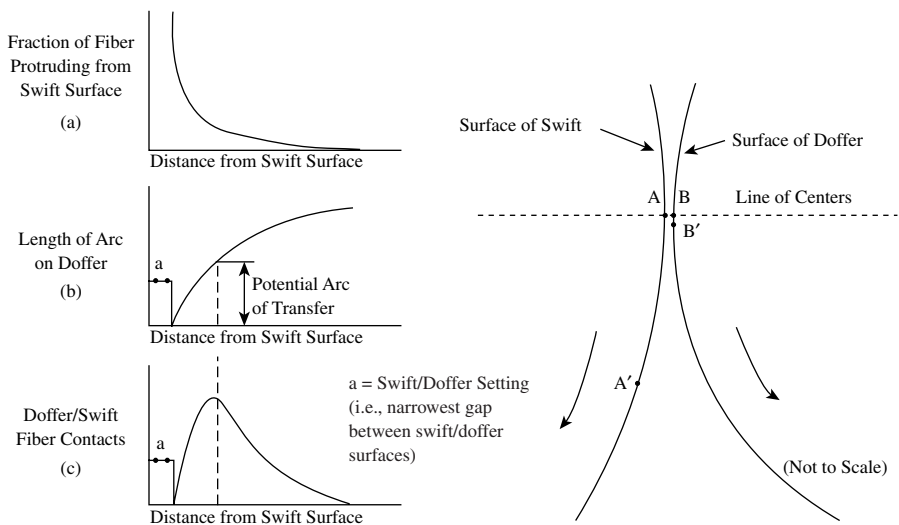


FIGURE 4.16 Cylinder-doffer transfer of fibers. (Courtesy of WIRA.)

on the fiber's distance of projection, and superimposing the two curves gives an indication where most fibers will be in contact with the doffer clothing, i.e., the potential arc of transfer.

Morton and Summers²⁰ suggest that, as the trailing ends of fibers lift from the cylinder surface, some become hooked around the teeth of the doffer clothing. The saw-tooth geometry of the doffer clothing has a steeper working angle and a longer length than the cylinder clothing; therefore, the frictional drag of the doffer clothing eventually removes these fibers from the cylinder clothing. This mechanism of fiber transfer explains Sengupta and Chattopadhyay's observations of fibers in the doffer web having trailing hooks without undergoing the reversal of their leading and trailing ends. A second mechanism for the formation of trailing hooks, attributed to Modi and Joshi,²² is simply that leading-hook fibers on the cylinder undergo reversal during transfer but without a change of configuration.

Sengupta and Chattopadhyay proposed two mechanisms for the formation of leading hooks in the doffer web. The first is that some leading hooked fibers, particularly those near the tip of a sawtooth, slide off the cylinder clothing and land on the doffer without reversal or change of configuration. The second is that other fibers slip from the cylinder with a reversal of trailing and leading ends. What was the trailing end gets buckled during landing on the slower-moving doffer surface. If, during reversal, the end sliding off the cylinder clothing becomes straightened, then a leading hook configuration will be formed. However, if the end retains its shape, a double hook configuration occurs.

No suggestion is reported in the literature of how the *nonhooked* and *others* configurations may be formed. However, we can use the above ideas to conjecture on how these shapes could occur. It is likely that, as the trailing ends of some fibers lift, they make contact with the cylinder housing just prior to the doffer. The frictional drag of the housing would be sufficient to pull such fibers from around the sawteeth of the cylinder clothing and, in doing so, straightening the leading hooked end. These fibers would be entrained in the boundary air-layer moving with the cylinder rotation and would eventually land onto the doffer in a *nonhooked* configuration.

Although most researchers consider that fiber transfer occurs in the top zone, Simpson²¹ suggests that transfer can take place in both zones and that the particular zone in which transfer actually occurs influences the fiber configuration and is

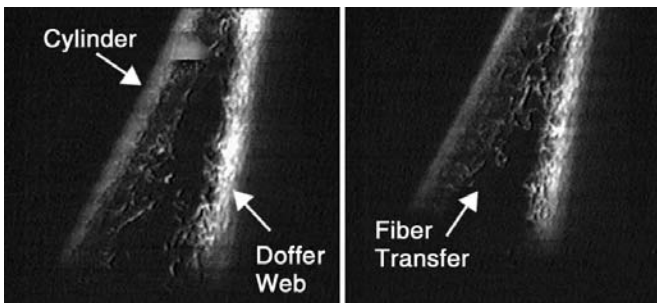


FIGURE 4.17 Fiber transfer from cylinder to doffer in revolving flats card.

dependent on the cylinder-doffer surface speed ratio. The majority of fibers transfer in the top zone, and increasing the ratio results in an increase in the number of such fibers and a larger number of trailing than leading hook fibers. Decreasing the ratio causes more fibers to be transferred in the bottom zone, and the percentage of trailing hooks increases, whereas leading hooks decrease. Let us look, therefore, at the possibility of fiber transfer in the bottom zone.

Consider the two points, A and B, shown in [Figure 4.16](#) on the cylinder and doffer surfaces, respectively.²³ For a revolving-flats card, A will be moving at, say, a speed of 26 m/s and B at 1.5 m/s. After, say, 0.01 s, A will have moved 26 mm to A' and B 1.5 mm to B'. The vertical distance between A' and B' will be within the range of a typical fiber length distribution of a Middling grade of cotton. It is possible that the transfer of those fibers that make contact with the doffer clothing and become hooked by the clothing close to the setting line will take place in the bottom zone, having started in the top zone. It can be also reasoned that the higher the doffer speed, the greater the chance that bottom-zone transfer will occur.

Lauber and Wulforth¹⁷ and Dehghani and et al.,²⁴ using laser-doppler anemometry and high-speed photography, studied the fiber dynamics in the bottom zone. Their analysis showed that, in this zone, air turbulence occurs between the two roller surfaces and may disturb fibers that are not securely held by the doffer. [Figure 4.17](#) shows a typical photograph from Dehghani's work. It can be seen that a thin layer of fibers has remained on the cylinder clothing. The outer edge of the doffer web is irregular in appearance, and fibers are caught in the air turbulence. There was also evidence that some fibers were initially held between the two surfaces and subsequently pulled onto the doffer surface. These observations would seem to support Simpson's view that fiber transfer can occur in the bottom zone.

4.3.1.2 Effect of Machine Variables on Fiber Configuration

Ghosh and Bhaduri²⁵ studied the effect of various machine parameters on the fiber configuration in the doffer web. The taker-in speed was found to have no significant effect on the relative proportions of the five classes of configuration. Because of the relative proportion of trailing to leading hooks in the web, it has become the convention to call the former configuration *major hooks* and the latter *minor hooks*. [Table 4.5](#) shows that, with a constant cylinder speed and production rate, increasing the doffer speed reduces the percentage of major hooks but increases the proportion of minor and double hooks. However, increasing the cylinder speed for a fixed doffer speed decreased minor and double hooks without significantly affecting the proportion of major hooks.

It can also be seen from the table that varying the sliver count by increasing doffer speed, while keeping a fixed cylinder to doffer speed ratio and production rate, gave similar results. For a fixed sliver count, an increased production rate means an increase in the doffer speed and a decrease in the cylinder-doffer speed ratio. The associated results show an increased number of minor hooks and a decreased number of major hooks. [Table 4.5](#) indicates that, irrespective of whether the cylinder or doffer surface speed is changed, yarn imperfections increase with increasing cylinder-to-doffer surface speed ratio. However, varying the ratio did not affect yarn strength or yarn irregularity.

TABLE 4.5**Effect of Cylinder and Doffer Speeds, and Sliver Count of Fiber Configuration**

Sliver count (ktex)	Doffer speed (rpm)	Cylinder-doffer surface speed ratio	Hook %			Uster imperfections
			MAJ	MIN	B	
I						
4.9	5.0	86.0	75	19	12	114
4.4	5.6	77.1	73	19	12	102
3.5	7.0	61.4	68	28	16	92
2.8	8.0	48.5	64	30	17	82
II	Cylinder speed (rpm)					
3.5	65	18.6	64	43	25	276
3.5	180	51.4	75	29	18	120
3.5	215	61.4	68	28	16	92
3.5	270	77.1	68	24	14	–
3.5	315	90.0	69	21	14	87
III	Cylinder speed (rpm)	Doffer speed (rpm)				
4.4	5.9	158	74	19	11	133
3.2	8.3	220	79	27	18	118
2.2	11.8	315	65	39	22	88

I = cylinder (rpm), 215; carding rate, 3.2 kg/h. II = doffer (rpm), 7; carding rate, 3.2 kg/h. III = cylinder-doffer surface speed ratio, 53.3; carding rate, 3.6 kg/h; MAJ = major hooks; MIN = minor hooks; B = double hooks.

Simpson²⁶⁻²⁹ used an indirect method called the *cutting ratio*³⁰ for determining major and minor hooks and reported similar results to Ghosh and Bhadwi. However, the effect of increasing the cylinder-doffer speed ratio on major hooks became smaller as the card production rate increased but was larger for minor hooks.

Using either a three-roller doffing device or a doffer comb to remove the card web from the doffer was found to have no effect on fiber configuration.²⁵ However, the draft applied to the web as it is stripped from the doffer and condensed into a sliver, i.e., calender draft, can alter the relative proportions of the fiber classes of configuration. The tendency is to straighten fibers.^{20,25} Increasing the calender draft decreases the percentages of hooked fibers and increases that of nonhooked fibers. The average fiber extent for the various configurations increased with calendar draft. The fiber extent for major hooks was found to be smaller than for minor hooks, which suggests that the former has a longer length of hook, making it more difficult to straighten by the calendar draft.

4.3.1.3 Recycling Layer and Transfer Coefficient

The presence of a fiber layer on the cylinder clothing in the bottom transfer zone, observed by Lauber and Dehghani, indicates that not all the fiber mass on the cylinder leaving the carding zone becomes part of the doffer web on first contact with the

doffer clothing. Using the tracer fiber technique of different-colored fiber ends, Ghosh and Bhaduri²² found that fibers generally went around with the cylinder for several revolutions before being incorporated in the doffer web. Earlier work was carried out by Debar and Watson³¹ in which radioactive tracer fibers were employed to determine the motion of fibers during carding. They found that, on first contact with the doffer clothing, only 20% of tracer fibers became part of the doffer web; most fibers passed the doffer up to a maximum of 20 times before being incorporated into the doffer web. Some tracer fibers, as they made several cylinder revolutions, were caught more than once by the flats. Other researchers^{13,25,32} have reported figures within the range of 10 to 25 cylinder revolutions before fibers are transferred. Whatever the actual figure, it is clear that only a fraction of the fiber mass on the cylinder clothing leaving the carding zone is transferred to the doffer, and that a recycling layer is present on the cylinder after the transfer zone.

Krylov¹³ showed that the relative distribution of the fiber mass in a revolving-flats card operating under steady conditions may be depicted by Figure 4.18. A similar approach can be taken to illustrate the mass distribution for the roller-clearer card.

Important to an understanding of fiber transfer are the following fiber mass values per revolution of the cylinder:

- Q_o , the operational layer, i.e., the fiber mass leaving the carding zone
- Q_1 , the mass transferred from cylinder to doffer
- Q_2 , the mass of the recycling layer

The ratio of Q_1 to Q_o is termed the transfer coefficient, K , and can be measured as described below.

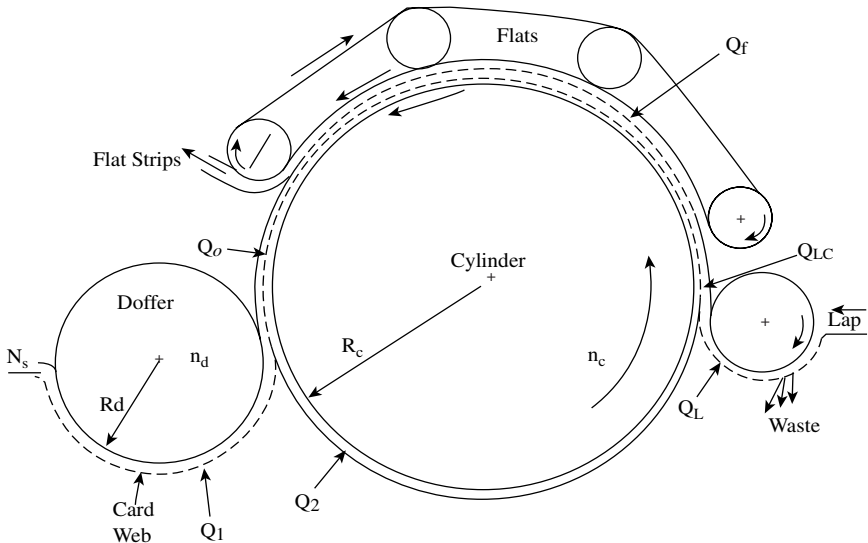


FIGURE 4.18 Representation of the fiber mass distribution within a revolving-flats card.

After the card has reached a steady running state, the feed, doffer, and flats (or workers and strippers) are stopped while the cylinder continues running. The doffer is then restarted with the feed and flats (workers and strippers) out of action. Initially, the doffer will present the part of the web that was on it when it was stopped. This is easily detached along a visible dividing line formed when the feed roller was stopped. The mass of the remaining part of the web will be Q_o .

Within a single revolution of the cylinder, a point on the doffer would travel a distance of

$$L_d = \frac{2\pi R_c V_d}{V_c} \quad (4.4)$$

where R_c = the cylinder radius (m)
 V_c = cylinder surface speed (m/min)
 V_d = doffer surface speed (m/min)

If T is the sliver count in ktex, and P is the card production rate in kg/h, then

$$P = 3.6V_d T$$

$$Q_1 = TL_d = \frac{2\pi R_c T V_d}{V_c} = \frac{200\pi R_c P}{3.6V_c}$$

and

$$Q_o = \frac{200\pi R_c P}{3.6KV_c} \quad (\text{in grams}) \quad (4.5)$$

Hence, from the measurement of Q_o , K can be calculated for known production parameters. Reported values for K are within the range of 0.02–0.18.^{13,22,61} This means that, with each cylinder rotation, 82 to 98% of the fiber mass (Q_o) remains on the cylinder as the recycling layer, Q_2 .

From [Figure 4.18](#), if Q_L is the fiber mass on the taker-in, then this will be drafted to give the mass Q_{LC} fed to the cylinder with each cylinder revolution,

$$Q_{LC} = \frac{Q_L V_t}{V_c} \quad (4.6)$$

where V_t and V_c = the taker-in and cylinder surface speeds, respectively

The mass going into the carding zone with each revolution of the cylinder is $Q_{LC} + Q_2$ and is called the *cylinder load*; that leaving the zone is

$$Q_o = Q_{LC} + Q_2 - Q_f \quad (4.7)$$

where Q_f = the fiber mass per cylinder revolution contributing to the flat waste

An equation for the roller-clearer card would not include Q_f .

Although an important parameter, Q_f is much smaller than $Q_{LC} + Q_2$. Therefore, Q_o may be taken as a practical estimation of the cylinder load for the card. Hence, from the start of carding, the buildup of cylinder load, Q_o , the doffer web, Q_1 , and the recycling layer, Q_2 , will follow the geometric progression given in [Table 4.6](#).

When n is very large, there is continuity of fiber mass and, ignoring the flat-strap waste, the mass from the taker-in onto the cylinder, per revolution of the cylinder, equals the mass transferred to the doffer, i.e., $Q_1 = Q_{LC}$.

4.3.1.4 Factors that Determine the Transfer Coefficient, K

There are two actions that form the recycling layer. The first is termed the *retaining power of the cylinder*.²³ We learned earlier that the leading ends of most fibers on the cylinder are hooked around a tooth of the cylinder clothing. The remaining length of a hooked fiber is, however, longer than the tooth pitch and will make contact with several teeth and with other close-by fibers. The resulting frictional forces will oppose transfer of the fiber. Where such frictional forces are sufficiently large, they will retain fibers on the cylinder. Circular and centripetal forces will try to lift fibers off the cylinder surface to be caught by the doffer. Therefore, the retaining power is inversely proportional to the square of cylinder surface speed and to the working angle of tooth of the cylinder clothing, but directly proportional to the fiber-fiber and fiber-metal friction coefficients.³²

The second action is the cylinder clothing taking back, from the doffer web, previously transferred fibers. It was explained earlier that most fibers are transferred onto the doffer in the top zone to form the doffer web. In forming this web, fibers build up to fill the doffer clothing, and some will project beyond the tooth height of the clothing. Those fibers protruding beyond the total distance of tooth height plus the cylinder-doffer setting will be subsequently caught by the cylinder teeth moving toward the setting line. The effect is a robbing back by the cylinder of previously transferred fibers that are not securely held by the doffer clothing. DeSwann³³ demonstrated that fibers are removed from the doffer by the cylinder clothing. In pulling fibers back onto the cylinder surface, the saw-tooth clothing of the cylinder is said to impart a combing action on the doffer web and may influence fiber configuration by straightening leading hooks.²²

Based on the mechanism for fiber transfer, particularly in the top zone, the transfer coefficient is governed by the tooth angle, tooth density, and the circular motion and diameters of the cylinder and the doffer. These factors influence the effectiveness of the two rollers to hold fibers onto their respective clothing, i.e., their retaining powers and thereby determine the transfer coefficient K . [Figures 4.19](#) and [4.20](#) illustrate forces that control the retaining power. There are two situations to consider. First, there is the case of fiber shedding, where fibers are thrown off the cylinder before contact with the doffer. Second, there is the opposing action of the respective clothing when they are simultaneously in contact with a fiber.

In the case of fiber shedding, for simplicity,³⁴ we can refer to the centrifugal force and the opposing frictional force acting on a fiber. These forces will give rise to the resultant force S . The resolved component J slides the fiber to the tip of the

TABLE 4.6
Buildup of Cylinder Load, Doffer Web, and Recycling

Cylinder revolution	Fiber mass feed to cylinder	Cylinder load, Q_0	Fiber mass transferred to doffer, Q_1	Recycling layer, Q_2
1	Q_{LC}	Q_{LC}	$Q_{LC}K$	$Q_{LC}[1 - K]$
2	Q_{LC}	$Q_{LC} + Q_{LC}[1 - K]$	$K \{Q_{LC} + Q_{LC}[1 - K]\}$	$[1 - K] \{Q_{LC} + Q_{LC}[1 - K]\}$
3	Q_{LC}	$Q_{LC} \{1 + [1 - K] + [1 - K]^2\}$	$Q_{LC} \{1 + [1 - K] + [1 - K]^2\}$	$[1 - K] Q_{LC} \{1 + [1 - K] + [1 - K]^2\}$
n when $n \rightarrow \infty$		$Q_{LC} \frac{\{1 - [1 - K]^n\}}{K}$	$Q_{LC} \{1 - [1 - K]^n\}$	$Q_{LC}[1 - K] \frac{\{1 - [1 - K]^n\}}{K}$
$[1 - K]^n \rightarrow 0$	Q_{LC}	$\frac{Q_{LC}}{K}$ (4.8)	Q_{LC} (4.9)	$Q_{LC} \frac{[1 - K]}{K}$ (4.10)

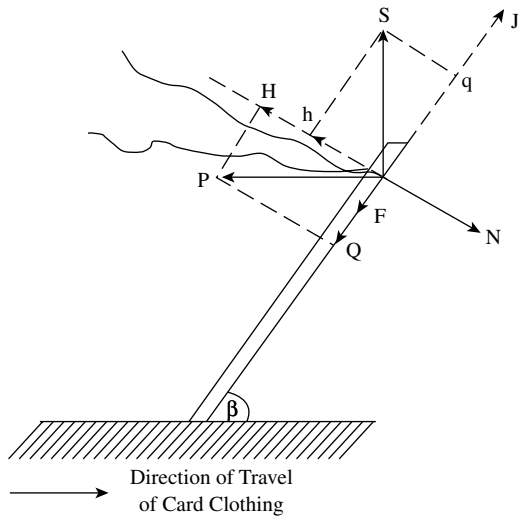


FIGURE 4.19 Fiber shedding forces.

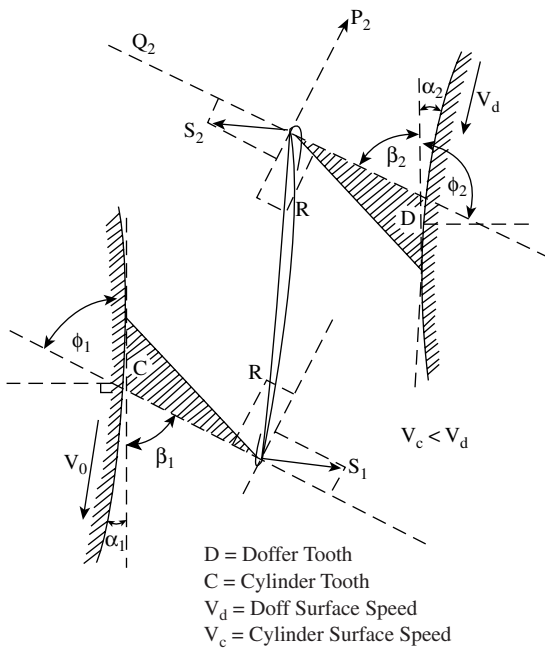


FIGURE 4.20 Interaction of cylinder-doffer fiber transfer.

tooth against the opposing forces Q and F , as shown in Figure 4.19. The fiber motion will be affected by aerodynamic drag giving rise to force P . We may assume that S and P are acting at the point of interaction between the tooth and the fiber. Thus, resolving along the working face of the tooth (direction q) and normal to the face, (direction h) to derive forces H , Q , F , and J , we get the equations of equilibrium,

$$P \sin \beta + S \cos \beta = N \tag{4.11}$$

$$P \cos \beta + \mu N = S \sin \beta \tag{4.12}$$

Hence, the condition for fiber shedding must be $P \cos \beta + \mu N < S \sin \beta$.

Substituting for N ,

$$\frac{S}{P} > \frac{\cos \beta + \mu \sin \beta}{\sin \beta - \mu \cos \beta} \tag{4.13}$$

Table 4.7 gives the required minimum values for S/P ratio for differing β and μ , and we can see that fiber shedding occurs more readily at larger angles and smaller coefficients of friction. S increases with the cylinder speed more significantly than P . Thus, the tendency for fiber shedding will increase with cylinder speed. The opposing frictional force acting on a fiber-trailing length will be higher for longer fibers. Fiber shedding is more likely to occur with short fibers.

TABLE 4.7
S/P Ratios for Differing β and μ

Fiber-Metal Friction Coefficient (μ)	Angle of Inclination (β) (Degrees)	Ratio S/P
0.20	66	71
0.23	60	93
0.23	66	75
0.23	70	66
0.26	66	80

Figure 4.20 shows the interaction of the cylinder and doffer and the principal forces involved in the opposing action of the clothing in the transfer zones. Using this arrangement, Baturin³⁵ derived an equation for the transfer coefficient as a function of the machine parameters. The angles β_1 and β_2 are the working angles of the clothing, and α_1 and α_2 are results of the curvature of the roller surfaces in relation to the tangent at the base of tooth of the roller clothing. The boundary of the bottom transfer zone is a distance H from the setting line. If the fiber length is L_f , then the vertical distance from the setting line to the base of the tooth on the doffer in the bottom transfer zone is approximately $(H - L_f/3)$ and $(H + L_f/3)$ for the cylinder. Hence,

$$\alpha_1 = \arcsin \frac{(H + L_f/3)}{R_c} \quad \text{and} \quad \alpha_2 = \arcsin \frac{(H - L_f/3)}{R_d}$$

where R_c and R_d are cylinder and doffer radii, respectively.

The action of the teeth on the fiber sets up a tension R within the fiber. Thus, $R \cos[\beta + \alpha]$ tends to push the respective fiber ends to the base of the teeth. This is, however, opposed by a component of the force S and the frictional force, $\mu(R \sin[\beta + \alpha] + S \cos[\beta + \alpha])$. Resolving along the working surface of the tooth for the respective clothing, we get the equations for equilibrium,

$$R (\cos \phi_1 + \mu \sin \phi_1) = S_1 (\sin \phi_1 - \mu \cos \phi_1) \quad (4.14)$$

$$R (\cos \phi_2 + \mu \sin \phi_2) = S_2 (\sin \phi_2 - \mu \cos \phi_2) \quad (4.15)$$

where $\phi = \beta + \alpha$

Since S tends to reduce the retaining power of the clothing, we may assume it to be inversely proportional to the retaining power. Thus, the ratio $S_2:S_1$ will be related to the ratio of the fiber masses on the two rollers after transfer, i.e., Q_1 and Q_2 .

Hence,

$$Z_1 = \frac{S_2}{S_1} = \frac{(\cos \phi_2 + \mu \sin \phi_2)(\sin \phi_1 - \mu \cos \phi_1)}{(\sin \phi_2 - \mu \cos \phi_2)(\cos \phi_1 + \mu \sin \phi_1)} \propto \frac{Q_1}{Q_2} \quad (4.16)$$

(The symbol \propto indicates “proportional to.”)

The cylinder and doffer usually have differing tooth densities of clothing. We may assume that, if N_d and N_c are the respective densities, their ratio will be proportional to the ratio of the retained fiber masses on the two rollers.

$$Z_2 = \frac{N_d}{N_c} \propto \frac{Q_1}{Q_2} \quad (4.17)$$

Although Z_1 was determined from S_1 and S_2 , it only gives a measure of the effect of the working angles of the clothing. Experimental studies by Krylov¹³ show that the ratio of the retained fiber masses on the cylinder and doffer is inversely proportional to the cylinder surface speed.

$$Z_3 = \frac{1.6 \times 10^6 R_c}{V_c} \propto \frac{Q_2}{Q_1} \quad (4.18)$$

Thus, the relationship between the fiber mass transferred to the doffer, Q_1 , and that retained by the cylinder, i.e., recycling layer Q_2 , is given by

$$Q_1 = Q_2 \frac{Z_1 Z_2}{Z_3} \quad (4.19)$$

$$Q_1 = Q_2 M \quad (4.20)$$

If q and q^* are the fiber mass per unit length of the recycling layer and of the layer transferred to the doffer per cylinder revolution, then $q^* = qM$; $Q_2 = q 2\pi R_c$; $Q_1 = q^*V_d/n_c$; and $Q_o = Q_2 + Q_{LC}$, where n_c is the revolutions per minute of the cylinder, and Q_{LC} is the new fiber layer passing from the taker-in to the doffer. Under steady-state running of the card, $Q_{LC} = Q_1$. The transfer coefficient K is therefore given by

$$\begin{aligned}
 K &= \frac{Q_1}{Q_o} = \frac{q^*V_d/n_c}{\left[\frac{q^*M2\pi R_c}{M} + q^*V_d/n_c \right]} \\
 &= \frac{V_dM}{[M V_c + MV_d]} \\
 &= Z_3 \frac{V_dZ_1Z_2}{[Z_3V_c + Z_1Z_2V_d]}
 \end{aligned}
 \tag{4.21}$$

Equation 4.21 gives the machine factors that govern the transfer coefficient. Simpson⁶¹ and Brown⁶² found that K is more dependent on Z_1 and Z_3 than on Z_2 , because tooth angle, roller diameter, and roller speed have a greater effect than tooth density on the recycling layer, Q_2 . The greater the ratios of cylinder to doffer tooth angles, diameters, and surface speeds, the lower Q_2 . However, a change in the roller diameters has less effect than changes in tooth angles.

4.3.1.5 The Importance of the Recycling Layer

Reported values show K to be small, ranging from 0.2 to 18%. This means, from Equations 4.8 and 4.10 in Table 4.6, that the recycling layer constitutes a significant part of the cylinder load. Q_2 is therefore important in two respects.

First, because it forms part of the cylinder load, its constituent fibers will be subjected to several cycles of the carding action before permanently being incorporated in the doffer web. Second, by contributing to the cylinder load, it tends to reduce irregularities in the fiber mass transferred from the taker-in to the cylinder and is said to contribute to the *evening action* of the card. It is therefore useful to know what effect the recycling layer has on the carding action and to see how changes in the process parameters affect the size of the recycling layer and the evening action.

To determine the effect of Q_2 on the carding action, Karasev³⁶ used a suction extractor to remove it while the card was in operation. He found that, without the recycling layer, the fiber mass transferred from the taker-in to the cylinder became embedded into the empty teeth of the cylinder clothing. Only the larger tuftlets and groups of individual fibers were then subjected to an effective carding action. There was a greater chance of microtuftlets and small groups of entangled fibers becoming part of the doffer web. The recycling layer therefore acts as a support to new layers of fiber mass from the taker-in, keeping the new fiber mass at the tips of the teeth of the cylinder clothing and thereby enabling better interaction of fibers with the flats (or workers) and cylinder clothing. It should be noted that Q_2 is much greater

than Q_{LC} . Therefore, as both are spread over the cylinder surface entering the carding zone, the fibers in the recycling layer will also make contact with the flats (workers) and ultimately the doffer clothing. Gupta suggests that the rotating cylinder could be considered as a large centrifuge that causes fibers in Q_2 to migrate to the periphery and make contact with the flats (workers) and doffer clothing.

Although the presence of a recycling layer assists the carding action, research findings show that a large recycling layer causes too high a cylinder load and consequently a poor carding action. A number of researchers^{37–38} have applied stochastic theory to the movement of fibers through the card. The mathematical details of such studies are beyond the scope of this book, but it is nevertheless useful to consider some results concerning the influence of the cylinder load on the effectiveness of the carding action. The reported work was for the revolving flat card; however, the reader should note that the trends are applicable to roller-clear cards.

The probability, P_f , of a fiber being carded between the cylinder and flats during one cylinder revolution is given by the ratio of the fiber mass held between flats and cylinder in the carding state, Q_{FC} , to the cylinder load, Q_o , i.e.,

$$P_f = Q_{FC}/Q_o \quad (4.22)$$

The inaccessibility of fibers in the carding state makes it impossible to obtain an exact measurement for Q_{FC} . However, an estimate can be made by assuming the maximum holding capacity of the flats, Q_{FM} , is the sum of the fiber mass held by the flats in the carding state and mass of the flat strip, Q_f . Hence,

$$Q_{FC} = Q_{FM} - Q_f \quad (4.23)$$

By running the card flats at the lowest possible speed and collecting the flat strip at different production rates, a set of increasing values will be obtained until the saturation point is reached. This maximum is a close approximation of Q_{FM} .

P_f is related to the total carding action, so changes in process parameters that affect carding quality will give corresponding changes in the value of P_f . Any process parameter causing a change in the cylinder load Q_o and/or Q_{FC} will either increase or decrease P_f . Figure 4.21 shows how carded ring-spun yarn strength, irregularity, and nep content varied with P_f , and it is evident that better yarn properties are obtained with higher P_f values. The improvement in yarn strength is attributed to the improvement in irregularity.

From the definition of P_f , we can reason that the higher the P_f value, the greater the percentage of the cylinder load that will be fully carded. This means that there will be a more efficient breaking down of tuftlets into individual fibers, reducing the opportunity for microtuftlets and entangled fibers getting into the doffer web and ultimately degrading the yarn properties. Hence, process parameters that reduce Q_o and increase Q_{FC} are important to efficient carding.

Referring to the Equations 4.8, 4.9, and 4.10 in Table 4.6, we can see that the effect of decreasing Q_{LC} is to decrease Q_1 , Q_2 , and Q_o . In practice, there are several card parameters that can be altered to change the value of Q_{LC} . Equation 4.6 shows that the taker-in and cylinder surface speed ratio can be used to decrease Q_{LC} . Because

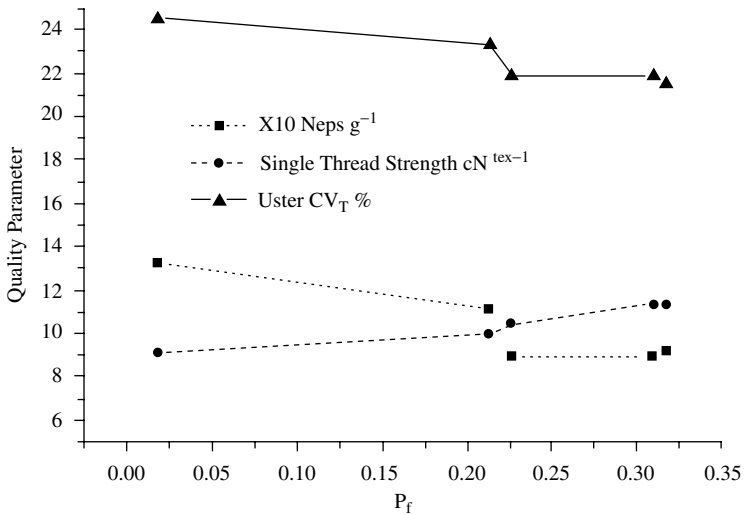


FIGURE 4.21 Effect of P_f on yarn quality.

the taker-in is required to give small tuft sizes, it is more appropriate to reduce Q_{LC} by increasing the cylinder speed. Table 4.8 confirms that increasing only the cylinder speed reduces Q_o , Q_2 (even though K increases), and Q_1 . In Figure 4.22, P_f is seen to increase with cylinder speed, so the carding action improves with cylinder speed.

Table 4.8 shows that, although Q_1 decreases, K increases with increasing cylinder speed. A number of factors contribute to the increase in K . The mechanism for fiber

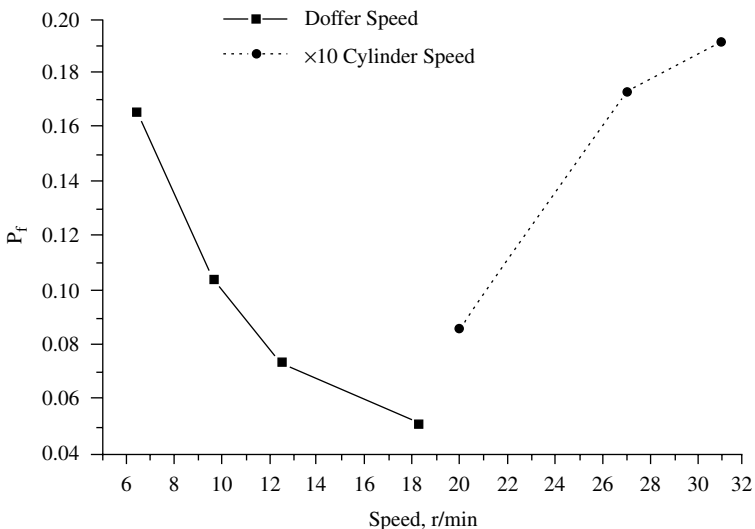


FIGURE 4.22 Effect of cylinder and doffer speeds on P_f .

TABLE 4.8
Effect of Increased Cylinder and Doffer Speed on Fiber Mass Transfer

Cylinder speed (rpm)	Q_o (reduction) (%)	Q_1 (reduction) (%)	Q_2 (reduction) %	K (%)
180	–	–	–	4.90
220	17	20	17	4.92
260	41	40	41	5.76
315	52	40	52	5.82

transfer is dependent on circular motion and centripetal forces; therefore, increasing the cylinder speed will increase K . However, we should remember that the transfer coefficient is the ratio of Q_1 to Q_o and consequently should be considered in relation to the cylinder load.

Given that increased cylinder speed reduces the cylinder load, the increased speed does in effect reduce the *retaining power* of the cylinder. As Q_1 is also reduced, the *robbing back* effect of the cylinder will be less. Thus, K will increase. This shows that the recycling layer is an important contributing factor to the cylinder load. This means that having a higher transfer coefficient does not always give an increase in the mass transferred.

Altering Q_L by changing the sliver count and/or the production rate will change the value Q_{LC} . If the sliver count is kept constant, Q_L will increase with increasing doffer speed, because the production rate increases. Q_o increases and K decreases with increased doffer speed,^{25,29} and Figure 4.22 shows that the carding action is poorer because P_f decreases with doffer speed. As illustrated by Figure 4.23, the web quality deteriorates.

If we keep a constant production rate and increase the doffer speed, the sliver count will decrease. This means Q_{LC} decreases, and therefore also Q_1 , Q_2 , and Q_o . It is evident from the table of results that the percentage decrease in Q_2 corresponds with the decrease in Q_o .

From the above discussion, we can conclude that the sliver count, production speed, and cylinder speed are key factors that control Q_{LC} and Q_2 , and thereby the cylinder load and the efficiency of the carding action. Thus, the lighter the sliver and the higher the cylinder speed, the lower will be the recycling layer and cylinder load, and the higher the transfer coefficient.

From Equation 4.22, Q_{FC} is also an important factor for efficient carding. Figure 4.24 shows that increasing the revolving-flat speed increases P_f without changing Q_o and K . Generally, the percentage of flat strip waste increased with flat speed, but the loading of each flat decreases and, therefore, so does Q_f . This means (see Equation 4.23) that Q_{FC} increases with flat speed and thereby also P_f .

From the above description, we can see the importance of Q_o to the efficiency of the carding action and to the improvement in properties of carded ring-spun yarns. Yarn properties are strongly influenced by the propensity of major and minor hooks in the card sliver. It is therefore useful to see how changes in Q_o relate to changes

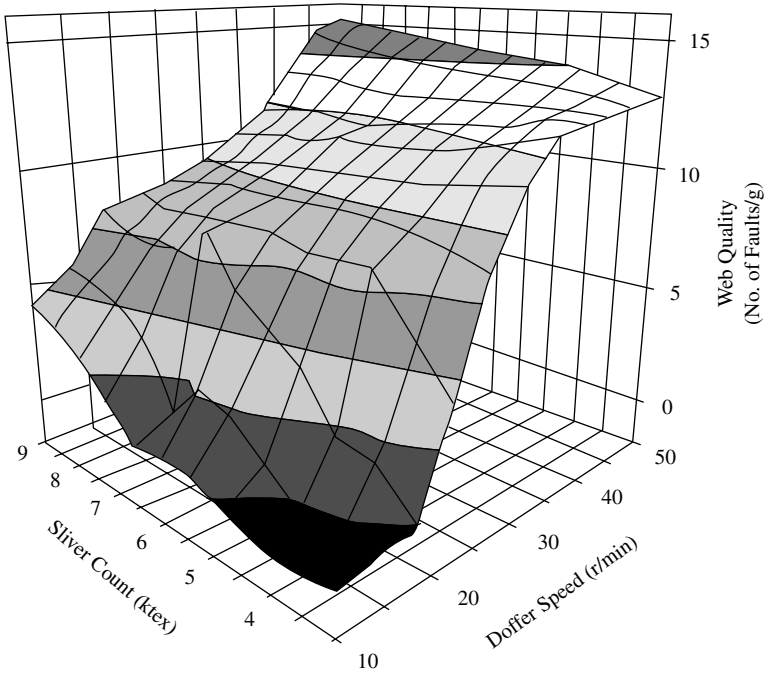


FIGURE 4.23 (See color insert following page 266.) Effect of cylinder and doffer speeds on web quality.

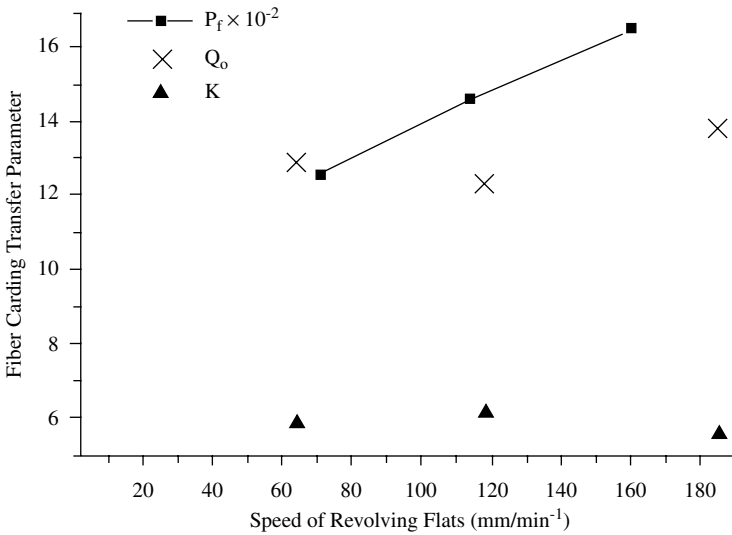


FIGURE 4.24 Effect of revolving-flat speed on fiber transfer parameters.

in the percentage of such fiber configurations. We can determine this by comparing [Tables 4.5](#) and [4.8](#). Although the latter table is for a fixed doffer speed, the above discussion showed that Q_o decreases with both doffer and cylinder speeds. It is therefore evident from the tables that, as the cylinder load decreases, the proportion of major to minor hooks decreases. Studies carried out by Simpson^{26,27} on a range of cotton types show similar results. It is essential that both types of hook configuration be straightened during drafting. As explained in [Chapter 5](#), two passages of drawing (or gilling, in case of worsted card sliver) prior to drafting at the roving and ring frames are necessary for removing hook configurations. Having a nearly equal number of major and minor hooks offers a better chance of straightening such configurations because of the even number of material reversals during the process stages that follow carding.

From the above discussion, it is apparent that, for improved card web quality, increasing K and thereby reducing Q_o and Q_2 without the need for a very high cylinder speed becomes important at very high production rates. Baturin's equation shows that the development of the card wire clothing is a key step in achieving this.

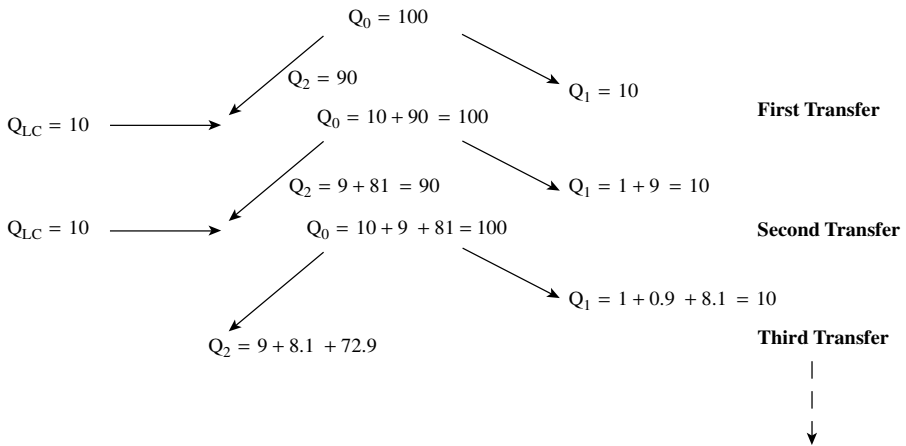
4.3.2 BLENDING-LEVELING ACTION

4.3.2.1 Evening Actions of a Card

We have seen that the carding zone gives a leveling effect, particularly for roller clear cards. A similar effect occurs with the cylinder-taker-in-doffer interactions. To explain this, let us ignore for the moment the leveling effect of the carding zone and consider the distribution of the fiber mass on the cylinder, the deposition onto the cylinder from the taker-in, and the transfer of fiber to the doffer.

Assume that Q_o is always 100 g prior to fiber transfer to the doffer. Let $K = 10\%$. Then, under steady-state conditions and for each revolution of the cylinder, $Q_{LC} = Q_1 = 10$ g and $Q_2 = 90$ g. Let us denote the first 10 g on the cylinder as A and subsequent Q_{LC} layers as B, C, and so on. A is the aggregate of previous Q_{LC} . [Figure 4.25](#) shows that the fiber mass removed from each layer to form part of the doffer web decreases with each cylinder revolution corresponding to $Q_{LC} K[1 - K]^n$ as $n \rightarrow \infty$ (see [Table 4.6](#)), and the amounts would be spaced at intervals of $d_2 = 2\pi R_d n_d / n_c$. If it took N cylinder revolutions for all the fibers in a layer to be transferred, this spacing of the amounts removed per cylinder revolution would be spread over Nd_2 . This shows a lengthways blending and leveling of the fiber layers. With each cylinder revolution, each new Q_{LC} layer will be superimposed on the previous layers constituting the recycling mass Q_2 . Thus, this superimposition and the effect described for the carding zone will combine with the lengthways blending and leveling to reduce irregularities in the feed to the card.

The total blending-leveling effect of a card is a combination of the carding zone effect and the cylinder-doffer-taker-in effect, $d_1 + d_2$. Generally, n_c is much greater than n_d , so d_2 will be very small compared with d_1 for the carding zone involving worker-stripper combinations, but it will be much larger than for revolving flats, say $d\#_1$. In practice, therefore, only roller-top cards give meaningful blending-leveling



Fiber Mass Input and Transfer with Each Cylinder Revolution

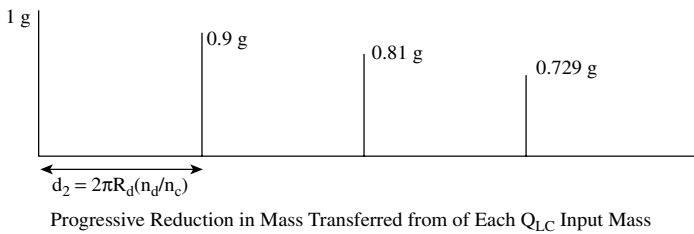


FIGURE 4.25 Lengthways blending and leveling.

actions, and our study of the evening effect of cards will now be limited to these machines.

The fiber batt feed to the card is generally of a fixed width. Therefore, as a reference measure of the feed irregularity, we can take the variation of mass per unit length. Over a range of different unit lengths, we may see differing mass variation. Such irregularities may be classified according to their wavelengths (see [Table 4.9](#)). It is therefore of interest to develop some means of determining the range of wavelengths over which the evening actions of the card would be effective. To do this, a number of researchers³⁹⁻⁴¹ have likened the blending-leveling actions of cards to an automatically self-regulating machine system and have applied control theory to develop computer simulations of the evening effect. However, the following simpler approach may be used to determine the evening effect of a roller-top card.

First, we must note that we have so far assumed that, per revolution of the swift, each worker retains a constant amount of fiber and also that a constant amount is transferred to the doffer. However, in reality, the p and K values for a fixed operating condition will vary because of a randomness of the fiber factors affecting transfer between the rollers, e.g., variation in fiber orientation, length, fineness, friction, and entanglement.

TABLE 4.9
Classification of Irregularities According to Wavelengths

Irregularity	Wavelength
Ultra-short term	Less than the order of a fiber length
Short-term	Grater than fiber length but less than 0.5 m
Medium-term	Greater than 0.5 m up to 100 m
Long-term	Grater than 100 m

Therefore, the p and K values we are considering are the average values. This means that intervals d_1 and d_2 are caused by their corresponding average time delay. We can use the average total time delay to evaluate the evening action of a card or a series of cards. This is called the delay factor, D . In very simple terms, we can say that, under steady-state conditions, if it takes one revolution of a swift for the doffer to remove $Q_1 (= Q_{LC})$, then the number of swift revolutions required to remove a total load, W , of fibers carried by the swift and all the workers and strippers would be W/Q_{LC} , which would be the delay factor for the card.

From Equation 4.8, the load presented for transfer to the doffer per swift revolution

$$= \frac{Q_{LC}}{K}$$

Following the derivation of Equation 4.1, the load carried by each worker and stripper combination per swift revolution

$$= \frac{Q_{LC}}{K} \left\{ \frac{p}{1-p} \right\} \quad (4.24)$$

Hence, if there are n_1 workers with similar surface speeds on a card, then the combined load of all the workers

$$= \frac{Q_{LC}}{K} \left\{ \frac{n_1 p_1}{[1-p_1]} \right\}$$

and the total load W

$$= \frac{Q_{LC}}{K} \left[1 + \left\{ \frac{n_1 P_1}{1-P_1} \right\} \right] \quad (4.25)$$

For a set of, say, three cards, this becomes

$$= \frac{Q_{LC}}{K} \left\{ \frac{n_1 p_1}{[1 - p_1]} + \frac{n_2 p_2}{[1 - p_2]} + \frac{n_3 p_3}{[1 - p_3]} \right\}$$

and

$$W = \frac{Q_{LC}}{K} \left[1 + \left\{ \frac{n_1 P_1}{[1 - P_1]} + \frac{n_2 P_2}{[1 - P_2]} + \frac{n_3 P_3}{[1 - P_3]} \right\} \right] \quad (4.26)$$

So the corresponding delay factors would be

$$D = \frac{1}{K} \left[1 + \left\{ \frac{n_1 p_1}{[1 - p_1]} \right\} \right] \quad (4.27)$$

for one card, and

$$D = \frac{1}{K} \left[1 + \left\{ \frac{n_1 p_1}{[1 - p_1]} + \frac{n_2 p_2}{[1 - p_2]} + \frac{n_3 p_3}{[1 - p_3]} \right\} \right] \quad (4.28)$$

for three cards.

Note that $1/K$ is the delay factor for the swift-doffer-taker-in interaction, and $1/K\{n_1 p_1/[1 - p_1]\}$ and so on are delay factors for worker-stripper combinations.

D can be estimated by measuring the individual parameters in the above equations or, more simply, by stopping the feed to the card and running out the fibers and weighing the collected mass. From this, the fiber mass that was on the doffer at the time the feed was stopped is subtracted to give the total load, W . Thus, D is W divided by the feed rate.

Now that the concept of the delay factor has been established, it is useful see how it can be applied to determine the amount by which a card or a series of cards will smooth out the irregularities in a batt feed.

Step Change in Feed

We will first consider the simplest form of irregularity, a sudden increase or step change in the feed rate. For ease of explanation, let us limit the consideration to the effect of the swift-doffer-taker-in interaction. If it is assumed that the feed rate was uniform prior to the step change, then the output from the doffer per swift revolution would increase according to Equation 4.9, which follows the curve shown in [Figure 4.26](#). Including the effect of worker-stripper combinations would result in the more complex curve shown in [Figure 4.27](#), and this can be suitably approximated by an exponential curve given by (see [Appendix 4A](#) and [Figure 4.26](#)).

$$Q_1 = Q_{LC} [1 - \exp(-t/D)] \quad (4.29)$$

Thus, the output from a step change will increase almost exponentially until again $Q_1 = Q_{LC}$.

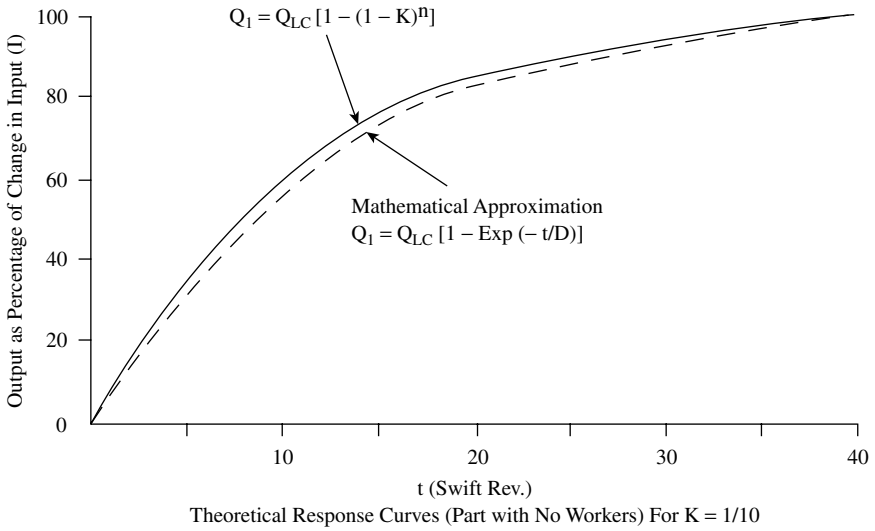


FIGURE 4.26 Cylinder-doffer theoretical response curve for $K = 0.1$. (Courtesy of WIRA.)

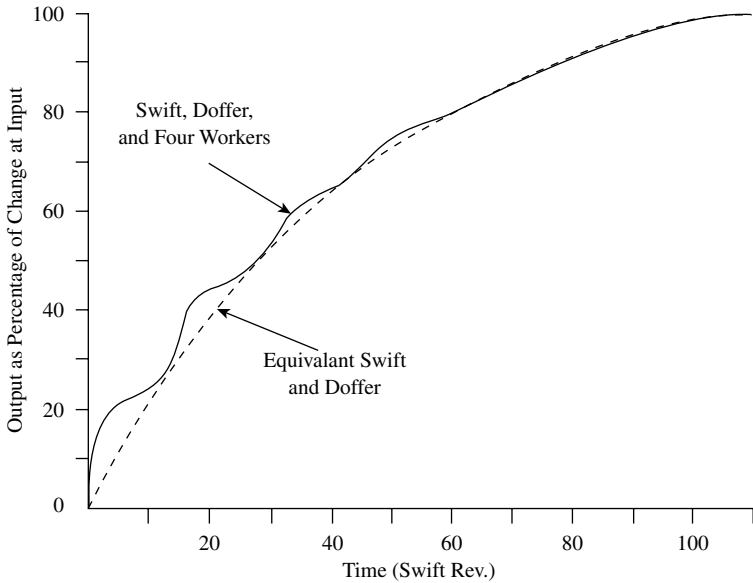


FIGURE 4.27 Cylinder-doffer-worker-stripper combination response curve. (Courtesy of WIRA.)

General or Random Irregularities

In Appendix 4A, the idea of the equivalent transfer coefficient F is explained. If we adopt the idea, then the description given earlier for the blending-leveling effect of the swift-doffer-taker-in interaction illustrates how increasing the delay factor reduces general irregularities.

Periodic Irregularities

Again, using the idea of the equivalent transfer coefficient, we can adopt the input-output performance of a simple resistance-capacitance (RC) filter as an analogy for the input-output response of a roller-top card, where the time constant of the filter is equivalent to the delay factor of the card. Similar to the card's response, a step change in the input voltage of an RC filter results in an exponential change in its output voltage. This equivalence is useful, because there are well known techniques²³ for calculating the response of RC filters to periodically varying voltage inputs. The most elementary form of such an input is a sinewave. The output is a sinewave of the same frequency as the input, but the amplitude is reduced. The ratio of the output and input amplitudes is called the gain, G , at that the particular frequency and is given by the equation

$$G = \frac{\text{Output amplitude}}{\text{Input amplitude}} = \frac{1}{\sqrt{[1 + (2\pi\omega\tau)]^2}} \quad (4.30)$$

where ω = frequency
 τ = time delay

Thus, the card equivalent would be

$$G = \frac{1}{\sqrt{[1 + (2\pi HD)]^2}} \quad (4.31)$$

where H = frequency
 D = delay factor

Figure 4.28 shows a graph of G versus H plotted on logarithmic scales. For a simple prediction of the evening effect of the card, the curve can be approximated by its asymptotes shown by the broken lines. The predicted range of frequencies or wavelengths for which amplitudes will be modified can be obtained as follows. The longest wavelength or minimum frequency, H_b , termed the *break frequency*, is at the point of intersection of the asymptotes. Here, $G = 1$. It is evident that using the asymptotes for predictions will result in a minimum frequency higher than the true value, since, on the actual curve, $G = 0.71$ at H_b . Nevertheless, the error is on the right side of caution, because the actual output irregularity will always be less than the prediction. An important characteristic of the asymptotic shape is the slope PS. It shows that, at $N H_b$, the gain is $1/N$, where N is any number, e.g., 1, 1.5, 2, 2.3, 3, ..., 10, and so on. Thus, if we know the minimum gain, we can determine the

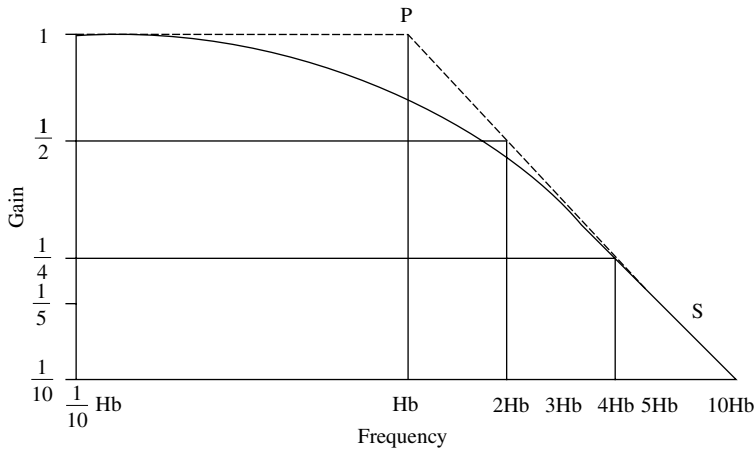


FIGURE 4.28 Filter gain-frequency curve. (Courtesy of WIRA.)

upper frequency limit and complete the asymptotic form. An equation for the minimum gain can be obtained by the following reasoning. If a given mass of fibers Q_{in} were to be transferred to the swift, passed straight through to the doffer, and totally removed by the doffer, then $Q_{in} = Q_{out}$ and $G = 1$. However, when the mass makes contact with n workers, each with a retaining power of p , then only $Q_{in} (1 - p)^n$ passes straight through to the doffer. With a doffer transfer efficiency of K , $Q_{out} = K Q_{in} (1 - p)^n$; hence, the minimum gain, $G_{min} = K(1 - p)^n = 1/N$, and the upper frequency $= H_b/[K(1 - p)^n]$. Knowing the delay factor D , H_b can be calculated and the asymptotic form drawn.

For a series of cards, the overall gain is the product of the gains for each card. For example, say the irregularity entering the card has a frequency of $1.43 H_b$. Then, $G = 0.7$ and, for a series of three cards, $G = 0.7^3 = 0.34$. Similarly, if the minimum gain for a card $= 0.1$, then, for three cards, $G_{min} = 0.001$, and the upper frequency $= 1000 H_b$. Such a figure, however, is of little practical significance, since the card itself would generate irregularities of this frequency.

4.4 FIBER BREAKAGE

4.4.1 MECHANISM OF FIBER BREAKAGE

It is clearly essential that, during carding, any breakage of fibers be kept to a minimum. In discussing how fiber breakage can occur, we must consider the dynamics of the following sequence of events:

1. A saw-tooth or pin of a roller clothing entering the fiber mass
2. Fibers being caught by the tooth or pin
3. Fibers being removed by the tooth or pin

Figure 4.29 illustrates the general situation in which a fiber mass is held as a tooth enters the mass to remove fibers. In the case of the short-staple card, the fiber mass may be the fringe of the batt held between the feed roller and feed plate or the fiber load caught in a flat. For roller-top cards, it may be the mass at the taker-in or on the stripping roller at the point of contact with the swift, or the mass on the swift at the point of contact with the worker. As the tooth enters the mass, it may hit a fiber at any location along its length. The impulsive force, F_T , will cause the fiber to become hooked by the tooth. Fibers that are hooked may drag others that are not in direct contact with a tooth. Once a fiber is caught by a tooth, the pulling force will give rise to increasing tension and strain in the fiber. Peak tension and strain are reached, at which point

1. If the trailing end remains caught, the peak strain equals the fiber breaking strain, and the fiber breaks.
2. If the end is released before the breaking strain is reached, the fiber is withdrawn.
3. If, while the end remains caught, the tension overcomes the frictional resistance of the hooked length around the tooth, the tooth slips from the fiber, along the hooked length.

A given fiber may have both ends embedded within a mass of fibers or one end within the mass and the other free. Appendix 4B provides a theoretical treatment of both situations, which explains much of the practical observations. Here, we will focus on the practical observations of the effect of how process parameters affect fiber breakage. The parameters of importance are

- State of the fiber mass and fiber characteristics (i.e., degree of entanglement, mass per unit area, regain, bulk, friction, and tensile properties)
- Tooth geometry

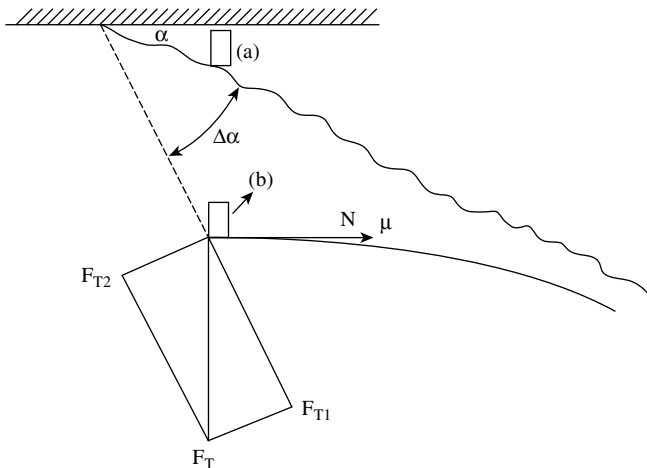


FIGURE 4.29 Forced generated with fiber-pin interaction.

- Roller surface speed
- Production rate
- Card component settings

4.4.2 STATE OF FIBER MASS AND FIBER CHARACTERISTICS

The fact that an impact force and then a withdrawal force is applied to fibers during opening indicates that, to prevent breakage, the breaking strain of fibers under high rates of extension must be greater than the strain induced by these forces. Figure 4.30 illustrates the tension profiles observed for cotton, wool, and polyester when groups of fibers are pulled from different shape tufts; i.e., the tensile property of tufts. On pulling a fiber, any slack or crimp in the freed length is first removed, and fiber tension then occurs. The induced tension increases and peaks at the point where the fiber is fully released from its entanglement with other fibers. The tension decreases as the fiber slips past other fibers, reaching zero as its trailing end leaves the tuft. If the fiber breaking strain was lower than the strain at peak tension, the fiber would have broken, and the fall-off would be more immediate. Work by Li et al.⁴² showed that the withdrawal forces needed to separate an entangled fiber mass were largely dependent on the density of the mass and the contact angle fibers made with the wire clothing.

With respect to Figure 4.30, the elongated tufts had the lower density and fibers more aligned to the direction of the applied pulling force. The fibers would therefore have a lower degree of entanglement. The smaller, rounded tufts were more dense with fibers more entangled. The latter, consequently, required a greater withdrawal force. Because of their surface scales and longer length, the wool fibers had greater entanglement and interfiber frictional contact than the other fibers, resulting in a higher withdrawal force.

Townend and Spiegel studied the effect of alterations in the state of wool on fiber breakage in worsted carding.⁵ They found that the main cause of fiber breakage was the entangled state of the scoured wool fed to the card. Bownass⁴³ found that, if there is a high degree of entanglement, then even removing fibers by hand for staple length measurements can result in breakage, although the utmost care may have been taken. In woolen spinning, stock-dyeing can be result in substantial reduction in fiber length at the card, as a result of fiber entanglement, particularly with the use of high dye-liquor circulation pressures. In general, however, less breakage occurs when wool is carded at regains greater than 20%. The fibers are more extensible at high regains, which lowers the chance of breakage as they are disentangled during carding.

4.4.3 EFFECT RESIDUAL GREASE AND ADDED LUBRICATION

With a highly entangled state of scoured wool, progressive loosening of entanglements is of benefit. This would require a value of fiber-pin friction that enables fibers to be easily caught by the pin or saw-tooth clothing yet allows fibers to slip from the pin if the entangled parts are insufficiently loose for the fiber to be released before its breaking strain is reached. Bownass,⁴³ Henshaw,⁴⁵ and Eley and Harrowfield⁴⁶

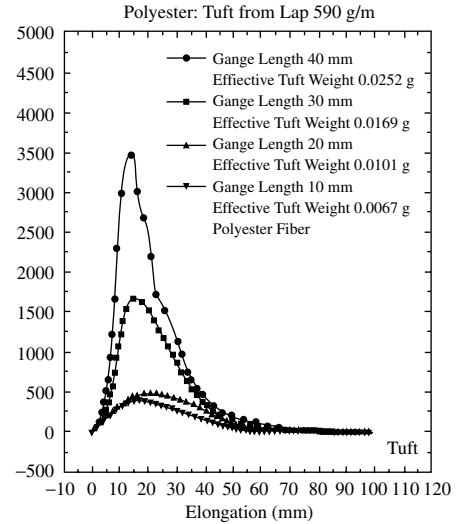
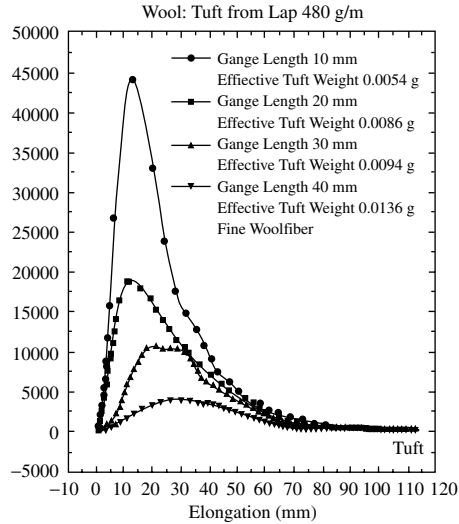
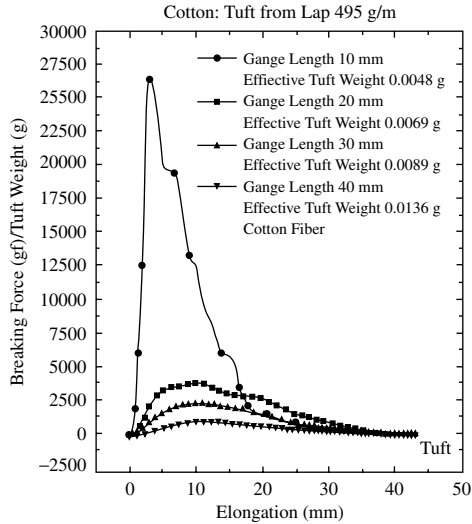


FIGURE 4.30 Fiber pull-out tension profiles.

report the benefit of residual grease content and added lubrication to reduce wool fiber-metal friction in carding. In worsted carding, keeping fiber breakage to a minimum is an essential requirement and, in practice, besides card sliver fiber-length characteristics, the mean fiber length or hauteur of the resulting combed top, the tear, and the percentage noil are often used as indicators of “good” or “bad” carding. Doubts have been expressed⁵ over whether combing is sensitive enough to facilitate a precise measure of fiber breakage in carding, but there is sufficiently close correlation for the stated parameters to be used.

General findings tend to show that, with a residual grease content ranging from 0.3 to 0.9%, and added lubrication of 0.3 to 0.6%, wools of low residual grease content suffer the most breakage. However, higher grease content tends to be associated with poor color and may be unsuitable for dry-combed tops, as backwashing does not readily reduce the grease content. The addition of lubrication very noticeably reduces fiber breakage, especially at low residual grease levels, with the further advantage that added lubrication can usually be removed in backwashing. Increasing card production rate increases fiber breakage and percentage noil, but added lubrication can reduced this effect. There is agreement in the literature that about 0.5% on weight of fiber is about the optimal level.

The viscosity of the lubricant is also of importance. While fiber-fiber friction is independent of lubricant viscosity, fiber-pin friction increases with viscosity. Therefore, the work done in sliding a fiber around pin surfaces also increases. Too high a lubricant viscosity causes surface tension forces to militate against a fiber easily sliding from around a tooth, and this can result in increased fiber breakage. Experimental findings have led to the conclusions that the optimal viscosity for wool is around 20 centipoise.⁴⁴

4.4.4 EFFECT OF MACHINE PARAMETERS

4.4.4.1 Tooth Geometry

With wool fibers, saw-tooth clothing is more damaging than pin clothing, but saw-tooth wire has a low fiber breakage when the card clothing is sharp and smooth.⁵ Gharehaghaji et al.,⁴⁶⁻⁵⁰ studying the damage caused to wool fibers by saw-tooth and pin clothing, found that in addition to actual fiber breakage, micro-damage also occurs, which can subsequently lead to rupture. The damage by saw-tooth clothing was more severe. Appendix 4B.3 gives a brief review of the type micro-damage found.

4.4.4.2 Roller Surface Speed/Setting/Production Rate

Yan and Johnson^{51,52} found that, if the surface speed of the roller is very high, fibers can be damaged and broken by the impulsive force (see Appendix 4B). Generally, fiber breakage is dependent on the interaction of roller speed and production rate.

The Taker-in Zone

The equation for the degree of opening indicates that high taker-in speeds give better fiber opening, and the trend (described earlier) of a decreased number of tuftlets and

increased amount of individual fibers with increased taker-in speed agrees with the equation. There is, however, the practical limitation of fiber damage, which restricts the maximum taker-in speed that can be used.

Fibers hooked by the taker-in teeth while their trailing lengths are either held in the nip of the feed system or entangled in the batt mass will be stretched at a rate equal to the surface speed of the taker-in. The fibers will break if their trailing ends are not released or if their hooked lengths do not slip from the taker-in teeth before they reach their breaking extensions (see Appendix 4B). Since fiber entanglement is likely to be greater in tufts fed to the taker-in than in tuftlets fed to the cylinder, it may be reasoned that significant fiber breakage can occur at the taker-in zone. It is also reasonable to assume that, with a given feed roller speed, the higher the taker-in speed, the greater the chance of fiber breakage because of the increased rate of extension. Honold et al.⁵³, Krylov,¹³ and Artzt et al.⁵⁴ found that, when processing cotton fibers, increasing the taker-in speed up to 1,380 rpm did not significantly increase fiber shortening. This may be attributed to hooked lengths slipping from the taker-in teeth. However, the level of breakage is also dependent on production rate and the setting of batt fringe to the taker-in.

The effect of speed depended on production rate. Figure 4.31 shows that, with high production rates achieved by increased sliver counts and constant output speed, fiber breakage increases with taker-in speed. It was also found that, because of the shorter distance to contact with the taker-in clothing, fibers on the upper surface of the batt fringe were more easily broken as compared with those at the bottom surface. Townend and Spiegel⁵ and Townend⁵⁵ report that, in worsted carding with a single-pair roller feed to the taker-in, the greatest amount of fiber breakage occurred at the taker-in due largely to the entangled state of scoured wool. Increases in the production rate and taker-in speed lead to significant increases in fiber breakage.

This interaction of taker-in speed and production rate on fiber breakage is a further illustration of the effect of fiber entanglement. The increased production rate

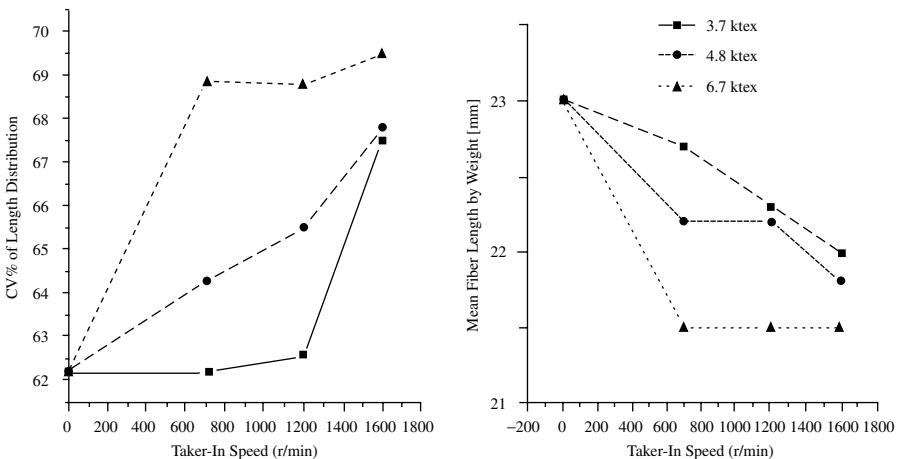


FIGURE 4.31 Effect of taker-in speed and sliver count on fiber length.

was achieved by increased mass per unit area of the batt feed. This results in greater withdrawal forces and fiber extensions and hence a greater chance of fiber breakage. Eley and Harrowfield⁴⁵ found that, for fixed batt density, fiber breakage was independent of production speed. Thus, if increased production speed is required, it is likely to be less damaging to fibers if the material is processed, within practical limits, at a lower batt mass and higher feed rates than the converse. Haigh and Harrowfield⁶⁰ found that, with the triple-merelle feed to the worsted card, changes in taker-in speed and setting had only a negligible effect on hauteur and percentage noil. The triple-merelle feed presents a more opened, less entangled fiber mass to the taker-in. Eley and Harrowfield's work supports the view that, with triple feed rollers, fiber breakage in worsted carding is more likely to occur at the swift-worker interaction. Their findings suggest that the forepart (i.e., taker-in and breast section) and morels have a much smaller effect on fiber breakage than the swift-doffer section.

Effect of Cylinder-Flats and Swift-Worker Interaction

Van Alphen⁵⁶ reports that, in revolving flat cards, increased cylinder speed caused more fiber breakage than increased taker-in speed and that this is reflected in yarn strength. Rotor yarn tenacity was reduced by up to 5% with increasing cylinder speeds between 480 and 600 rpm, whereas ring spun yarns showed a 5% reduction for speeds between 260 and 380 rpm and 10% at 600 rpm. The higher sensitivity of ring spun yarns to fiber breakage is attributable to the negative effect of short fibers during roller drafting. Krylov¹³ found no evidence of fiber shortening at speeds up to 380 rpm, which suggests the influence of other factors such as flat-setting. Force elongation profiles from experiments simulating the shearing action between flats and cylinder clothing have been reported by several authors.^{57-59,63} It was found that the tension induced on fibers increased with speed but that the rate of increase was much greater for close flat settings. The closer the setting, the higher the pressure on the fiber mass between the cylinder and flats, and the more compact the mass will be, including the entangled areas. A greater withdrawal force is then needed, and therefore there is a greater the chance of fiber breakage.

Similar to the cylinder-flat combination, fiber breakage increases with closeness of swift-worker settings,⁵ depending on how well opened is the fiber mass fed to the swift. Closer settings, however, improve the individualization of fibers, and this leads to less breakage in combing and a reduction in the percentage noil. Usually, the worker setting decreases as we move toward the doffer; therefore, the setting of the final worker is the most critical. For a given final worker setting, Haigh and Harrowfield⁶⁰ found that, in worsted carding, increasing the number of workers on the swift-doffer section reduced the percentage noil and increased fiber length. This indicates that a progressive increase in carding action is beneficial. Townend's work in woolen carding showed similar findings in that more gentle carding was obtained as the number of working points increased. Unlike the cylinder-flat combination, the effect of swift-worker speed ratio is complex. We saw earlier that the retaining power, p , of a worker increases with worker speed and more work will be done on the fiber mass. Despite the increased work, fiber breakage and noil were observed to decrease with worker speed up to an optimal speed ratio of between 40 and 80.

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APPENDIX 4A

Consider the simple case of only the swift-doffer-licker-in interaction. Then, the rate of increase of the output, Q_1 , at time, t revolutions, after the input change will be proportional to the difference between the input, Q_{LC} , and output, the constant of proportionality being K . Thus,

$$\frac{dQ_1}{dt} = K[Q_{LC} - Q_1]$$

The solution is $Q_1 = Q_{LC} \{1 - \exp(-Kt)\}$.

To obtain an approximation for the effect of having workers present, we can assume that F is the swift-doffer transfer coefficient of a card or series of cards without workers, which results in the equivalent delay factor when workers are present. That is, for example, for three cards,

$$Q_1 = Q_{LC} \{1 - \exp(-Ft)\}$$

$$\frac{1}{F} = D = \frac{1}{K} \left[1 + \left\{ \frac{n_1 p_1}{[1 - p_1]} + \frac{n_2 p_2}{[1 - p_2]} + \frac{n_3 p_3}{[1 - p_3]} \right\} \right]$$

F is termed the equivalent transfer coefficient.

APPENDIX 4B

The Opening of a Fibrous Mass

4B.1 REMOVAL OF FIBERS WHEN BOTH ENDS ARE EMBEDDED IN THE FIBER MASS

The impulsive force, F_N , of the tooth acts on the fiber mass in the direction tangentially to the arc I and can be resolved into the components F_P and F_T . The former is a result of the penetration of the tooth into the fiber mass, and the latter is the force that pulls fibers from the mass (see Figure 4B.1). As the tooth begins to remove fibers, there is a resisting force, F_R , from the cohesiveness of the fiber mass. This is attributable to fiber entanglement, interfiber friction, and the elastic compressive and tensile properties of the fiber mass. As illustrated in the diagram, a component of F_R causes fibers to slide along the tooth and become held by it. This occurs provided that

$$F_R \sin \beta > \mu F_R \cos \beta$$

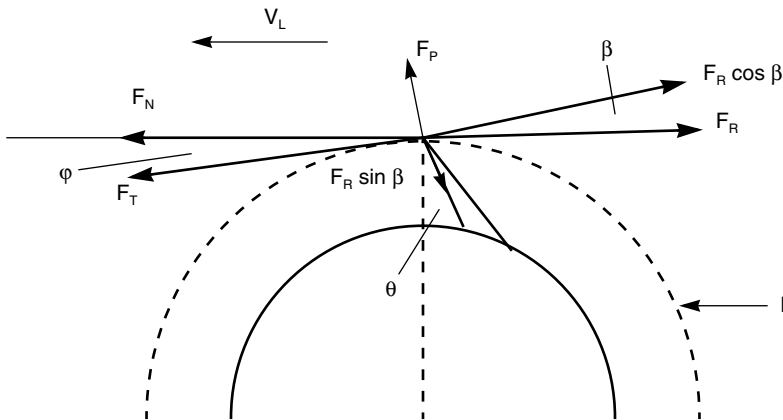


FIGURE 4B.1 Forces present during fiber removal from tufts.

Hence,

$$\tan\beta > \tan\phi$$

where μ = coefficient of fiber friction against a tooth
 ϕ = friction angle

To initially hold fibers within the fiber mass, the working angle of the tooth theoretically must be

$$\theta = \beta + \phi > \phi + \phi$$

Once the tooth catches fibers, they are accelerated from the surface speed, V_F , of the machine component holding the fiber mass to the surface speed of the roller from which the tooth projects, V_L . It is during this acceleration that fibers can be broken, depending on the distance from the holding point to the contact point with the tooth, their orientation relative to direction of V_L , and also depending on the magnitude of F_R and V_L .

Fibers in tufts or tuftlets have various configurations and are likely to be inclined at an angle to the direction at which the fiber mass moves through the card, i.e., the machine direction. Figure 4.29 shows what may occur when a tooth makes contact with a fiber inclined laterally at an angle, α . When the tooth moves from position (a) to (b) in the figure, the contact point is accelerated to reach the surface speed of the taker-in. The tooth will deflect the fiber length between the contact and nip points, L_s , to cause an angular change of, say, $\Delta\alpha$ per unit time. In deflecting the fiber, components F_{T1} and F_{T2} of force F_N have to overcome the components of the resisting force F_R (not shown) caused by contact with other fibers. F_{T1} is responsible for the fiber wrapping around the tooth. A normal force, $N = f(F_R)$, will develop at the front of the tooth. The friction force, μN , will resist the fiber slipping from the grip of the tooth. If the fiber is still nipped, the tooth will stretch the length L_s at a rate equal to $V_L \text{ cosec}(\alpha + \Delta\alpha)$. The increasing tension in the fiber length increases the component force F_{T2} . If F_{T1} exceeds μN , the fiber will slip from around the tooth and remain in the fringe to be processed by another tooth until ultimately removed. It should be noted that, if a fiber undergoes numerous stretch-and-slip cycles that induce permanent fiber strain, the fiber may break before being removed from the fringe.

If F_{T1} is less than μN , and the contact is made near the holding point, then the increase in α per unit time will be high, and L_s will undergo large extension per unit time and reach its breaking extension before slipping from the tooth.

4B.2 BEHAVIOR OF A SINGLE FIBER STRUCK BY HIGH-SPEED PINS

Figure 4B.2 shows a fiber with its trailing end held and its leading end free, being struck at angle α by a pin (or sawtooth) projecting from a rotating roller and traveling at a speed of V_L .

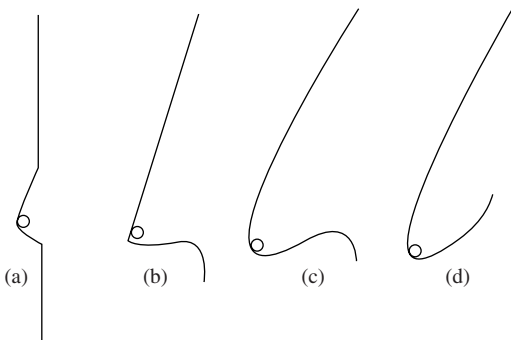
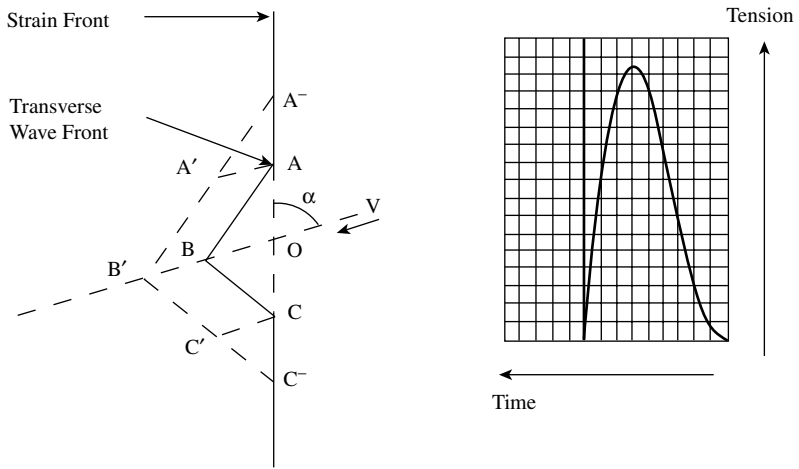
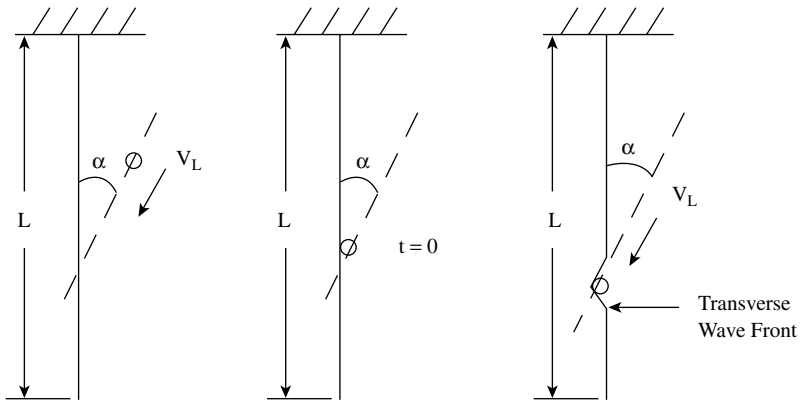


FIGURE 4B.2 Force elongation profiles.

From experimental observations,¹ it can be assumed that, at very short time intervals after impact, the fiber-pin interaction causes the fiber to adopt the configurations (a) through (d) shown. Figure 4B.2 also shows a tension curve for (a), which illustrates the suddenly induced tension in the trailing length with pin impact. Since strain is a function of tension, $\epsilon = f(\sigma A)$, a similar shape strain curves would therefore apply for (b) to (d) as the fiber continues to be strained.

- On impact, the fiber is deformed into a V shape with the apex at the impact point and with both sides of the V shape straight. Air resistance has no significant effect.²⁻⁵ The radius of curvature, R , subsequently formed by A, B, C will depend on the fiber stiffness according to

$$R = EI/M$$

where E = Young's modulus

I = moment of inertia

EI = flexural rigidity

M = bending moment

- At the deformation, the fiber is strained

$$\epsilon_o = \frac{ABC}{AC} - 1$$

- This axial strain, resulting from the impact, propagates along the fiber toward both ends with a velocity⁶

$$v_1 = \sqrt{[E/\rho]}$$

where E = Young's modulus of the fiber for high strain rates

ρ = fiber density

- After a time, the pin travels from B to B' , and the distance traveled by the strain front is $v_1 \Delta t$. A moves to A' , and C moves to C' . The fiber stretches to accommodate the increased distance traveled by the pin. Therefore, more of the fiber length outside the impact zone becomes strained so that, behind the strain front, all parts of the fiber stretch at the speed v_o , and the level of strain between the pin and the strain front is ϵ . Thus, $\epsilon = v_o \Delta t / v_1 \Delta t = v_o / v_1$.
- Since $v_o = f(V_L)$, there must be a limiting pin speed at which $\epsilon = \epsilon_b$, the fiber breaking strain. At this pin speed, the fiber breaks immediately at the point of impact.

- In addition to the strain wave, the motion of the pin produces a transverse wave that spreads to both ends of the fiber. The general equation for the speed of transverse propagation is

$$v_t = \sqrt{(T/\mu)} = \sqrt{(\sigma/\rho)} = \sqrt{E\varepsilon_o}$$

where T = tension = σA
 μ = fiber linear density
 A = fiber cross-sectional area
 ρ = μ/A

- The ratio $v_t/v_l = 1/\sqrt{\varepsilon_o}$, so the strain front reaches the fiber ends well before the transverse wavefront, and this causes the length between the transverse wavefront and free fiber end to move up past the pin before the transverse wave reaches the fiber end. Therefore, the fiber becomes hooked around the pin.

4B.3 MICRO-DAMAGE OF FIBERS CAUSED BY THE OPENING PROCESS

During the separation of a fiber mass into smaller groups or individual fibers, the forces resulting from contact between the metal clothing and the fibers can cause localized complex stress patterns inside fibers that result in microdamage. The damage is more severe with saw-tooth than pin-type clothing.

For example, the types of damage observed with wool fibers included⁸⁻¹¹

1. *Transverse and longitudinal cracks.* These are attributed to the presence of micro-defects reducing the local elastic properties of the fiber.
2. *Rupture and partial rupture of scales,* resulting from the sliding action of pins or sawteeth along the lengths of fibers. Repeated sliding action causes surface abrasion, and this would take place where a fiber mass is held and the clothing of the opening device repeatedly combs through fringe at a high speed to remove individual or groups of fibers. Fibers that are removed after repeated combing show surface abrasion.
3. *Puncture holes, giving a honeycomb appearance.* These are caused by the points of the clothing and, therefore, are more frequently found with pin rather than saw-tooth clothing.
4. *Small flattened areas.* These are due to compressive stresses over the contact area. This suggests a similar action to Hertzian contact stress theory.¹²

Figure 4B.3 gives examples of some micro-damage seen in wool fibers. Wool fibers are especially prone to damage because of their unique composite structure.⁸ Such micro-damage can lower the breaking load of fibers and lead to premature breakage in subsequent process stages.

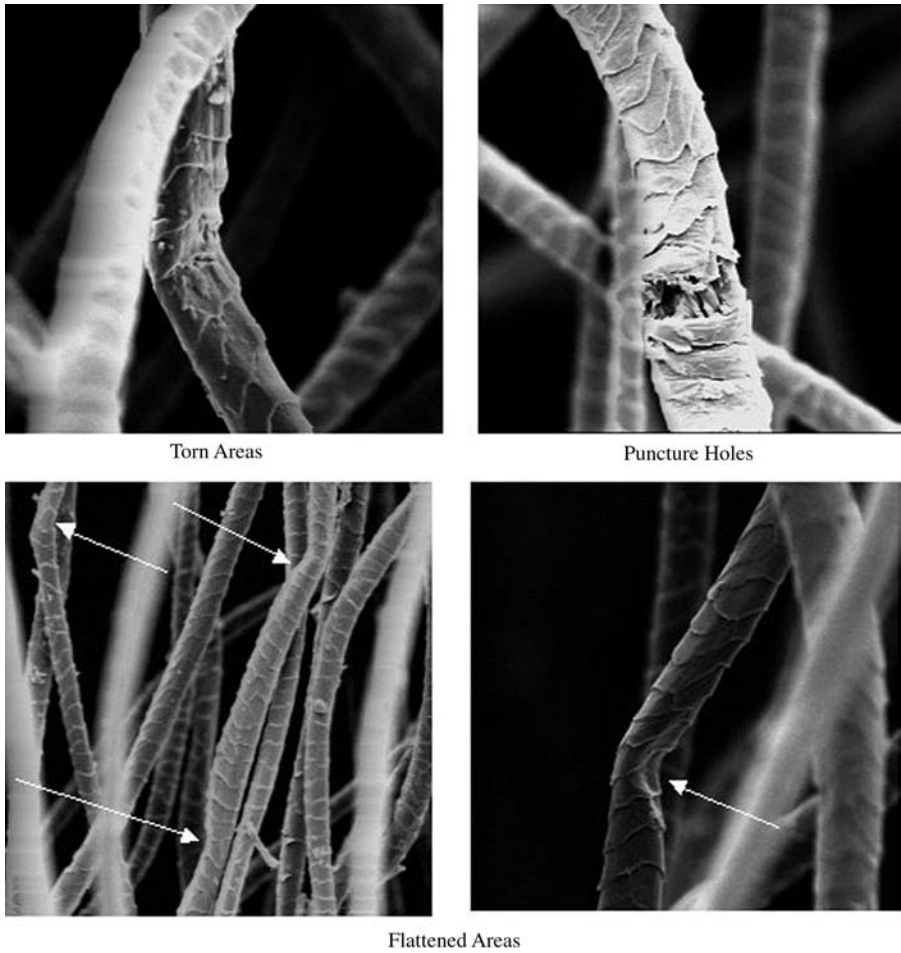


FIGURE 4B.3 Examples of wood fiber damage during carding.

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5 Materials Preparation

Stage III: Drawing, Combing, Tow-Top Conversion, Roving Production

In [Chapter 3](#), a card sliver was described as a thick, untwisted rope of fairly randomly oriented fibers of various configurations. Depending on the fiber fineness, a card sliver of, say, 4 to 6 ktex may have of the order of 20,000 to 30,000 fibers at each cross section throughout its length. In comparison, yarns of 5 to 200 tex may have around 50 to 500 fibers in their cross sections. To produce yarns of such counts and with acceptable properties, fibers in a card sliver have to be straightened, aligned, and parallelized so that the sliver count can be appropriately reduced to obtain the required yarn count during spinning. The processes within stage III are the usual steps employed in preparing the carded material for spinning.

5.1 DRAWING

Definition: *Drawing* is the term applied to the operation involving the doubling and roller drafting of slivers.

Definition: *Doubling* is the combination of several slivers that are then attenuated by a draft equal in number to the slivers combined, thereby resulting in one sliver of a similar count.

Definition: *Roller drafting* is the process of attenuating the count of a material using a combination of pairs of rollers.

Before describing the basic features of drawing machines, it is useful to consider the principles of doubling and roller drafting, particularly as the latter is also applicable to roving production (which is one of the stage III processes) and to several of the spinning processes described in [Chapter 6](#).

5.1.1 PRINCIPLES OF DOUBLING

This involves placing several slivers in parallel (usually 6 or 8) and roller drafting the combination using a draft equal to the number of juxtaposed slivers. Doubling serves two purposes. It enables the reduction of sliver irregularity and improves the blend or mix of the fibers.

The effect on sliver irregularity can be explained by a simple example. Assume the measured average counts of cotton slivers from a set of six cards are 4.85, 4.95, 5.25, 5.42, 5.05, and 5.13 ktex with the cards being set to give a (calculated) count of 5 ktex. Although some of the sliver counts are close to the set count, the spread may be outside the required limits. If these slivers are combined and attenuated by a draft of six, the resulting sliver count would be 5.1 ktex. The sum total length of the original six slivers would therefore be much closer to set count. We refer to the 5-ktex sliver as the outcome of a doubling of 6. Let the following be the sliver counts of five lots of six slivers resulting from five similar doublings of 6: 5.15, 5.09, 5.05, 4.95, and 5.12 ktex. Then, doubling these along with the 5.1 ktex sliver would result in a sliver count of 5.08 ktex. This would be the outcome of a doubling of 36 of the original slivers. A third repeat would give a doubling of $(6 \times 6 \times 6) = 216$. In general terms, the number of doublings is given by the expression S^R where S is the number of juxtaposed slivers and R is the number of repeats. Theoretically, the limiting value of R will be where no further reduction in the irregularity can be obtained. In practice $R = 2$ or 3 is usually accepted as sufficient.

Since the measured sliver counts are based on 1- to 5-m lengths, then the above illustrates the effectiveness of doubling in reducing the medium- to long-term range of sliver irregularity. With respect to short-term irregularity, we will need to consider the effect of doubling on what is termed *drafting waves*. This is dealt with in the next section, on the principles of roller drafting.

To appreciate the blending effect of doubling, the physical meaning of S^R will be explained. Referring to our example of when $S = 6$ $R = 1$, if three of the six input slivers were black in color and the remainder white, and the side-by-side combination was of alternating colors, then the output sliver would consist of six fiber ribbons of alternating colors. Each ribbon would be one-sixth of the count of its original sliver. The second repeat of doubling, when $R = 2$, would result in the output sliver comprising 36 fiber ribbons of alternating colors, each $1/36$ of the count of its original sliver. As fewer and fewer fibers of the same color are grouped together with successive doublings, the general color of the output sliver turns grey. [Figure 5.1](#) illustrates visually the blending achieved by this procedure, and it can be seen that $R = 3$ gives a reasonable blend. When considering a larger number of colors, the chosen values S and R will need careful consideration.¹

5.1.2 PRINCIPLES OF ROLLER DRAFTING

The basic principles of roller drafting concern short-term irregularities, although (as we will see later), in the early stages of applying roller drafting, autoleveling is used to minimize medium- and long-term irregularities.

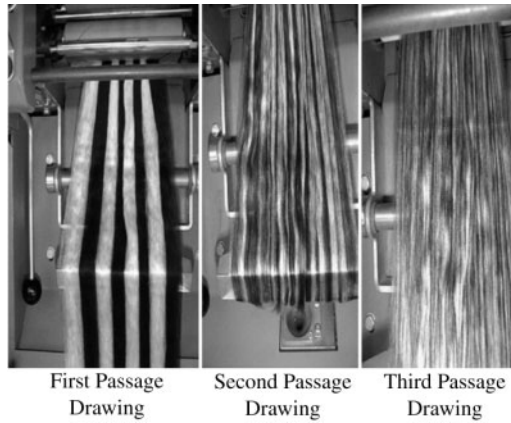


FIGURE 5.1 (See color insert following page 266.) Drawframe passages with eight-sliver feed and a draft of eight. Example of blending by doubling and drafting: drawframe blending.

In discussing the irregularity of textile materials, such as card slivers through to yarns, much of what is explained about the drafting of one material state is applicable to drafting of the others. It is therefore useful to explain the basic principles of drafting by referring to these different material forms in a general way, calling them *linear fibrous assemblies*. The irregularity of an untwisted or twisted linear fibrous assembly is recognized by variations in its linear density or count and by variation in the thickness along its length. The latter is often visually conspicuous in yarns.

5.1.2.1 Ideal Drafting

In Chapter 1, it was explained that drafting involves reducing the linear density of slivers and rovings through attenuation whereby fibers are made to slide past one another. It was also explained that drafting increases the irregularity of the material. The increase in irregularity is associated with the way in which fibers move through the drafting zone during their sliding action. To gain an understanding of this, it is useful to consider first the case in which no increase in the irregularity should occur. This situation may be called *ideal drafting*.²

Figure 5.2 depicts a single-zone drafting arrangement. The material entering is reduced in thickness as it passes through the drafting zone. For ease of explanation, let us assume that the front rollers B run at three times the surface speed of the back rollers A. Let the fibers in the material be of the same mass M_f (in g) and length L_f ($= 3$ cm), and let there be N fibers in a length L_1 (in cm) of the material. Thus, the input count of the material is

$$T_{in} = \frac{M_f N 10^5}{L_1} \quad (5.1)$$

Figure 5.3 shows a section of sliver in which it is assumed that the fibers are arranged end to end in the material with a lateral spacing of a . Let us consider the

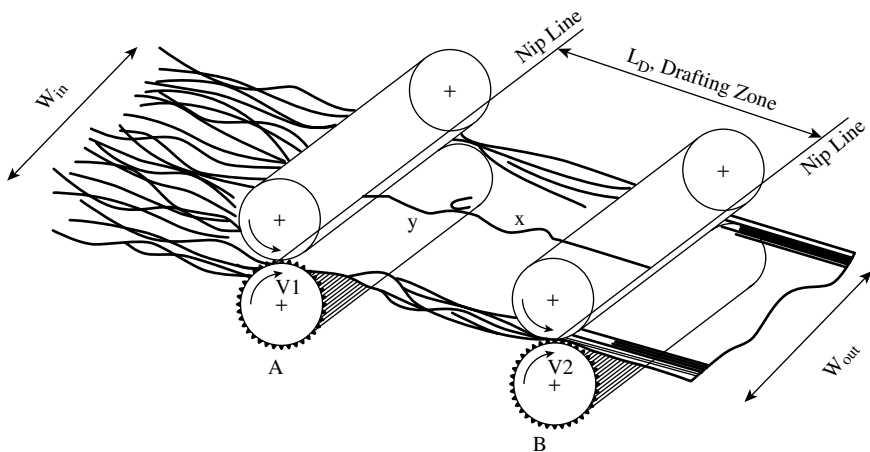


FIGURE 5.2 Single-zone drafting arrangement.

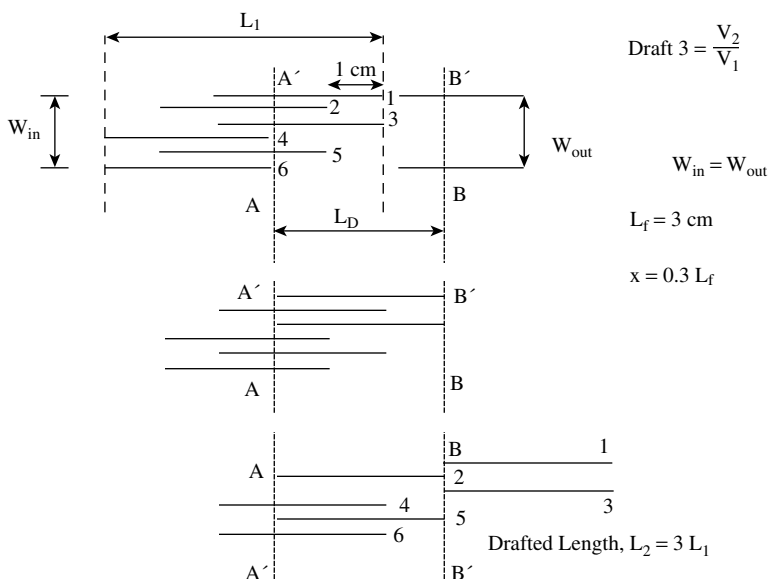


FIGURE 5.3 Representation of perfect drafting.

movements of the fibers numbered 1 through 6. Successive pairs have their leading ends staggered by 1 cm. That is to say, the fiber pairs are equally spaced. This size spacing is not realistic, but using it should help to make the description more easily understood. When 1 and 3 reach the nip line BB', 2 and 5 and 4 and 6 will be, respectively, 1 and 2 cm behind. On reaching the nip line BB', 1 and 3 will be accelerated to the surface speed of roller pair B. Since these rollers are three times faster than the roller pair A, then by the time fibers 2 and 5 reach BB', 1 and 3 will

be leaving this nip line. However, 2 and 5 will be accelerated to the same speed as 1 and 3 and will leave the nip line just as 4 and 6 arrive. Note that, when 4 and 6 leave BB' with the same speed as the previous fiber pairs, the distance between the leading ends of successive pairs has increased three times. This means that the drafted sliver length $L_2 = 3L_1$. The number of fibers in L_2 will still be N and the mass of the drafted length NM_f . Thus, the count has changed to

$$T_{out} = \frac{M_f N 10^5}{L_2} = \frac{T_{in}}{3} \quad (5.2)$$

Although the input and output widths of the material are the same, the vertical interfiber spacing has increased, indicating that the output must be lighter than the input. However, the output retains the irregularity of the input, because the leading ends of the fiber pairs remain equally spaced.

The equal spacing between the fiber pairs is retained with drafting, because the fiber speed changes from that of the back rollers only when, simultaneously, the fiber leading ends are nipped by the front rollers A, and their trailing ends released by the back rollers, B. This is ideal drafting, and it does not cause an added component to the input irregularity. The effect of the perfect draft is to increase the distance between the leading ends of fibers by the multiple of the draft. Therefore, any variations in the fiber distribution along the length of the input material merely reoccur in the drafted length.

Thus far, we have considered the ideal drafting action from the view point that the total number of fibers in the length L_1 must be present after drafting in L_2 . If the number of fibers in the cross sections of L_1 and L_2 are, respectively,

$$n_1 = \frac{M_f N 10^5}{L_1} \cdot \frac{L_f 10^{-5}}{M_f} \quad \text{and} \quad n_2 = \frac{M_f N 10^5}{3L_1} \cdot \frac{L_f 10^{-5}}{M_f}$$

then

$$n_2 = \frac{n_1}{3}, \text{ or } n_2 = \frac{n_1}{D}$$

where $D =$ the applied draft

Figure 5.4 illustrates the changes in the number of fibers in the cross-section along the drafting zone from the back to the front-roller nip lines. The length inside the drafting zone of the fibers moving at the back roller speed will be equal to those moving at the front roller speed. These fiber groups are referred to as the *back* and *front beards*. It follows that the total surface area of fibers moving with the back roller speed will be D times greater than the total surface area of fibers moving at the front roller speed. The interfiber friction relating to the two surface areas account for the drafting force associated with the attenuation of the material.

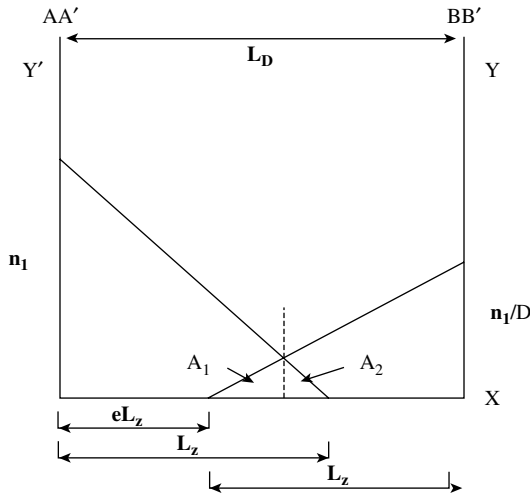


FIGURE 5.4 Changes in the number fibers in the cross section from AA' to BB'.

Based on the concept of perfect drafting, El-Sharkawy et al.,³ used the following assumptions to derive a simple relationship between the drafting force, F , the number of fibers in the cross section of the input material, n_1 , the fiber length inside the drafting zone, L_z , and the draft, D .

Two assumptions are made.

1. The drafting force is dependent on the level of interfiber contact between the back and front beards.
2. The fiber arrangement in the input material conforms with the requirement for perfect drafting, with n_1 and the fiber-to-fiber contact being constant throughout the length of the material.

From Figure 5.4, the taper of the back and front beards, respectively, are given by⁴

$$Y' = n_1 \left(1 - \frac{x}{L_z} \right) \text{ (from } x = 0 \text{ to } L_z \text{)}$$

and

$$Y = n_1 \frac{(x - eL_z)}{DL_z} \text{ (from } x = eL_z \text{ to } L_z \text{)}$$

F will be proportional to the number of contact points between the two beards, which in turn are proportional to the sum of the areas A_1 and A_2 .

$$A_1 = \frac{n_1 L_z}{2} \frac{D(1-e)^2}{(D+1)^2} \quad A_2 = \frac{n_1 L_z}{2} \frac{(1-e)^2}{(D+1)^2}$$

Hence,

$$F = Kn_1L_z \frac{(1-e)^2}{(D+1)} \quad (5.3)$$

where K = a constant of proportionality

5.1.2.2 Actual Drafting

During actual drafting, irregularities attributable to the characteristics of the fiber being processed and to machine defects are superimposed on that of the input material, thus making the drafted output of a higher unevenness.

Effect of Input Material Characteristics

We saw that, for perfect drafting, fibers in the input materials are assumed to be straight and parallel. All are of the same length, with their leading ends equally spaced, and those on the same horizontal line are placed with the leading end of one following the trailing end of another. This may be consider the ideal fiber assembly for drafting. (Rao⁵ reports a mathematical model for the ideal sliver and its application to the theory of roller drafting, to which the reader may wish to refer.) In reality, we are aware that there is a distribution of fiber lengths in a card sliver and that these lengths are not straight, and not all are parallel. Owing to the lack of straightness and parallelism, it is more useful to consider the term fiber extent, illustrated in Figure 5.5.

Definition. Fiber extent is the distance between two planes that just encloses a fiber without intercepting it, the planes being perpendicular to the general direction or axis line of the linear assembly of the fibers.⁶

Instead of the spacing between fiber leading ends, we can consider the spacing between leading ends of fiber extents, noting that, for a straight fiber, both are the same. Figure 5.6 shows that the fiber configurations in card slivers observed by Morton and Summers⁷ and Sengupta and Chattopadhyay⁸ have differing fiber extents. Thus, there is unlikely to be equal spacing between leading ends of fiber extents in the card sliver.

If the drafting zone length is set less than the longest fiber extent, then, in drafting the card sliver, as the leading ends of these fiber extents are caught by the front

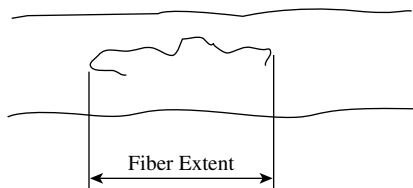


FIGURE 5.5 Fiber extent.

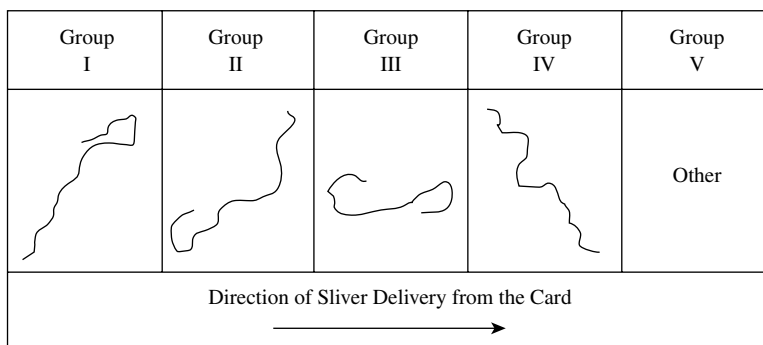


FIGURE 5.6 Fiber configurations in doffer web and card sliver.

rollers, their trailing ends would still be held by the back rollers. Such fibers will be stretched, with some reaching their breaking extension, but the result generally will be groups of undrafted fibers in the output from the front drafting rollers. The combination of the front-roller speed and the recovery from stretch of longest extend fibers gives the effect of material spewing out from the front rollers.

Drafting Wave

If the drafting zone setting is such that the trailing ends of the longer extents are released just as their leading ends are nipped, then spewing does not take place. However, there will be fiber extents that are not nipped by either back or front rollers. These may be called *floating fibers*. On being released from the back-roller nip, these floating fibers will initially travel at the back roller speed, but their subsequent speed of movement to the front rollers will depend on frictional contact with the surrounding fibers. The surrounding fibers that are nipped by the front rollers will try to accelerate the floating fibers while the surrounding fibers nipped by the back rollers will tend to slow the floating fibers. Those floating fibers that are in closer contact with the fibers nipped by the front rollers will be pulled as a group from those held back by the fibers nipped by the back rollers, and they will reach the front rollers earlier than in the ideal case. The front rollers therefore accelerate a thicker group of fibers, leaving a thin length momentarily behind. The fibers in the thin length subsequently reach the front-roller nip and are accelerated to leave momentarily a thicker length behind, and so the process is repeated.

Many floating fibers move prematurely with the speed of the front rollers, either by cohering or entangling with fibers that are positively gripped by the front rollers. The process is cumulative; the greater the number of fibers moving forward with the speed of the front rollers, the more floating fibers are likely to be drawn forward prematurely by interfiber cohesion. In this way, a comparatively large number of floating fibers will be drawn forward at one time to give a thick place in the issuing sliver, and this will be followed by a corresponding thin place that is a result of the loss of fibers by premature movement. The cycle will give rise to a periodic succession of thick and thin places along the length of the material.

Observations of Floating Fiber Motion

Several techniques⁹⁻¹³ have been used to study the motion of fibers in the drafting zone. Figure 5.7 illustrates the general findings. The figure depicts two things. First, the profile of the number of fibers in the cross section at intervals along the length of the material within the drafting zone AA' to BB'. When compared with Figure 5.4, a significant difference between the fiber distributions can be seen. This difference is caused by the presence of floating fibers. Second, Figure 5.7 also shows the typical change in speed of a floating fiber as it leaves the control of the back roller pair, at time t_b , and moves to the front rollers, where it is accelerated to the front-roller surface speed in a time t_f . It can be seen that, between moments where a fiber leaves the back rollers and is directly accelerated by the front rollers, its average

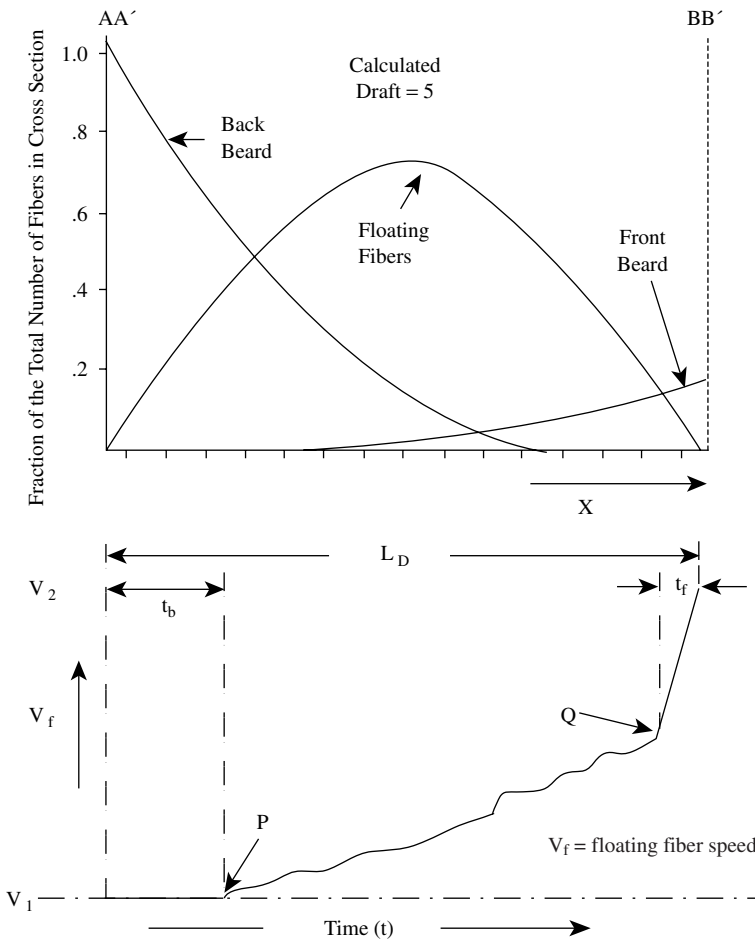


FIGURE 5.7 Changes in the number of fiber in the cross section within the drafting zone from AA' to BB'.

speed differs considerably from both roller pairs. This speed is not constant. This is because the fiber will depend on its contact with neighboring fibers, and there are moments when forces from friction contact with the front beard cause the fiber to accelerate and when the larger size back beard slows the fiber to near the back-roller speed. It was found that, although the speed limits P and Q in the drafting zone vary from fiber to fiber, the frequency distribution of the mean speeds over this region of a large number of fiber observations had a narrow spread. This indicated the average speed to be similar for the majority of fibers.

The effect of floating fibers is to produce a succession of thick and thin places in the output length where some fiber extents have been drafted in the ideal manner. The thick and thin variation has a sinusoidal waveform and is therefore called the *drafting wave*.¹⁴ The drafting wave gives an irregularity additional to that of the input irregularity.

Many studies have been made to develop quantitative explanations of drafting wave irregularity as a rigorous approach to drafting theory, from the viewpoint of either a mechanical process^{14,15} or the statistical nature of fiber movement¹⁵⁻²⁰ based on the assumption that the speed of floating fibers changes from back- to front-roller speed at some nonfixed point in the drafting zone. In this moving boundary concept, the movement of the change-point causes the irregularity. Several works have involved using mathematical models in computer simulations of the drafting process.²⁰⁻²³ Both practical and theoretical studies have investigated wavelength and amplitude with regard to their dependence on such factors as size of draft, fiber characteristics, and roller settings.

In practice, the amplitude and wavelength may be determined from Uster irregularity measurements. However, both are very variable because of the irregular motion of the floating fibers, and so it is simpler for the Uster CV% of the input and output to be measured and compared. The difference between the squares of the CV% indicates the added irregularity attributable to the drafting wave, provided that no irregularities resulting from machine faults (see below) are also present. We shall take this approach in considering factors influencing the irregularity caused by the drafting wave.

Drafting Force

Various sliver draftometers have been described in the literature for measuring drafting forces.²⁴⁻²⁹ Some use sensors to monitor movement of the drafting rollers, which are mounted on pivots or a flexible plate. Others use transducers to measure the power demand of the rollers during drafting. Generally, it was found that the drafting force has a wave-like variation of a similar wavelength and amplitude to the irregularity associated with the drafting wave. The mean drafting force decreases with the openness of the input material and the degree of parallelism and straightness of the constituent fibers. Fiber crimp is an important parameter; the drafting force increases exponentially with crimp level. With respect to the drafting of card sliver, the force is greater when the majority of hooks are trailing rather than leading. This directional effect persists throughout subsequent repeated drawing operations. With worsted card slivers, up to 1% add-on of most lubricants rapidly reduces the drafting force; 100 cp silicone oil was found to be very effective.²⁸

Drafting force increases by only a small amount with throughput speed but is dependent on the static and kinetic friction of the fibers, increasing as these increase. When the roller setting is less than or close in value to the maximum fiber length, the drafting force is high; it decreases as the roller setting is increased.

According to Equation 5.3, the drafting force should decrease with increasing draft. However, observations have shown that, initially, the drafting force increases to a peak value at a very low draft (< 2) before decreasing hyperbolically with increased draft. See Figure 5.8.²⁶ However, the product of the drafting force and the set draft is always a constant, referred to as the *drafting constant*. The initial rise in the drafting force is likely to be present at the start of drafting, irrespective of the set size of draft. If the draft is >2 , the peak value is reached momentarily before the drafting force falls off to a steady-state value. The peak value is attributed to the force needed for straightening and aligning hooked and crimped fibers and to overcome the interfiber frictional resistance to the movement of fibers past one another. The force decreases once the nipped fibers start sliding past those under the influence of the back rollers. When the set draft is <2 , the steady-state value of F is near the peak value. Therefore, as the set draft is increased, the tendency is for the steady-state value to initially equal the peak value of the transient state. It then decreases with further increases in the set draft.

Equation 5.3 shows that the drafting force is proportional to the mean fiber length (L_z is proportional L_f) and fineness (in respect of n_1), and therefore it is proportional to the total length of fibers (or the number of fibers) nipped by the front rollers and accelerated to the front roller speed. Hence, the reason given for the force decreasing with set draft is that the front rollers at higher drafts accelerate fewer fibers, and the resistance to their acceleration is lower, requiring a lower force to overcome it. Increasing the number nipped by the front rollers by increasing the count of the input material will cause the drafting force to increase disproportionately to the increase in count. This is because the greater compactness of the input material will increase the interfiber frictional contact.

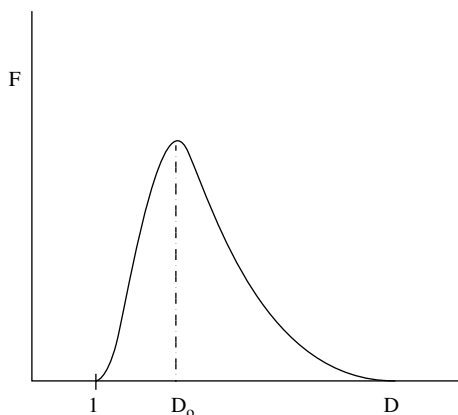


FIGURE 5.8 Relationship between drafting force and set draft.

In Figure 5.8, D_o is the set draft corresponding to the peak force. Up to this value, there is no fiber slippage — just a simple straightening out of fibers and extension of the input material by $D_o - 1$. To allow for this, Equation 5.3 becomes

$$F = \frac{Kn_1L_z(D_o - e)^2}{D - (D_o + 1)} \quad (5.4)$$

This draft D_o raises the issue of the relationship between the set draft and the actual draft applied to the input material.³ If, as indicated in Figure 5.3, $L_2 = D L_1$, then the increase in length because of fibers slipping past one another is $L_1 (D - 1)$, as it is assumed that the structure (the fiber arrangement) of the input material is inextensible. Thus, $D = 1 + \epsilon$, where ϵ is the permanent strain. However, because real fibrous structures show elastic properties at low drafts, the actual draft, D_A , that causes permanent strain will be less than D and is given by $D_A = 1 + (\epsilon - E)$, where E is the limit of elastic strain for the input material. Waggett⁴³ describes a method for determining the elastic properties of card and drawframe slivers. As mentioned above, the elasticity of input materials is governed by fiber entanglement as well as the fiber characteristics. Therefore, with repeated drafting of sliver, D_A should get closer in value to D . Figure 5.9 shows that D_A/D varies with increasing set draft D , as expected.

It is the accepted view that the initial extension of the input material by the drafting force generates drafting irregularities.³⁰⁻⁴² This factor has been included in derivations to predict the irregularity spectrum for various mathematical models of input material.^{33,37} The reduced elasticity of a material being drafted has been found to result in a lower output irregularity.^{30,31}

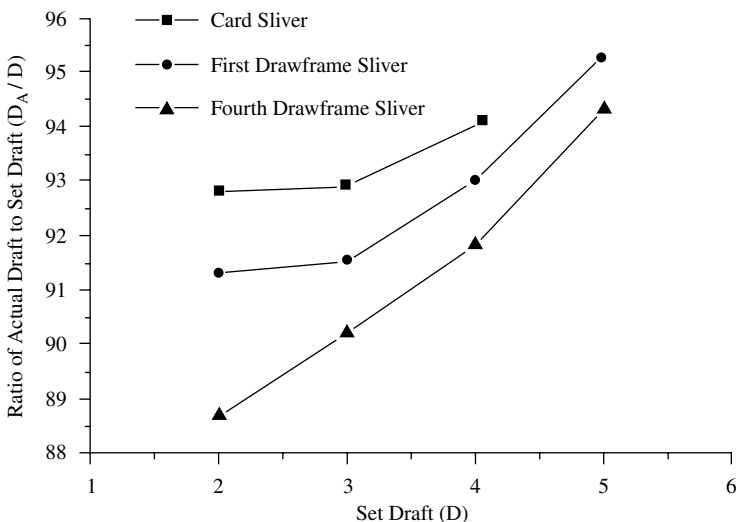


FIGURE 5.9 Relationship between D_A/D and D .

5.1.2.3 Factors Influencing Drafting Wave Irregularity

The factors determining the single zone drafting wave irregularity are

- The size of draft
- The count of the input material
- Multiple inputs or doubling
- Roller or drafting zone setting
- The degree of parallelism, length, and fineness of fibers in the input material

Size of Draft

It can be reasoned that the higher the single zone draft, the fewer the number of fibers in the cross section that will be drafted in the ideal way and therefore the more pronounced the thick and thin places and the greater the irregularity of drafted material. Figure 5.10⁸⁰ shows, as an example, that the square of the relative variance of irregularity increases linearly with applied draft such that

$$CV_O^2 = CV_{IN}^2 + a(D - 1) \quad (5.5)$$

where CV_O , CV_{IN} = relative variances of irregularity of the output and input material, respectively

a = slope of the line

D = applied draft

Thus, the coefficient of variation attributed to the drafting wave is $\sqrt{a(D - 1)}$.

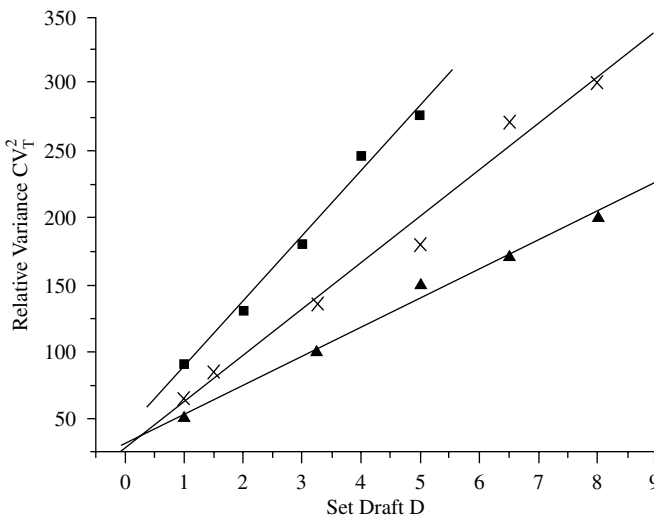


FIGURE 5.10 Relative variance as a function of set draft.

Input Count

The slope a can be seen to decrease with the count of the input material. Strictly, the equation should have a third squared relative coefficient of variation. This results from a small tension draft of 1.2 applied to the material just before it entered the drafting zone. The effect of this draft is not evident in the coarsest count input material where a sizable number of fibers are present. The higher is the number of fibers present, the smaller are the values of a and the coefficient of variation of the drafting wave. The simple reason for this is that, with more fibers present, more fibers will be drafted in the ideal way. There also will be a greater number of floating fibers, but the spacing between successively leading ends of floating fiber extents is likely to be more random. This will lead to strips of the heavier count, and therefore thicker input material, having effectively their own drafting wave. These sub-drafting waves will tend to be out of phase, making thick parts in the strips become staggered and come alongside the staggered thin parts as illustrated in Figure 5.11.⁵⁶

Doubling

A multiple input feed gives the action of doubling. This has the advantage of reducing the irregularity, CV_{IN} , entering the drafting zone such that

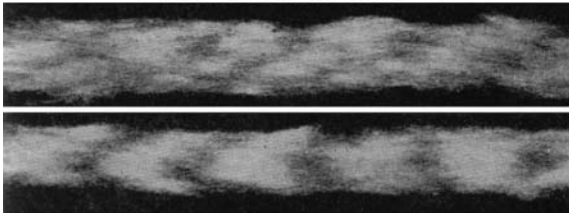
$$CV_{IN} = \frac{CV}{\sqrt{N}} \quad (5.6)$$

where N is the number of inputs each with a coefficient of variation of CV .

In addition to reducing the combined irregularity of the input, doubling implicitly has the effect of increasing count. Thus, during drafting, the drafting waves in the individual inputs tend to form separately from one another; the thick parts do not coincide but become staggered to juxtapose the thinner parts, as described earlier. Therefore, the equation for reduced irregularity by doubling also applies to the drafting wave. Thus, with N inputs each and with CV coefficients of variation,

$$CV_{AO}^2 = \frac{CV_O^2}{N} = \frac{CV_{IN}^2 + a(D-1)}{N} \quad (5.7)$$

**Thick areas overlapping thin area
in heavier count sliver**



**Thick and thin areas stretch across width of
finer count sliver, so no overlapping occurs**

FIGURE 5.11 Drafting waves in slivers.

$$CV_{AO} = \frac{CV_o}{\sqrt{N}} \quad (5.8)$$

where the subscript *AO* means “actual output.”

That is to say, with the doubling action, the output irregularity, CV_o , that would be obtained from a single input feed is now reduced by the product of the reciprocal square root of the number of doublings. This assumes, again, the absence of variations resulting from machine faults.

Fiber Straightness, Parallelism, Fineness, and Length

Fibers usually have some degree of interlacing when they are not straight and parallel in the input material. The greater the amount of interlacing, the more the resistance to the sliding of fibers past one another, and the tendency for fibers to be pulled toward the front roller pair in clumps. This obviates the positive effect associated with increased count. The finer the fibers, the greater the number present in the cross section of the input material, and the more difficult it then is to deal with the interlacing. However, in the drafted material, there will be more fibers in the thinner parts and, consequently, the drafting wave will be less pronounced. From their observations of the drafting of card slivers, Grover and Lord⁴⁴ suggest that the average length of floating fiber groups is likely to decrease with repeated drafting because of a progressive reduction in the amount of interlacing.

During drafting, the interfiber friction tends to straighten and align fibers as they slide past each other. Merchant^{45,46} explains that, in the straightening and alignment of fibers entering the drafting zone, the drafting action removes trailing hooks preferentially to leading hooks. The reason for this is that the trailing hook of a fiber is in frictional contact with a large number (n_1) of fibers in the back beard, which exert a drag on the hook as the leading end of the fiber is accelerated to the front roller speed. The leading hook of a fiber, with its trailing end nipped by the back roller, will have much fewer ($n_2 = n_1/D_A$) fibers in the front beard trying to straighten the hooked length as they slide by. For similar reasons, fiber hook removal increases with increased count of the input material⁴⁷ and with increased set draft.^{48,49}

Thus, in repeated drafting stages where fiber hooks are in a trailing direction entering the drafting zone, more and more fibers will be straightened with their fiber extents becoming equal to their full lengths. The associated drafting wave with each repeat stage will be smaller, and, if doubling is used at each repeat stage, the output CV will show a decreasing trend.

Irrespective of the positive effect of fiber straightening, the drafting wave will depend on the fiber length or span length distribution and on, in particular, the short fiber content of the distribution, since short fibers will always be floating fibers. For cotton, measured data from a digital fibrograph (see Appendix) have been used in the following expression to obtain calculated estimates of the percentage of fibers likely to become floating fibers.^{50,51}

$$\text{Percent floating fibers (\%FF)} = (S/L - 0.975)100 \quad (5.9)$$

where $S = 2.5\%$ span length
 $L = 12.5\%$ span length

Depending on fiber grade and process conditions in opening and cleaning and carding, reported figures for %FF range from 10 to 70%.⁵⁰ Fiber breakage in the preparation processes is therefore of significance to the drafting wave irregularity. For man-made fibers, fiber breakage also has a negative effect, since such fibers are usually supplied with a square distribution.

By analyzing the thin and thick places in drafted lengths, Waggett²² has shown that the bulk of floating fibers during drafting are likely to be short fibers, since longer fibers have sufficient cohesion between themselves and other neighboring fibers in the back and front beards to reduce any irregular motion. However, a worsted staple diagram can include very long fibers so that, when the drafting rollers are set to prevent spewing, the remaining fibers behave as floating fibers. Generally, it may be said that the irregularity of a drafted material is strongly linked to the irregularity of the constituent fiber distribution.

Roller Settings

Figure 5.12 is a typical example of how the coefficient of variation changes with roller setting. It is evident that the minimum irregularity was obtained at setting less than the maximum fiber length. At greater settings, a rapid increase in irregularity occurs, because longer fibers as well as short fibers become floating fibers, and the distance over which short fibers float increases, both factors giving a more pronounced drafting wave.

The reason why spewing does not present a problem at the setting for minimum irregularity is that the number of maximum length fibers is usually small ($\ll 5\%$), so only a few fibers would be nipped by both roller pairs. However, to ensure against spewing, a setting slightly wider than that corresponding to the minimum $CV_T\%$ is

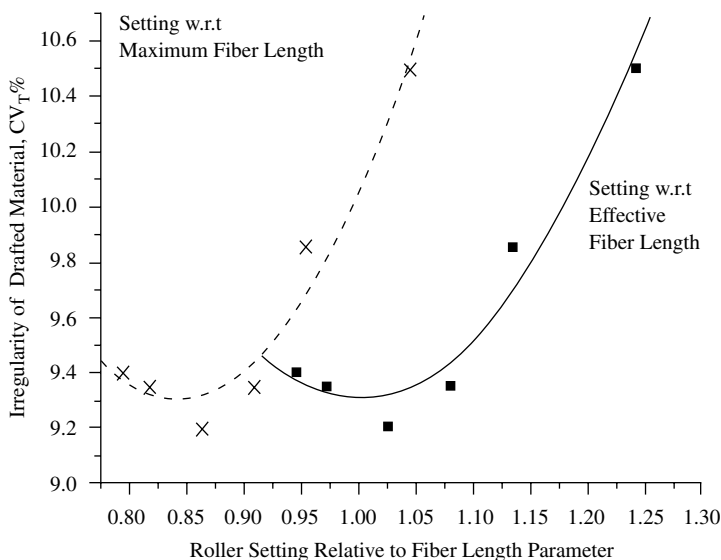


FIGURE 5.12 Effect of roller settings on irregularity.

commonly used. As described in Chapter 1, the effective fiber length or (for short-staple) the 2.5% span length may be used for drafting zone settings. When there is likely to be low fiber parallelism and straightness, careful consideration has to be given to the optimal setting with regard to the fiber extent distribution.⁵³

5.1.3 EFFECT OF MACHINE DEFECTS

The irregularities that may be caused by machine defects are usually independent of those caused by the material characteristics. However, irregularities caused by uncontrolled stretching of the input or output material may be influenced by fiber properties and parallelism. Although modern machines are well designed and constructed, wear can lead to mechanical defects and the following three types are of importance.

5.1.3.1 Roller Eccentricity

As Figure 5.13 shows, roller eccentricity essentially means that the actual centre of a roller, in this case the top roller, is offset from the axis of rotation, and this causes the radius of rotation to vary during each revolution of the roller. Consequently, roller eccentricity causes the nip of a roller pair to fluctuate, and this alternatively increases and shortens the drafting zones. Usually, the effect of such movement of the back-roller nip line is negligible, but it is of much significance with the front-roller pair.

In the case of the front rollers, the number of fiber-leading ends nipped by the rollers will vary in a regularly repeated manner, resulting in thick and thin places in the drafted material. The forward movement of the nip line increases the spacing

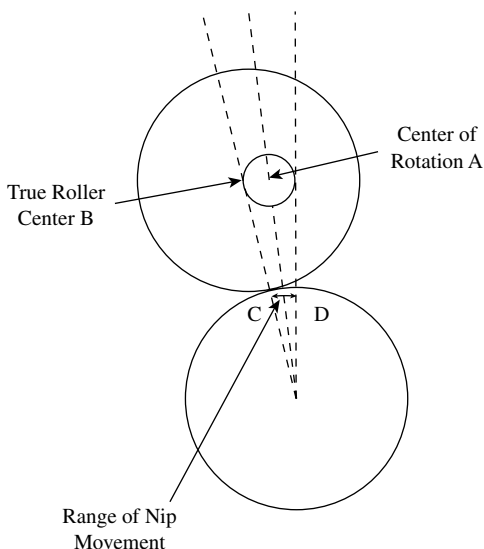


FIGURE 5.13 Eccentric top roller causing periodic roller nip movement.

between fiber ends to produce the thin places, and the backward movement produces the opposite. This gives a periodic variation, with a wavelength equal to the roller circumference. The amplitude of the periodic variation will depend on the amount of nip movement, which is likely to be small. Importantly, therefore, the irregularity value (i.e., CV%) of the drafted material may not be greatly affected and, unwittingly, may seem to be low, particularly if steps have been taken to minimize the effects of the material characteristics discussed above.

The Uster spectrogram will, however, indicate the periodic fault.⁵⁴ Such a fault that occurs in one drafting action will also be present in the output material of subsequent roller drafting(s), which may not be affected by machine faults. Similar to other irregularities, the wavelength of the periodic fault would then increase by the multiple of the draft(s) and is identifiable in the corresponding Uster spectrogram.

The eccentricity of a bottom roller is more severe than that of a top roller. This is because the bottom roller is directly driven so that, as well as introducing the nip movement, the varying radius of rotation would also cause the roller surface speed to fluctuate regularly. The result would be a larger amplitude of periodic fault as compared with that caused by an eccentric top roller.

5.1.3.2 Roller Slip

Roller slip may occur either at the back or front roller pair. Since the bottom rollers are directly driven, it is these that are likely to slip. The bottom rollers drive the fiber beards and top rollers. If there is insufficient pressure at a roller nip, the bottom roller slips by and does not impart the correct surface speed to the fiber beard and the top roller. Changes to the top roller surface speed caused by bottom roller slip will introduce irregularities into the output material. Clearly, the thicker the fiber beard between the rollers, the greater is the chance of bottom roller slip. Roller slip is therefore usually associated with inputs of high linear densities.

Periodic changes in the speed of bottom rollers, causing periodic variations, can result from faults in the drive system and from worn bearings.⁵⁴

5.1.4 THE DRAWING OPERATIONS

Having reviewed the basic principles of drafting, we can now consider how they are applied to drawing operations in the short-staple, the worsted, and semi-worsted preparation processes.

The drawing operations are primarily concerned with converting card slivers into drawn slivers in which fibers have been straightened and aligned with a high degree of parallelism. It is essential that the short-, medium-, and long-term irregularities of drawn slivers are as low as possible, with no periodic variation present. To minimize the drafting wave, the motion of potential floating fibers must be controlled, and, to ensure minimum long-term irregularities, autolevelers are also employed.

Effective control of floating fibers can be achieved by increasing the interfiber friction. Although it is possible to apply suitable additives to fibers to increase this friction, the preferred alternative is to do so mechanically, as this is a simpler

approach that gives more predictable control. There are four basic means of mechanical control: directly applied pressure or tension; the use of pins; twist; and a combination of applied pressure and twist. In sliver drawing operations, use is made of applied pressure or of pin control. The other two means of control are used in the follow-on process stages and will be considered later. For effective pin control, the drafting zone has to be of a length suitable for a pin device to fit between the back and front roller pairs. This means that pin control is used for the worsted and semi-worsted processes, and direct pressure is used for short-staple processing.

The machines used for drawing are called either *frames* or *boxes*. In short-staple processing, we refer to the drawframe, whereas, in the worsted or semi-worsted process, drawing is performed on a gill box.

5.1.4.1 The Drawframe

In the explanation given of the principles of drafting, only a single-zone drafting arrangement was considered. This is because, when more than one zone is used, the principles still apply to each individual zone. However, the application of multiple zones can enable the control of the effects of material characteristics on drafting irregularities described above.

Figure 5.14 depicts the essential features of a drawframe with a two-zone drafting arrangement and shows a modern machine with a six-sliver input and automatic can changing at the output. The use of six or eight doublings depends on fiber length and size of draft. The shorter cotton lengths are found to process better from the use of six doublings with a draft of six; eight doublings may be used for longer staples.⁵⁵ Table 5.1 gives typical performance figures. Drawframes have much higher production speeds than revolving flat cards. Therefore, only a few machines are needed to achieve a process balance with card sliver production.

TABLE 5.1
Typical Performance Figures for Drawframes

Features	Specification
Number of deliveries	Usually 1 or 2
Drafting systems	3-over-3 with or without pressure bar 4-over-4 Other combinations
Number of doublings for feed to drafting system	Usually 8, ranges 6–10
Max. input feed (g/m)	Up to 50
Max. delivery speed (m/min)	Up to 1000
Autoleveler regulating range ($\pm\%$)	Commonly 25

With three roller pairs defining the geometry of the system shown in the figure, it is common to refer to the arrangement as a *3-over-3 system*. Several other arrangements are used or have been in used,^{56,57} the more traditional being the *4-over-4 system*, but this arrangement is considered to be unnecessary where less than ten of draft is to be applied to the input slivers. Essentially, whatever the geometry, the

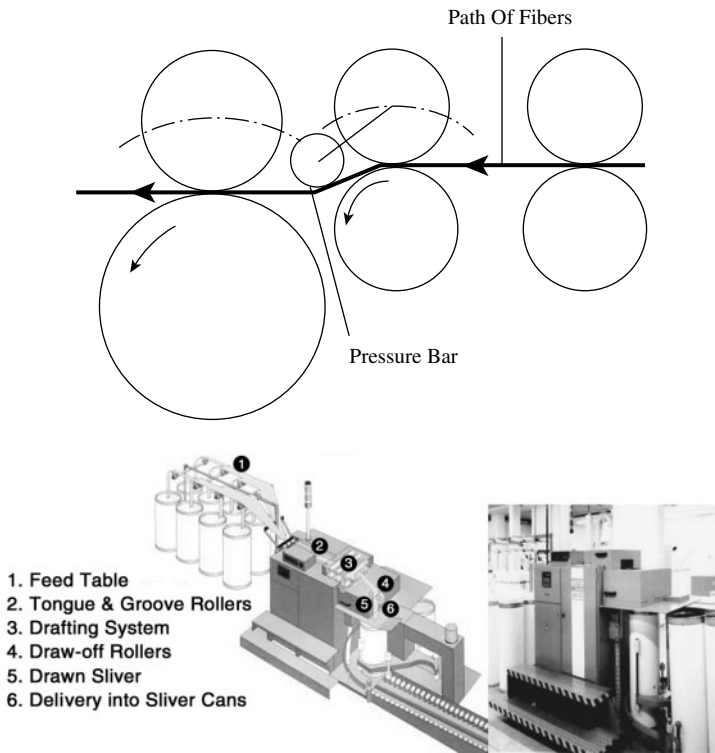


FIGURE 5.14 Main features of a drawframe. (Courtesy of Rieter Machine Works Ltd.)

drafting system should be capable of processing a variety of fiber types and staple lengths (typically, 22 to 80 mm) over a wide range of delivery speeds.

Generally, the back zone draft of all drafting systems is of the order of 1.25 to 2.0. This draft is termed the *break draft*, since it assists in minimizing the negative effect of sliver extension in the subsequent higher draft zone(s). The drafting force was shown to peak at such low drafts. Therefore, the *roller weighting* of the second top roller is of critical importance to avoid roller slip. As Figure 5.14 shows, a pressure bar is fitted in the front drafting zone. This deflects the fibers from a straight-line path, and the resulting tension reduces the effect of the material extension and assists in restraining the pulling forward of what would be floating fibers. However, it is essential that fibers enter the nip line of the front rollers directly from the pressure bar; otherwise, their path will be disturbed by the surface of the bottom front roller, and this will increase the irregularity caused by the drafting wave.

In the processing of cotton, the drawframe acts as a further cleaning point. [Figure 3.7](#) (see Chapter 3) shows that dust particles, by Coulombic forces, can cling to fiber surfaces. As these fibers slide past one another during drafting, the fiber-to-fiber friction liberates the dust and any coarse trash caught between the fibers. These separated particles can then be removed with applied suction.

Open-loop autoleveling control is the most widely used on drawframes. A tongue-and-groove sensor, similar to the card system, is used to determine the variation of the combined sliver feed. The sensor is sited prior to the inlet rollers of the drafting system. The measured values are stored until the measured portion of the combined sliver feed is within the drafting system, and the size of draft is then adjusted to correct for deviations. An important advantage of the open loop is that, because the combined sliver feed moves at a sixth or an eighth the speed of the output sliver, the sensor can respond more accurately to the material variations as compared with closed-loop positioning of the sensor.

We have seen in Chapter 3 that autolevelers are also fitted to revolving-flats cards. Usually, these give longer-term correction (i.e., variations between cans of slivers) and are referred to as *long-term autolevelers*. Drawframe autolevelers give correction to the sliver lengths within cans and may be considered medium-term devices. Short-term autolevelers also can be fitted to both machine types. The question, therefore, is which to use and when. The key factor to consider is the consistency of the count of the spun yarn. The expectation is that a yarn count should have a CV% of approximately 2, based on about 20 measurements (yarn package to yarn package) of 100-m samples. Yarn counts having a CV% = 3 have resulted in unacceptable visible faults in woven fabrics.⁵⁵ A CV% of 1.2 to 1.4 can be achieved with autoleveling at the drawframe. Generally, when two drawframe passages are used, and therefore a doubling of 36 or 64, long-term autoleveling at the card or medium-term autoleveling at only the first passage drawframe will give similar results. When one drawframe passage is required, the choice of which machine stage should have autolevelers fitted may come down to one of economics. The spinning of coarse count yarns by certain spinning methods (see Chapter 6) often only requires either the direct feed of a card sliver or a single passage drawframe sliver, in which case a short-term autoleveler should be used on the respective machines according to sliver type.⁵⁸

5.1.4.2 The Gill Box

Whereas a drawframe is used in the straightening and parallelizing of cotton and other short fibers, a gill box is used for processing wool and other longer fibers. Instead of employing a multiple-zone, roller-drafting system with pressure rollers to minimize the effects of sliver extension and the floating-fiber drafting wave, gill boxes are commonly single-zone, or 2-over-2, roller systems in which pins are used to control the effects of material characteristics on the drafting. Figure 5.15 illustrates the main components of the drafting system of an intersecting gill box.

The *fallers* are metal bars with steel pins projecting from their working surfaces and equally spaced along the length of the bars. The pins may be round or flat; round pins are more robust, but flat pins give better fiber control since, for the same number per unit length, they have a larger free space within which a greater number of fibers can be held.⁵⁹ The faller lengths are parallel to the nip line of the roller pairs, and, when in contact with the sliver, the pins penetrate vertically, slightly beyond the line of the sliver axis.

The length of the drafting zone is often called the total *ratchet*. The shortest distance of a line of pins from the back nip line is therefore called the *back ratchet*,

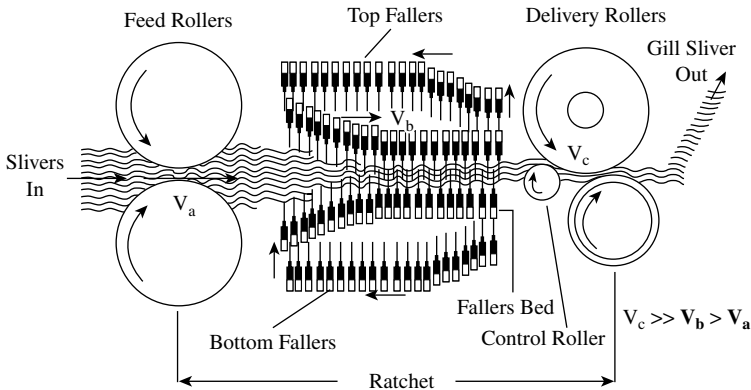


FIGURE 5.15 Features of intersecting gill box.

and the *front ratchet* is the closest distance of approach of a line of pins to the front nip line. The back roller pair is usually adjusted so that the back ratchet is smaller than the lengths of the short fibers. The group of fallers penetrating the sliver between the back and front rollers may be referred to as a *bed of fallers*. The total ratchet is set so that the longest fiber in the back beard does not extend beyond half the distance of the faller bed.

During the drafting action, each faller moves from the back to the front rollers at a speed 5% faster than the back-roller surface speed. Consequently, the pins gently comb through the back beard, and this assists in minimizing the effects of sliver extension, the removal of hooks leading into the faller bed, and in the general straightening and aligning of the fibers. Studies⁶⁰ have shown that the combing action of the fallers gives a small amount of straightening, but mainly of longer fibers. Fibers released by the back rollers are transported by the fallers to the front nip line where, once nipped, the material is fully drafted. The significant difference between the front-roller speed and the faller speed is very effective in the straightening and removal of fiber hooks that are trailing rather than leading within the front beard. Pulling fibers from between the pins gives far more straightening than does combing the fibers with pins.

Although the back-ratchet draft gives only a small amount of straightening, it is nevertheless of importance. If it is too high, the combing action of the faller pins may result in fiber breakage, and irregularities occur because of the uncontrolled motion of the short lengths of broken fibers. Too low a value, and fibers may not be suitably extended to remove their natural crimp. The drafting force in the front-ratchet zone then reaches a level to break fibers, owing to the greater friction resistance caused by the retained crimp.

On reaching the closest distance to the front roller, each faller then drops from the faller bed and is returned to repeat the fiber control part of the cycle. The fallers have their ends supported on metal saddles, and they may be chain-driven (*chain-driven intersectors*). Alternatively, rotating screw threads may be used to propel them during their forward and return paths, with cams lifting them in and out of the

faller bed (*screw-driven intersectors*). As with the drawframe, an autoleveler may be employed to ensure sliver count consistency.

With pin-control, fibers that are released by the back rollers are compressed between lines of adjacent pins and thereby become constrained to move at the faller speed until nipped and accelerated by the front rollers. However, as fallers move within reach of the front rollers, the presence of the front ratchet reduces pin control, and the front beard is then able to pull some unnipped fibers forward. Furthermore, as the front most faller is removed from the faller bed, it may disturb the motion of fiber ends and may cause a periodic fault known as *faller-bar marks* in the drafted output sliver. Two explanations have been given for the formation of faller-bar marks. Taylor¹¹ attributes them to the tendency of the dropping fallers to cause an intermittent pulling of the sliver out of the steady flow path to the front-roller nip. This periodically alters the number of fiber ends being nipped and thereby results in thick places occurring at the locations in the sliver corresponding to the withdrawal of the fallers. Grossberg and Yang,^{61,62} having found that the forces involved were too small to produce significant periodic pulling, gave the alternative explanation that, in close proximity to the front rollers, the interfiber pressure on the fiber mass held between adjacent pins decreases suddenly to a minimum as the faller drops from the bed. The associated decrease in the drafting force enables elastic recovery to take place in the front ratchet zone, and some fibers therefore retract from the nip, initially causing a thin place, followed by a thick place, to be formed. It is possible that a combination of the two mechanisms actually occurs.

The wavelength of the periodic irregularity present in the sliver equals the product of the draft and distance between successive lines of faller pins (the *pitch of the fallers*). The amplitude of faller-bar markings increases with short fiber content, the front ratchet size, and, particularly, the level of draft. Taylor⁶³ has shown experimentally that at a draft of 5, faller-bar marks were scarcely detectable but became very conspicuous, even to the eye, when a draft of 12 was applied. The effect of faller-bar markings on yarn quality is diminished when a repeat drafting passage is used (i.e., two gillings), the associated reverse feed of the processed sliver length being beneficial.

It should be evident from the above that the fiber-pin interaction strongly influences the quality of the drafted sliver. As a general rule, the distribution of the fiber mass between the pins must be as uniform as possible, maintaining consistent friction and drafting forces. The cleanliness and the irregularity of the input sliver are therefore important factors. Impurities and thick and thin places in the feed sliver will alter the fiber packing densities between pins, which, if too high, can cause fiber breakage and, if too low, a pronounced drafting wave. Finer fibers should be processed with narrower pin spacing, which prevents the entry of impurities. The optimal input count of the doubled slivers is directly related to fiber fineness, i.e., fineness in microns = count in ktex, $19 \mu\text{m} = 19 \text{ ktex}$.⁶⁴

5.1.5 PRODUCTION EQUATION

The production rate, P_R (kg/h), is given by

$$P_R = V_d \times T_t \times 60 \times E \times 10^{-2} \times N \quad (5.10)$$

where V_d = delivery of sliver (m/min)
 T_t = sliver count (ktex)
 E = machine efficiency (%)
 N = number of deliveries per machine

5.2 COMBING

We have learned from the basic principles of drafting that, assuming the drafting system is appropriately set, drafting waves are attributable to the short fibers in the input material and that the amplitude and wavelength of the drafting wave increases with draft. In drawing, we have seen the use of two means of controlling the short fibers when moderate drafts are applied. At high drafts, control of all fiber lengths is important and, when the drafted material is to be of a fine count, the drafting wave amplitude can be suitably minimized if very short fibers are removed from the input material. Worsted yarns generally, and cotton yarns that are produced within the finer count range (i.e., 25 to 5 tex) on spinning systems that employ roller drafting, require very short fibers to be removed during the material preparation. It is important also that the quantity of neps and remnant fragments of impurity are minimized, as they can severely degrade the quality of such yarns. The process used to remove the short fiber and remnant impurities is called *combing*.

Definition: Combing is a process by which the quantity of short fibers and remnant fragments of impurities present in a carded or drawn sliver are minimized to give a clean sliver, having more of a rectangular staple diagram, with the vast majority of the constituent fibers in a straightened and parallel state.

Combing, therefore, makes possible the spinning of yarns of fine counts with low irregularities and a cleaner appearance. As we will be explained in Chapter 6, combing also results in stronger, smoother, and more lustrous yarns.

5.2.1 THE PRINCIPLES OF RECTILINEAR COMBING

Historically, a number of combing methods date back to around the mid nineteenth century, but the rectilinear method has become the most widely utilized. The principle was devised in 1846 by Josué Heilmann, of Alsace, France, to be used for the combing of cottons. It was also found to be effective for wools. For cotton processing, the method was developed by Nasmith, an Englishman, in 1902 to 1903, into the system on which modern cotton combing machines are based, known as the Nasmith comb (or comb). The machines developed for wool have become known by the alternative names of French or Schlumberger combs.

Essentially, rectilinear combing involves a sequence of five steps, termed the *combing cycle*, which is repeated continuously while the machine is operating. The steps are as follows:

1. Feeding a fringe of several slivers to a rotating cylinder or drum covered with pins.
2. Removing, with the pins on the rotating cylinder, the impurities and fibers not held within a nip line.
3. Releasing the remaining fibers in the nip and simultaneously inserting a row of pins across the width of fringe.
4. Pulling the longer fibers through the row of pins and piecing them to the previously detached group to form a new length of combed sliver.
5. Removing the impurities and extracted fibers from the rotating cylinder, making it ready for the next cycle.

Although both the Nasmith and the French comb use the same operating principles, there are important differences between components of the systems that make it appropriate to give separate descriptions of each in relation to the combing cycle.

5.2.1.1 Nasmith Comb

Figure 5.16 depicts a sideways view of the main components of a Nasmith comb and their related actions during a combing cycle.

The Cylinder Comb

This is a driven cylinder, with its length positioned across the width of the machine. The section of circumferential surface that does the combing is called the *half-lap*

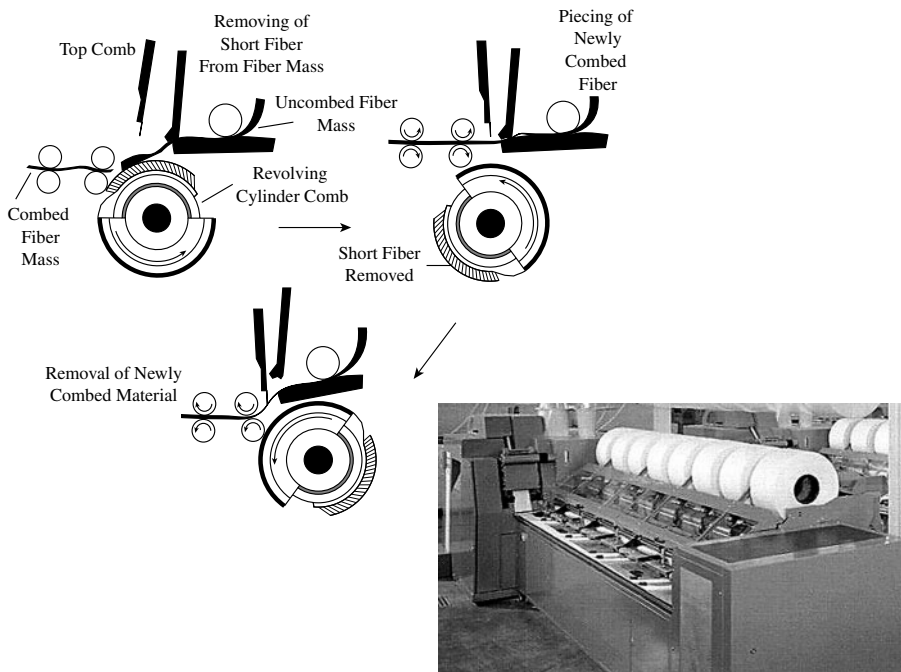


FIGURE 5.16 Main features of the Nasmith comb.

and consists of about 17 rows of pins, with their points projecting from the cylinder surface. The cylinder comb rotates independently of all other parts, and its main function is to remove the unipped fibers, neps, and impurities from a fringe of slivers while straightening out any hooked or curled fibers in the fringe, with the fiber trailing ends being held at a nip line. The collection of fiber, dirt, and trash removed is called *noil* or *comber waste*. The half-lap is arranged so that the fringe is combed first by a row of widely spaced coarse pins and then by progressively more narrowly spaced and finer rows. Up to 28 slivers, each having had one passage of drawing, are preassembled in the form of a lap — a sliver lap⁶⁵ — in which the slivers lie parallel to each other with their ends making the sliver fringe that spans across the width of the machine. The reason why a drawn sliver rather than a card sliver feed is used will be explained later.

The Feed Roller/Top and Bottom Nipper Plates/Top Comb

The feed roller is made to supply, intermittently, a preset length of fringe ready for combing. The nipper plates separate and then come together (*open* and *close*) so as to give passage to the feeding forward of the fringe and then to nip the fringe ready for combing. The top comb is a single bar having fixed across the length of one edge equally spaced pins with their point projecting down toward to the sliver fringe. The top comb has an up-and-down vertical movement.

All four components have their lengths across the machine width, and they move as a unit, initially away from and then toward the detaching rollers.

Detaching Rollers and Delivery Rollers

Similar to other roller-set arrangements for gripping fibers, the bottom rollers are driven, fluted metal rollers, and the top are synthetic rubber-covered rollers. The roller pairs initially rotate counterclockwise so that a previously combed length forms an overlap onto which the next group of fibers to be detached will be pieced. They then rotate clockwise to remove the newly combed group of longer fibers from the fringe. To make this overlap and remove fibers from the fringe, the nip line of the detaching rollers has to move toward the fringe. To do so, the top-detaching roller is made to shift to a foremost position ready for detaching; this action is referred to as the *top roller rocking over the bottom roller*. When the top roller is in its detaching position, the nipper plates are at their closest distance to the detaching rollers. It is this preset distance (the detachment setting) that governs the fiber lengths removed from the fringe. Detachment occurs when the rollers rotate clockwise while, simultaneously, the top-detaching roller rocks back to its original position.

The Combing Cycle

The combing cycle begins with the nipper plates in their backmost position (farthest position from the detaching rollers) and closed so as to nip the sliver fringe. As shown in the figure, the feed roller is stationary, and the top comb is in the up position, clear of the fringe. During the early stages of the cycle, the pins projecting from the cylinder comb enter the sliver fringe and subsequently remove impurities and fibers not held by the nipper plates. As the pins leave the fringe, the nipper-plate unit begins moving toward the detaching rollers. The nipper plates start opening, the top comb drops into the fringe just in front of the nipper plates and, as the latter becomes fully

opened, the feed roller pushes forward a short length of fringe. By the time the nipper plates reach the detachment setting, the detaching rollers will have formed an overlap and begun their clockwise rotation. The leading ends of fibers spanning the detachment setting will then be caught, and these fibers are pulled through the interspaces of the top comb. The top comb prevents neps, impurities, and fibers not spanning the preset distance from being dragged out of the sliver fringe by those being detached. It effectively combs and straightens the trailing ends of fibers being detached. In the following cycle, the cylinder removes, along with any neps and impurities, fibers retained in the sliver fringe that are not held by the nipper plates.

Once detachment has taken place, the nipper-plate unit returns to its backmost position and, in so doing, the newly formed length of fringe is nipped and ready for combing. The top comb will have returned to its up position. The combing cycle is then repeated. Since, in each cycle, the nipper plates have to be closed for the cylinder to extract the noil, a cycle may be referred to as a *nip*. The combing frequency is therefore the number of nips per minute, which is normally stated as the combing speed.

The detachment and piecing of fibers from the sliver fringe results in a thin web of straight and parallel fibers being issued from the delivery rollers. The combed web is then consolidated to make a sliver, which is pulled along a table alongside slivers from other combing heads on the same machines (usually six or eight heads), and the set of doubled slivers are drawn to form a single sliver, termed a *combed sliver*, by a drafting system at end of the machine. The sliver is then wound into a sliver can, ready for the next process. [Figure 5.16](#) shows a modern cotton combing machine with the sliver-lap feed and the feed table to the drafting system with the slivers from each combing head. The combing process is very effective in removing very short fibers, and, as an example of this, [Figure 5.17](#) compares the staple diagrams for the sliver lap feed, the combed sliver, and the extracted noil. It can be seen that, by reducing the short fiber content from 28 to 9%, the staple diagram becomes more rectangular.

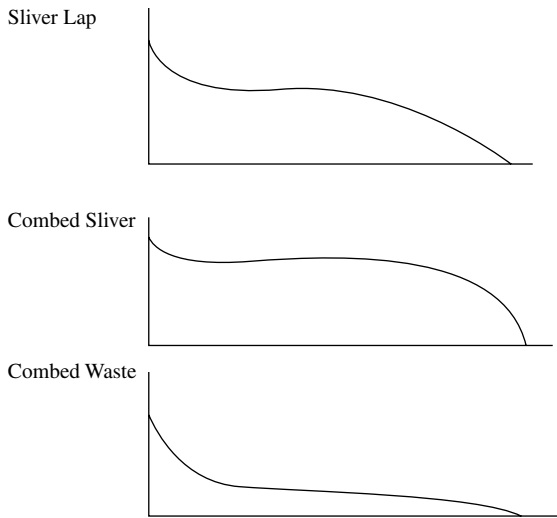
It should be clear to the reader that overlap piecings will produce a periodic fault in the sliver from each combing head. The doubling and drafting at the machine will assist in reducing the amplitude of the periodic wave. However, a further drawframe passage is usually required after combing.

Blends of combed cotton with man-made fibers are widely used for the production of fine-count yarns. A common practice is to carry out such blending after combing, in which case two drawframe passages are employed, the man-made sliver having had one drawing prior to blending.

5.2.1.2 French Comb

[Figure 5.18](#) is a typical side elevation diagram of the main working parts of a French comb. The main points of difference between this and the Nasmith comb are

- *The sliver feed.* A feed gill is used to control 24 to 32 gilled, wool slivers (at least one passage of gilling). This is composed of three metal plates that move as a unit forward and backward, toward and away from the



Fiber Parameter	Sliver Lap	Combed Sliver	Waste (17% Extraction)
Effective Length (mm)	37	38	23
% Short Fiber	28	9	66

FIGURE 5.17 Staple diagram for combed Sudan cotton.

detaching rollers. The slivers lay juxtaposed on the bottom, grooved plate with a slotted plate placed on top of them. Eight rows of pins attached to the top plate project through the slotted plate, penetrate the slivers, and enter the groove of the bottom plate, thereby firmly holding the slivers. When the nipper plates open, the feed gill moves forward to supply a preset length of sliver fringe through the nipper plates. When the nipper plates are closed, the top plate of the feed gill withdraws the pins from the sliver, and the feed gill unit returns to its initial position, ready to repeat the feed. The nipped sliver fringe is then combed by the 17 or 18 rows of pins on the cylinder comb.

- *Detaching unit.* This consists of a spirally fluted roller and an endless apron. When the pins leave the sliver fringe, the detaching unit moves forward and grips the fiber ends. Simultaneously, the pins of the top comb penetrate the fringe so that only fibers of lengths greater than the detaching distance are extracted.

Figure 5.18 shows that the French comb is a single-head machine. The slivers are supplied to the machine in balls rather than cans so as to reduce floor space and provide ease of handling. Table 5.2 gives a general comparison of specifications for the two types of combing machine.

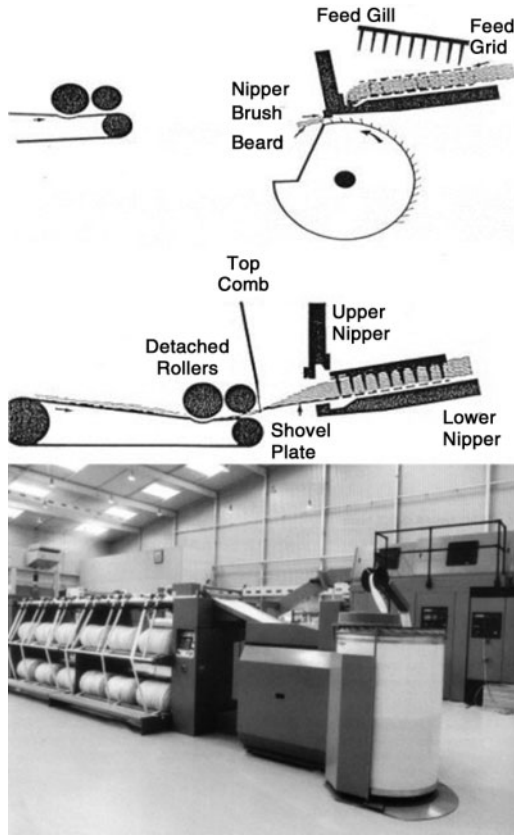


FIGURE 5.18 Operation of a French comb.

TABLE 5.2
Typical Performance Data

Parameter	Nasmith (cotton) comb	French comb
Nips/min	Up to 350	200–230
Number of heads	Commonly 8	Commonly 1
Feed count (ktex)	Up to 80	Up to 600

Similar to cotton combing, the combed wool slivers, called *combed top*, are passed through a drawing (i.e., gilling) operation. They normally undergo two passages of gilling, which are referred to as *top finishing*. The first gilling involves autoleveling, and the number of doublings may be up to 30 but with no greater than 10 of a draft. The second gilling would utilize a doubling of four or five and a similar draft, without autoleveling.

In contrast to the short-staple sector, it is common in the worsted industry to have the process steps of scouring through top finishing as one manufacturing operation, separate from the spinning mill. The sliver in top finishing is usually of 20 to 25 ktex and is packaged in approximately 20- to 25-kg lots for easy handling and transportation.

5.2.2 PRODUCTION EQUATION

The production rate of a comber depends on the following:

- The total sliver feed mass per unit length L , grams
- Combing speed n , nips per minute
- Feed rate f , mm per nip
- Noil $W\%$
- Running efficiency $E\%$
- Number of heads N_H

The production rate P_R (kg/h) is then given by

$$P_R = (100 - W) L n f E N_H 60 \times 10^{-10} \quad (5.11)$$

5.2.3 DEGREES OF COMBING

The percentage waste extraction during combing depends on the short-fiber content of the raw material, the final end use of the yarn, and the economics with respect to the effect of material cost on yarn cost. There are, particularly for cotton, four degrees of combing.

- *Scratch combing*, where up to 5% noil is removed. This gives no great improvement in average yarn properties but has the benefit of reducing end breakage rates in spinning and winding.
- *Half-combing*, which involves around 9% waste, resulting in reduced yarn irregularity and improved spinning performance.
- *Ordinary combing*, involving between 10 to 18% noil, which is necessary for spinning yarns in the finer end of the count range.
- *Full combing*, resulting in greater than 18% noil. This often means double combing to obtain the highest quality yarns — 18% removed in the first combing and 7% in the second.

For short-staple spinning, cottons greater than about 27 mm staple length are commonly combed; those greater than 30 mm are used for finer counts, and generally all are combed. Usually, 13 to 5% is considered sufficient to meet high-quality requirements. In worsted processing, the ratio of top and noil is called the *tear* and is often used as a measure of the degree of combing. With 60s quality wool, the tear is within 8 to 11, and for 64s/70s quality, it is around 8. With 64s quality wool, the noil extract can be around 4 to 8%. When tops are dyed,⁶⁶ they are either gilled or gilled and recombed, followed by two additional gillings.

5.2.4 FACTORS AFFECTING NOIL EXTRACTION

5.2.4.1 Comber Settings

In the first place, the machine settings should give control of the percentage of waste extracted. From the descriptions of the machine operations, it should be clear that the detachment setting is a main factor. However, if the detachment setting is to be based on the short-fiber content of a staple diagram, then the effect of the difference between number-length and weight-length distributions on the estimated noil percentage should be considered.⁶⁷ As Figure 5.19⁶⁷ illustrates, a number length distribution gives a more accurate estimate of the short fiber content in the material to be combed.

The position of the top comb relative to the nipper plates will influence the amount of waste extracted. If the top comb is set well in advance of the nipper plates, it will more effectively prevent the fibers being pulled by the detaching rollers from dragging through any impurities and short fibers, and the waste extraction will be high. Setting the top comb close to the nippers will reduce the amount of extracted waste, but this means higher levels of neps, impurities, and short-fibers in output sliver. Inefficient penetration of the top comb may also result in this.

5.2.4.2 Preparation of Input Sliver

The objective here is to ensure that the configurations of fibers longer than the detachment settings do not result in these fibers becoming part of the noil. This could happen either because of fiber breakage during combing or because the fiber extents fall short of the detachment setting. Figure 5.20 illustrates the latter point. Several fibers of differing lengths, with trailing hook configuration, are shown to be held at the nip line N. The distance FN is equivalent to the length of sliver ribbon moved forward by the feed mechanism, when the nipper plates are fully opened. Therefore, FD equals the detachment setting. Consequently, when the nipper plates

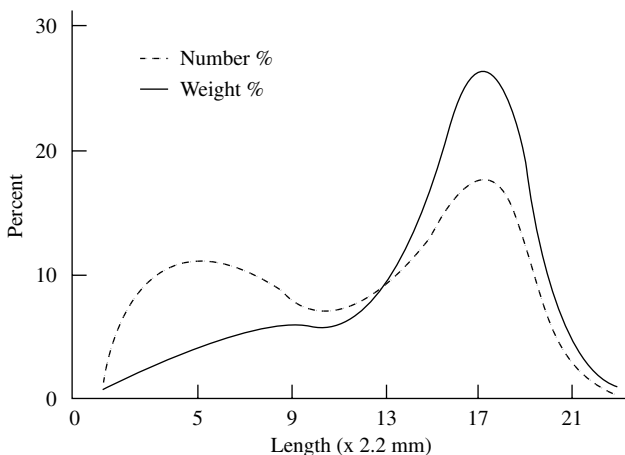


FIGURE 5.19 Number- and weight-based fiber length distributions.

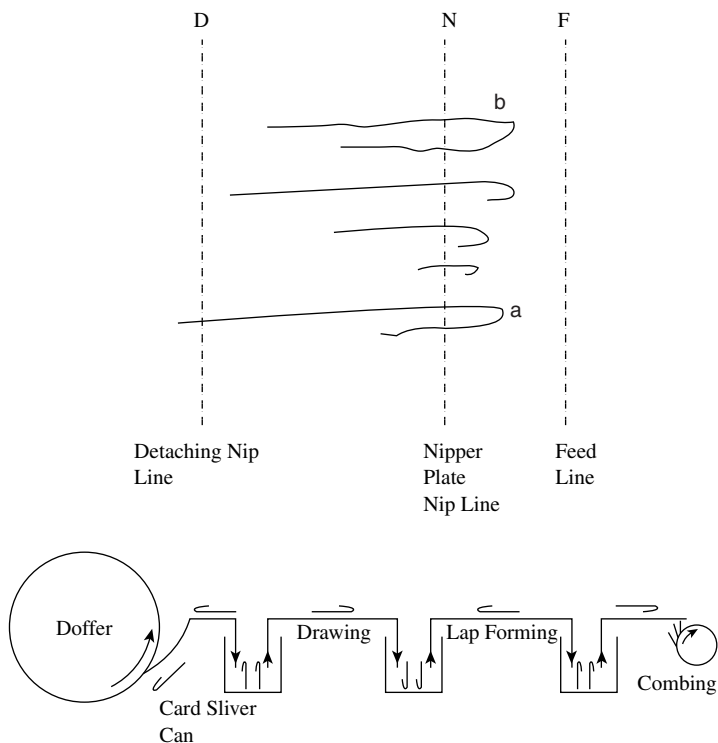


FIGURE 5.20 Fiber configuration in relation to detachment.

reach their foremost position only fiber *a* will be detached. The other fibers will be retained in the ribbon fringe by the top comb. During the next cycle, the cylinder comb will remove them as part of the noil. It can be easily reasoned that, if these fibers had their hooks leading rather than trailing, the cylinder comb would have straightened them prior to the detaching action, and the longer ones would have formed part of the combed sliver. Drawn or gilled slivers should comprise mostly straightened fibers, but remaining hooked fibers must enter combing with their hooked ends leading. The majority of hooks in the output sliver from a card are tailing (see [Chapters 3 and 4](#)). Therefore, to reposition these to be leading when presented to the cylinder comb, there should be an even number of reversing processes between the card and the comb, as depicted in Figure 5.20.⁶⁸⁻⁷¹

Consider the configuration of fiber *b* in the figure. If the looped end became leading, it is likely that the actual fiber ends would be held by the nipper plates during the combing action of the cylinder comb, and if a projecting pin moved through the loop, the fiber would break. Similar breakages may occur to fibers with other unfavorable configurations in the sliver feed, in particular to fibers interlaced with neighboring ones. Fiber breakage in combing is strongly related to the degree of fiber parallelism in a sliver fringe. Therefore, it is important that appropriate drawing or gilling be used in the preparation of the sliver input to combing. For

cotton fibers, the use of fixed flats in carding, followed by drawing, was found to have a significant effect on comber waste. Mill trials⁷² have shown that, for the same machine settings, the percentage comber waste was reduced from 16.4 to 14.2%, and the resulting yarn properties were improved.

The fiber-pin interaction in combing has been studied by a number of researchers,^{73,74} and various techniques have been used to obtain an indication of likely pin forces present during combing. It was found that, generally, when a pin enters a sliver fringe, the force required for the pin to move through the fringe rises to a peak value and then decays to zero as the pin passes through the fringe.⁷⁴ This is an indication of the resistance to pin movement. If the lengths of the nipped fibers in the fringe lie parallel to the motion of the pin, then the initial resistance to pin movement is largely the friction force arising from the sliding contacts with the fibers. On meeting impurities, neps, and short fibers to be removed, the resistance increases, as the pin then has to push these obstructions along its path until they are dislodged from the fringe. If these obstructions are not firmly held by other fibers, the increase in force will be negligible, and the peak pin force will be small. If, however, fringe fibers are not parallel, and interlaced fibers or doubled fibers are held at the nip-line (as would be expected in a card sliver fringe), then the peak pin-force reaches a high level at which these fibers will either have disentangled or been extended to reach their breaking strain, resulting in increased noil. The reported findings showed that peak pin force increased with (a) fringe density, because of increased interfiber friction; (b) fringe length, owing to the presence of more impurities, neps, and short fibers to dislodge from the fringe; and (c) a reduced number of drawing passages.

The top comb pin force has also been studied during detachment and found to be greater than forces associated with the front ratchet zone in gilling. It is a contributing factor to fiber breakage. Increasing the number of gilling passages, however, reduces the size of the top comb pin force. Belin and Taylor⁷⁵ found that the back-ratchet draft in gilling has a significant effect on the quantity of noil removed in combing. A moderate draft should not cause breakage in gilling but can reduce the size of the pin force at the cylinder and top combs developed during combing.

Although lubricants [combing oils of 106 centistokes (cS) viscosity] are added after scouring to reduce breakage, a small amount of residual grease on wool fibers does assist in keeping noil levels to a minimum. Sinclair and Wood⁷⁶ relate increased noil in wool combing to an *entanglement* factor, which is a complex function indirectly involving the residual grease content. They found that noil increases with residual grease content above 1%. Belin⁸³ reports 0.8% (measured by Soxhlet ether extraction) to be the optimal level of residual grease. At higher levels, the tendency is for fibers to stick together, and lubrication is impaired at lower levels.

5.3 CONVERSION OF TOW TO SLIVER

A tow is a collection of approximately 300,000 continuous man-made fiber filaments kept in a parallel, untwisted form. To convert tows into a sliver, the individual filaments must be cut or broken collectively into staple fibers of a specified length. Conventionally, the tow is chopped to the required staple length and baled ready for

opening, blending, carding, and drawing/gilling so as to be made into a sliver by any of the particular process routes for material preparation. A much shorter process route, called *tow-to-top*, is to cut or break the filaments while retaining them in their straight, parallel state, thereby producing a linear assembly of staple fibers that is comparable to a drawn/gilled sliver. Besides the commercial advantages, there are technical benefits, since the fibers avoid impact forces of, in particular, opening and carding that cause neps and the unwanted very short fibers. In converting the tow, the ends of the resulting staple fibers obviously must not coincide so that sliver cohesion is obtained, and longer staples will give better cohesion for handling of the sliver. Tow-to-top conversion is therefore mainly utilized in worsted/semi-worsted yarn production, and then largely for viscose, polyester, and acrylic fibers. Two types of machine are used; cutting converters and stretch-breaking converters.

5.3.1 CUTTING CONVERTERS

A cutter converter basically comprises a feed creel, a cutting unit, a sliver-forming section, a crimping unit, and a sliver can delivery. As supplied by the fiber manufacturer, the tows are within the range of 10 to 60 ktex, depending on fiber type, and are packaged in plaited form into boxes. In the feed creel, the tows are tensioned over a series of bars, which straightens and spreads the filaments evenly across the width of the input to the cutter. The input count to the machine may be up to 200 ktex. [Figure 5.21](#) shows the basic cutting technique. A helical-blade cutting roller is pressured onto the tows as they pass over a hard, smooth, stainless steel roller. The helical shape provides overlapping of the cut lengths for cohesion, and the pitch of the helix provides the staple length. The gaps between cutting edges of the helical blade have a rubber-covered surface that prevents filament misalignment, which could result in undersized lengths caused by double cutting of filaments.⁷⁷ The cut lengths are then consolidated and gilled to form the sliver. To impart crimp to the fibers for improved cohesion, the sliver is passed through a stuffer box before being coiled into a sliver can. Dull cutters can result in partially cut lengths or cause fusing of cut ends. The slivers from converters, therefore, usually undergo two further gilling passages, which separate individual fiber lengths possibly fused together at one of their cut ends.

5.3.2 STRETCH-BREAKING CONVERTERS

These converters use the idea of extending, in a controlled manner, synthetic filaments to their breaking strain, polyester and acrylic tow being the principal raw materials. When heat treated, the broken lengths of stretched filaments can be made to readily shrink to produce a highly bulked yarn. The process has therefore become popularly used in the material preparation for the production of high-bulk acrylic yarns.

The main features of a stretch-breaking converter are shown in [Figure 5.21](#) and comprise four stretching zones: an initial tensioning zone, followed by a heated- and a cooling zone, and finally the filament breaking zone. The resulting silver is then stuffer-box crimped and further cooled before being coiled into a sliver can.

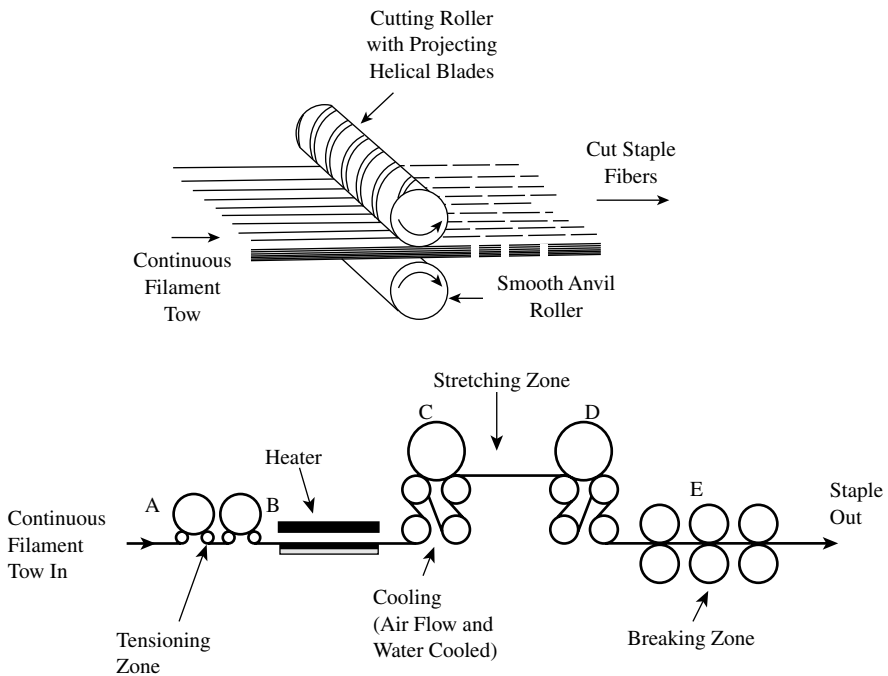


FIGURE 5.21 Tow-to-top converters.

Filament tows, making a total linear density of around 70 ktex for polyester or 120 ktex for acrylic, are fed to the stretch breaker via a creel spreader. The tows are initially tensioned between rollers (A) and (B). Rollers (B) and (C) stretch the tows with a draft (or draw ratio) of within 1.4 to 1.8, while they are heated to a temperature within the range of 120 to 170°C. At a set draw ratio, the filament tenacity increases, and its potential shrinkage decreases with temperature. Within a suitable operating range of draw ratios, when the heater temperature is constant, both tenacity and potential shrinkage increase with increased draw ratio. It is reported⁷⁸ that, in the stretch breaking of nylon 6.6 tows, depending on temperature and draw ratio, the tenacity of the broken filaments may be increased by 20 to 40%, extension 36 to 78%, and initial modulus 85 to 144%. The chosen settings will depend on the required shrinkage and the ease of breaking filaments. For the production of non-bulked, regular yarns, heating is unnecessary.

The filament must be well cooled before reaching the third stretching zone, which is between roller sets (C) and (D). To achieve this, air cooling and water-cooled rollers (C) are used. The final stretching of the tow to the breaking point of the constituent filaments is performed by the rollers (E), which are spaced to give the required mean fiber length. Ideally, a random distribution of filament breaks would be anticipated, resulting in a narrow distribution of fiber lengths. In practice, however, variations in filament alignment,⁸⁴ tensile properties, and fineness cause a wider distribution than the ideal.

5.3.3 PRODUCTION EQUATION

The production rate, P_R (kg/h), is given by:

$$P_R = V_d \times T_t \times 60 \times E \times 10^{-2} \quad (5.12)$$

where V_d = delivery of sliver (m/min)
 T_t = sliver count (ktex)
 E = machine efficiency (%)

5.4 ROVING PRODUCTION

For certain spinning processes, the drawn sliver count must be reduced in two steps so that, ultimately, an acceptable yarn quality is achieved. Equation 5.5 shows that, with roller drafting, the output irregularity increases as the draft increases, owing to the more pronounced effect of the drafting wave. Therefore, one benefit of a two-stage drafting operation is that the reversal of the material length at the second stage provides, effectively, a reversal in the drafting direction of the fibers, and this tends to reduce the amount of bunching of short fibers to give a less pronounced drafting wave. If, for example, we wished to spin a yarn of 30 tex from 1.5-dtex fibers, and the drawn sliver count is 3 ktex, then the required draft of 100 would best be applied in two steps, typically 5 and 20. It is necessary for the first step draft to be low because then only a low twist is needed to hold the fibers together. There would be, on average, approximately 20,000 fibers in the 3-ktex sliver cross section. The draft of 5 would reduce this to 4000 fibers in the cross section, and a small amount twist would be needed to give the attenuated length sufficient cohesion for suitable handling. Increasing the draft would require increasing the twist. However, the inserted twist must not cause problems in the second drafting step by developing a high drafting force. Since the drafted material of the second stage is to be substantial twisted to form a yarn, the greater draft should be applied in that spinning stage.

The first drafting step forms the final part of the sequence for preparing fibers for spinning. The intermediate product is called a *roving* and is made either on twist inserting machines, known as *roving-frames*, *speed-frames*, or *flyer-frames*, or on machines, called *rub-rovers*, that employ a rubbing action instead of twist insertion to consolidate the attenuated fiber mass. This latter system is suitable only for fiber lengths applicable to the worsted process, since sufficient cohesion is required for handling.

Definition: A roving is a continuous fibrous strand drafted from a sliver and given cohesion by either inserting a small amount of twist or compacting the fibers with an oscillating apron. It is drafted and twisted to be spun into a yarn.

In the production of a roving, a 3-over-3 roller drafting system is commonly used to attenuate the sliver. Unlike the drawing operation, the slivers are drafted

separately and, since there are now fewer fibers in the cross section, alternative means to a pressure bar or pins are used for control of floating fibers.⁷⁹⁻⁸¹ The most commonly used is the double apron drafting method, illustrated in Figure 5.22. As shown, this is a two-zone drafting arrangement in which a pair of endless aprons is positioned in the high-draft front zone and made to move at the surface speed of the middle-roller pair. As fibers enter the high-draft front zone, the aprons will hold them and assist in keeping them moving at the surface speed of the middle rollers, while preventing the short-fibers being dragged forward by those fibers nipped and accelerated by the front rollers. By comparing the speed profiles of the floating fibers, it can be seen that the distance over which the motion of the short-fibers is uncontrolled has been reduced, thereby minimizing the prominence of the drafting wave.⁹

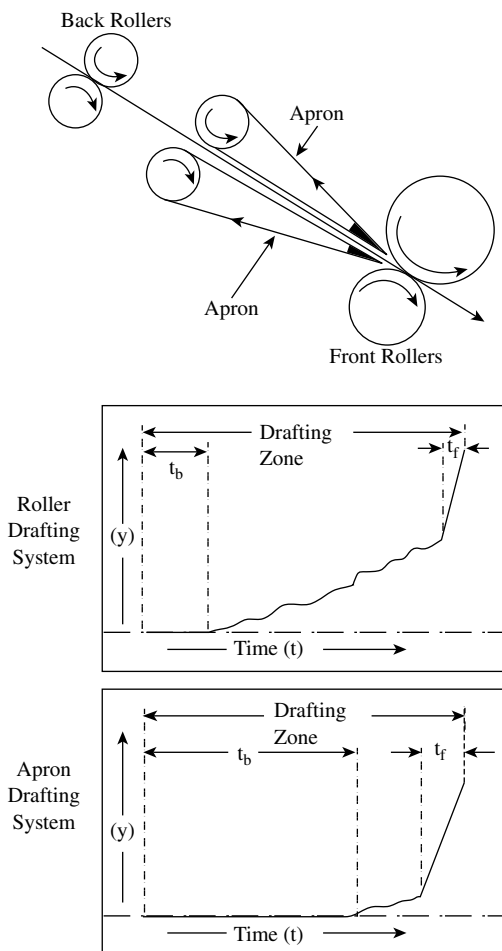


FIGURE 5.22 Apron drafting control of floating fibers.

5.4.1 THE SPEED-FRAME (TWISTED ROVINGS)

Figure 5.23 shows a sideways illustration of a speed-frame, and Figure 5.24 shows an example of a commercial machine. The main operating features are a 3-over-3 apron drafting system and a combined twist insertion and bobbin build mechanism. The function of the apron drafting system has already been explained. The operation of the twist-and-bobbin build device can be described with reference to the figure. As shown, the device has a central spindle that passes through a second, but hollow, spindle. Both are driven independently and pass freely through the rail, which traverses up and down a set distance along the length of the hollow spindle. A bobbin tube, onto which the roving is wound, sheaths the hollow spindle and rests on a mount (bobbin mount) fitted to the rail. The motion of the rail moves the bobbin up and down the hollow spindle length. Mounted on the top end of the central spindle is a component known as the *flyer*. This has a hollow leg through which the roving travels to the bobbin. Located at the exit is a presser arm and paddle around which the roving slides along to the bobbin. The arm is attached to a support rod, and, during rotation of the flyer, this rod is moved outward by centrifugal forces and thereby swivels the presser arm inward to guide the roving onto the bobbin tube. The opposite leg of the flyer is solid and gives dynamic balance during spindle rotation.

As the flyer rotates with the centre spindle, twist is inserted into the drafted ribbon issuing from the front rollers of the drafting system, thereby forming the roving. The contact between the roving and the rim of the flyer inlet imparts an added false twist (see Chapter 1), which strengthens the roving length between the flyer and front drafting rollers, permitting a low value of real twist to be used. The roving, which is threaded through the hollow of the fly and around the presser arm, is pulled and wound onto the bobbin by the rotation of the hollow spindle. To do so, the hollow spindle rotates at a higher speed than the center spindle, and the rail lifts and lowers the bobbin past the presser arm to build successive layers of roving coils and make a full bobbin. This is often referred to as winding-up by *bobbin lead*. If the bobbin rotates at speed N_b , and the spindle at N_s , then the speed, V_w , at which the roving would be wound onto the bobbin tube is given by

$$V_w = \pi D_b (N_b - N_s) \quad (5.13)$$

where D_b = the bobbin tube diameter (in meters) at the instant of winding

In the equation, N_b and N_s are in units of rpm, and V_w is in m/min.

Clearly, if the roving is not to break during winding, there must be a balance between the winding-up speed, V_w , and the delivery speed, V_d (m/min), at which the drafted ribbon leaves the front drafting rollers. Therefore, as D_b increases with the number of roving layers wound onto the bobbin, N_b must decrease, since N_s must be kept constant to ensure a constant twist level. Two or three wraps of the roving around the pressure arm increases the winding-on tension. This, in turn, contracts the roving diameter to enable more roving to be wound onto the bobbin.

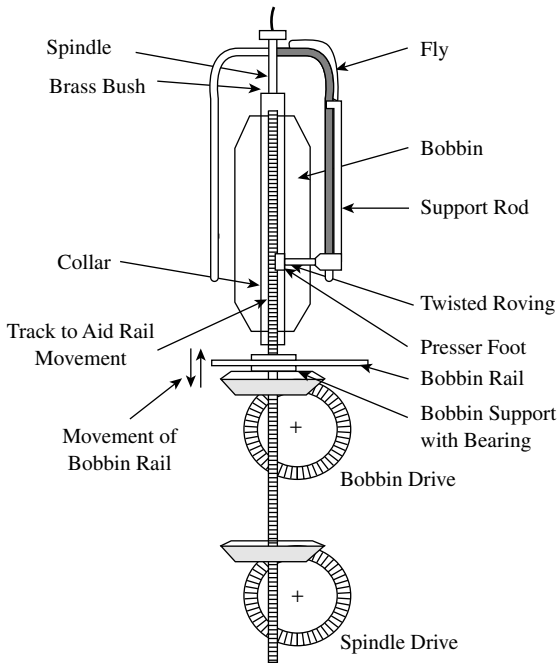
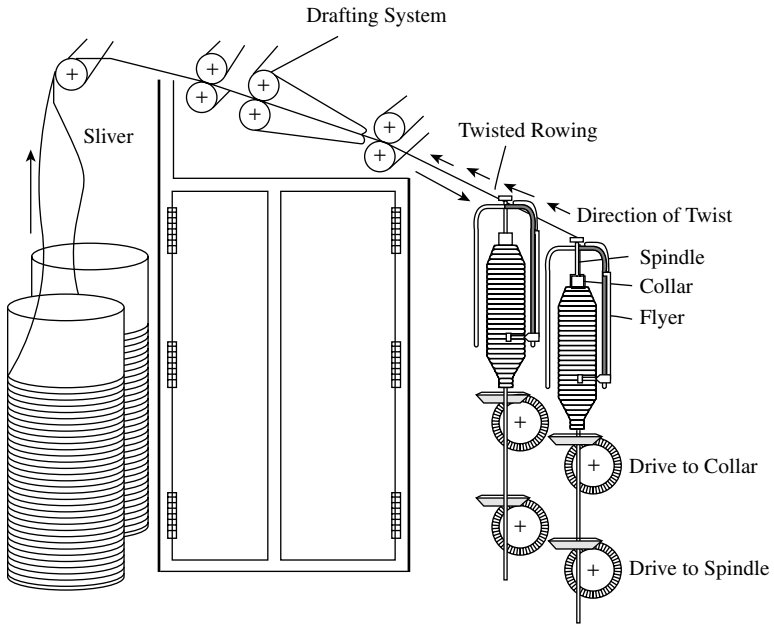


FIGURE 5.23 Basic features of a roving frame.



FIGURE 5.24 Basic roving frame.

It can be seen in [Figures 5.23](#) and 5.24 that speed-frames are fitted with two rows of the flyer twisting device. The level of false twist inserted by the flyer inlet is dependent on the contact angle of the roving length between the flyer and the front drafting rollers; the smaller the angle, the higher the false twist. The back row of flyers is nearer the drafting rollers and therefore has the greater contact angle. The lower false twist does not give sufficient cohesion to the roving length to prevent induced tensions reducing the roving count slightly. In some cases, this difference can be significant and result in a difference in the yarn count produced from front and back rows of roving bobbins. To prevent this, modern speed-frames have the back row flyer fitted with a raised false-twister attachment (see [Figure 5.25](#)) bringing the contact angles of the two row to almost similar values.

5.4.1.1 Production Equation

The production rate of a speed-frame is dependent on the spindle speed of the fly, the number of spindles per machine, the production efficiency, the roving count, and the twist multiplier used. The following equation gives the calculated production rate.

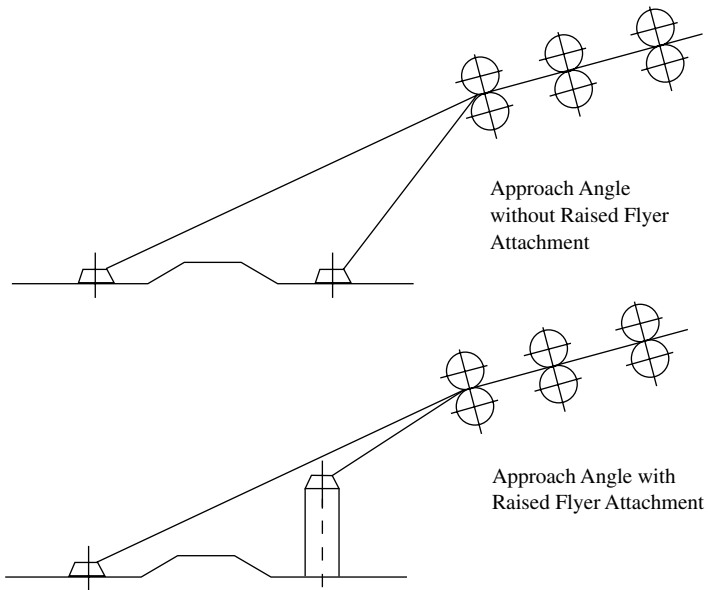


FIGURE 5.25 Flyer attachment to counter count differences. (Courtesy of Zinser Ltd.)

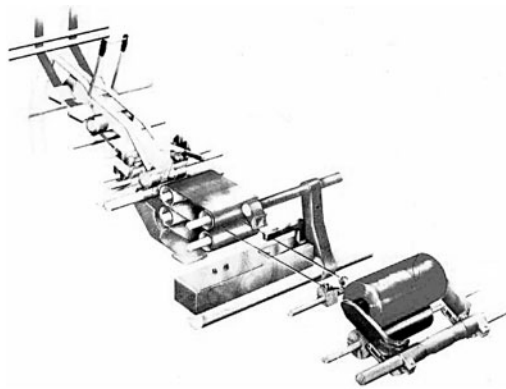


FIGURE 5.26 Basic features of twistless roving frame.

$$P_R = \frac{60 \times 10^{-9} \times E \times n \times N_s \sqrt{T_{t-r}^3}}{TM} \quad (5.14)$$

where P_R = production rate (kg/h)
 TM = twist multiple (turn m/tex)
 E = machine efficiency (%)
 n = number of spindle per machine

N_s = spindle speed (rpm)
 T_{t-r} = roving count (tex)

5.4.2 RUB ROVERS (TWISTLESS ROVINGS)

The principle used to produce twistless rovings is similar to the production of woolen slubbings (see [Figure 5.26](#)). The drafted ribbon of fibers issuing from the double-apron drafting system is threaded between a pair of oscillating aprons that roll and compress the ribbon of fibers into a round, consolidated, more cohesive, continuous length. The twistless rovings are then wound with minimum tension onto a tube. With the absence of twist the production rate becomes as shown below.

5.4.2.1 Production Equation

$$P_R = 60 \times 10^{-5} \times V_d \times n \times T_{t-r} \times E \quad (5.15)$$

5.5 ENVIRONMENTAL PROCESSING CONDITIONS

[Figure 1.8](#) (Chapter 1) gives a flowchart of process routes for the production of carded and combed ring-spun yarns, rotor yarns, worsted and semi-worsted yarns, and woolen yarns. Although some routes are relatively short, it is nevertheless true to say that fibers undergo a great amount of sliding contact between themselves and with machine surfaces. The associated friction generates static charges and, being basically nonconducting, fibers retain and accumulate the charges and tend to cling to nonmetallic parts of machines, resulting in processing difficulties and faults in the output material.⁸² The heat developed during process operations will tend to reduce the moisture content of hygroscopic fibers, and, since moisture is an important means of conducting away static charges, the problem of static can increase with the number of processes. There is also the risk that fibers will become more susceptible to breakage.

To minimize static problems, it is necessary to maintain the environmental conditions, in terms of percent relative humidity (%RH) and temperature, at levels that will retain adequate moisture in hygroscopic fibers. Certain finishes are usually applied to man-made fibers as lubricants and to reduce the tendency for static charge buildup. Nevertheless, it is still found necessary to have controlled %RH and temperature. If, however, the humidity is kept too high, fibers may stick to metallic and nonmetallic surfaces. Table 5.3 gives quoted values of %RH according to fiber type and process stage.

Wool is more hygroscopic than other fibers, so higher levels of relative humidity are used. The moisture content is influenced by the condition of the wool (i.e., the presence of wool fats and oils, acids, and alkalies; the degree of compactness; and structural changes the fiber may undergo during processing, particularly scouring). However, within 70 to 80% RH and 21°C, the environment will keep the moisture in wool at between 15 and 18% regain, making it suitable for mechanical working. Cellulose fibers develop much less static than protein fibers, so lower %RH levels (45 to 55%) but higher temperatures (up to 27°C) are used, particularly in the

TABLE 5.3
Process Environmental Conditions for Fiber Types

Process	Cotton/regenerated cellulose		Synthetics		Protein fibers	
	°C	%RH	°C	%RH	°C	%RH
Opening	23	55	27	40	18–23	66–80
Carding	23	50–55	27	40	18–23	65–80
Combing	27	42	27	–	18–23	65–80
Drawing	25	48–50	27	45–65	18–23	70–80
Roving	25	48–50	27	40–45	18–23	50–60
Spinning	27	48–50	27	35–40	18–23	50–60

Courtesy of P. R. Lord, *Economics, Science & Technology*, 1981, 172.

preparatory process stages, as this also increases the elasticity of the fiber. For synthetics, 35 to 60% RH and temperatures up to 27°C cover most levels used, with nylons being at the lower end of the %RH range, acrylic around the middle, and polyester at the higher end.

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6 Yarn Formation Structure and Properties

6.1 SPINNING SYSTEMS

There is an extensive range of different spinning systems, not all of which are in wide commercial use; many are still experimental or, having reached the commercial stage, have been withdrawn from the market. A classification of the better known spinning systems is given [Table 6.1](#), in which the various techniques are grouped according to five basic methods. In the first section of this chapter, we will consider the fundamental principles of these listed spinning systems. In the sections that follow, we will deal with the yarn structure and properties of only those that still have commercial significance. Often, two or more yarns are twisted together to improve yarn properties or to overcome subsequent processing difficulties in, for example, weaving and knitting. The operating principles of the more common plying systems will also be described in this section.

The conventional ring spinning technique is currently the most widely used, accounting for an estimated 90% of the world market for spinning machines. The remaining systems in [Table 6.1](#) are often referred to as *unconventional spinning processes* and, of these, rotor spinning has the largest market share. The more knowledgeable reader will notice that mule and cap spinning have been omitted. Although in commercial use, these two processes are very dated traditional systems, limited to a very small market segment and well described elsewhere.^{1,2}

Important aspects of any spinning system are the fiber types that can be spun, the count range, the economics of the process, and — very importantly — the suitability of the resulting yarn structure to a wide range of end uses. Except for the twistless-felting technique, all of the systems listed in [Table 6.1](#) will spin man-made fibers, but because of processing difficulties and/or economic factors, the commercial spinning of 100% cotton yarns is mainly performed on ring and rotor spinning. Wool is principally ring spun, the main reason being that the yarn structure gives the desired fabric properties, although a number of unconventional systems are used to produce wool yarns. With regard to process economics, the number of stages required to prepare the raw material for spinning, the production speed, the package size, and the degree of automation are key factors in determining the cost per kilogram of yarn, i.e., the unit cost.

[Figures 6.1](#) and [6.2](#) show that, although ring spinning has the widest spinnable count range, it has comparatively a very low production speed and therefore, even

TABLE 6.1
Classification of Spinning System

Spinning methods	Common feature	Technique	Type of twisting action during spinning	Type of yarn structure produced for fiber consolidation	Trade names
Ring spinning	Ring and traveler	Single strand twisting	Real	Twisted: S or Z	Various
		Double-strand ply twisting	Real	Twisted: S or Z	Sirospun/Duospun
OE spinning	Break in the fiber mass flow to the twist insertion zone	Rotor spinning	Real	Twisted: Z + wrapped	Various
		Friction spinning	Real	Twisted: Z + wrapped	Dref II
Self-twist spinning	Alternative S and Z folding twist	False twisting of two fibrous strands positioned to self-ply	False	S and Z twisted	Repco
Wrap spinning	Wrap of fibrous core by either (a) filament yarn (b) staple fibers	Alternating S and Z twist plus filament wrapping	False	S and Z + filament wrapped	Selfil
		Hollow spindle wrapping	False	Wrap	Parafil
		Air-jet fasciated wrapping	False	Wrapped + twisted	(Dref III, MJS, Plyfil)
Twistless	Coherence of the yarn constituents achieved by adhesive bonding or felting	Water-based adhesive	False	Bonded	Twilo
		Resin-based	False	Bonded	Bobtex
		Liquid felting	Zero	Felted	Periloc

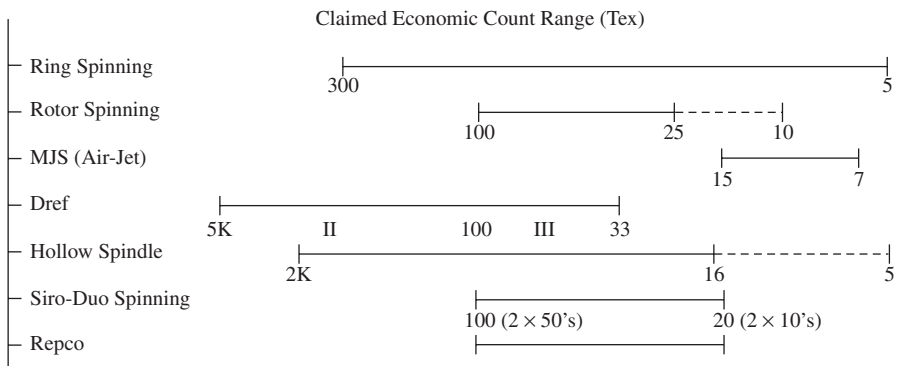


FIGURE 6.1 Economic count range of spinning systems.

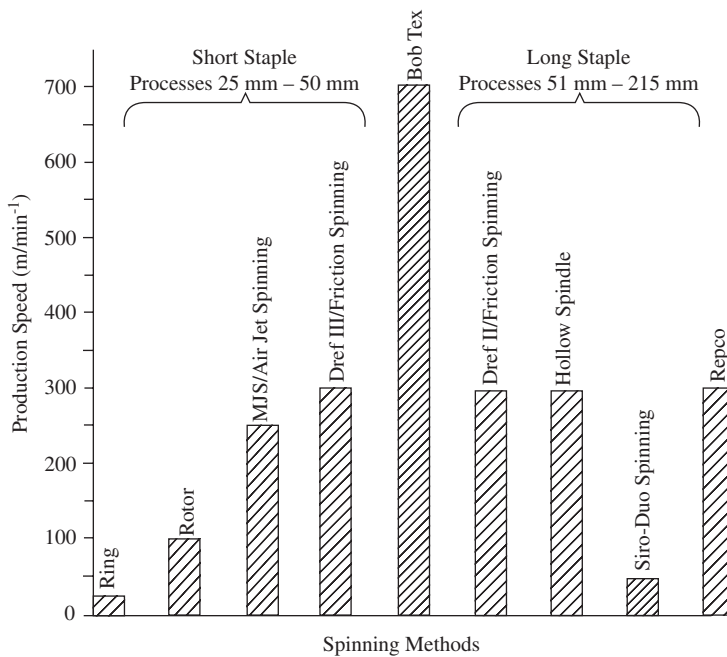
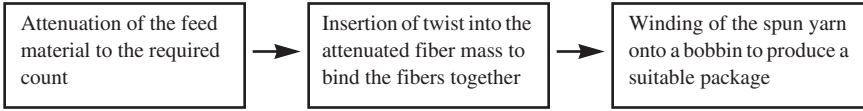


FIGURE 6.2 Production speeds of spinning systems.

with automation, does not always offer the best process economics. The key to its dominance of world markets is the suitability of the ring-spun yarn structure and properties to a wide range of fabric end uses.

Before explaining the operating principles of the listed spinning systems, it is useful to consider the technological equations applicable to all of them. All spinning systems have the three basic actions shown below for producing staple yarns:

Basic Actions in Spinning Yarns



It was explained in Chapter 1 that to spin a yarn from a given fiber type, certain specifications are required, such as the yarn count and, in particular, the level of twist. The concept of twist factor was also explained. These parameters are key variables in the technological equations that give us the production rate of any spinning system.

With respect to the yarn count, the required level of attenuation or total draft, D_T , of the system should allow for twist contraction as described in Chapter 1. To do so in practice, a sample of yarn is spun to the required twist level, the resulting increase in count is determined, and the total draft is readjusted to give the specified count. Similar to the drafting considerations in Chapters 1 and 2, the total draft is calculated as the ratio of the count of the feed material to the spinning machine and the count of the yarn. This value is then used to set the relative speeds of the drafting components of the machine.

$$D_T = \frac{\text{Sliver tex}}{\text{Yarn tex}} = \frac{\text{Delivery roller surface speed}(V_d)}{\text{Feed roller surface speed}(V_f)}$$

If N_I is the rotation speed of the twisting device used in spinning the yarn, then, as we saw in Chapter 1, the twist factor, TF , the yarn count, C_y , the level of twist, t , and N_I have the relation

$$TF = tC_y^{1/2} \quad (6.1)$$

$$t = \frac{N_I}{V_d} \quad (6.2)$$

Assuming that a machine has N_M number of spinning positions, commonly referred to as the number of spindles, and an operating efficiency of $\epsilon\%$, then the production per spindle, P_S , in kg/h^{-1} is

$$P_S = \frac{V_d C_y 60}{10^6} \quad (6.3)$$

and the production per machine, P_M (again, in kg/h^{-1}) is

$$P_M = \frac{V_d C_y 60 N_M \epsilon}{10^8}$$

Substituting for V_d (Equations 6.1 and 6.2),

$$P_M = \frac{N_f C_Y^{3/2} 60 N_M \epsilon}{TF 10^8} \quad (6.4)$$

The above equations are applicable to any spinning system. However, with some systems, the rotational speed of the yarn cannot be readily determined. It then may be estimated from twist (or some similar parameter, e.g., twist angle) and delivery speed measurements using Equation 6.2.

6.1.1 RING AND TRAVELER SPINNING SYSTEMS

Definition: The ring and traveler spinning method is a process that utilizes roller drafting for fiber mass attenuation and the motion of a guide, called a *traveler*, freely circulating around a ring to insert twist and simultaneously wind the formed yarn onto a bobbin.

The ring and traveler combination is effectively a twisting and winding mechanism.

6.1.1.1 Conventional Ring Spinning

Figure 6.3 illustrates a typical arrangement of the ring spinning system. The drafting system is a 3-over-3 apron-drafting unit. The fibrous material to be spun is fed to the drafting system, usually in the form of a roving. Similar to the roving frame, the back zone draft is small, on the order of 1.25, and the front zone draft is much higher, around 30 to 40. The aprons are used to control fibers as they pass through the front zone to the nip of the front rollers. Chapter 5 describes the principles of roller drafting. It is nevertheless important to note here that apron drafting systems are suitable for use only where the fiber length distribution of the material to be processed is not wide (i.e., not a significant amount of very short and very long fibers). When the standard distribution is higher, the material is more commonly drafted with a false-twister, which essentially replaces the drafting apron as depicted in Figure 6.4. This is typical of the ring spinning system for producing woolen yarns in which the slubbings from the woolen card are fed through the false-twister to the front rollers of the drafting system.

As Figure 6.3 shows, a yarn guide, called a *lappet*, is positioned below the front pair of drafting rollers. The ring, with the spindle located at its center, is situated below the lappet. Importantly, the lappet, the ring, and the spindle are coaxial. The traveler resembles a C-shaped metal clip, which is clipped onto the ring. A tubular-shaped bobbin is made to sheath the spindle so as to rotate with the spindle. The ring rail is geared to move up and down the length of the spindle; its purpose is to position the ring so that the yarn is wound onto the bobbin in successive layers, thereby building a full package, which is fractionally smaller in diameter than the ring. The yarn path is therefore from the nip of the front rollers of the drafting system, through the eye of the lappet and the loop of the traveler, and onto the bobbin.

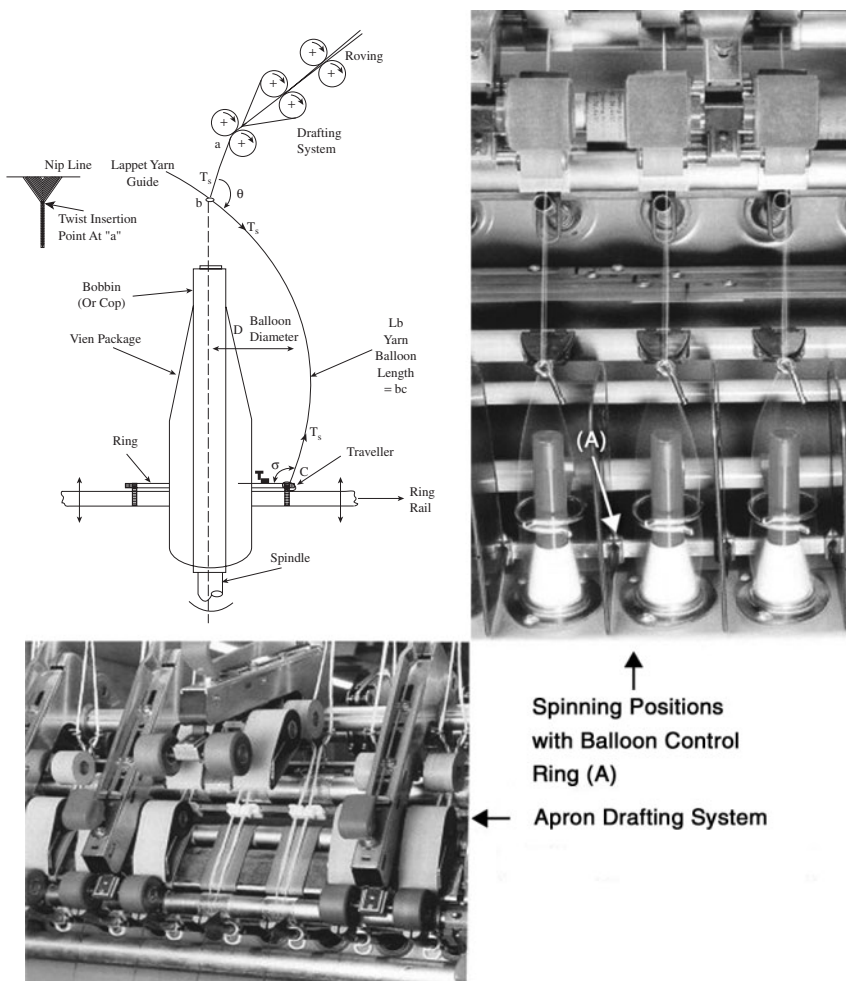


FIGURE 6.3 (See color insert following page 266.) Example of ring spinning system. (Courtesy of Spindelfabrik Suessen Ltd.)

Essentially, the drafting system reduces the roving or slubbing count to an appropriate value so that, on twisting, the drafted mass of the required yarn count is obtained. As the front rollers push the drafted material forward, twist torque propagates up the yarn length (i.e., from c to a) and twists the fibers together to form a new length of yarn. The tensions and twist torque cause the fibers to come together to form a triangular shape between the nip line of the front drafting rollers and the twist insertion point at a . This shape is called the *spinning triangle*. The differing tensions between the fibers in the spinning triangle are considered to be responsible for an intertwining of the fibers during twisting, termed migration. The degree of migration strongly influences the properties of the spun yarn, and this feature of the yarn will be discussed in the later section.

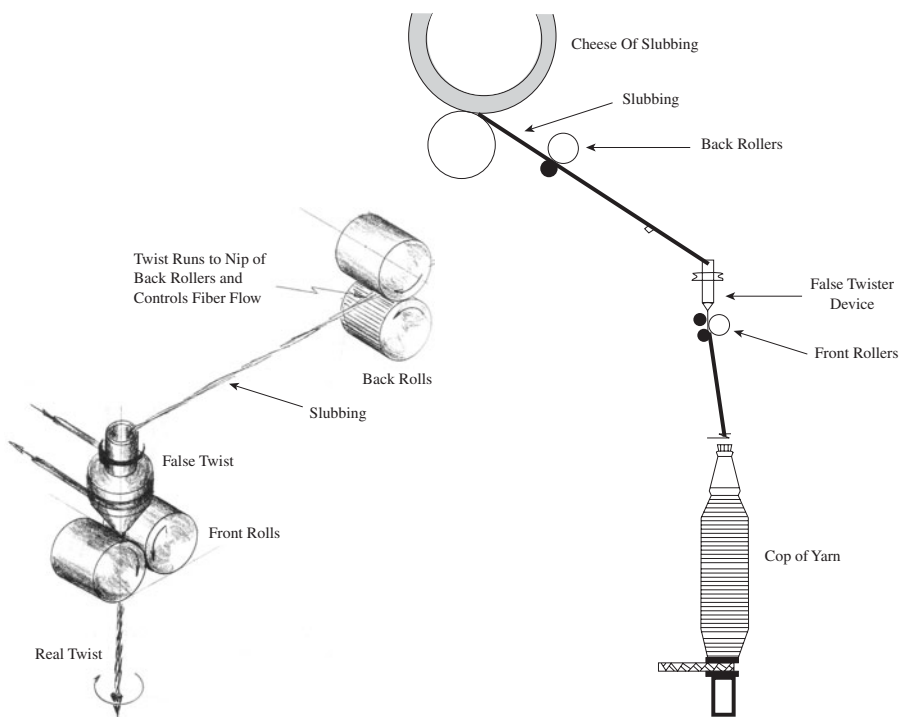


FIGURE 6.4 False-twist drafting of woolen slubbing. (Courtesy of Lord, P. R., *Economics, Science & Technology of Yarn Production*, North Carolina State University, 1981.)

6.1.1.2 Spinning Tensions

The bobbin rotates with the spindle and, because the yarn passes through the traveler and onto the bobbin, the traveler will be pulled around the ring and the yarn pulled through the traveler and wound onto the bobbin. As the traveler circulates the ring, it carries with it the yarn length, $L_b (= bc)$, extending from the lappet to the traveler. While L_b circulates the ring, the circular motion causes it to arc outward away from the bobbin. Air drag and the inertia of L_b result in the arc length having a slight spiral as it circulates with the traveler (see Chapter 8). The rotational speed of the spindle can be up to 25,000 rpm. The three-dimensional visual impression given by the circular motion of L_b is of an inflated balloon, termed the *spinning balloon* or *yarn balloon*. Hence, L_b is called the *balloon length*, H is the balloon height (the vertical distance from the plane of the ring to the plane of the lappet), and D is the balloon diameter. The forces generated by the motion of the traveler and the pulling of the yarn through the traveler result in yarn tensions that govern the actual shape of the spinning balloon. Chapter 8 discusses in more detail yarn tensions and spinning balloons in relation to the physical parameters of spinning.

The tensions generated in the yarn are indicated in [Figure 6.3](#) and are related according to the following equations:

$$T_O = T_S e^{K\theta} \quad (6.5)$$

$$T_W = T_R e^{P\alpha} \quad (6.6)$$

where T_S = the spinning tension
 T_O, T_R = the tensions in the balloon length at the lappet guide and at the ring and traveler, respectively
 T_W = the winding tension
 K = the yarn-lappet coefficient of friction
 θ and α = the angles shown in the figure
 P = yarn-traveler coefficient of friction

T_O and T_R are related by (see [Chapter 8](#))

$$T_O = T_R + mR^2\omega^2 \quad (6.7)$$

where m = mass per unit length

These tensions are important to twist insertion and the winding of the yarn onto the bobbin, and also to end breaks during spinning.

Consider first the winding action. As the traveler is pulled around the ring, the centrifugal force, C , on the traveler will lead to a friction drag, F , where

$$F = \mu C \quad (6.8)$$

$$C = MR_R\omega^2 \quad (6.9)$$

where M = traveler mass
 R_R = ring radius
 ω = angular velocity of the traveler ($= 2\pi N_t$)

The yarn must be wound onto the bobbin at the same linear speed, V_F , as the front drafting rollers are delivering fibers to be twisted. This means that F must be sufficient to make the traveler's rotational speed lag that of the spindle. Hence, if D_B is the bobbin diameter, then

$$N_s - N_t = \frac{V_F}{\pi D_B} \quad (6.10)$$

where N_s = spindle speed (rpm)
 N_t = traveler speed (rpm)

The wind-up speed is therefore the difference between the spindle and traveler speed. It is evident that, as the bobbin diameter increases with the buildup of the yarn, the traveler speed increases. The traveler speed will also change with the movement of

the ring rail to form successive yarn layers on the bobbin. The common way of layering the yarn on the bobbin is known as a *cop build* in which each layer is wound in a conical form onto the package. The top of the cone is called the *nose* and the bottom the *shoulder*. In practice, it is found that the conical shape gives easy unwinding of the yarn without interference between layers, as the yarn length is pulled from the nose over the end of the bobbin. To make a cop build, the ring rail cycles up and down over a short length of the bobbin, with a slow upward and a fast downward motion. This increases the size of the shoulder more quickly than the nose. This cycling action of the ring rail progresses up the bobbin length in steps, each step taken when the shoulder size reaches almost the ring diameter.

6.1.1.3 Twist Insertion and Bobbin Winding

Let us consider now the action of twist insertion. From the definition, it is clear that one revolution of the traveler around the ring inserts one turn of twist into the forming yarn. However, for a fuller understanding of the twist insertion, we need to consider where the twist originates, the twist propagation, and twist variation caused by the cop build action.

Imagine two yarns of contrasting colors passed through the nip of the front drafting rollers and threaded along the yarn path to the bobbin. With the front drafting rollers and the ring rail stationary, and only the spindle driven, using high-speed photography, we would see that, within the first few rotations of the traveler, the twisting of the two yarns together originates in the balloon length between the lappet guide and the traveler.⁴ The action of twisting the two yarns together is called *plying* or *doubling*, so no ply twist would be seen in the length between the traveler and the spindle or between the lappet guide and the front drafting rollers. It should be clear from Equation 6.10 that no yarn would be wound onto the bobbin and that the rotational speed of the traveler would be equal to the spindle speed.

If the above experiment is repeated, but this time with the front drafting rollers and the ring rail operating, then the following would be observed. The initial length wound onto the bobbin will be of the two yarns in parallel and not twisted together. As above, the ply twist originates in the balloon length and, as it builds up in the balloon length, it propagates toward the delivery rollers. The frictional resistance at the lappet opposes the twist torque propagation, reducing the amount of twist passing the guide. The forces acting at the point of contact of the yarn and traveler prevent the twist torque propagating past the traveler toward the bobbin. However, as sections of the yarn leave the region of the balloon length and are pulled through the traveler and wound onto the bobbin, they retain the nominal twist given by Equation 6.2. Hence, under steady running conditions, the twist level in the balloon length will be greater than in the length above the lappet and slightly larger than in the length wound onto the bobbin.

The up-and-down movement of the ring rail gives a cyclic change in the balloon length during spinning. The length is shortest when the ring rail forms the nose of the cop build and longest at the shoulder. As the ring rail moves from the shoulder to the nose, the difference in length has to be quickly wound onto the bobbin. The velocity, V_R , of the ring rail should be therefore included in Equation 6.10.

Hence,

$$N_s - N_t = [V_F - V_R]/\pi D_B \quad (6.11)$$

when the ring rail moves up toward the nose of the cop, and

$$N_s - N_t = [V_F + V_R]/\pi D_B \quad (6.12)$$

when moving downward toward the shoulder. It is evident then that N_t will vary cyclically with the movement of the ring rail. The increase in the bobbin diameter as the yarn is wound onto the bobbin will increase N_t , and this will be superimposed on the ring rail effect. Clearly, then, there will be some variation in the twist per unit length along the yarn length wound onto a bobbin. In practice, the variation is small and often falls within the random variation of measurements. Furthermore, the difference between N_s and N_t is also small, and therefore, for practical purposes, N_s is used in calculating the nominal or machine twist.

From the above discussion, it should be evident to the reader that the size of the ring diameter limits the diameter of the yarn package that can be built in ring spinning. Package size is an important factor in machine efficiency, since each time a package is changed, the spinning process is disrupted, adding to the stoppage or downtime of the spindles. In modern high-speed weaving (i.e., shuttle-less looms) and knitting processes, yarn package sizes of approximately 2.5 to 3 kg are required; therefore, the yarn packages from ring and traveler processes have to be rewound to make larger packages. [Chapter 7](#) describes the principles involved in the rewinding of spun yarns. However, here, it is important to point out that, when many ring-spun yarn packages are involved in making a full rewind package for subsequent processes, the quality of the fabric can be affected. This is because yarns from different spindles on a machine may vary in properties, owing to small differences in the machine elements from one spinning position to another. More detrimentally, there unknowingly may be a few incorrectly functioning spinning positions, i.e., *rogue spindles*. When the yarns from the different spindles are pieced together, they provide a continuous length on a large rewind package, and the variations in this continual length will eventually be incorporated into the fabric. If yarn from the rogue spindle is part of the pieced length, it may lead to a degrading fault in fabric. The larger the ring-yarn packages, the fewer for rewinding onto larger packages. There is also an advantage for the rewinding process, as there would be few piecings and less stoppage time to replace empty ring bobbins with full ones.

Increasing the ring diameter to produce larger cops has its limitations and disadvantages. We can see from Equations 6.8 and 6.9 that the frictional drag of the ring on the traveler increases with the square of the rotational speed of the traveler and with increased radius of the ring. Travelers are available in various forms (i.e., shape, base material and weight), but steel travelers are probably the most widely used. The frictional drag by a steel ring on a steel traveler during spinning will generate heat at the ring-traveler interface. In spite of high average temperatures (up to 300°C) being reached, the surrounding air removes only 10 to 20% of the total frictional heat by cooling; most of the heat needs to be conducted away through the

ring.⁵ With the small contact area between the C-shaped traveler and ring, the heat can build up locally to much higher temperatures. Increased spindle speed and/or ring diameter, and thereby traveler speed, may then lead to a situation in which localized melting of the traveler occurs, and the traveler can no longer be effectively used for spinning. This is usually referred to as *traveler burn*, because, visually, the place on the traveler that makes contact with the ring becomes the blue-black color of heated metal.

In addition to the factor of traveler burn, there is the aspect of wear on both traveler and ring. The faster the traveler speed, the shorter the traveler life. The cautious spinner tends to quote a maximum practical speed for steel travelers to be within 35 to 40 m/s. However, research and development work by ring and traveler manufacturers, aimed at either reducing frictional wear and improving conduction of the heat generated at the ring-traveler interface, has resulted in new designs of the ring and traveler combination,⁶ the use of carbon rich steels, lubricated rings (oil impregnated sintered),⁷ and, in some cases, ceramic rings⁸ and special finishes. Certain developments have involved slowly rotating the ring while retaining the relative speed of the traveler. This process is called *the living ring*.⁹

Claims have been made for maximum traveler speed of 50 to 60 m/s.^{10,11} Figure 6.5 shows an example of an improved design, compared with the conventional ring-traveler geometry, and it can be seen the greater surface contact would be beneficial.

We can reason from the above that increasing the yarn package size by using large diameter rings may mean reducing spindle speed and thereby production speed. Another means of increasing package size is by using a longer package length over which the yarn is wound. This is called the *lift*, and it inevitably means that the spinning position has a longer balloon height and balloon length. Two main factors, however, control the maximum balloon height: (1) balloon collapse caused by the

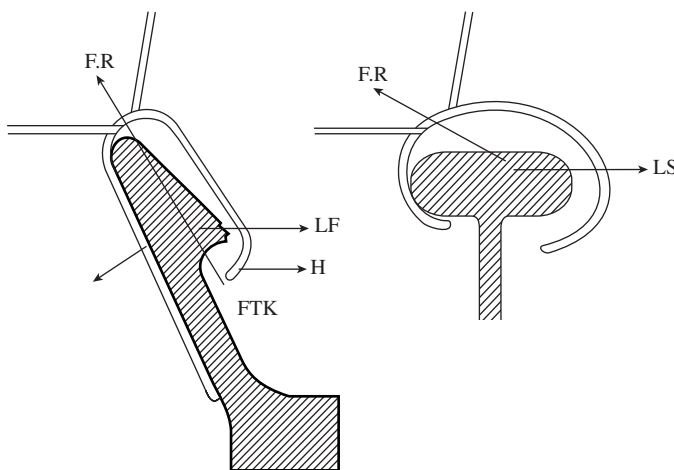


FIGURE 6.5 Orbital ring and traveler: conventional T-flange system. (Courtesy of Rieter Machine Works.)

formation of a node in the yarn balloon during spinning and (2) increased yarn tension and thereby increased interruptions of the spinning by yarn breaks (i.e., end breaks) resulting in a lower machine efficiency, $\epsilon\%$.

From the simple theory of a vibrating string, it can be shown that the balloon height, H , balloon tension, T_B , the spindle speed, N_S , and the yarn count, C_Y , are related by

$$H = C \left\{ \frac{T_B}{(C_Y N_S^2)} \right\}^{\frac{1}{2}} \quad (6.13)$$

where C = the constant of proportionality

For a given yarn count and spindle speed, there must be a minimum balloon tension below which the balloon length, L_b , has the tendency to form a nodal point between the lappet and the traveler, resulting in balloon collapses. If we therefore wish to increase the balloon height for a given count and spindle speed, the balloon tension must be increased. However, as was stated earlier, too high a tension could result in increased end breaks and low machine efficiency. Since the traveler is pulled around the ring circumference by the yarn, the drag of the traveler mass, M , influences the tension in the yarn. Also, if H is large, the required M could result in a spinning tension greater than the strength of the yarn being spun. To circumvent the use of too heavy a traveler, balloon control rings (see [Figure 6.3](#)) are used to prevent a nodal point from forming in the balloon profile (see [Chapter 8](#)). The lightest traveler mass, M , for a given balloon height, yarn count, and ring diameter D_R is given by

$$M = \frac{KH^2C_Y}{D_R} \quad (6.14)$$

where K = the constant of proportionality

With medium to coarse count yarns, say 40 to 100 tex, building sizeable packages requires the use of a balloon control ring. For very coarse counts, such as in the area of carpet yarns, it becomes necessary to spin with a collapsed balloon in order to produce a useful size spinning package for rewinding. See [Figure 6.6](#). As the figure shows, the yarn balloon length partially wraps around the spindle, but such coarse yarns have sufficient strength to overcome the frictional drag of the spindle without breaking. The frictional contact with the spindle will resist the twist propagation toward the front drafting rollers, this is additional to the effect of the lappet. A false-twisting device fitted on the end of the spindle is therefore used to prevent spinning beaks because of low twist reaching the spinning triangle.

6.1.1.3.1 Spinning End Breaks

The weakest part of a forming yarn will be at the point of twist insertion. In ring spinning, this is the spinning triangle, just below the front drafting rollers (see [Figure 6.3](#)). During ring spinning, most end breakages will occur here. Three factors are

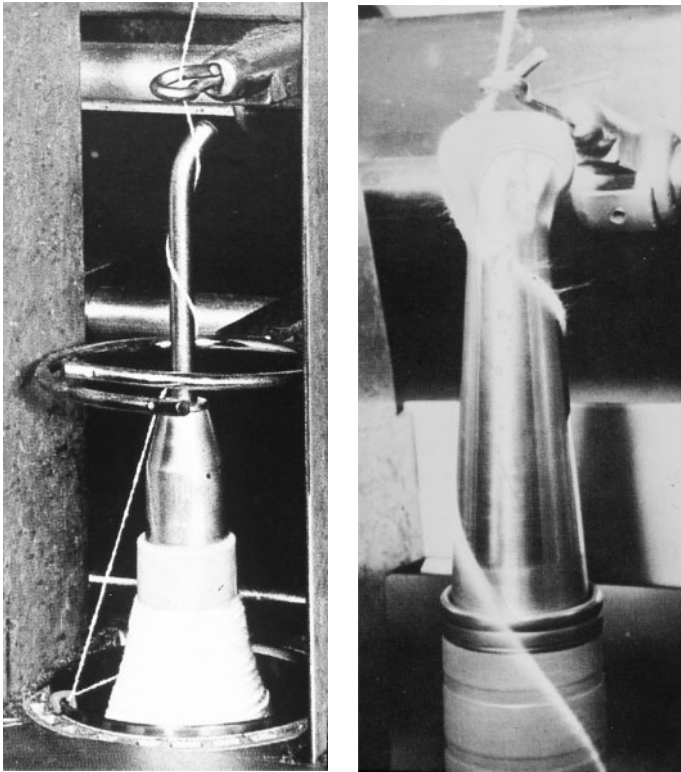


FIGURE 6.6 Examples of collapse balloon spinning. (Courtesy of Rieter Machine Works Ltd.)

therefore of importance: (1) the number of fibers in the triangle and the variation of this number, (2) the propagation of twist to the apex of the triangle, and (3) the mean tension and tension fluctuation.

Clearly, the greater the number of fibers in the cross section of the forming yarn, the stronger the yarn will be to withstand the spinning tension and tension fluctuations, provided that the mean spinning tension is kept well below the breaking load of the yarn (typically 30% below mean yarn strength). End breakage problems will arise when the number of fibers in the cross section of the fiber ribbon varies significantly and/or the peak value of tension fluctuation is too high.

The variation of the number of fibers in the cross section causes thin and thick places in the fiber ribbon. As these pass through the twist insertion point at the apex of the spinning triangle, the thin places are more easily twisted than thick places; thin parts of the ribbon will tend to have more twist than thicker parts. A very thin part of the ribbon will become over twisted and weak (see [Figure 6.5](#)), and this will make the yarn susceptible to peak tension fluctuations.

From Equation 6.5, it is evident that the friction μ and the angle θ are important factors to the mean spinning tension, T_s , and the fluctuation of this tension. It can

be seen from [Figure 6.3](#) that θ will vary as the balloon length, H , rotates with the traveler. The spinning geometry therefore must ensure that fluctuation in T_s is kept small.

T_s is also dependent on the winding tension. Consequently, it is directly proportional to the mass of the traveler and inversely proportional to the bobbin radius; the spinning tension is usually high at the start winding and decreases as the package builds up. The appropriate traveler mass must be used in accordance with the yarn count (i.e., number of fiber in the yarn cross section), and the bobbin radius must not be smaller than 40% the ring radius (see [Chapter 8](#)).

6.1.1.4 Compact Spinning and Solo Spinning

These two systems are essentially modifications to the conventional ring spinning process with the aim of altering the geometry of the spinning triangle (see [Figure 6.7](#)) so as to improve the structure of the ring-spun yarn by more effective binding-in of surface fibers into the body of the yarn. This reduces yarn hairiness, and in the case of Solo spinning, makes single worsted/semi-worsted yarns suitable for use as warps in weaving and therefore dispensing with ply twisting.

As the name implies, with compact spinning (also called *condensed spinning*), the fibers leaving the front drafting roller nip are tightly compacted,

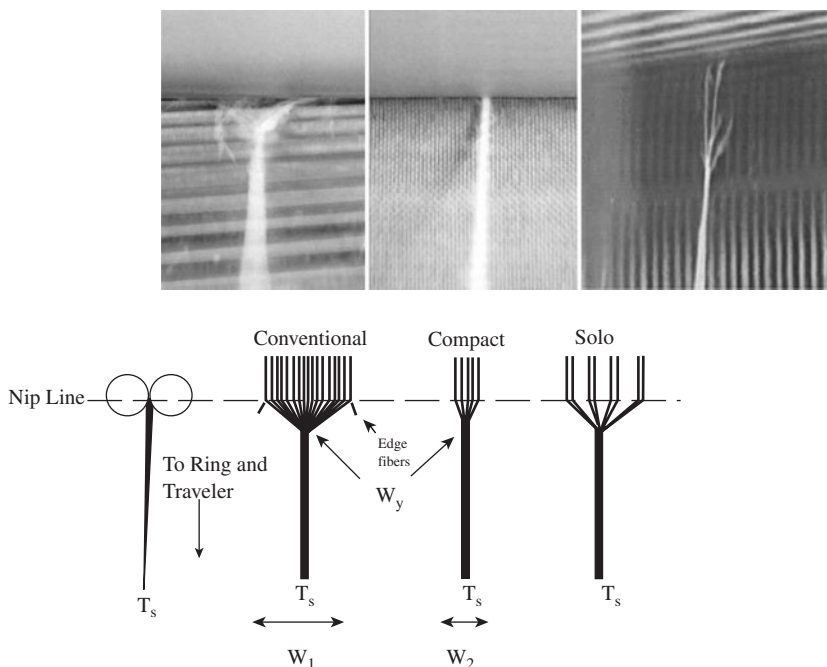


FIGURE 6.7 Compact and Solo spinning. (Courtesy of Rieter Machine Works and Prins, M., Lamb, P., and Finn, N., Solospun: The long staple weavable singles yarn, *Proc. Textile Institute 61st World Conference*, Melbourne, Australia, April 2001, 1–13.)

sign of a spinning triangle at the twist insertion point virtually imperceptible. The importance of compaction can be explained with reference to [Figure 6.7](#). In the conventional system, the fibers are fed at width W_1 into the zone of twist insertion. This width is the result of the attenuation by roller drafting and is dependent on such factors as the count of the input material to the drafting system, i.e., of sliver or roving, the twist level in the roving feed, and the level of draft. The first two factors govern the width of the material fed into the drafting system, and W_1 is directly proportional to this width. The level of drafting has a strong effect in that the higher the draft, the wider W_1 .¹³ The acuity of angle of the spinning triangle in the twist insertion zone is directly proportional to W_1 , twist level, and the spinning tension T_s , but it is inversely proportional the yarn count. That is to say, these factors govern the difference between W_1 and the yarn diameter, W_y , at the apex of the spinning triangle. Because of this difference, the leading ends of fibers at the edges of the ribbon are not adequately controlled and twisted into the yarn structure. The result is that these fibers either have a substantial part of their length projecting from yarn surface as hairs, and thereby contributing little to the yarn strength, or they escape twisting all together as fly waste. In [Chapter 1](#), we saw that yarn hairiness can be a problem in downstream processes and to fabric appearance.

Reducing W_1 to W_2 greatly improves the control and twisting into the yarn structure of the edge fibers. It should also be noted that, with the problem of incorporating edge fibers into the forming yarn and the resistance to twist propagation from the yarn balloon zone, the strength at the apex of the spinning triangle is generally only one-third of the yarn strength. This makes the spinning triangle a potential weak spot at which breaks occur during spinning. The reason is that the tension induced into fibers by the spinning tension is very small at the center of the spinning triangle as compared with at the edges. Therefore, when spinning fine yarns or yarns with low twist levels, the loss or the poor incorporation into the yarn of edge fibers means insufficient strength to withstand the spinning tension, and breaks occur. By greatly narrowing the width of the spinning triangle, compact spinning should improve both spinning efficiency and the structure and properties of ring-spun yarn. The structure-property relation of yarns is discussed in [Section 6.2](#).

In Solo spinning, the drafted ribbon, instead of being compacted, is divided into sub-ribbons or strands that form the spinning triangle. At the apex of the triangle, the strands are twisted together, similar to plying of several yarns. This confers better integration of the edge fibers as fibers are trapped within and between strands.

[Table 6.2](#) lists the basic features of the four techniques currently used to compact the spinning triangle. All utilize air suction and are essentially either a modification or an attachment to the front of a conventional type drafting system.

With the ComforSpin process ([Figure 6.8](#)), a perforated drum (A) replaces the conventional grooved bottom-front roller of a 3-over-3, double-apron (DA) drafting unit. A second top-front roller (C) makes a second nip line with the perforated drum, below which the compacted spinning triangle is formed. The nip line of the front drafting zone is made by the contact of the top-front roller (B) with the drum, enabling the fiber mass to be attenuated in the normal way, producing ribbon width

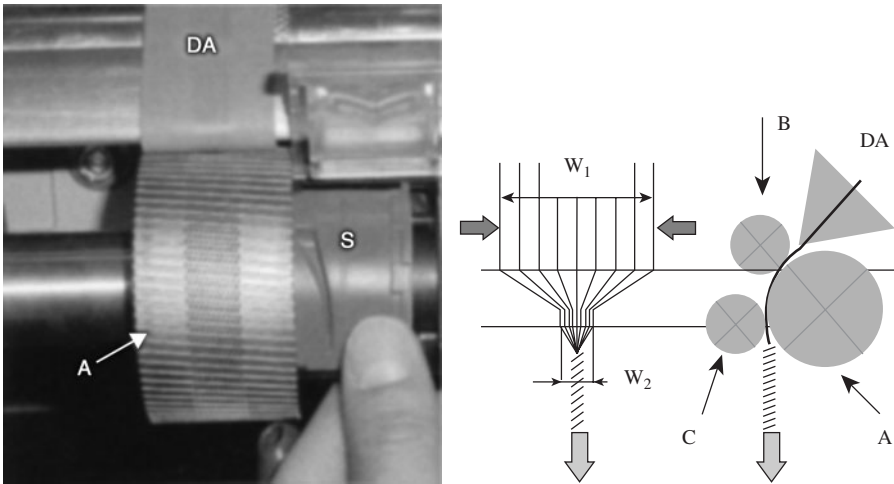


FIGURE 6.8 ComforSpin compacting system. (Courtesy of Stalder, H., and Rusch, A., Successful compact spinning process, *Int. Text. Bull.*, 1, 42–43, 2002.)

TABLE 6.2
The Compacting Systems in Ring Spinning

Manufacturer	Trade names	Basic features
Rieter Machine Works Ltd.	Com4Spin or ComforSpin	4-over-3 double apron drafting system with perforated bottom front roller and two top rollers; drafted ribbon compacted by air suction through bottom front roller
Spindelfabrik Suesen	EliTe	3-over-3 double drafting system with addition roller and special lattice apron, {moving around slotted, air suction tube (<i>tubular profile</i>) for compaction of drafted ribbon
Zinser Textilmaschinen GmbH	Air-Com-Tex 700	4-over-4 double apron drafting system with perforated apron circulating around top front roller; drafted ribbon in front zone compacted by suction through perforated apron
Maschinen-und Anlagenbau Leisnig GmbH	P4	4-over-4 double apron drafting system with perforated apron circulating around bottom front rollers; drafted ribbon in front zone compacted by suction through perforated apron

W_1 (see Figure 6.7). Suction is applied from within the drum through a slotted tubular screen (S) so that, as the perforated drum rotates, the screen enables a controlled airflow through the perforations passing over the slot to firmly hold the drafted fiber ribbon to the drum surface, leaving the nip line at roller B. The slot is specially shaped for the drafted ribbon to become compacted from width W_1 to W_2 by the

time it reaches the final nip line at roller C. Beyond this, twist is inserted as in conventional ring spinning.

In the Elite system, the basic drafting rollers are retained with an additional unit fitted at the front (see Figure 6.9). The added unit consists of a transport apron of lattice weave — 3,00 pores/cm², which passes closely over the surface of a specially shaped, slotted, suction tube — *tubular profile*. Suction occurs at the interstices of the apron moving across the slot of the tubular profile. The plan view shows that the slot can be inclined at 30° to the center line of the apron, which thereby causes the motion of the apron to effect a rolling of the drafted ribbon as the ribbon is being compacted. This is useful when spinning uncombed cotton, i.e., *carded cotton*, as the very short fibers become more embedded in the final yarn. The additional top roller is geared to the top front drafting roller at a slightly higher surface speed. The additional top roller drives the transport apron via friction contact at the nip line. The drafted ribbon is therefore under tension, straightening fibers, during compaction.

The Air-Com-Tex 700 and CSM units use an alternative apron arrangement to the Elite unit for compaction, but, similar to the latter, compacting occurs after the front drafting rollers. The alternative arrangement is simply an added conventional

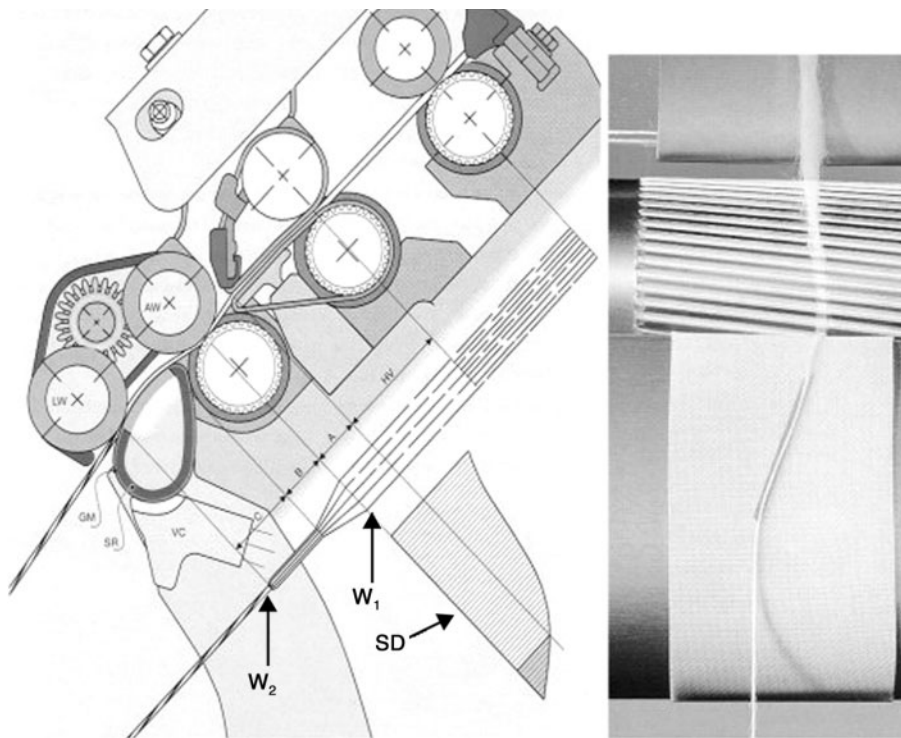


FIGURE 6.9 (See color insert.) The Elite compacting system; SD = staple diagram showing control of short fibers. (Courtesy of Spindelfabrik Suessen.)

apron-drafting zone with a line of perforations down the middle of the apron width through which suction is applied. The Air-Com-Tex 700 has only a perforated bottom apron, whereas the CSM has double aprons, of which only the top one is perforated.

Figure 6.10 shows the attachment at the front pair of drafting rollers used for the Solo spinning process. This consists of an addition roller (F), the Solospun roller, mounted via a bracket clip (C) onto the top front-drafting roller shaft (E) of the drafting arm. The Solospun roller has a series of circumferential grooves along its length, and it forms a nip line with the bottom front-drafting roller. It is the presence of the grooves in the Solospun roller that results in the drafted ribbon being divided into a number of strands that are twisted together to form the Solospun yarn.

6.1.1.5 Spun-Plied Spinning

A singles conventional ring-spun yarn of low twist will be hairy and have low abrasion resistance but, if woven or knitted, would give the fabric a soft feel. The above Solo and compact ring spinning systems produce singles yarns with much lower hairiness than conventional ring-spun yarns; however, these systems have yet to become widely used. To weave or knit low twisted conventional ring-spun yarns, it becomes necessary to trap the surface fibers by producing a twofold yarn. The conventional way of producing a twofold yarn is to ply together two single yarns using one of various techniques to be described later. There are economic advantages to be obtained if spinning and plying can be achieved as one process, and Figure 6.11 shows how this may be done.

Figure 6.11 shows two strands of roving passing through the same drafting unit but separated so that they emerge from the front drafting rollers a fixed distance apart. They then converge to a point at which the twist torque propagating from the

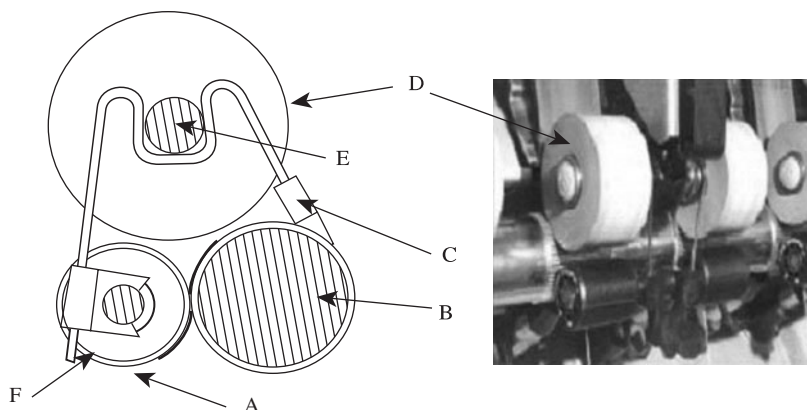


FIGURE 6.10 Solo spinning system: A = yarn, B = bottom front rollers, C = clip, D = top front roller, E = top roller shaft, F = Solo roller. (Courtesy of Prins, M., Lamb, P., and Finn, N., Solospun: The long staple weavable singles yarn, *Proc. Text. Inst. 61st World Conference*, Melbourne, Australia, April 2001, 1–13.)

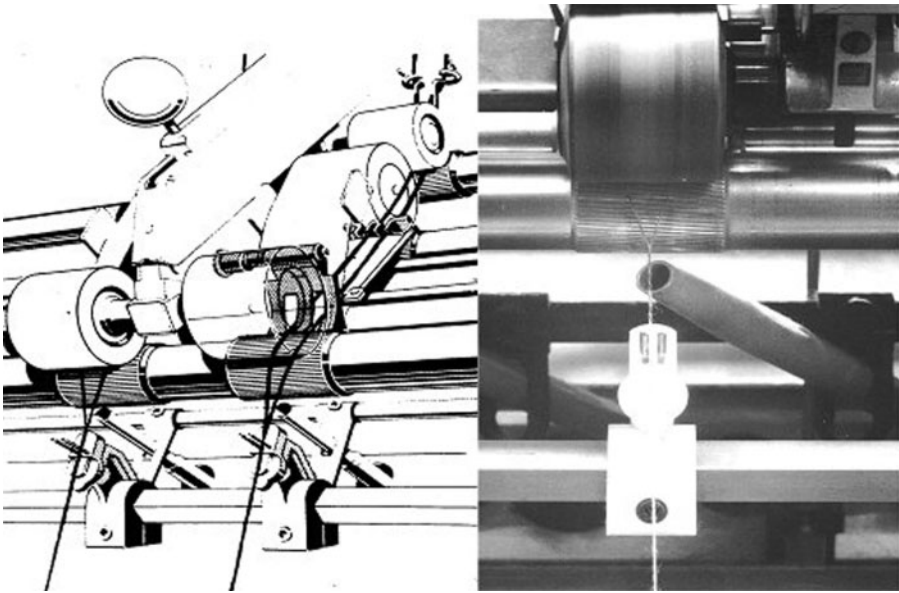


FIGURE 6.11 Sirospun system. (Courtesy of Morgan, W. V., Sirospun on long-staple spinning, *I. W. S. Text. Eng. and Process. Tech. Inf. Lett.*, 2, 1–10, 1981.)

yarn ballooning region inserts twist into the separate strands and plies the twisted strands together to form the twofold yarn. The strand twists propagate to form two very small, almost imperceptible, spinning triangles at the front drafting rollers. The strand and ply twists are of the same twist direction (see [Figure 6.12](#)). In case one of the strands breaks during spinning, the yarn guide below the front rollers has the function of breaking the remaining strand, and the suction tube (termed a *pneumafil*) is positioned near the front roller to collect the fibers that would still be issuing from the front rollers. [Figure 6.13](#) shows a variation of the spun-plied arrangement, called *Duospun*,¹⁴ where a specially designed suction nozzle replaces the yarn guide and pneumafil.

It is important to note that twist must be present in the individual strands if the surface fibers are to be suitably held in the twofold yarn structure. With only ply twist to hold fibers into the yarn structure, there will still be many fibers having much of their length projecting from the plied structure. With strand and ply twist, the fibers are more effectively trapped by every turn of ply twist, and for twist to be inserted into the strands, they must be spaced apart.

As fibers leave the front drafting rollers, they are incorporated into the strands in a similar way to conventional ring spinning. Therefore, unless the strand twist is high, there will be some fiber lengths projecting from the strands. The propagation of strand twist toward the nip of the front rollers means that a given projecting length will be rotating about the axis of the strand into which the remaining length of the fiber is twisted. Owing to the geometrical arrangement of the strands, as they converge, many of the projecting lengths will eventually strike the neighboring stand,

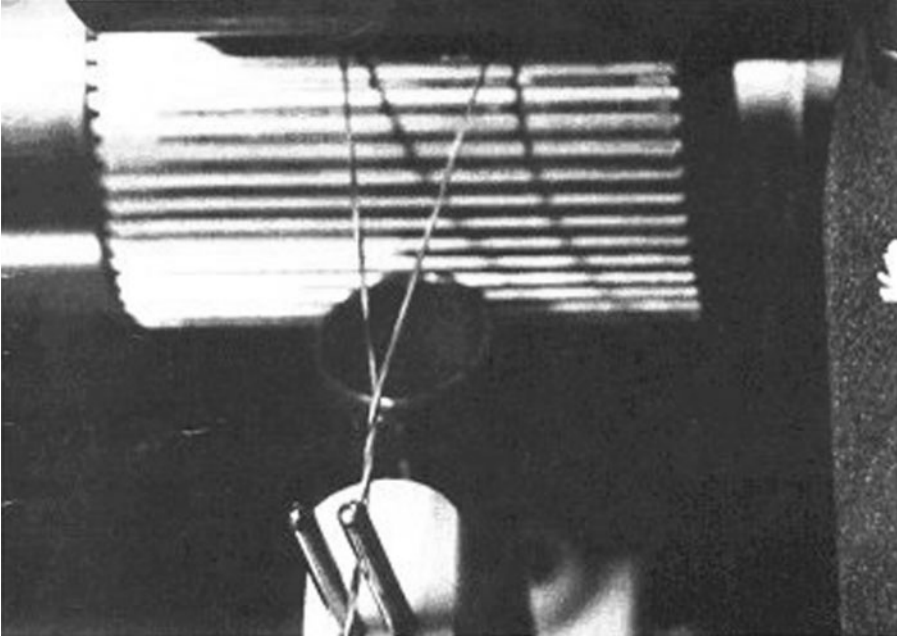


FIGURE 6.12 Strand and ply twist. (Courtesy of Zinser Ltd.)

which prevents them from rotating further. As the strands become plied, these fiber lengths are trapped between the two strands. This mechanism of trapping is called *yarn-formation trapping*. However, most surface fibers will have their lengths twisted into a strand prior to being trapped by the ply twist. This mode of trapping is called *strand-twist migration trapping*.

There is a balance of tensions at the convergence point, where the strand twist angle will almost coincide with the ply twist angle. Better trapping of the fibers occurs with greater differences between the twist angles. By varying the spinning tension, the twist propagating into the strands will vary, and so will the twist angle.

Variations in spinning tension occur with the cyclic up-and-down motion of the ring rail. When the convergence point is in its top position, the twist in the strand is at a maximum. As the tension in the plied yarn increases with the downward movement of the ring rail, the frictional contact between the strands at the convergence point increases, decreasing the amount of twist propagating into each strand and the strand twist angle. There is a resulting decrease in twist contraction of the strands, and the convergence point moves downward with the associated increase in strand lengths.

With the upward movement of the ring rail, the tension in the plied yarn decreases, enabling the strand twist and twist angle to increase; the strand lengths shorten with twist contraction, and the convergence point moves upward. The cyclic motion of the ring rail causes the convergence point to also cycle up and down and effects better trapping of fibers in the spun-plied structure.

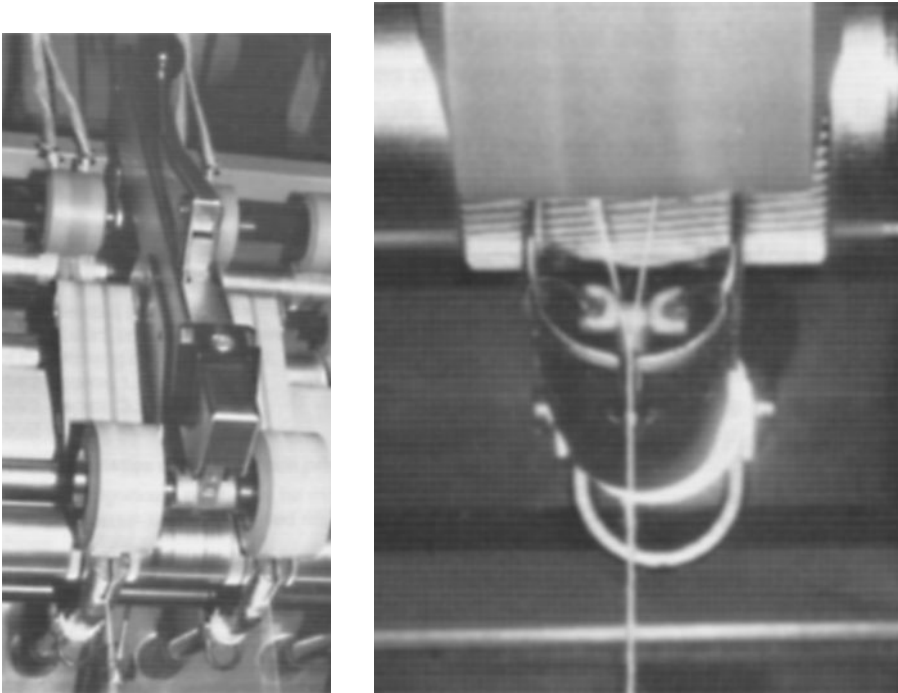


FIGURE 6.13 Duospun spun-plied unit. (Courtesy of Berkol Unicomb.)

This tension fluctuation causes only small imbalances in the tensions at the convergence point and gives only up to 20 turns per meter of strand twist level.^{15,16} Deliberate cyclic perturbation of the convergence point¹⁷ can be done with a pair of rollers profiled to nip and then release the plied yarn just below the convergence point. When the plied part of the yarn is nipped, the ply twist and the strand twist above the nip point will decrease, and the strand lengths increase. The ply twist below the nip point increases to a higher than normal value. When the nip is released, the converse occurs, and the flow of twist in the strand is then higher than the normal value. Deliberate cyclic perturbation gives more extreme twist variation, where the strand twist levels then can be up to 60 to 100 turns per meter. At the higher strand twist levels, there are few fiber lengths projecting from the strands. Therefore, strand-twist migration trapping becomes the dominant mode. Without deliberate cyclic perturbation, yarn formation trapping is the dominant mode.

The length of the individual strands above the convergence point increases with stand spacing, and the amount of twist that is available for trapping as strand twist also increases. Thus, at low stand spacing, trapping of fiber ends by the yarn-formation mode is dominant.

Yarn abrasion resistance, low hairiness, and adequate strength are important factors affecting weavability. The yarn hairiness decreases, and abrasion resistance increases, with stand spacing.

6.1.1.6 Key Points

Generally, ring and traveler systems have the following technical advantages and disadvantages.

6.1.1.6.1 Advantages

- They offer a wide spinning count range, e.g., 5 to 300 tex.
- They provide the ability to process most natural and man-made fibers and fiber blends.
- They produce staple yarns of tensile strength and handling aesthetics suitable for the majority of fabric end uses. The properties of ring-spun yarns are therefore used as a standard against which new yarns are compared.

6.1.1.6.2 Disadvantages

- Even in the ideal situation of no end breaks, spinning is still discontinuous, because it has to be interrupted for doffing.
- To attain high twisting rates and thereby high production speed, the yarn package must be reduced in size, resulting in frequent stoppages for doffing.
- The maximum mechanical speed is restricted by the frictional contact of ring and traveler and yarn tension.
- Bobbin size is restricted by the ring diameter.
- Yarn has to be rewound to produce larger size packages (see [Chapter 8](#)).
- Usually, the preparatory processes have to include roving production; spinning from sliver would be more economical.

It is important to note that the first four of the above limitations arise because, in ring spinning, twisting and winding of the yarn onto a bobbin are combined in the one action of the traveler being pulled around the ring.

The alternative spinning methods listed in [Table 6.1](#) enable twisting and package building to occur as separate, simultaneous actions. Some of these methods retain twist in the spun yarn. With others, the twisting action is a temporary means of imparting integrity to the attenuated fiber mass forming the yarn bulk while this mass is either helically wrapped with a filament or staple fibers or bonded chemically or mechanically to obtain final integrity and strength. By separating twisting from package building, larger size packages can be made but, importantly, higher twisting rates also can be achieved to give faster production speeds as [Figure 6.2](#) shows.

6.1.2 OPEN-END SPINNING SYSTEMS

With the open-end (OE) spinning method, twisting and package building are separated by employing the false-twist principle (see [Chapter 1](#)). Real twist is, however, achieved in the yarn by forming a break in the attenuated mass at the point of twist insertion. The break is obtained by drafting the fiber mass to the point of individual fiber separation (see [Figure 6.14](#)). An alternative description is that the free end of the yarn (i.e., the open end) is rotated while individual fibers are collected and twisted

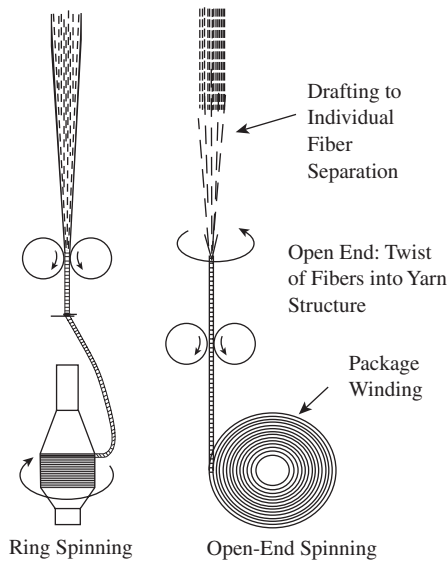


FIGURE 6.14 Comparison of ring spinning and open-end spinning principles. (Courtesy of Rohlena, V., *Open-End Spinning*, Chap. 7, Elsevier Science, New York, 1975.)

onto the end to increase the yarn length. Hence, the term *open-end spinning* or, based on the first description, *break spinning*.

Definition: Open-end spinning or break spinning is a process in which fibrous material is highly drafted, ideally to the individual fiber state, creating a break in the continuum of the fiber mass. The individual fibers are subsequently collected onto the open end of a yarn that is rotated to twist the fibers into the yarn structure to form a continuous yarn length. The length of yarn spun is then wound to form a package. Thus, the twisting action occurs simultaneously but separately from winding.

This definition outlines the basic requirements for any OE spinning system. Such a system would comprise the following:

1. A device for drafting the fibrous mass into individual fibers
2. A means of transporting the fibers and depositing the fibers onto the yarn end
3. A device for collecting the separated fibers onto the yarn end in a manner that enables the correct yarn count to be obtained
4. A device for rotating the yarn end to insert twist into the collected fibers
5. A means of winding the yarn into a package

A number of spinning techniques exploit the OE method,¹⁸ but only two have achieved commercial success: rotor spinning and friction spinning. Of the two, rotor spinning is the more widely used commercially, because a wider range of yarn counts can be spun with suitable yarn properties.

6.1.2.1 OE Rotor Spinning

Figure 6.15 illustrates the essential features of a rotor spinning system. These are

- The feed roller and feed plate
- A saw-tooth or pin covered roller called an opening roller
- A tapered tube termed the fiber transport channel
- A shallow cup, called a *rotor* (A groove is cut into the circumference at the maximum internal radius of the rotor and is referred to as the *rotor groove*.)
- A flanged tube facing the rotor base and coaxial to the rotor, termed the *doffing tube*
- A pair of delivery rollers that feed the spun yarn to the package build device

The opening in the opening roller housing enables trash particles to be ejected from the process into a trash box, thereby providing additional cleaning of the fiber mass. In practice, most of the rotor unit components can be varied to alter the properties of the yarns and/or increase production speed. This aspect will be considered later, in [Section 6.2](#), where the effect of machine variables on yarn properties will be described in detail. Here, a general description of the principle is given.

Fibers are presented to the rotor system in the form of a sliver. The feed roller and feed plate push the sliver into contact with the opening roller. The opening roller

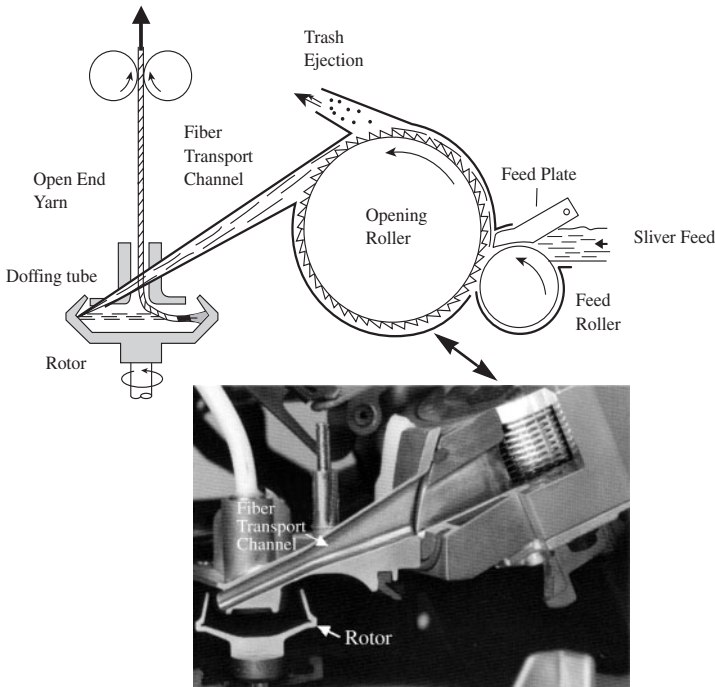


FIGURE 6.15 (See color insert.) Main features of a rotor spinning system. (Courtesy of W. Schlafhorst AG & Co.)

rotates much faster than the feed roller. This means fibers in the sliver are hooked by the sawteeth or pins and separated under a high draft ratio into individual fibers by the opening roller. The separated fibers are removed from the opening roller clothing by air suction flowing down the transport channel and into the rotor; the suction is generated externally to the rotor. The rotor is therefore under a partial vacuum.

The separated fibers are further drafted during their transportation in the airflow to the rotor. The fibers are individually deposited onto the internal wall of the rotating rotor and slide down the wall and into the rotor groove. Here, they accumulate to form a ribbon of fibers. To initiate spinning, the tail end of a yarn length (seed length) already wound onto the package by the package build device is threaded through the nip of the delivery rollers and into the doffing tube. The partial vacuum in the rotor sucks the tail end of the yarn into the rotor. The rotation of the rotor develops air drag and centrifugal forces on the yarn, pulling the yarn end into contact with the collected fiber ribbon. Simultaneously, the tail end is twisted with each revolution of the rotor. This twist propagates toward the tail end of the yarn and binds the ribbon onto the yarn end. Once the yarn tail enters the rotor, the delivery rollers are set in motion to pull the tail out of the rotor. The pulling action on the tail results in the peeling of the fiber ribbon from the rotor groove. The degree of twist that is inserted into the tail will propagate into each length of ribbon peeled from the groove, thus forming the next length of yarn. The process is continuous because of the conservation of mass flow; i.e., the following rates of mass flow are equal:

- Sliver feed rate
- Buildup of the fiber ribbon to give the required yarn count
- Rate at which the ribbon is peeled from the groove and twisted to form the yarn
- Rate at which the formed yarn is pulled from the rotor and wound onto the package

In [Section 6.2](#), a detailed description is given of the buildup of the fiber mass into a ribbon of fibers and the conversion of the fiber ribbon into the rotor yarn structure. Here, we will consider more fully the insertion of twist into the fiber ribbon.

6.1.2.1.1 Twist Insertion

[Figure 6.16a](#) and b shows the side elevation and plan view of the yarn path in the rotor. The point at which the ribbon is pulled from the rotor groove is called the *peel-off point*, P. Since the ribbon is pulled at the delivery roller speed, V_d , the peel-off point circulates the circumference of the rotor at a rotational speed of $V_d/\pi D_R$, where D_R is the rotor diameter. This means that, relative to the doffing tube, the peel-off point rotates faster than the rotor such that

$$N_p = N_R + V_d/\pi D_R \quad (6.15)$$

where N_p and N_R = the peel-off point and the rotor rotational speeds

To insert twist into the fiber ribbon to produce the yarn, sufficient twist torque must be present at point P in [Figure 6.16](#). This keeps the forming yarn from breaking

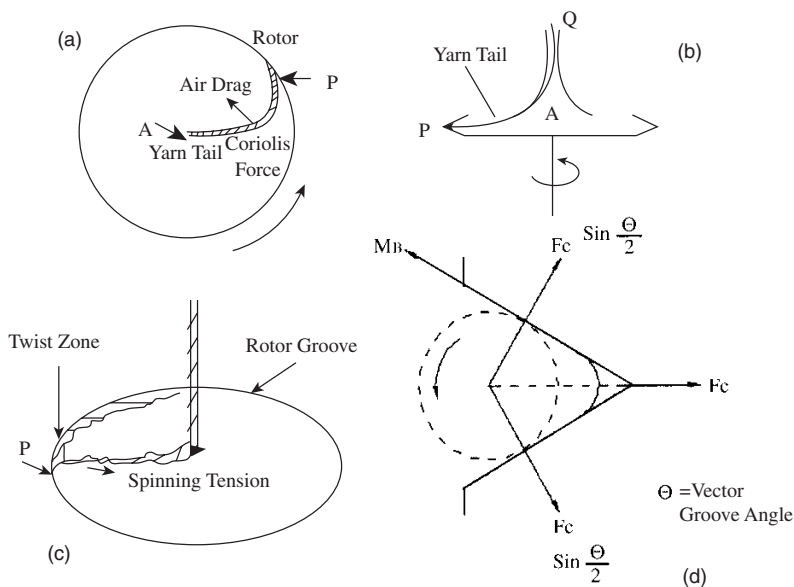


FIGURE 6.16 Yarn tail inside the rotor. (Courtesy of Zhu, R. and Ethridge, M. D., A Method for estimating the spinning-potential yarn number for cotton spun on the rotor-spinning system, *J. Text. Inst.*, 89(2), 275–280, 1998.)

at P as a result of the high tension induced in AP by centrifugal forces. The rotor generates the twist torque as it carries the yarn tail AP through each revolution; QAP is, therefore, similar to a crank. The cranking action induces twist in the length QA. The twist torque builds up and propagates to P. In doing so, it has to overcome the barrier, at A, of the doffing tube and that caused by the narrowness of the rotor groove (See Figure 6.16d). The spinning tension and the doffing tube geometry are therefore important factors. Surmounting the twist barriers requires a higher machine twist setting than is used in ring spinning. However, the central area at A, termed the *doffing tube navel*, can be altered to assist in reducing the twist level required to spin. There are many differing types of doffing tube navels that may be used (see Figure 6.17). The most contrasting effect is obtained between the smooth and the grooved navels. Essentially, the grooved navel gives a false-twist effect at A in Figure 6.16. Thus, if the yarn is being spun with Z twist, say Z_1 , then the grooved navel will give additional Z twist, say Z_2 , in the yarn tail AP. As this yarn length with $Z_1 + Z_2$ twist subsequently passes A and becomes AQ, the Z_2 twist is removed by S_2 twist, leaving only the nominal Z_1 twist in the yarn. The additional Z_2 twist enables twist propagation into a small but important part of the fiber ribbon length within the rotor groove. With the use of the smooth doffing tube, the twist stops at the peel-off point, P. Because of fluctuations in spinning tension, this is therefore a point most likely to break with peak tensions. The grooved doffing tube inserts twist up to 10 mm in the fiber ribbon length beyond the peel-off point, P; that is to say, the twist insertion and peel-off points do not coincide; there is a twist insertion point beyond the peel-off point. This

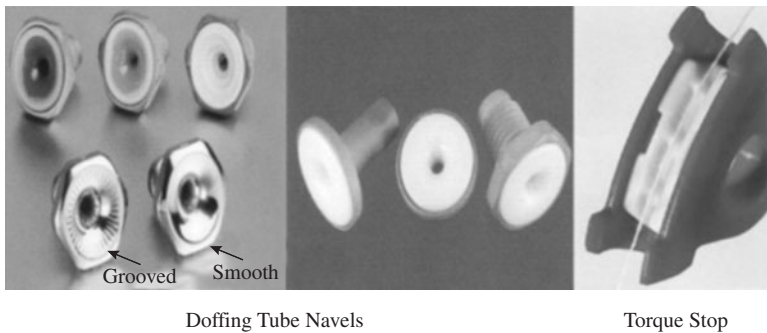


FIGURE 6.17 (See color insert.) Examples of doffing tube navels and twist block device. (Courtesy of W. Schlafhorst AG & Co.)

extended twisted length in the rotor groove is referred to as the *peripheral twist extent* (see [Figure 6.16c](#)). It strengthens the peel-off point and enables spinning at lower machine twist settings. Figure 6.17 shows what is termed a *torque stop*. If this is positioned to contact the yarn length AQ, then the twist flow along AQ will be restricted, causing a build up of twist at A and thereby increasing the twist level in AP.

Even though the above developments improve twist flow along the yarn tail, AP, a minimum of 100 fibers in the yarn cross section is required to efficiently spin on the open-end rotor system. This gives a physical limit to the fineness of count that can be rotor spun.¹⁹ Except for very short fibers (e.g., comber waste and blends), which would have to be spun at coarse counts, the minimum figure is almost independent of fiber length. For ring spinning, because twist usually flows readily to the spinning triangle, the minimum figure is within 40 to 90, depending on fiber length, strength, and twist level. In ring spinning long-staple fibers, the forming yarn is more able to withstand tension fluctuations than is the case for spinning short-staple fibers. Therefore, for a given fiber fineness, the longer the fiber, the smaller the minimum number of fibers required in the yarn cross section for ring spinning.

6.1.2.1.2 End Breaks during Spinning

From the above explanation of the twist insertion, it can be seen that fluctuation in the rotor spinning tension and variation of the number of fibers in the cross section at the peel-off point, P, are very important to a low-end breakage rate during spinning. However, a more critical factor is the buildup of impurities in the rotor groove, as these block the twist flow into the fiber ribbon. Since the occurrence of rotor deposits is related to fiber deposition, the topic is deferred to [Section 6.2.3.4](#).

6.1.2.2 OE Friction Spinning

The fundamental difference between open-end friction spinning and open-end rotor spinning is the way in which fibers are collected and twisted onto the tail end of the seed yarn. In friction spinning, the fibers are not collected to form a fiber ribbon that is then twisted. Instead, the fibers are individually collected and twisted onto the yarn. Two rotating, perforated, cylindrical rollers insert the twist by frictional rolling of the yarn tail while simultaneously twisting fibers onto the yarn tail. The

rollers are often referred to as the *spinning drums* or *friction drums*. Figure 6.18 illustrates the commercial process known as Dref-2.

Commonly, two pairs of feed rollers feed four or five slivers in parallel to an opening roller. The objective is to process a wide range of staple lengths, i.e., up to 120 mm. Therefore, for simplicity, a feed plate is not incorporated, the opening roller is much larger than in rotor spinning, and the fibers are blown off the saw-tooth clothing of the opening roller and into the collecting zone for twisting.

The collecting zone is formed by the close positioning of the two spinning drums. This effectively gives a V-shaped groove parallel to the rotation axes of the drums. A rotating disc with projections around its circumference may be used to assist in

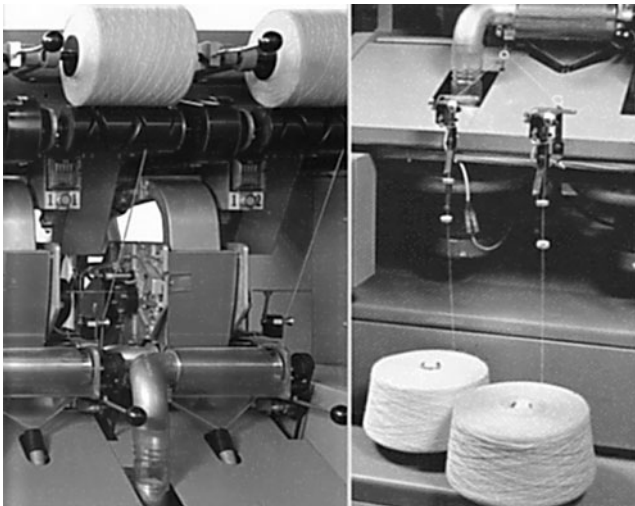
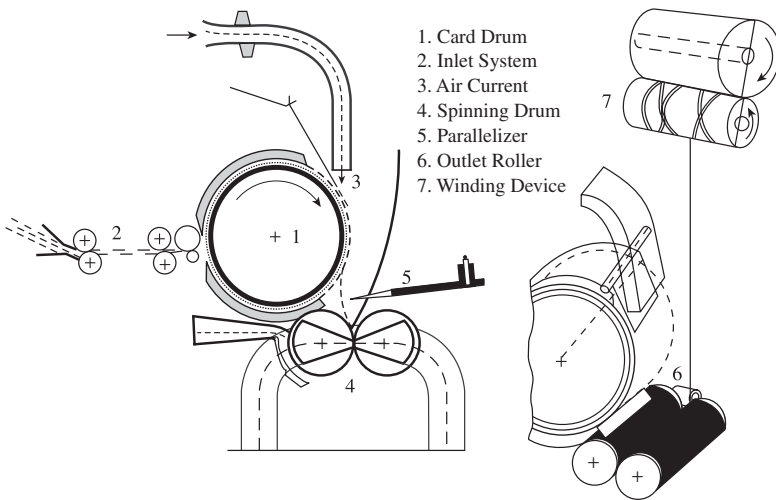


FIGURE 6.18 (See color insert.) Dref-2 open-end friction spinning system. (Courtesy of Fehrer AG.)

aligning fibers parallel to the groove axis just before their deposition. Its purpose is to get the full fiber lengths contributing to yarn length and to attain a parallel assembly of fibers in the spun yarn, as these two factors are critical to yarn strength. As the drums rotate, suction is applied through the holes at the V-shaped groove, enabling compaction of the fibers as the yarn structure is formed. The tail end of the yarn being spun is held in the groove by the suction force. The drums have the same directions of rotation. Hence, as well as being compacted, the depositing fibers are twisted onto the tail end by frictional contact with the drums. As the yarn length is spun, it is pulled along the groove by the delivery rollers and, finally, wound onto a bobbin to make a yarn package.

The Dref-2 system can be used to advantage in the spinning of core spun yarns. The deposition and twist of fibers onto the yarn tail provide the opportunity for the yarn tail to be replaced by a filament core, which would then become fully covered by a staple sheath as fibers are deposited and twisted onto the filament. In this situation, the continuous filament yarn would pass from a filament package, through a thread tensioning guide, along the V-shaped groove formed by the spinning drums, and via delivery rollers to the package build device. (See [Figure 6.18](#).)

The fiber deposition in the Dref-2 system does not result in a straight and parallel arrangement of the fibers in the spun yarn (see [Section 6.2](#)). As a result, the Dref-2 is only suitable for spinning very coarse count yarns (see [Figure 6.1](#)). The aspect of fiber straightening during deposition in OE spinning systems has been a focus of much research over the years, particularly with regard to OE friction spinning of finer yarn counts. However, no suitable fine-count OE friction system has yet reached commercial success. An alternative to OE friction spinning is friction wrap spinning, which has enabled the spinning of yarns within the coarse- to medium-count range. This system is described in [Section 6.1.4](#).

The technological equations for total draft and twist factor are applicable to the friction spinning process. However, the equation for computing machine twist, t , must account for the relative diameters of the yarn and the spinning drum and the factors controlling the friction mechanism of twist insertion. Thus, it would be given by

$$t = \frac{KDN_D}{dV_d} \quad (6.16)$$

where K = a twist efficiency factor ($\ll 1$) that is indicative of the frictional contact between yarn and drums

D , d = drum and yarn diameters, respectively

N_D = rotational speed of the drums

V_d = yarn delivery speed

It is evident that fiber-metal friction and fiber torsional rigidity will significantly influence K . Generally, it is not often practicable to alter these properties, particularly if processing natural fibers. With continuous operation, the running machine temperature rises, and components can expand and alter settings. The size of the V-shaped groove, and also the fiber-metal friction, may change. Experimental studies have shown that, as a result of such changes, friction slippage can occur as illustrated

in Figure 6.19. Because of slippage, K can be as low as 0.1 to 0.3. Suitably designed components can minimize the problem of altered settings with running time, and appropriate suction can be used to reduce the effect of twist slippage resulting from changes in fiber-metal friction. The suction applied can be measured as negative pressure in millimeters of water. Figure 6.19 also shows that twist slippage decreases linearly with negative pressure over the available range for Dref-2 system.²⁰ However, as the figure also shows, the yarn count, the drum speed, and the delivery speed also influence twist slippage. In practice, the equation of t is of little use, and twist setting of the machine is usually set by yarn measurement and experience.

Figure 6.2 shows that, compared with other spinning processes, OE friction spinning is one of the fastest production systems. However, as stated previously, the process is currently suitable only for spinning yarns at the coarse end of the yarn count range. Much research and development work has been carried out in trying to commercialize machines for the medium to fine end of the count range — so far without success. The principal reason for the lack of success has been the poor yarn properties as compared to ring- and rotor-spun yarns.

6.1.3 SELF-TWIST SPINNING SYSTEM

Definition: Self-twist spinning is a process in which two fibrous strands are separately false-twisted to give alternating S and Z twist along their lengths. Both strands are then brought together in frictional contact for the untwisting torque of the S–Z twist to ply the strands, producing an alternating Z and S twisted twofold yarn.

The alternating S-Z twist in each strand is obtained by false-twisting the strands up to the point on an S-Z false-twist curve at which the near-maximal twist value is obtained for both twist directions. Figure 6.20 illustrates this. For a continuous

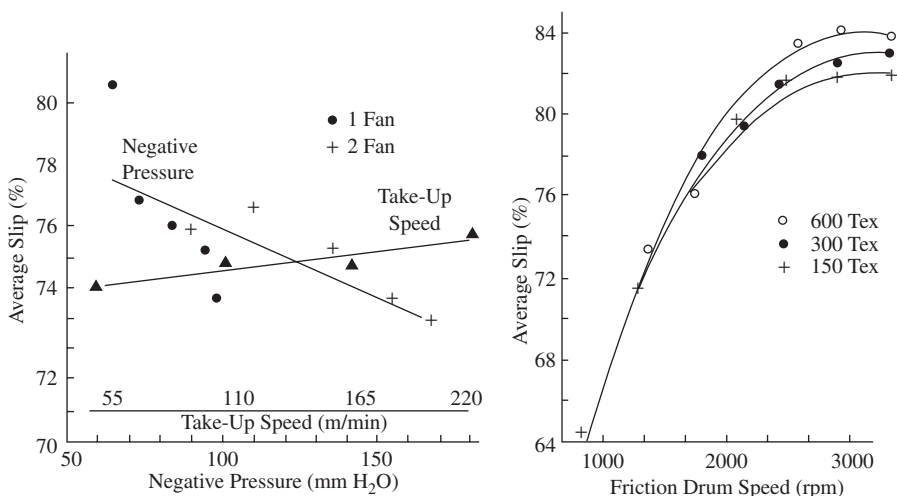


FIGURE 6.19 Friction slippage in Dref-2 spinning.

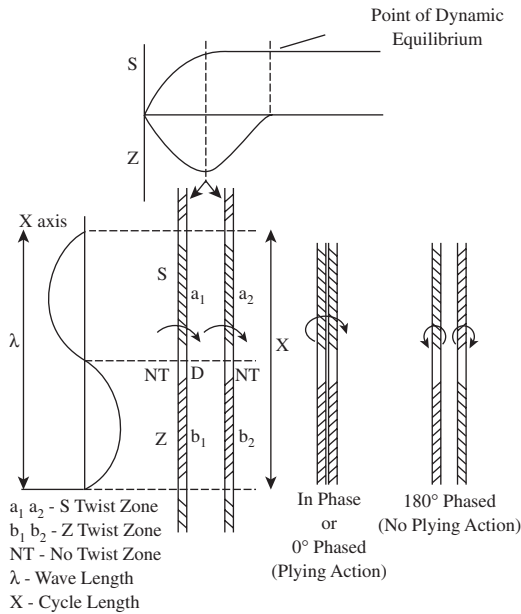


FIGURE 6.20 Principle of self-twisting.

twisting process, the twisting device would have to be repeatedly rotated clockwise then counterclockwise, the change in direction being made when the maximal twist value is reached.

We can see from the figure that each S-Z twisted strand can be related to a sinusoidal wave plotted on Cartesian axes, where the twist level and directions are along the y-axis and the twisted length along the x-axis. Thus, λ represents the wavelength, and in terms of the yarn length is called the cycle length, X. Making the sinusoidal wave analogy allows us to consider the relative positions of lengths in the strands with the same twist direction in terms of the phasing of waves. Let us consider the two extremes of phasing. As shown in the figure, two strands having their S and Z lengths and no-twist zones coincident are in phase or are zero-degree phased. If the lengths are displaced such that the S lengths coincide with the Z lengths (i.e., a_1 now faces b_2), then the strands would be 180° out of phase or 180° phased. When the twisted strands are phased by 180° , they have opposing untwisting torque, the self-twist action cannot take place, and no yarn will be formed. Theoretically, the optimal phasing is 90° , because this should give the maximal yarn strength. Figure 6.21 illustrates the 0 and 90° phased yarns. It can be seen that the former has the no-twist zones of the strands and the ply coming together at the same place in the yarn, whereas the latter has the no-twist zones coinciding with the Z-twist (and S-twist) regions of one or the other strand.

Various false-twisting arrangements can be used to produce self-twist (ST) yarns.²¹ However, the commercial process known as Repco spinning utilizes friction twisting by a pair of reciprocating rollers. Figure 6.22 illustrates the Repco ST

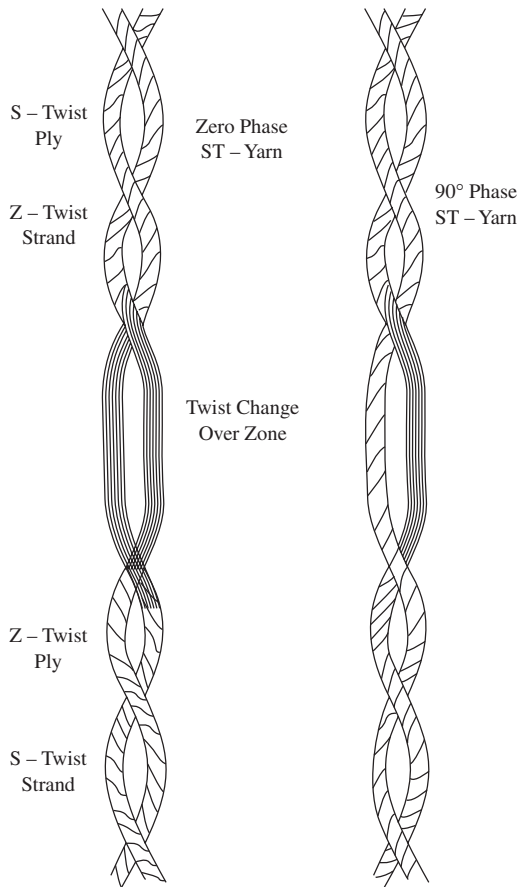


FIGURE 6.21 Self-twist yarns of 0 and 90° phasing.

system. Since two rovings have to be fed to each spinning position, the apron drafting system is designed to attenuate eight separately spaced rovings. The reciprocating rollers are placed immediately after the front drafting rollers. As well as reciprocating, these rollers move the alternating S-Z twisted strand to the phasing zone. The region between the front drafting roller and the reciprocating rollers is termed zone I. Zone II is the region from the reciprocating rollers to the phasing zone, zone III. At any instant in time, the strand lengths in zone I will have the opposite twist direction to the lengths in zone II.

In zone III, there are a pair of guides at each spinning position, which enables the phasing and self-twisting of the strands. From [Figure 6.23](#), it can be seen that phasing is achieved by one strand, S_2 , moving through a slightly longer path (d–e–f) than the other, S_1 , c–f. Phasing therefore occurs through the corresponding lengths of the strands, with the same twist directions being displaced by the distance, e–f, i.e., the separation distance of the guide. If y is the separation distance and X the cycle-length corresponding to 360° , then the phasing, θ , can be obtained from the expression

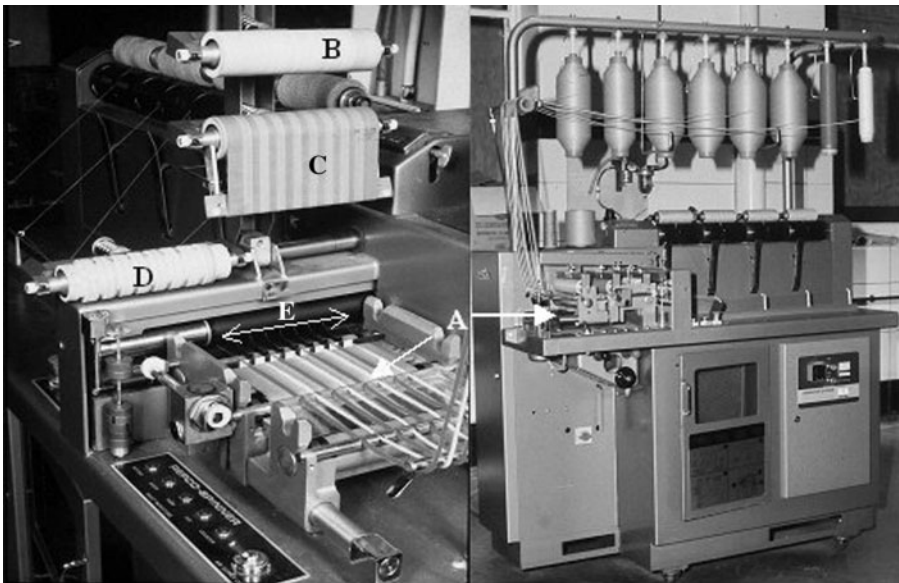
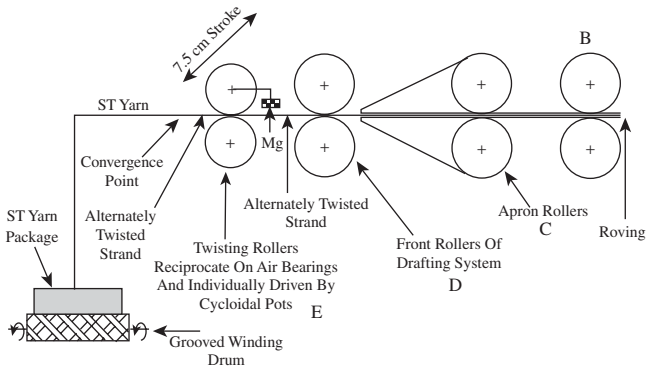


FIGURE 6.22 (See color insert.) Repco ST spinning system. A. Roving feed, bottom drafting rollers, and apron. B. Top rear, drafting roller. C. Top apron of drafting system. D. Special grooved top, front drafting roller. E. Reciprocating friction rollers.

$$\frac{y}{X} = \frac{\theta}{360}$$

For the Repco system $X = 22$ cm, and $y = 1.85$ cm. Therefore, the strands have a 30° phasing. A major disadvantage in using displacement to phase the alternately twisted strands is that they will begin untwisting before coming together. The twist loss will be greater with a higher degree of phasing and, consequently, so will the strength of the ST yarn. Thus, 30° phasing is the optimum for maximum strength of Repco ST yarns.

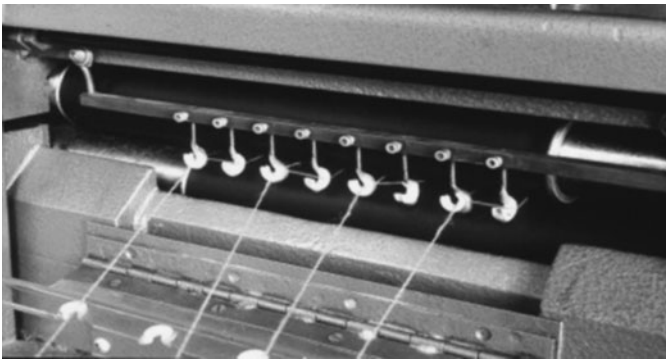
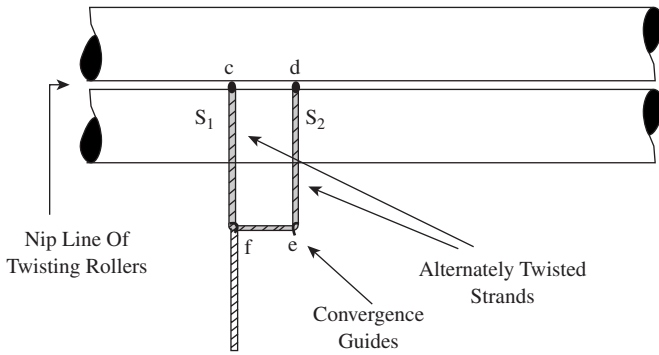


FIGURE 6.23 Self-twist yarn phasing on Repco system.

The combined action of the twist-inserting rollers of reciprocation and feeding the strands to the phasing zone is achieved with a cycloidal drive arrangement.²¹ The twist inserted by the reciprocating rollers is dependent on the applied normal load, N , to the reciprocating or ST rollers; the stroke length; the effective strand diameter; the surface friction coefficient, μ , between fiber and ST roller; and the oscillating frequency. The number of twists per cycle of oscillation is given by

$$\text{Turns per oscillation} = \frac{\Omega 2L}{d} \quad (6.17)$$

where Ω = a twist efficiency factor dependent on μ and N
 L = the stroke length = 7.6 cm for the Repco system
 d = the effective diameter of the strands

Using the sinusoidal wave analogy, it can be shown theoretically²¹ that the total number of twist in the strand length in zone I is

$$Y_u = \frac{Lu \sin[(2\pi x/X) - \alpha]}{d \sqrt{[X^2 + 4\pi^2 u^2]}} \quad (6.18)$$

And, in zone II is

$$Y_v = \frac{2\pi uvXL \sin[(2\pi x/X) - \gamma]}{d\sqrt{[X^2 + 4\pi^2 u^2]}\sqrt{[X^2 + 4\pi^2 v^2]}} \quad (6.19)$$

where u, v = the respective lengths of zones I and II

$$\alpha = 2\pi u/X \text{ and } \gamma = \tan \{[X^2 - 4\pi^2 uv]/[2\pi X(u + v)]\}$$

With alternating twist, it is more convenient to consider twist per half cycle (i.e., half-cycle length) than total twist or twist per unit length. If f is the oscillation (or reciprocating) frequency, and V is the speed at which the strands are fed to the phasing zone, then the cycle length, X , is given by

$$X = V/f$$

and the twist per half cycle is given by

$$t_{(1/2)} = \frac{4\Omega L}{dX} = \frac{4\Omega Lf}{dV}$$

The twist factor (TF) is then

$$TF = \frac{2t_{(1/2)}T_i^{-1/2}}{X} \quad (6.20)$$

In practice, values for Ω and d are not easily obtained for predicting $t_{(1/2)}$, so the strand and plied twists are measured. Figure 6.24 shows a graph of $t_{(1/2)}$ vs. $T_i^{-1/2}$ for various values of L .²¹ As would be expected, $t_{(1/2)}$ increases with L . However, importantly, for a fixed X , TF remains constant for all yarn counts without the need to alter the rate of twisting as is required in ring and rotor spinning when differing counts are needed to be spun with the same twist factor.

6.1.4 WRAP SPINNING SYSTEMS

Definition: Wrap spinning is a process whereby a drafted ribbon of parallel fibers that constitutes the bulk of the spun yarn is wrapped by either surface fibers protruding from the ribbon or by a continuous filament or filaments so as to impart coherence and strength to the resulting yarn.

Table 6.1 indicates that there are two systems that utilize surface fiber wrapping, and two that employ filament wrapping.

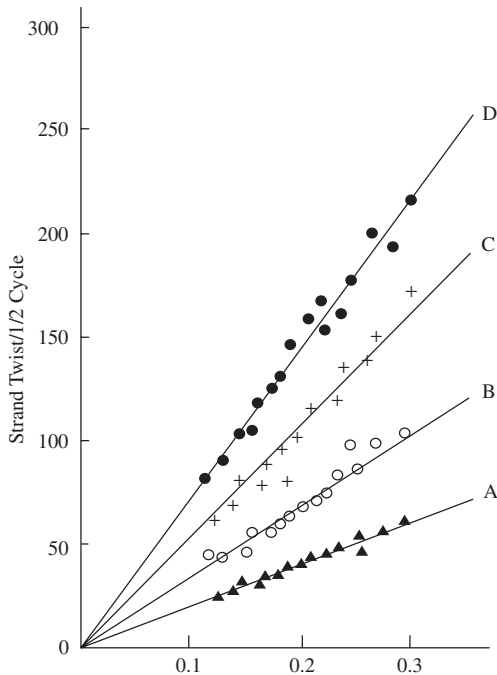


FIGURE 6.24 Strand twist per half cycle vs. reciprocal strand count.

6.1.4.1 Surface Fiber Wrapping

6.1.4.1.1 Dref-3 Friction Spinning

The Dref-3 spinning system²² is a friction spinning process that is effectively based on the core-yarn spinning technique of the Dref-2 system. Figure 6.25 shows that a staple fiber ribbon is fed from a roller drafting unit (drafting unit I) into the V-shaped groove formed by the spinning drums (spinning unit). Fibers traveling from the opening rollers (drafting unit II) are deposited onto the drafted ribbon of fibers. Twin opening rollers are used to obtain a high degree of fiber separation. The drums generate false twist into the fiber ribbon while wrapping the deposited individual fibers around the fiber ribbon.

6.1.4.1.2 Air-Jet Spinning

Tandem Jet System

A second technique of surface fiber wrapping is generally known as fasciated yarn spinning,^{23,24} and the commercial process, which used to produce 100% polyester and polyester-cotton/polyester-viscose blends, is widely referred to as air-jet spinning or Murata jet spinning (MJS, named after the machine manufacturer, Murata Co.).^{25,26}

This spinning system consists of a 3-over-3 high-speed roller drafting unit, two compressed-air twisting jets arranged in tandem, a pair of take-up rollers, and a yarn package build unit (see Figure 6.26). The basic design of a jet (not a commercial

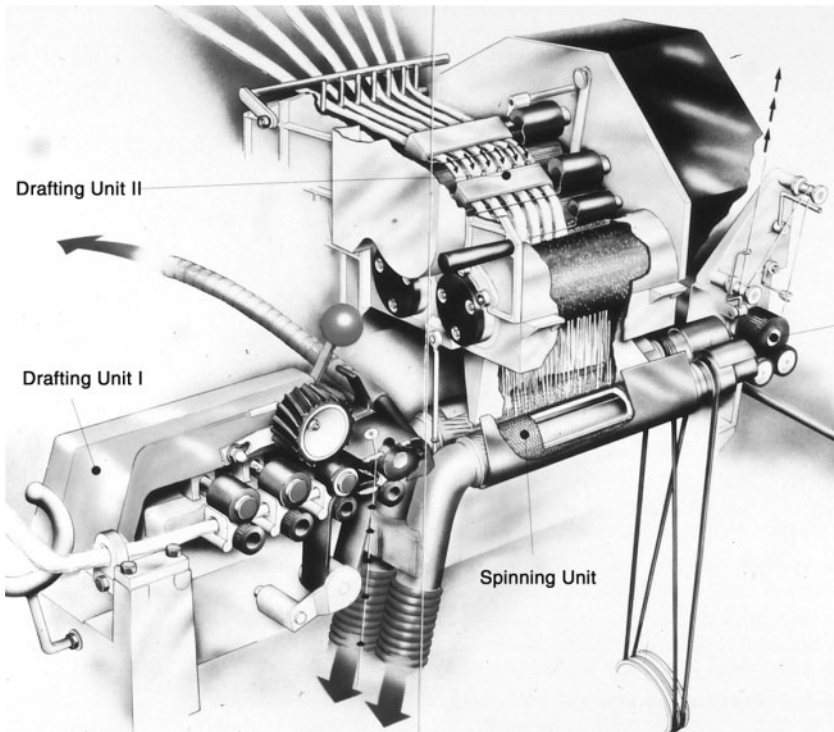


FIGURE 6.25 (See color insert.) Dref-3 friction (wrap) spinning system. (Courtesy of Fehrer AG.)

design) is illustrated in [Figure 6.27](#). As shown, there is a central tubular channel (the spinning channel) through which the ribbon issuing from the front roller of the drafting unit passes.

Inclined to the spinning channel axis, but tangential to the circumference, are four nozzles through which compressed air is injected into the channel to create a vortex flow. Each compressed air jet entering and expanding into the channel has two velocity components of airflow: V_1 , a circular motion of the air around the channel circumference, and V_2 , the movement of the air to the channel outlet. The suction at the jet inlet created by V_2 gives automatic threading up of the spinning process. Provided the drafted ribbon is not taut within the channel, the V_1 component of flow rotates it, inducing a false-twist action and a spinning balloon (i.e., a rotating standing wave-form) while V_2 assists movement of the twisted ribbon through the channel.

Referring to [Figure 6.26](#), the surface speed ratio of take-up rollers to front drafting rollers is within 0.9 to 1.0. A counterclockwise vortex is set up in jet 1 to give a Z-S false-twisting action, and a clockwise vortex in jet 2 gives an S-Z action. The pressures applied to the jets are such that $P_2 \gg P_1$; i.e., jet 2 has the higher twisting vortex.

Although the jets impart false twist, while doing so they do not have a positive hold on the ribbon being twisted. High-speed photographic studies^{27,28} have shown that the absence of a positive hold enables S twist from jet 2 to propagate along the

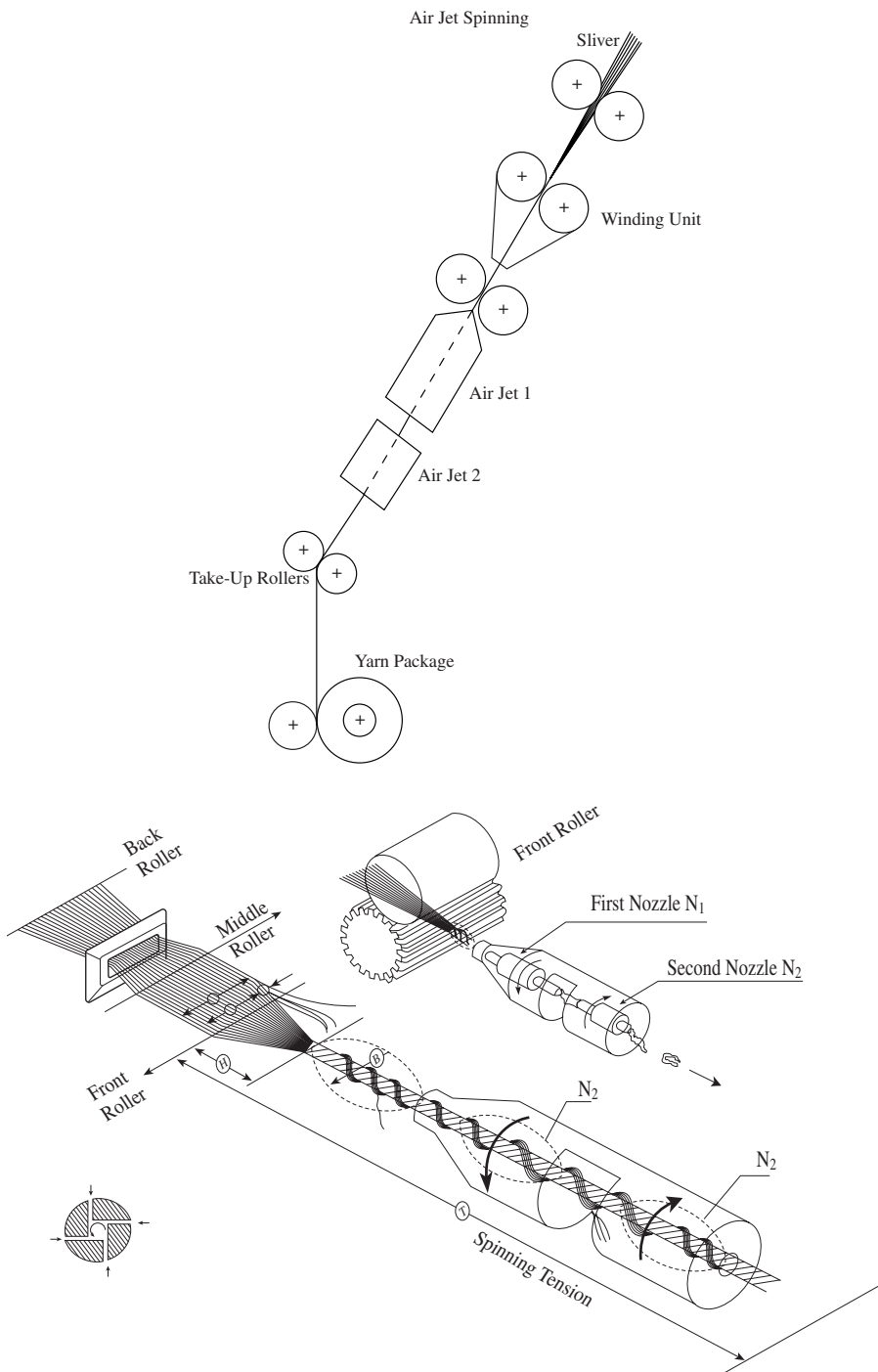


FIGURE 6.26 Murata air-jet spinning system. (Courtesy of Murata Co.)

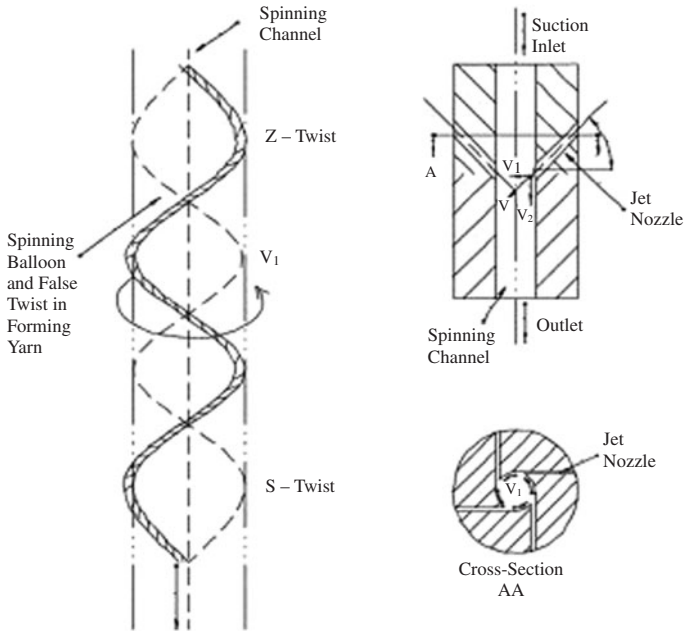


FIGURE 6.27 Basic design features of a twisting jet.

twisted ribbon and null the Z twist of jet 1, leaving some S-twist to travel toward the nip line of the front rollers. As in ring spinning, a spinning triangle will form just below the nip line of the front roller. The ballooning of the thread line tends to keep the edge fibers of the spinning triangle from being twisted together with the main bulk of fibers that subsequently form the yarn core. Consequently, the leading ends of the edge fibers are not controlled by the S-twist propagating from jet 2, and they are free to move with the vortex of jet 1, in the opposite direction (Z-direction) to the twist in the core. The vortex of jet 1 is therefore able to wrap the edge fibers around the twisted core of fibers.

Figure 6.28 illustrates the twist and wrap actions. The solid lines represent the false-twisting actions of the jets, and the dotted and dashed lines show the twist in the core and the helical wraps of the edge fibers. We can see that, at the front drafting rollers, the twist in the core would be the difference of S_2 and Z_1 . As the core moves through jet 1 and into jet 2, its twist increases to S_2 until it enters the Z-twist zone of jet 2. Here, the S_2 twist in the core is removed by the opposing twist Z_2 , leaving an untwisted core of parallel fibers. The helical wrap of the edge fibers around the core is initially equal to Z_1 and, in the S-twist zone, it is reduced to $-a$ before increasing to Z_2 .

To obtain effective wrapping, the width of the fiber ribbon entering the front drafting rollers is made to be as wide as possible without adversely affecting drafting. This is because air currents moving with the front drafting rollers can then suitably position the fibers at the ribbon edge for wrapping the core fibers.

When the boundary air layer moving with the front drafting rollers reaches the nip line of the rollers, the airflow has to move sideways and outward from the middle

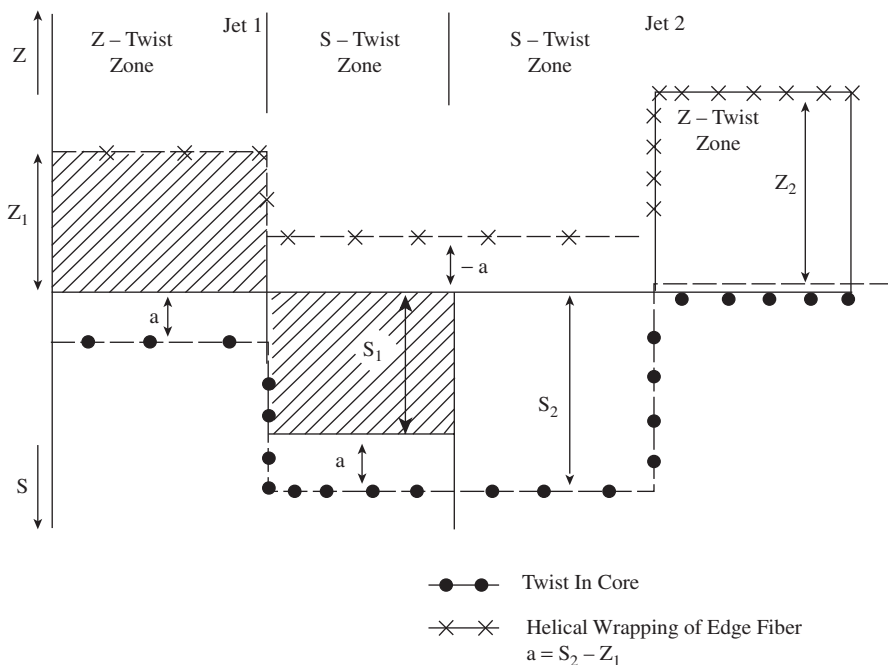


FIGURE 6.28 Illustration of twist distribution in thread line.

of the rollers. Compared with the more central part of the ribbon, fibers at the ribbon edge have insufficient neighboring fibers to support them against the sideways drag of the airflow. Therefore, they tend to move away from the bulk of fibers as they approach the nip line of the front drafting rollers. At the output side of the rollers, the airflow moves inward. Hence, the resulting velocity of the edge fibers is such that these fibers become inclined to the axis of the twisted core of fibers just before entering jet 1.

Figure 6.26 depicts how the edge fibers under the twisting actions of jet 1 forms the wrap-spun structure. For ease of explanation, the twisting and wrapping of the fiber ribbon is shown along a straight line in the figure, but its actual path is indicated by the dotted line, which denotes the spinning balloon. “F” is the width of fiber ribbon during drafting, which is separated by the airflow into “C” core and “W” edge fibers.

6.1.4.1.3 Single- and Twin-Jet Systems: Murata Vortex, Murata Twin Spinner, Suessen Plyfil

As a comparison with the above-described wrapping mechanism with tandem jets, it is useful to consider the effectiveness of wrapping with only one jet. In this situation, the Z-twisting action of the jet is not nullified, and the core is therefore Z-twisted. The edge fibers will, as described above, wrap the core with a Z-directional helix. Being that the core twisting is now in the same direction as the wrapping action, some edge fiber may become caught and twisted into the core, thereby

reducing the number of them available to wrap the core. Because of the inclination of the approach of the free edge fibers to the axis of the core fibers, the angle of the wrap helix will be greater than the core twist angle. Since the S-twisting action of the single jet is equal in magnitude to its Z-twisting action, the core twist is removed as the forming yarn leaves the jet, but the angle of wrap is only reduced. Clearly, then, a single-jet system will not give as high a degree of wrapping as a tandem jet system unless a greater number of wrapper fibers can be generated.

One approach to increasing the number of edge fibers is to increase the length of the spinning triangle so that the twist insertion point is farther from the nip line of the front rollers. This results in edge fibers having to travel farther toward the twist insertion point at the apex of the spinning triangle. The effect of doing this can be demonstrated in conventional ring spinning by partially restricting the twist flow toward the nip line of the front drafting rollers. The result is an increase in edge fibers escaping twist insertion and becoming fly.

Figure 6.29 is a diagram of the single-jet design of the Murata Vortex system, which is a single air-jet spinning system. Compared with the tandem jet system, it incorporates a modified jet inlet. Little technical information is available on the working principle. Much independent research has yet to be done to gain a detailed understanding of the wrapping mechanism of the Vortex system. However, it is possible that a partial blocking of the twist flow may occur above the jet nozzles to enable the formation of an extended spinning triangle and thereby increase the generation of edge fibers.

The lower degree of wrapping that may be obtained from a single jet has the advantage of producing softly wrapped yarns. Two softly wrapped yarns can be subsequently plied to give a twofold wrap-spun yarn as a replacement for conventional plied yarns. The Murata Twin Spinner and the Suessen Plyfil are commercial twin-air-jet systems used for producing twofold wrap-spun yarns. The spun yarns from two single jets placed in parallel are wound simultaneously (in parallel) onto

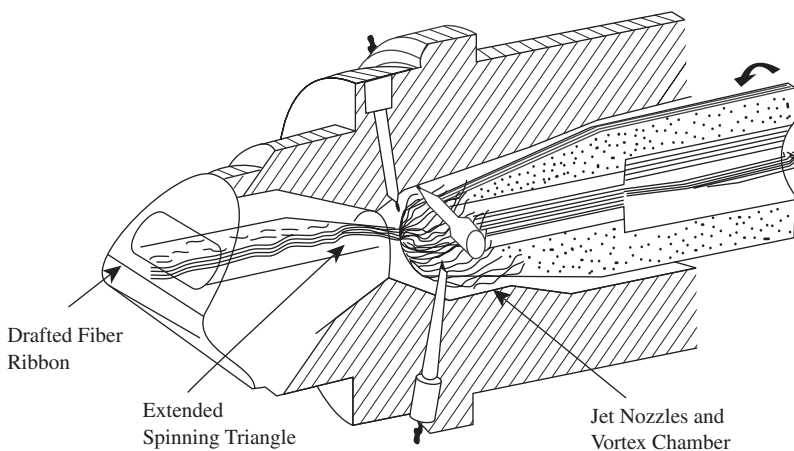


FIGURE 6.29 Murata Vortex single-jet design. (Source: US Patent: 5,528,895.)

one bobbin so as to form an assembly-wound package. The two yarns are subsequently unwound as one thread and plied, producing a twofold, air-jet wrap-spun yarn.

6.1.4.2 Filament Wrapping

Two commercial techniques are used for wrapping a filament around a core of staple fibers to produce a yarn. One uses the Repco system, replacing, at each spinning position, one of the alternately twisted strands with an alternately twisted filament (or filaments). The filament and strand subsequently ply together. Since the filament is finer than the strand, the effect is for the filament to wrap the strand in an alternating Z and S helix. This is called Selfil,²¹ but it is not a widely practiced process.

By far the more common technique of filament wrapping is called hollow-spindle wrap spinning.²⁹ The basic principle of the process is illustrated in Figure 6.30. The essential features of the system are a roller drafting unit, a hollow spindle on which is mounted a pirn of filament, a pair of delivery rollers, and a package build unit. The spindle has an integral false-twister located at the bottom of the spindle, as shown in the diagram. This is a simple pin-type false-twister. There are other hollow-spindle systems with the false-twister located at the spindle top.

The drafted fiber ribbon issuing from the drafting system passes down the center of the hollow spindle and is threaded up to be false-twisted by the twisting device. The twist, which propagates to the nip of the front drafting rollers, prevents any uncontrolled drafting of the length of the twisted ribbon within the hollow spindle. The filament is also made to pass down the hollow spindle and around the pin twister. However, since the pirn rotates with the spindle, the filament is not false-twisted.

The effect of threading the filament around the pin twister is to cause the filament to wrap the drafted fiber ribbon as the ribbon is untwisted below the pin twister and thereby form the wrap-spun yarn. As the figure illustrates, the wrapping occurs below the false-twister, where filament and ribbon are held together between the twister and the delivery rollers. As the ribbon is untwisted, the rotation of the pin false-twister plies the ribbon and filament together, and, since the filament is the finer of the two counts, it wraps the untwisted fiber ribbon.

6.1.5 TWISTLESS SPINNING SYSTEMS

Definition: This is a system for yarn formation involving either continuous felting or the permanent or temporary adhesive bonding of fibers together to form a continuous length.

6.1.5.1 Continuous Felting: Periloc Process

The felting of linear fiber assemblies is fiber specific in that it concerns wool and wool blends. The underlying mechanism for wool felting is well reported^{30,33} and is primarily the result of the differential frictional effect caused by the scale structure of wool fibers. Under the influence of mechanical force, wool will move unidirectionally, and the resistance to movement against the wool scale results in fiber entanglement, which is a useful means of consolidating an assembly of fibers into

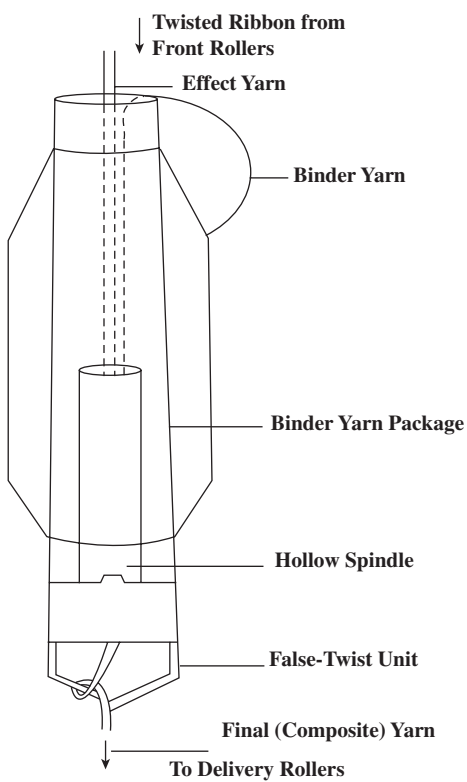
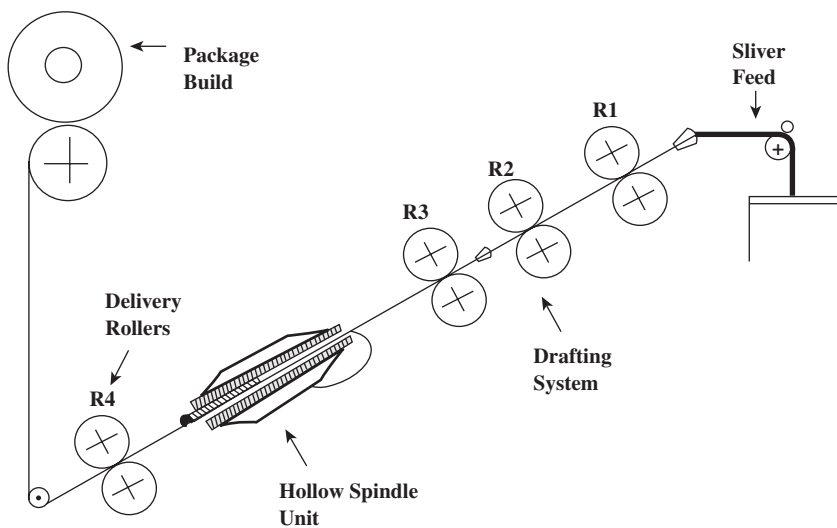


FIGURE 6.30 Hollow-spindle wrap spinning system.

the form of a yarn. Felting readily occurs when wool fibers are mechanically agitated in a suitable liquid medium and, besides the fiber properties, is dependent on the structure of the fiber assembly and the degree to which the liquid medium reduces the wool fiber modulus and acts as a lubricant. The felting of yarns has been carried out for many years,³⁴ as felted yarns are particularly desirable in the carpet field, where they are found to give reduced fiber shedding and to greatly improve tuft definition. Two continuous processes have been developed whereby not only can yarns be felted, but sliver and rovings can be converted into felted yarns.

Figure 6.31 shows a diagram of the Periloc process. The sliver or roving is fed without tension into a flexible tube along with hot water. The tube is mechanically agitated by a set of rollers mounted to a rotating circular plate; the rollers also rotate on their axes to enhance the mechanical treatment. The agitation consolidates the sliver into twistless felted yarn, which subsequently is passed through a line dryer. An alternative technique to the Periloc process is referred to as *rub-felting*,³⁵ which is analogous to the rubbing apron mechanism of a woolen card.

6.1.5.2 Adhesive Bonding: Bobtex Process

Although restricted to a limited market area within the technical textiles sector, the Bobtex process is nevertheless interesting as a concept because of its simplicity.^{36,38} **Figure 6.32** shows the system to consist of (A) a polymer extruder; (B) guides for a sliver feed to (C) two opening rollers; (D) a rotating, fiber-condensing drum, which is perforated around its peripheral surface and at which a suction is applied; (E) a false-twister and (F) a yarn wind-up; and (G) a package-build unit.

An untwisted filament yarn is passed through the extruder and across the surface of the fiber-condensing drum, then through the false-twister and onto the yarn package. A thermoplastic resin is extruded onto the filament yarn surface before the filament yarn reaches the fiber-condensing drum. On reaching the drum, separated fibers from the sliver feed are sucked onto the surface of the drum, and twist running up the filament yarn embeds the fiber into the molten resin on the filament yarn surface. As the resin cools, the fibers remain locked into the resin. The figure shows a cross section of the spun yarn, and the filaments can be seen locked into the yarn core.

The lack of any movement of the core filaments gives the yarn a high bending rigidity and restricts its use to high-strength technical applications. Glass, polypropylene, nylon, and polyester are the main filaments used, with a polypropylene resin binder. The filament usually constitutes 30 to 40% of the mass of the composite yarn, with the resin accounting for 10 to 20%, and the staple fibers having a proportion of 30 to 60%.

6.1.6 CORE SPINNING

Definition: This is a process by which fibers are twisted around an existing yarn, either filament or staple-spun yarn, to produce a sheath-core structure in which the already formed yarn is the core.

Core yarns are usually two-component structures, one forming the yarn core and the other the covering. Generally, a continuous filament yarn is used for the

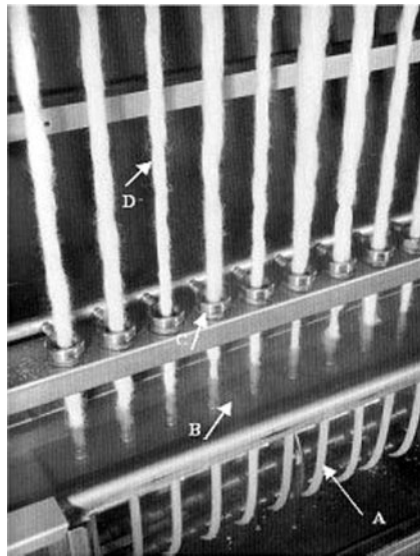
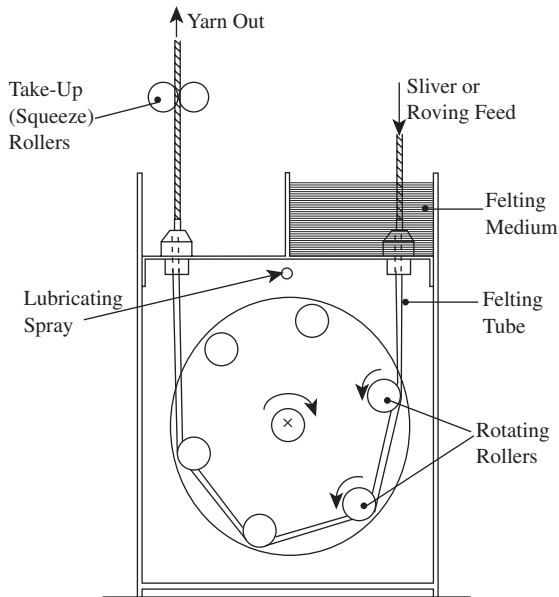


FIGURE 6.31 Periloc yarn felting system. (A) Felting tubes, (B) felting liquid medium, (C) false-twister, and (D) sliver. (Courtesy of Anon., *Wool Sci. Rev.*, 3(3), 1949.)

core and staple fibers as the sheath covering. Core yarns are usually used to enhance functional properties of fabrics, such as strength, durability, and, in the case of an elasticated core, “stretch-comfort.”

As [Figure 6.33](#) illustrates, the ring-spinning method can be easily adapted for production of core yarns.^{39,47} The filament is introduced into the center of the drafted

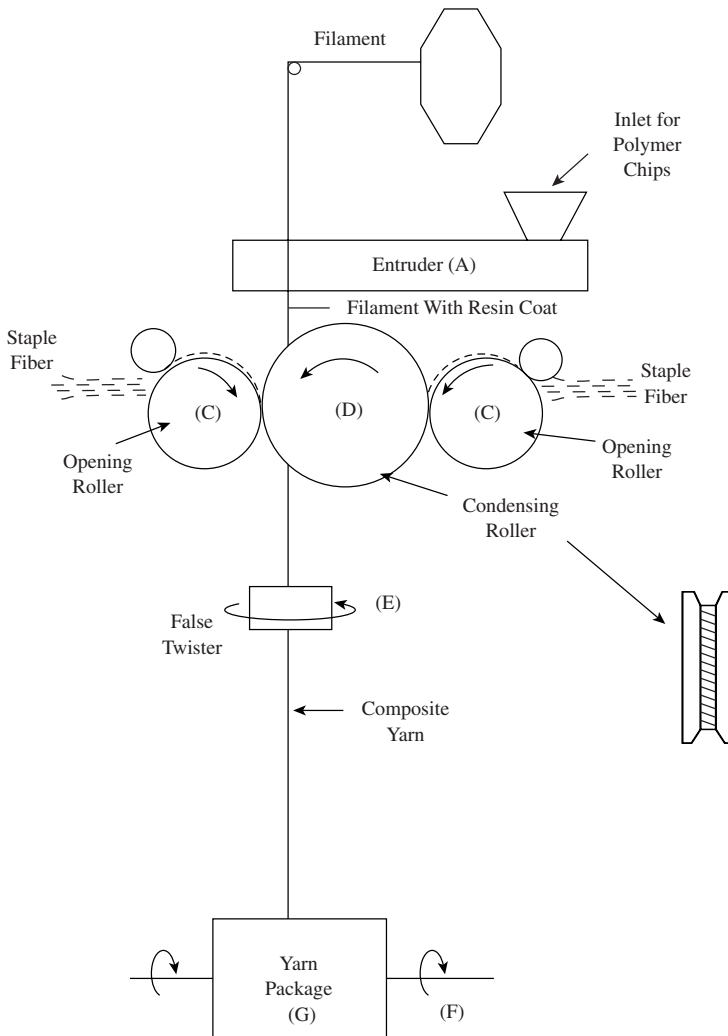


FIGURE 6.32 The Bobtex composite yarn system (*continues*).

fiber ribbon, at the nip of the front drafting rollers. It is usually pre-tensioned to an extension of around 5% for flat continuous filament yarns, about 30% for textured yarns, and up to 400% for an elastomeric core. If insufficiently tensioned, the filament will either periodically appear at the yarn surface, referred to as *grin through*, or become wrapped around the fiber ribbon as the ribbon is being twisted. The amount of twist, and the ratio of sheath to core employed, will depend on end use and particularly on preventing the sheath covering sliding along the core.

The unconventional processes that can be adapted readily for the spinning of core yarns are Dref friction spinning, Repco, air-jet, and hollow-spindle wrap spinning.

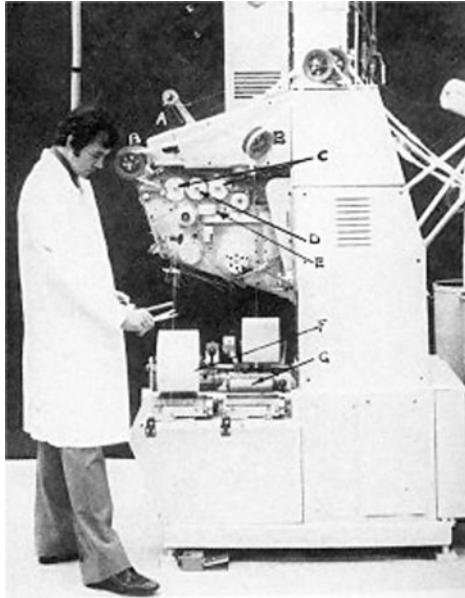


FIGURE 6.32 (continued)

6.1.7 DOUBLING PRINCIPLES

Definition: This is the process of combining two or more yarns by twisting them together.

Before describing the structure-property relation of single yarns, it is desirable that a short reference be made to the operation known as *doubling*, *plying*, or *twisting*.

The basic objective of doubling is to attain a particular physical characteristic that cannot be obtained with a singles yarn of similar count to the plied yarn. Doubling is also used for the production of designed effects in yarns, but this involves a specialist type of doubling to produce what are called *fancy yarns*, which is the subject of [Chapter 9](#).

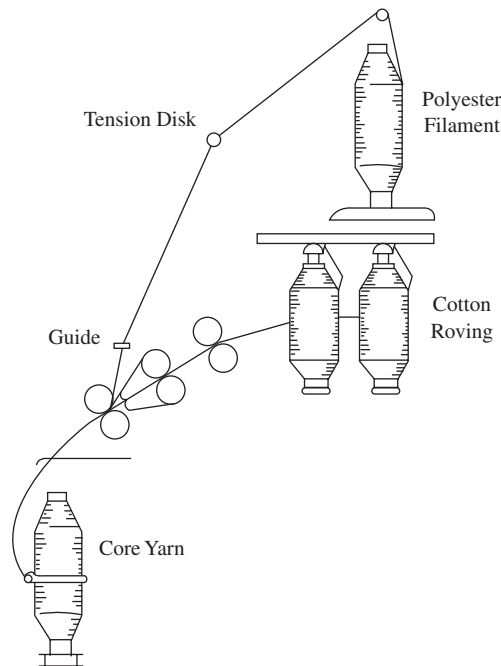


FIGURE 6.33 Conventional ring spinning of core yarns.

With regard to the physical characteristics of a yarn, these are altered by changing the direction and the amount of twist. An important parameter, therefore, is the *folded twist to singles twist ratio* (F/S ratio). We have seen in [Chapter 1](#) that, with singles yarns, an essential feature is that fibers are assembled around the yarn axis, in most cases through the use of twist. In doubling, two or more singles yarns are assembled together and then twisted around each other, making a new yarn of a quite different character. With respect to this latter point, both the direction of the ply twist and the level of twist are of importance.

The ply twist direction is usually opposite to that of the singles yarn (i.e., *twist against twist*) but, for special applications, the same direction (*twist-on-twist*) may be required. In most cases, the singles twist will be in the Z direction, so plying with S-on-Z is a common practice. With Z-on-Z, the fibers in singles yarns will eventually come to lie at a steeper twist angle, and both the single and the folded yarn will be compacted to a smaller diameter than if the conventional S-on-Z step were to be followed. Generally, Z-on-Z plied yarns are usually assessed as hard to the touch and as having a strong tendency to form snarls* because they are twist lively.

Plying with the S-on-Z twist produces a doubled or plied yarn in which the fibers in the constituent singles appear to lie approximately parallel to the plied yarn axis. This gives the plied yarn a more smooth and lustrous appearance than a singles yarn or a Z-on-Z folded yarn. The S ply twist, instead of augmenting the Z singles

* *Snarly yarn* has a strong tendency to twist around itself if held in a untensioned state.

twist, balances it, and a suitable F/S ratio (usually 2/3) can be used to make the plied yarn twist stable.

One of the most desirable features of plied yarns is their very low irregularity as compared with a singles yarn of the same count. This is brought about by thick places in one singles component tending, on average, to offset thin places in the other.

Regarding yarn strength, it is universally agreed that a plied yarn will be stronger than a singles of the same fiber type and yarn count. This is because, with a plied yarn, there are initially fewer fiber lengths projecting as hairs from the singles and, when plied, many of the hairs are bound into the plied structure. More importantly, thin places are weak places and, as noted earlier, the two singles compensate for each other's irregularity. What is not necessarily the case is that the strength of a plied yarn is twice that of its constituent singles, and this is because the singles do not fully compensate for their differences in irregularity.

Plied yarns are generally considerably more expensive than singles of the same count, not only because at least one extra process is required, but also because the two singles, being finer than a yarn of the resultant count, are more costly to produce.

For certain special purposes, a third twisting operation may be needed, involving a combination of two or more plied yarns. The process is then known as *cabling*. An example is with some sewing threads in which the structure is required to have the maximum strength combined with the minimum irregularity, liveliness, and stretch.

There are three basic doubling methods: up-twisting, down-twisting, and two-for-one twisting, the latter two being widely used for staple yarns and illustrated in [Figure 6.34](#). A more modern development is three-for-one twisting, which is essentially the combination of two-for-one and up-twisting.

6.1.7.1 Down Twisting

As shown in [Figure 6.34](#), the yarns are withdrawn from the supply packages (A) by the feed rollers (B) and wound on the double-flanged bobbin (D) by the traveler (C) running on the ring (R). Twist is inserted by the rotation of the take-up package. Two bobbins of twisted singles yarn are therefore down twisted with S-on-Z, and the plied yarn wound onto a final bobbin.

It is important that the tensions in all the singles yarns are very similar during twisting. Otherwise, if yarns are not relaxed prior to doubling, their tendency to snarl will lead to faults in plied yarn. In addition, if two singles are not at the same tension, then, instead of plying, the lower-tension component will spiral around the higher-tension component.

6.1.7.2 Two-for-One Twisting

As also shown in [Figure 6.34](#), the yarns are withdrawn from a stationary supply (A), which can either be an assembly-wound package or two separate packages, and passed through the center of the package(s). They are then ballooned around the supply package(s) by a rapidly rotating spindle (E) and wound up onto the take-up package (K). The yarns are ply twisted once between the package (A) and the spindle

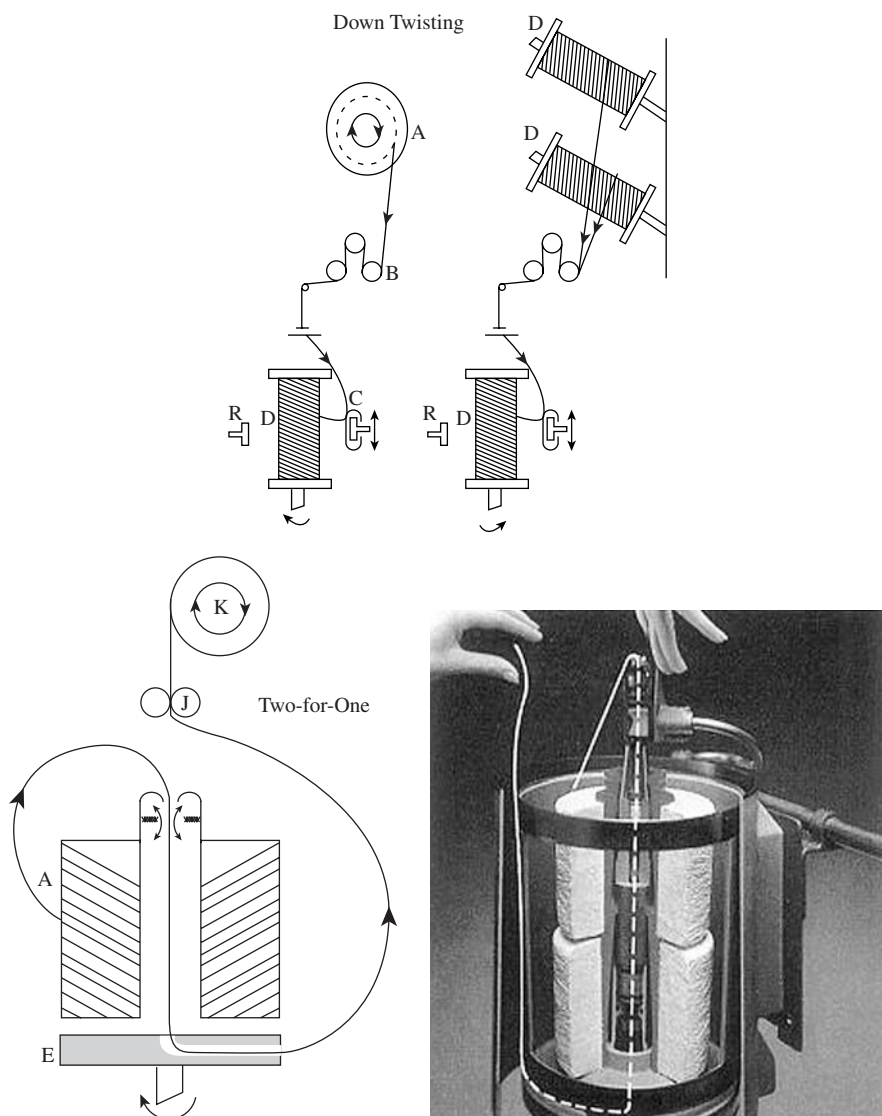


FIGURE 6.34 Doubling processes. (Courtesy of Savio Ltd.)

(E), and a further turn is inserted between (E) and the take-up rollers (J) so that two turns of twist are inserted for every turn of the spindle (E).

6.1.8 ECONOMIC CONSIDERATIONS

In discussing the economic aspects of spinning systems, it must be borne in mind that, for many and various reasons, yarn production costs can differ significantly from country to country and, at times, political issues can alter what may often be

considered advantageous circumstances. It is therefore appropriate to discuss here only the technically based factors, which are significant when making investment decisions for spinning plants.⁴⁸ From this viewpoint, comparisons made between spinning processes principally include the following:

- Investment cost, relating to capital investment for machinery, buildings, depreciation and the interest on loans.
- Conversion cost, which involves the production rates of machinery, the efficiency of the process machines in handling the raw material, waste generated, direct labor, and power consumption. Fixed costs, such as insurance, indirect labor, maintenance, and so on, may or may not be included.
- Raw material cost. The quality factor of the raw material is at times a debatable point. Raw material cost usually accounts for around 50% or more of the production cost, and the debate is whether certain spinning systems enable cheaper material to be used. The other side of this idea of the use of cheaper material is what may be seen as another indeterminate, *product value*. To circumvent such sometimes indefinable parameters, the assumption may be made that the same raw materials would be processed except where there are established technical reasons why a particular spinning system cannot spin certain materials.

From the above points, comparisons may be made according to four key factors: labor, space, power, and capital.

It was stated at the beginning of [Section 6.1](#) that ring spinning was the dominant spinning process, and this is in spite of its much lower production speed, as shown in [Figure 6.2](#). Ring spinning is therefore generally taken as a comparator for both process economics and product quality.

It is useful to have a general view of the cost structure of a typical ring-spinning production, and [Table 6.3](#) gives the data for the production of 30 tex ring-spun 100% carded cotton yarns with respect to the above four cost factors. These figures illustrate what is well known — that roving production, spinning, and winding account for over 80% of the conversion cost. Much has been done in the way of automation and machine integration. Examples include automatic doffing of ring bobbins at the ring spinning machine and, where appropriate, linking the spinning machine with the winding machine,^{49,56} plus auto-doffing at the roving frame with automatic transport of the roving bobbins to the ring frame. However, the ring spinning process is unlikely to overcome the inherent disadvantage of low production speed. This is because of the limitation of twisting and winding occurring through one action — the circulation of the traveler around the ring.

There is little publicly available data that allows us to present a comparison of the various systems described in the preceding subsections. However, being the most widely adopted of the alternative spinning systems, rotor spinning is often used to illustrate how high-production systems compare with ring spinning, even though many of the systems are restricted to the production of coarser yarn counts.^{57,63} [Figure 6.35](#) gives a comparison for the two systems based on the four key factors.

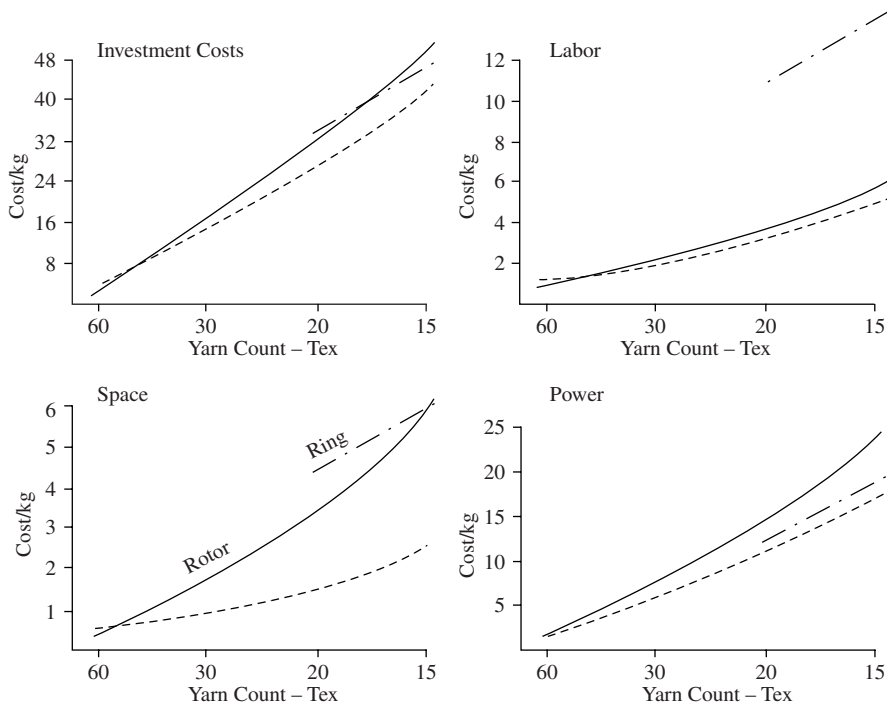


FIGURE 6.35 Comparison of key factors, ring and rotor.

TABLE 6.3
Production Cost Structure

Stages	Labor (%)	Space (%)	Power (%)	Capital (%)	Total (%)
BR	2	8	4	5	4
C	1	7	8	8	6
D	1	3	1	1	1
D	1	3	1	1	1
RP	19	15	8	10	13
RS	23	46	62	51	44
W	53	19	16	24	31
Total	100	100	100	100	100

BR = opening and cleaning, C = carding, D = drawing, RP = roving production, RS = ring spinning, W = winding

It can be seen that, in all cases except for power consumption, rotor spinning has significant cost advantages. This is because there are fewer process stages, since sliver is fed to the rotor machine, and the automation of rotor machines^{64,66} includes doffing, yarn-end piecing, and cleaning. Importantly, rotor packages do not need rewinding. It is, however, important to note that all the advantages shown for the four key factors are mainly in the count range of around 20 tex and coarser.

At the finer end of the count range shown, ring spinning is not far removed from the rotor costs (except for labor) and, projecting to finer counts, the indication is that the difference in cost becomes negligible and eventually in favor of ring spinning. This is because the finer the yarn count, the more difficult it is to produce satisfactory rotor yarns with low twist. As explained earlier, there are a number of changes that can be made to rotor parameters to improve twist insertion and thereby increase production speed for fine counts — in particular, smaller rotor diameters and faster rotor speeds. However, similar to many of the other alternative systems to ring spinning, the yarn structure and related properties often become the all-important factor. Table 6.4 gives as an example the range of reported end uses of the yarns for most of the systems described above. It is evident that the ring-spun yarn's product value is one reason for its continued importance. The structure-property relation of ring-spun yarns is a an important factor in the yarn's acceptance for a wide range of end uses, and the following section deals with the topic of yarn structure and properties.

TABLE 6.4
Product Applications

End products of yarns produced by different spinning systems							
End use	Rotor	Open-end		Wrap spinning		Ring spinning	Repco
		Dref 2	Dref 3	air-Jet fasciated	Hollow spindle		
Shirting				X		X	
Bedding				X		X	
Outerwear	X			X	X	X	
Sportswear	X		X			X	
Toweling					X	X	
Domestic							
Textiles	X	X	X		X	X	
Blankets	X	X				X	
Knitted							
Goods					X	X	X
Stretch							
Garments						X	X
Decorative							
Fabrics	X					X	
Carpeting		X			X	X	X
Industrial							
Textiles	X	X				X	

Courtesy of Krause, H. W., Staple-fibre spinning systems, *J. Text. Inst.*, 3, 185–195, 1985.

6.2 YARN STRUCTURE AND PROPERTIES

The primary purpose of a staple yarn structure is to provide the means of utilizing the properties of fibers of discrete lengths (in particular, elastic properties) sufficient for the yarn to sustain spinning and subsequent manufacturing processes, and for

the ultimate textile fabric to have the visual and tactile aesthetics and elastic properties required for specific end uses.

Yarns encounter differing kinds and levels of deformation during spinning, subsequent processing, conversion into fabrics, and in fabric end uses. For example, yarns may pass over guide rollers, tensioning devices, and other machine elements during spinning and post-spinning operations; they are also subjected to complex deformation forces in winding, sizing,* weaving, knitting, sewing, and intermediate processes.

In daily use, yarns, in the form of knitted and woven fabrics, are subjected to deformation resulting from tension, compression, and bending. Garments are required to give the consumer tactile and thermal comfort. For tactile comfort, the fabric must have a pleasant handle or feel against the skin. The accommodation of body movement is by fabric slippage over the skin as well as by fabric stretching and folding or buckling. Thermal comfort requires a warm, dry microclimate next to the skin obtained through trapped layers of air for insulation. The fabric is required to maintain body heat with minimal restriction to perspiration vapor flow during sedentary body states and good moisture transfer by wicking for active states. Thus, although a first requirement for comfort is a low scratchiness⁶⁸ (exhibited by fibers of low bending rigidity and friction), with regard to fabric, the yarn structure-property relation is as important as the fabric structure-property relation to the overall performance of the end product.

With respect to yarn structure and properties, we will consider only single yarns, since most fabrics are made from single yarns; particularly yarns produced by the more commercially used spinning systems, namely ring, rotor, air-jet, hollow-spindle, and Dref friction spinning.

6.2.1 YARN STRUCTURE

In Chapter 1, the simple helix model was described as a simple means of representing a yarn in which twist is used to bind fibers that are assembled with their lengths straight and parallel prior to twist insertion. We noted that, because the model did not include the discontinuities in the helices representing the twisted fibers, it is a more suitable representation of continuous filament yarns than of staple yarns, which are made from fibers of discrete lengths. The helix model, however, has been used over many years to gain an understanding of the importance of structural parameters of staple yarns. From knowing the model's limitations, key features of staple-yarn structures have been identified and subsequently used to devise more sophisticated models in the attempt to theoretically derive predictive equations for yarn properties in relation to fiber properties. These newer models do not, however, give any further insight into what has already been established from theoretical studies of the simple helix model and from experimental observations of real yarn structures. In our consideration of staple yarn structures, we shall therefore use the helix model as a reference for comparative observations with real yarn structures and, where appro-

* Sizing is a process in which short-staple yarns to be used as warps in weaving are impregnated with a gelatinous substance, such as starch, to improve their abrasion resistance and strength and to reduce friction.

appropriate, refer to the predictive models. As the simple helix model relates best to a continuous-filament yarn structure than to a staple-yarn structure, it will be useful at times to refer to the filament yarn structure for comparative purposes.

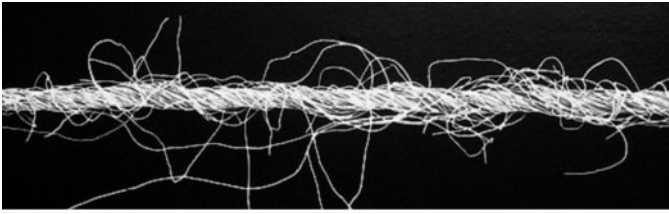
6.2.1.1 Surface Characteristics and Geometry

Figures 1.3 (Chapter 1) and 6.36 (below) depict the surface structures of a continuous filament yarn and of ring-, rotor-, friction-, and air-jet spun yarns. Although the yarns shown are not of the same count or fiber type, the figure serves the purpose of comparing the typical structural features of the different yarns. It can be seen that, whereas the filament yarn has no filaments projecting from its surface, the staple yarns, because of the discontinuities of the fiber helices, have fiber ends and loops jutting out from the body of the yarn to give the yarns a hairiness profile. The fibers forming the hairs have part of their lengths caught within the body of the yarn and part extending from the yarn surface. We shall consider later the difference in hairiness between the various yarn structures.

Looking closely at the conventional ring spun-yarn structure, we can see that the fibers, which form the body of the yarn, lie parallel along the helix of twist. With the rotor yarn structure, the vast majority of fibers appear to lie almost parallel to each other with the same helix angle of twist. Around these are wrapper fibers with varying angles of wrap; some show almost a 90° wrapping angle. Rotor yarns are basically two-zone structures comprising a core of fibers that are aligned with the helix of the inserted twist and form the bulk of the yarn, then an outer zone of wrapper fibers, which occurs irregularly along the core length. A detailed study of the surface structure of rotor yarns⁶⁹ shows that the variation of surface appearance along the yarn length may be classified as indicated in Figure 6.37 and Table 6.5.

From the description of the spinning process, the Dref-3 yarn (not shown) is also a two-zone structure, whereas the Dref-2 (shown) is a layered structure. Both friction-spun yarn structures have a uniform surface appearance of fibers lying along the helix angle of twist. This, however, is not the case with air-jet spun yarns. These are two-zone structures, where the central core of fibers has no twist and is wrapped by an outer zone of fibers, which, similar to rotor yarns, occurs irregularly along the core length. Figure 6.38 and Table 6.6 give a simple classification of the surface appearance of air-jet yarns.^{70,71} Although these structural classes are distributed at random relative to each other, depending on the process conditions and on fiber properties, their distribution along the yarn length can follow a pattern.⁷²

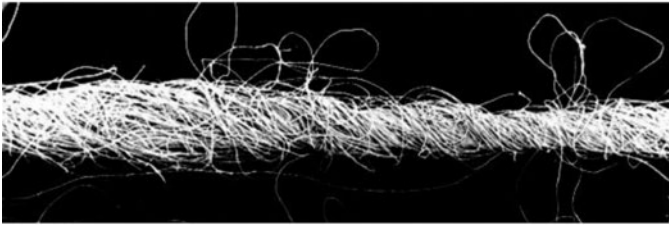
Hollow-spindle, wrap-spun yarns (HS yarns) are basically two-component yarns comprising a twistless core component of parallel staple fibers, of any length, and a filament component, which normally constitutes 2 to 5% of the yarn mass as a wrap binder on the outside (see Figure 6.36). Because of the arrangement of the core fibers, HS-yarns are also referred to as *parallel yarns*. The filament essentially effects the necessary cohesion of the staple fiber core by exerting radial pressures along its helix of wrap and thereby increasing the frictional contact between the core fibers. The number of filament wraps per unit length in basic HS yarns is approximately the same as the level of twist in a similar count conventional ring-spun yarn. During processing, HS yarns appear lean and smooth. This is the result



Conventional Ring Spun



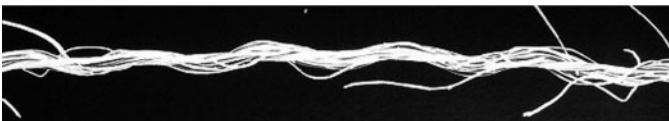
Rotor Spun



DREF-2 Friction Spun



Air-Jet Wrap Spun



Hollow Spindle Wrap Spun

FIGURE 6.36 Spun yarn structures.

of the constrictive effect of the wrapping filament under tension, and it accounts for the low hairiness of the yarns. When not under tension, the contraction of the filament gives the yarn its bulky, crimped appearance.

6.2.1.2 Fiber Migration and Helix Model of Yarn Structures

From the above descriptions, it is evident that the simple helix model does not fully explain how fibers of discrete lengths can be held together to form a yarn. Such a

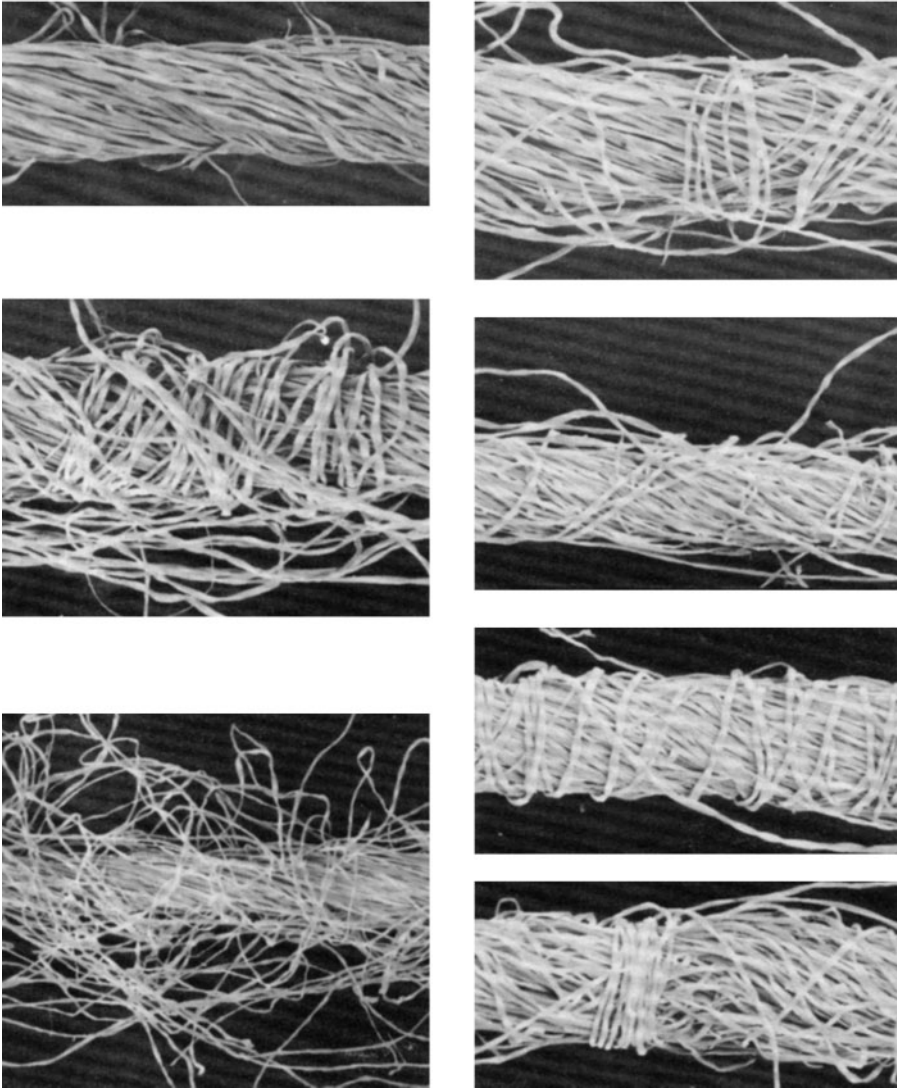


FIGURE 6.37 Observed surface structure along rotor-spun yarns. (Courtesy of Lawrence, C. A. and Finikopoulos, E., Factors effecting changes in the structure and properties of open-end rotor yarns, *Indian J. Fibre and Text. Res.*, 17(12), 201–208, 1992.)

simple structure formed from staple fibers would be incapable of withstanding tensile loads and surface abrasion. Furthermore, a staple-yarn structure based on the simple helix could not be made by known spinning methods. This is because, with each turn of twist, the paths followed by fibers in the yarn vary in length according to their distance from the axis. To achieve this, each fiber would have to be delivered for twisting at a rate appropriate to the position it would occupy in the yarn, and this is not practicable.⁷³

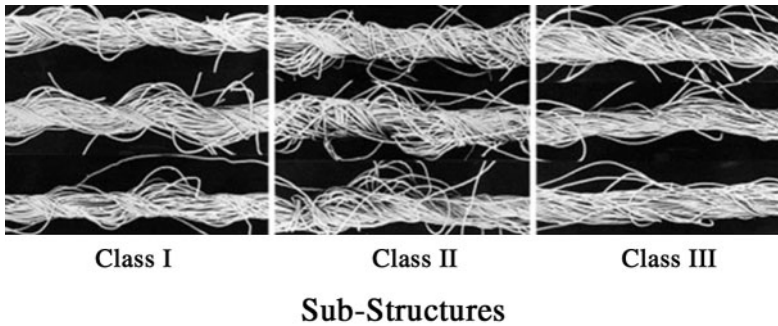


FIGURE 6.38 Air-jet spun yarn surface structure.

TABLE 6.5
Classification of Rotor-Spun Yarn Surface Structure

Class of surface structure	Description
Class I – Ordered	There are no wrapper fibers; has the appearance of uniformly twisted core fibers.
Class II – Loosely wrapped	Loose wrapping of fibers around the core. Wrapping angles differ from the twist angle of core fibers.
Class III – Hairy	Surface fibers are loosely attached to the yarn and appear entangled.
Class IV – Multiple wraps	Part of the wrapping fibers bind the core with a high wrap angle, and part at a lower angle having direction opposite to that of the core twist.
Class V – Opposingly wrapped	Wrapper fibers have a wrap helix in opposite direction to the core twist.
Class VI – Tightly wrapped	These sections of yarn appear uniformly wrapped and have few protruding fiber ends or loops. The angle of wrap is approximately 90°.
Class VII – Belts	Fibers are wrapped very tightly around the core at 90° in a narrow length on the order of 1 mm.

TABLE 6.6
Classification of Air-Jet Spun Yarn Surface Structure

Class of surface structure	Description
Class I – Ribbon wrapped	A thin ribbon of fibers uniformly wrapped around the twistless core; the angle of wrap ranges from 40° to 45°.
Class II – Randomly wrapped	Fibers wrapping the twistless core at varying angles; although most wrappers have the same helix direction, some are in the opposing direction. The Class II substructure may be further divided into four groups. ⁷¹
Class III – Unwrapped	Sections of yarn with no apparent wrapper fibers, in which the core appears twisted or twistless.

The idea that staple yarns have self-locking structures is attributed to Peirce⁷⁴ and Morton.⁷³ Essentially, a self-locking structure is achieved by fiber lengths meandering from the outer to the inner regions of a yarn, throughout the yarn length, as they are twisted to lie along the helix angle. In this way, fibers become interlaced to give the spun yarn cohesion. This action is called *fiber migration*. Migration also occurs in twisted filament yarns.⁷⁵

Definition: Fiber migration is the cyclic change in the distance of elements of a fiber or filament (along its length) from the axis of a yarn, which occurs during production of the yarn.⁷⁶

The simple helix model may be modified to depict the cyclic path migration of the fibers moving from one cylindrical layer to another (see Figure 6.39). Hearle⁷⁵ used the variable $(r/R)^2$ as a relative measure of the radial positions of points along the length of a fiber within the yarn, with respect to the yarn axis (z). A plot of $(r/R)^2$ against the corresponding distances along z gives what is termed the *migration envelope*, and the degree of migration may be quantified by the parameters given in Table 6.7.

TABLE 6.7
Fiber Migration Parameters

Migration parameter	Migration equation
Mean fiber position	$Y_m = \frac{1}{Z_n} \int Y \, dz$ $= \sum \frac{Y}{n}$ <p>where n is the number of measured positions over a yarn length Z_n</p>
Root mean squared (rms) deviation	$D = \left[\frac{1}{Z_n} \int (Y - Y_m)^2 \, dz \right]^{\frac{1}{2}}$ $= \left[\frac{\sum (Y - Y_m)^2}{n} \right]^{\frac{1}{2}}$
Mean migration intensity (the rate of migration given by the slope of the migration envelope)	$I = \left[\frac{1}{Z_n} \int \left(\frac{dY}{dz} \right)^2 \, dz \right]^{\frac{1}{2}}$
Equivalent migration frequency	

Grishanov⁷⁷ reports a more rigorous and elaborate approach for mathematical modeling of fiber migration in a staple yarn. This method employs the Markov process to model the path of individual fibers through the yarn. It thereby incorporates the main features of a yarn structure, such as irregularities in the number of

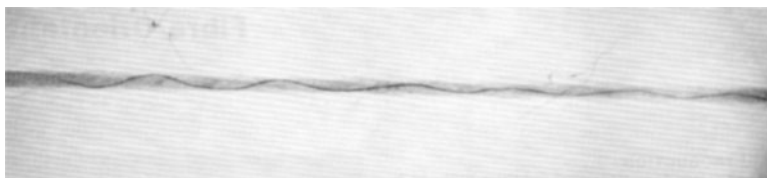


FIGURE 6.39 Tracer fiber showing migration path in conventional ring-spun yarn structure.

fibers in the cross section, variation in yarn diameter, and hairiness. The migration is developed as a probability matrix, called a *transition matrix*, of fiber lengths moving between radial distances. The migration characteristics of mean fiber position, root-mean-square deviation, and mean migration intensity are expressed in terms of the transition matrix. The transition matrix is then used to establish a finite element representation of the yarn structure.

In the earlier descriptions of the basic principles of the spinning processes, the details of the formation of the different yarn structures were not considered. It is, therefore, appropriate now to describe how the above yarn structures are formed, taking into account fiber migration.

6.2.2 FORMATION OF SPUN YARN STRUCTURES

6.2.2.1 Conventional Ring-Spun Yarns

In ring spinning, the spinning triangle is the yarn formation zone. It is here that the individual fibers or groups of fibers are twisted and consolidated to form the ring-spun yarn structure. During the formation of the conventional ring-spun yarn structure, fibers in the spinning triangle will be in one of the following four situations:⁷⁷

1. The leading end of a fiber is caught in the convergence point (i.e., twist insertion point), but its trailing end is free.
2. The leading end is free while the trailing length is still under the control of the front drafting rollers and subsequently becomes caught among other fibers being twisted at the convergence point.
3. Both leading and trailing ends are free.
4. The leading end is caught in the convergence point while its trailing length is still under the control of the front drafting rollers.

In the first situation, the trailing end of the fiber will project from the surface to become a hair. Case 2 results in the leading end projecting as a hair. For 3, the fiber may wrap around the yarn as a wild hair or, more likely, escape to become fly and eventually be collected as waste. With case 4, the fiber gets bound into the yarn structure through the mechanism of migration and twist. The vast majority of the fibers are in this situation, and they are therefore the ones that give the yarn its cohesion and mechanical properties. Accordingly, we will now consider the mechanism of fiber migration.

6.2.2.1.1 Mechanism of Fiber Migration⁷³

At the spinning triangle, the fibers in the edge zones follow a longer path than those closer to the center line of the triangle, coincident with the yarn axis. Assuming the length issuing from the front rollers to be the same for all fibers, then the spinning tension and twisting action will induce the highest tensions in the edge fibers. The fiber tensions become progressively lower to reach a minimum at the center line of the triangle. The fibers at the edge zones therefore have the largest of the tension components directed sideways toward the central line of the triangle. Consequently, the edge fiber lengths issuing from the front rollers will move toward the central line of the triangle, i.e., the regions that will become the inner zones (or inner cylindrical layers) of the yarn, displacing sideways the lower tensioned fibers in their path. The latter may become buckled. As the edge fibers move toward the center, their path lengths momentarily decrease, and their tensions also decrease. Those fibers that are displaced outward toward the edge of the triangle will increase in tension and start to move back to the axis. Some fibers may block the movement of others inward or outward from the axis. The result is that a given fiber length, as it is issuing from the front rollers, traverses to and from its initial position in a region near the apex of the spinning triangle and thereby intermingles with other fiber lengths doing the same thing. The intermingling occurs just before the point of twist insertion. Thus, the relative positions of the fibers at that point become locked into the forming yarn structure.

Hearle describes the type of twisting that occurs to the drafting ribbon of fibers at the point of convergence as a wrapped form.⁷⁵ This is where the twisting torque tends to fold the ribbon width around the central line of the triangle. The relative position of fibers in the spinning triangle is therefore also important to the formation of yarn hairs. For example, if Z twist is being inserted, then, in the spinning triangle, the right-hand-edge fibers will fold over toward the left at the twist insertion point. It is likely to be during folding that fiber migration occurs so that, when twisted into the yarn structure, fibers have a helical path with an alternately increasing and decreasing radius due to the migration.

Because of the yarn thread angle from the front rollers to the lappet guide, the bottom rollers obstruct the left-hand-edge fibers of the spinning triangle from similarly folding under toward the right. The result is that migration of these fibers is restricted, and most of their lengths are present in the outer zones. The left-hand-edge fiber would then tend to form surface hairs. By including around 0.1% of a colored fiber in the raw stock, the migration paths of fibers in a spun yarn can be observed. The yarn is immersed into a liquid of a suitable refractive index to make the uncolored fibers almost invisible, and the dyed fibers are visible through a microscope (see [Figure 6.39](#)). This procedure is commonly called a *tracer fiber technique*.⁷⁵

With fairly simple image analysis, the cyclical variation of the fibers can be characterized by the migration parameters. [Table 6.8](#) gives migration parameters for a conventional ring-spun yarn produced from viscose rayon fibers.

As can be seen, the degree of migration increases with twist. Hearle⁷⁵ has shown theoretically that, for ideal migration, where a fiber migrates regularly and uniformly through the yarn thereby giving a uniform packing density of the fibers, $Y_m = 0.5$, and $D = 0.29$. However, it can be seen from the figures in [Table 6.8](#) that, even at high twist levels, ideal migration is not achieved.

TABLE 6.8
Migration Characteristics for a Conventional Ring-Spun Yarn

Migration parameters	Yarn twist multiplier ($\text{tex}^{1/2}/\text{cm}$)		
	210	300	600
Y_m (CV%)	0.33 (39)	0.36 (32)	0.38 (22)
D (CV%)	0.17 (28)	0.16 (20)	0.21 (12)
I (CV%)	0.12 (32)	0.17 (24)	0.49 (22)
Equivalent migration frequency [per cm] (CV%)	0.10 (25)	0.16 (23)	0.34 (19)

Courtesy of Hearle, J. W. S., Grosberg, P., and Backer, S., *Structural Mechanics of Fibres, Yarns, and Fabrics*, Vol. 1, Wiley Interscience, Chap. 3, 1969, p. 148.

It is assumed that, in the helix model, ideal migration occurs to give a uniform packing density throughout the yarn. Consequently, the total length of fibers in each cylindrical zone must be proportional to the volume of each zone and therefore should increase linearly with zone radius. Figure 6.40 shows the zonal distribution of fiber lengths. The dotted line represents the situation for ideal migration, and the solid line shows that, in real yarns, this is not achieved — but that the packing density decreases sharply near the surface, which is characteristic of most yarns.

The fiber migration frequency varies with twist (see Table 6.8), and this shows that the migration is not a random process. If it were simply a random criss-crossing of fibers as they emerge from the front rollers, then the frequency of reversal (the fiber migration frequency) would be independent of the twist level. Since increased twist will increase tension, then the change in frequency with twist supports the mechanism for migration

Once the yarn is formed, the interfiber friction will tend to hold the fibers in their positions, but applied stresses may cause fiber lengths to shift position. An example of this is pilling. Pilling is where small balls of entangled fibers, called

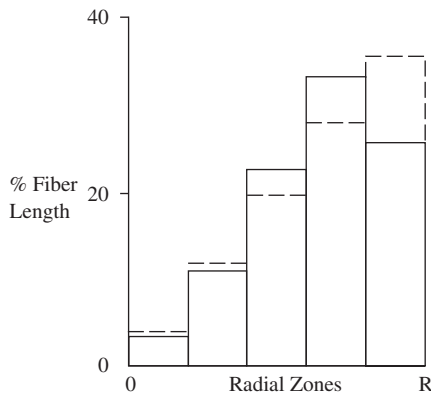


FIGURE 6.40 Mean zonal distributions. (Courtesy of Morton, W. E., *The arrangement of fibres in single yarns*, *Text. Res. J.*, 4, 325–331, 1956.)

pills, cling to the fabric surface of a garment, giving the garment an unsightly appearance. The pills are formed during wash and wear as stresses, rubbing actions, and reduced interfiber friction cause some fibers to migrate and protrude from the surface of the constituent yarns of the fabric. These fibers entangle and form pills, which become a problem if fibers are too strong for the pills to easily break away.

6.2.2.2 Compact Ring-Spun Yarns

We saw in [Section 6.1.1.4](#) that, with appropriately applied suction, the width of the spinning triangle can be considerably reduced. This means that the leading ends of virtually all fibers will be caught at the twist insertion point and, although some fibers may fall into situation 1, described above ([Section 6.2.2.1](#)), the vast majority will be *in situation 4* and thereby have their lengths integrated into the yarn. The yarn structure is therefore considerably less hairy than conventional ring-spun yarns, as can be seen from [Figure 6.41](#). It may be reasoned that, with a very small spinning triangle, only a low level of fiber migration may take place. However, as we shall see later, this is not a disadvantage to yarn tensile properties.

6.2.2.3 Formation of Rotor Yarn Structure

[Section 6.2.1](#) briefly explained that the rotor yarn is formed by individual or small groups of fibers accumulating within the rotor groove, around the inner rotor circumference, to form a ribbon of fibers that is progressively peeled from the groove and simultaneously twisted to produce the yarn. To gain a fuller understanding of how the rotor yarn structure is made, we need to consider in greater detail the buildup of fibers in the rotor groove to form the ribbon of fibers, referred to as *cyclic aggregation*, and the insertion of twist into this ribbon.

6.2.2.3.1 Cyclic Aggregation

At the start-up of spinning, when the tail end of a seed yarn enters the rotor and becomes attached to the ribbon of fibers in the groove, the count of the ribbon is

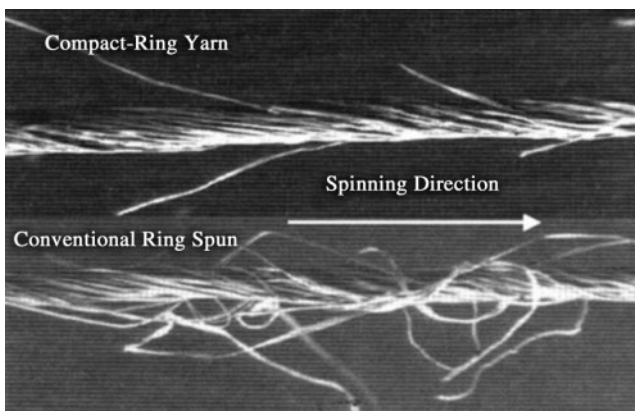


FIGURE 6.41 Conventional ring-spun yarn and compact ring-spun yarn structures. (Courtesy of Rieter Machine Works Ltd.)

not necessarily the required count for the yarn. The required yarn count is obtained when this initial deposited fiber ribbon is removed, i.e., after a yarn length equal to the circumference of the rotor is produced. Let us begin by considering the removal of this first ribbon layer. For the sake of simplicity, we will ignore the effects of twist contraction and assume that, at the moment the tail end of the seed yarn contacts the initial deposited fiber ribbon, the peel-off point, P, coincides with the point at which fibers are entering the rotor groove. Section 6.1.2.1 explained that fibers leave the exit of the transport channel, are deposited on the rotor wall, and slide down the rotor wall into the rotor groove. The point of entry into the rotor groove is likely to be only a short distance from the transport channel exit. We shall therefore take the channel exit as the point of coincidence. Let A be a mark on the rotor at that point. Figure 6.42 then depicts a plan view of inside the rotor the instant the tail end of the seed yarn begins to peel the fiber ribbon from the rotor groove and insert twist into it. A smooth doffing tube navel is being used, so the peel-off point and the twist insertion point are the same, as shown in Figure 6.43.

Consider now the first rotation of the rotor. The numbers 1, 2, 3, and 4 are reference points external around the rotor circumference and are separated sequentially by 1/4 rotor circumference spacing. The rotor rotates in the counterclockwise direction and, as A and P move away from 1 toward 2, a gap, Y, will appear between P and A. This is because the ribbon is being peeled from the groove. The peeling speed is approximately equal to the yarn delivery speed, V_d . As Equation 6.15 indicates, this makes N_p greater than N_R . The mark A also indicates the tail end of the fiber ribbon. With the rotor moving in the counterclockwise direction, fibers leaving the transport channel are entering the rotor groove and forming, in the counterclockwise direction, a new fiber layer on top of the existing fiber ribbon.

If t minutes is the time taken for A to reach reference point 2, then the gap length is $Y = t V_d$, and a new layer of fibers of about 1/4 the rotor circumference would have been deposited onto the ribbon in the counterclockwise direction. As P and A move on to 3 and then to 4, Y increases, and the length of the new layer being deposited would have increased first to 1/2 and then to 3/4 of the rotor circumference.

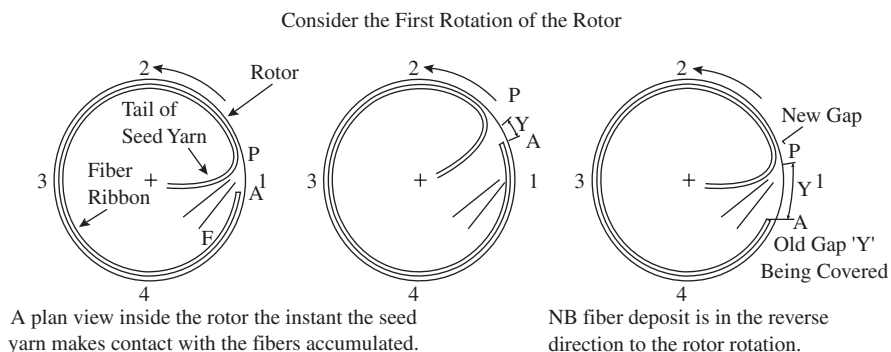


FIGURE 6.42 Peeling and twisting of fiber ribbon during rotor rotation.



FIGURE 6.43 Twist insertion with smooth doffing tube navel.

With P and A moving on from 4 to 1, Y becomes a maximum value, Y_{max} , when P reaches 1. Since Y_{max} is obtained with one revolution of P,

$$Y_{max} = \frac{V_d}{N_p} \quad (6.21)$$

The new layer being deposited in the counterclockwise direction meets the peel-off point when P reaches 1. The depositing fibers go across the peel-off point and then subsequently into the gap Y_{max} . The ribbon (along with the newly deposited layer) continues to be peeled from the groove and twisted into the yarn. Thus, a new gap begins at the crossover of the newly depositing fiber layer at P. When A reaches 1, this newly depositing layer will have covered Y_{max} , and a second layer will have started. This means a new tail end of the fiber ribbon would have formed a distance Y_{max} from the first tail end at A. During the second rotor rotation, the deposition continues as in the first rotor rotation and the new gap increases to Y_{max} . However, this time, when A reaches 1, a new tail end would have formed a distance $2Y_{max}$ from A, and a third fiber layer will have started. The process is cyclical, and the fiber layers aggregate with each rotor rotation, hence the term *cyclic aggregation*.

After a time, $t_r = \pi D_R / V_d$ minutes, the original fiber ribbon plus the fiber layers deposited on top of it would be peeled from the rotor groove. The peel-off point, P, the exit of the transport channel, and the mark A on the rotor will once again coincide. The gap behind the peel-off point will be Y_{max} ; there will be $N_L = t_r N_p (= \pi D_R / Y_{max})$ number of fiber layers accumulated at A, and if there are X_F fibers of T_f fineness (dtex) in each layer, then the product $N_L X_F T_f \times 10^{-1}$ should equal the yarn count being spun. The thickness of the newly formed fiber ribbon will taper linearly around the rotor circumference from the peel-off point to the tail end. As the ribbon continues to be peeled from the groove, the accumulation of the depositing fiber layer will always result in there being the correct number of layers at P to produce the required yarn count.

From Equation 6.21, it can be shown that, for commonly used twist factors, Y_{max} , on average, is of the order of 1 mm. This means that, when the depositing layer crosses over P, individual or groups of fibers will bridge the gap behind the peel-off point. For the gap to be maintained, the twist torque must be sufficient to bind these fibers onto the surface of the forming yarn. Since the main body or core of the yarn is already formed from the fiber ribbon, these bridging fibers wrap around the yarn body and become the wrapper fibers depicted in Figures 6.44 and 6.45. In Section 6.1.2.1, it was explained that, to overcome the resistance by frictional barriers in the spinning thread line to twist flow to rotor groove, the doffing tube navel can be designed to give a false-twist effect. This results in the peripheral twist extent or tying-in zone in the rotor groove and the separation of the peel-off point and the twist insertion point. The ribbon is therefore now partially twisted prior to being peeled from the rotor groove. Although this reduces spinning end breaks and the level of twist required to spin, the disadvantage is that, when the depositing layer now crosses the tying-in zone and the peel-off point, more fibers will be incorporated onto the forming yarn as wrapper fibers.

Figure 6.44 shows a bridging fiber that has landed with the leading length caught in the tying-in zone while its trailing length lies across the gap Y_{max} and into the tail

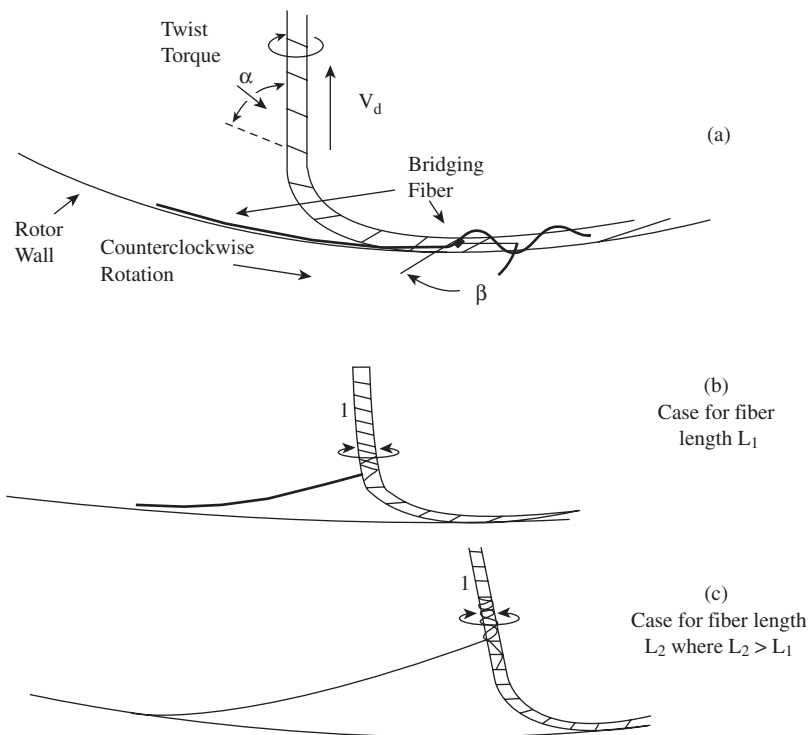


FIGURE 6.44 Wrapper fiber formation. (Courtesy of Nield, R., *Open-End Spinning*, monograph No. 1, *The Textile Institute*, Manchester, UK, 1975.)



FIGURE 6.45 Wrapper fiber formation.

end of the fiber ribbon (not shown). The twisting torque is in the direction for inserting S-twist into the fiber ribbon; the surface fibers of the body of the yarn subsequently having a twist angle α (see [Figure 6.44a](#).) As a fiber slides down the rotor wall and into the rotor groove to become a bridging fiber, its leading end will be caught by the twist insertion point, become partially embedded in the forming yarn, and rotate in the twist direction. This causes the length landing on the peripheral twist extent to become wrapped in the Z-twist direction around the yarn. When this short, twisted length is peeled from the rotor groove, the bridging fiber length becomes folded, and its trailing length is lifted from the gap and the tail end of the fiber ribbon to form a catenary ([Figure 6.44b](#) and [c](#)). The twist torque wraps the trailing length around the yarn initially at almost 90° to the yarn axis, giving a “belt-wrap” appearance, and then the remaining length is wrapped in the S-twist direction; the angle of S-wrap varies as the yarn moves toward the doffing tube. Fibers of differing lengths will have different catenary suspensions and therefore differing S-wrap angles. [Figure 6.45](#) shows the fiber catenary suspensions during rotor spinning of cotton fibers.

When the yarn length reaches the doffing tube, the reverse twisting (i.e., the Z-twisting) of the false twist removes S-twist not only from the yarn core but also from what was the trailing length of the wrapper fiber. However, what was the leading length of the wrapper fiber receives further Z-twist and binds tighter onto the yarn. [Figure 6.46](#) depicts the Z-wrap, belt-wrap, and S-wrap of wrapper fibers in cotton and viscose rotor-spun yarns. The tracer fibers in (a) and (d) show the Z and S wrap directions, (b) and (c) show the belt wraps, and a fold can be seen in (e).

Microscopic studies^{78,79} have shown that the frequency of occurrence of belts along the yarn follows a Poissonian type distribution. This suggests that wrappers

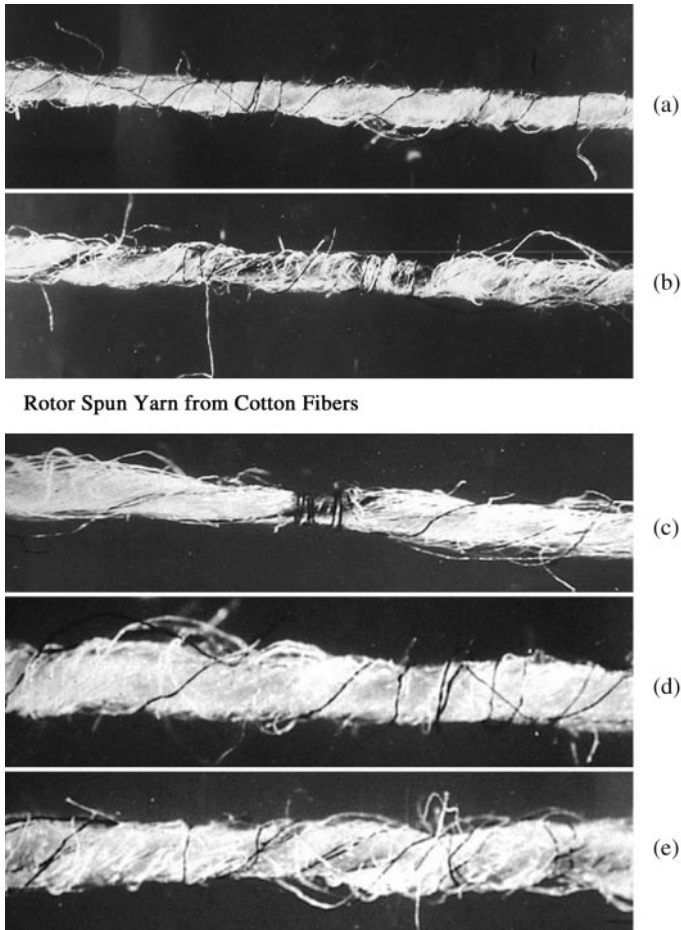


FIGURE 6.46 Wrapper fiber configurations.

may be considered as “defects” in the rotor yarn structure. The belt wraps in particular are known to register as neps in Uster irregularity yarn testers.⁸⁰

Figure 6.47 shows the front and back of a denim fabric in which the warp yarns were Indigo dyed. What appear as blue neps in the back of the fabric are wrapper fibers on the warp yarns; similarly, the white neps in the front of the fabric are wrapper fibers on the weft yarn. The neppiness is very low, and the fabric was considered of acceptable quality.

Several factors will influence the degree of wrapping, the frequency of belts, and the Z- and S-wrap angles of the wrapper fibers.^{80,82} The strongest influence is the level of false twist generated by the doffing tube navel. The higher the level of false twist, the longer the tying-in zone. This reduces the end breaks and gives spinning stability, but it increases the number of wrapper fibers and the level of Z-wraps. Increased frictional drag by the rotor and ribbon tail on the trailing length

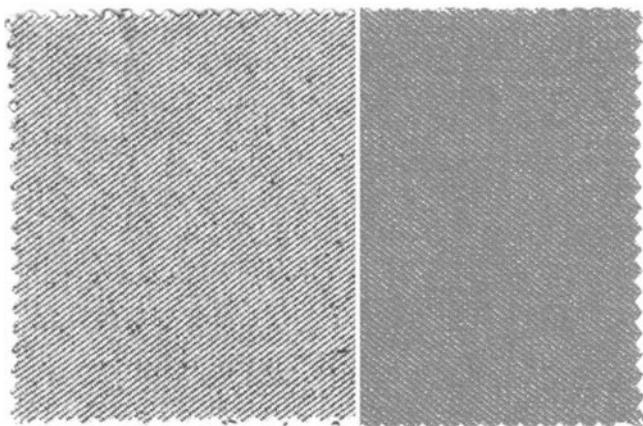


FIGURE 6.47 (See color insert.) Denim fabric woven from rotor-spun yarns.

of the bridging fiber will increase the level of S-wraps; longer fibers also increase S-wraps. With respect to the five classes of rotor surface structure shown in [Figure 6.37](#), it can be seen from [Figure 6.48](#) that the yarn consists largely of classes I, II, and III. It is also evident that steel doffing tubes with groove navels result in more wrapper fibers and surface hairs (classes II and III)

Ideally, in rotor spinning, the individual fibers are subjected to continuous acceleration on being removed from the opening roller by the air suction, transported by the airflow to the rotor, and then sliding down the rotor wall into the rotor groove. The ideal case means that the fibers are straightened during transport to the rotor groove and lie together in a parallel state to form the ribbon of fibers. It should be noted that, when the ribbon of fibers is peeled from the groove, the fibers are being removed from their trailing ends. In reality, there will be some fibers that are straight within the ribbon, but the vast majority are not. Several studies^{83,85} have looked at how fiber straightening can be achieved, particularly with regard to the spinning of fine-count rotor yarns. The removal of fibers from the opening roller, their transit in the airflow, and landing on the rotor groove have still to be fully optimized for the highest percentage of fibers in the fiber ribbon to be straight. Thus, although these fibers conform to the twist helix of the yarn, their configurations within the rotor yarn is very different from fibers within ring-spun yarn structures; the fibers in rotor yarns are largely hooked and buckled and of a lower fiber extent than in ring-spun yarns. In ring spinning, a flat ribbon of fibers issues from the front drafting rollers to be twisted; in contrast, the fiber ribbon in the rotor groove is compacted by centrifugal force and consequently twisting is akin to a twisted cylindrical form rather than a twisted ribbon or a wrapped ribbon form.⁷⁵ The differences in tension between fibers at the point of twist insertion are small, and therefore the level of fiber migration is low.

With low fiber migration and hooked and buckled fiber configurations, the meaningfulness of migration parameters for rotor yarns becomes questionable, since these parameters do not show a distinction between complex fiber shapes being twisted into

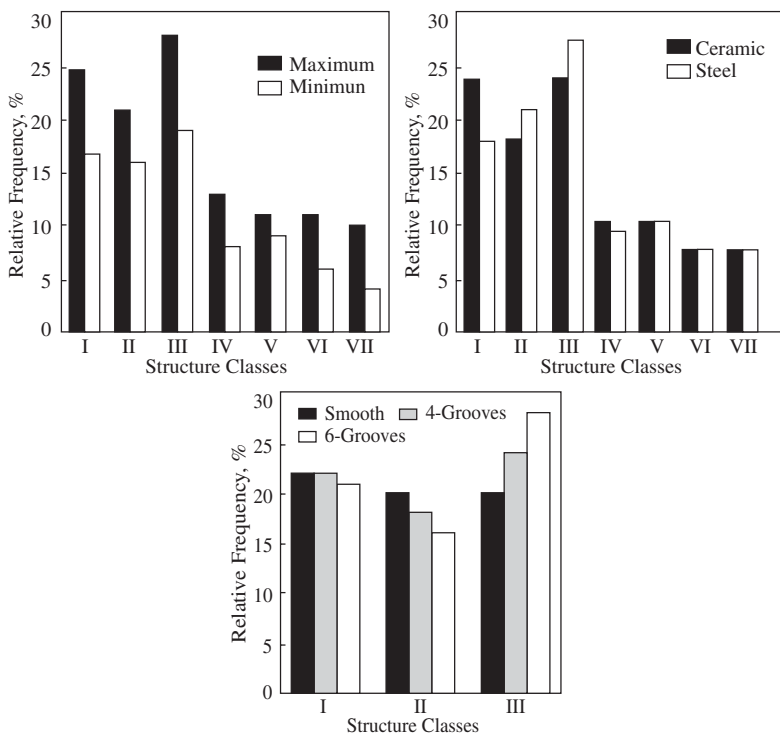


FIGURE 6.48 Distribution of rotor yarn surface structures. (Courtesy of Lawrence, C. A. and Finikopoulos, E., Factors effecting changes in the structure and properties of open-end rotor yarns, *Indian J. Fibre and Text. Res.*, 17(12), 201–208, 1992.)

a yarn structure and the meandering and interlacing of fiber lengths resulting from tension differences. Kasperek's theory of spun-in fiber in yarns⁸⁶ gives an alternative approach for studying the integration of fiber lengths within a yarn structure.

6.2.2.3.2 Theory of Spun-in Fibers in Yarns

Figure 6.49 gives a diagram of a fiber within a yarn structure. The probability, P , of the fiber being incorporated into the yarn, i.e., spun into the yarn structure, depends on the ratio of the sum of the elemental lengths Δl_i to actual fiber length L_F , so

$$P = \frac{\sum \Delta l_i}{L_F} \quad (6.22)$$

Thus, if $\sum \Delta l_i = L_F$, $P = 1$, and the full length of the fiber will be spun in. If $\sum \Delta l_i = 0$, $P = 0$, and the fiber resides on the yarn surface, e.g., totally as a wrapper fiber or hair. If part of the fiber length is spun in and the rest protrudes from the yarn or forms a wrapper, then $\sum \Delta l_i < L_F$, and $1 > P > 0$.

Instead of taking tracer fiber measurements along the meandering and complex fiber configuration to determine L_F , it is more convenient to use the projected

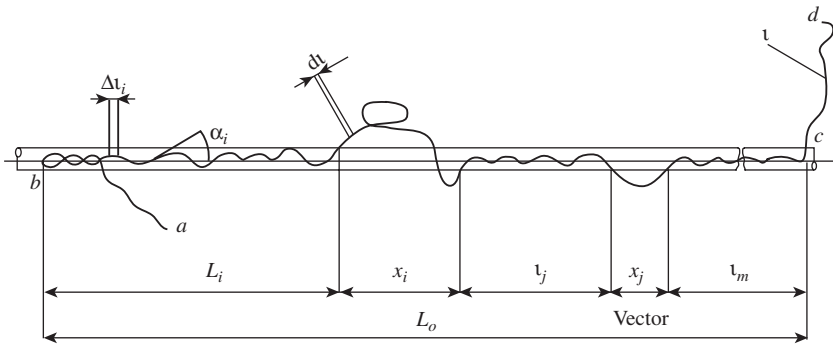


FIGURE 6.49 Elemental lengths of a spun-in fiber. (Courtesy of Rohlena, V., *Open-End Spinning*, Chap. 7, Elsevier Science, New York, 1975.)

elemental length and fiber extent, L , and refer to the probability ratio as the spun-in coefficient, K_{Fi} . For the yarn length L_i in the diagram

$$L_i = \sum \Delta l_i \cos \alpha_i \quad (6.23)$$

where α = the angle between the elemental length and the yarn axis, as shown

This can be repeated for L_j , L_m , and so forth., so

$$K_{Fi} = \frac{\sum L_n}{L} \quad (6.24)$$

where $n = i, j, m$, etc.

$$= \frac{L_o - \sum x_n}{L} \quad (6.25)$$

where $\sum x_n$ = the fiber lengths projecting out of the yarn body

Kasperek has classified typical fiber configurations observed for differing ring yarn and rotor yarn structures into the nine classes with associated K_{Fi} values depicted in [Figure 6.50](#).

It follows from the above that the mean spinning-in coefficient of a yarn is

$$K_F = \sum K_{Fi} \left(\frac{N_i}{N} \right) \quad \text{for } i = 1 \text{ to } N$$

where N_i/N is the relative frequency of the nine classes among N observations of tracer fiber configurations.

[Table 6.9](#) gives K_F values for conventional ring-spun and rotor-spun yarns, and it can be seen that the mean spun-in lengths for the former are significantly greater.

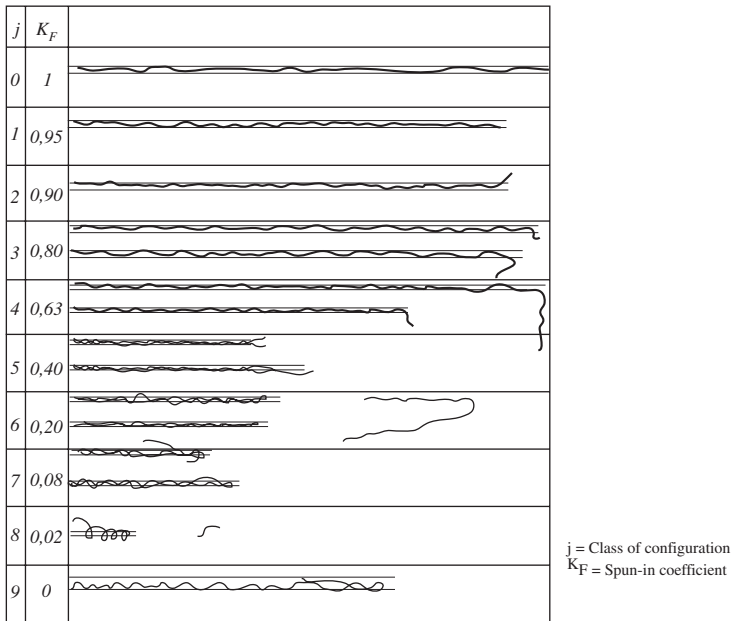


FIGURE 6.50 Classification of fiber configuration in yarns and K_{Fi} values. (Courtesy of Rohlena, V., *Open-End Spinning*, Chap. 7, Elsevier Science, New York, 1975.)

TABLE 6.9
 K_F Values for Conventional Ring-Spun and Rotor-Spun Yarns

Yarn structure	Mean spun-in coefficient, K_F	Standard error (%)	Coefficient of variation (%)
Combed ring-spun (conventional)	0.757	1.78	29.44
Combed ring-spun (conventional)	0.760	1.62	26.34
Carded ring-spun (conventional)	0.659	2.11	35.20
Carded ring-spun (conventional)	0.686	1.97	32.01
Carded ring-spun (conventional)	0.661	2.29	36.95
Rotor-spun	0.512	2.58	42.82
Rotor-spun	0.504	2.66	43.41
Rotor-spun	0.482	2.71	45.89

Courtesy of Rohlena, V., *Open-End Spinning*, Chap. 7, Elsevier Science, New York, 1975.

Several other researchers⁸⁷ have used the mean fiber extent coefficient K_p ($= L_0/L$ = the arithmetic mean of observed fiber extents/mean fiber length) as a shorter means to quantify the fiber length utilization of yarn structure. This approach does not take account of elemental lengths on, or projecting from, the yarn surface. However, such work has shown that machine settings (e.g., opening roller speed,

rotor diameter, rotor speed, and air-suction) affect fiber length utilization (see Table 6.10) and that there is good correlation between fiber length utilization and yarn strength (see Figure 6.51). As K_p increases, yarn tenacity increases.

TABLE 6.10
Effect of Rotor Spinning Parameters on Mean Fiber Extent

ORS	MFE	RS	MFE	AS	MFE	RD	MFE
5000	23.07	30,000	20.78	8	18.21	46	20.78
6500	20.78	50,000	20.85	32	20.65	56	21.57
8500	17.18	70,000	19.70	57	21.30	–	–

ORS = opening roller speed (rpm), RS = rotor speed (rpm), AS = air suction (cm water gage pressure difference), RD = rotor diameter, MFE = mean fiber extent (mm).

Courtesy of Chandraray, S. and Dutta, B., Mean Fibre Extent of Rotor-Spun Yarn, *Indian J. Text. Res.*, 12(6), 133–138, 1987.

6.2.2.4 Formation of Friction-Spun Yarn Structures

As Figure 6.52 illustrates, the friction drums are longer than the width of the fiber feed. The yarn formation therefore occurs along two parts of the friction drums,⁸⁸ first in zone 1, the fiber supply zone, and second in zone 2, where the forming yarn

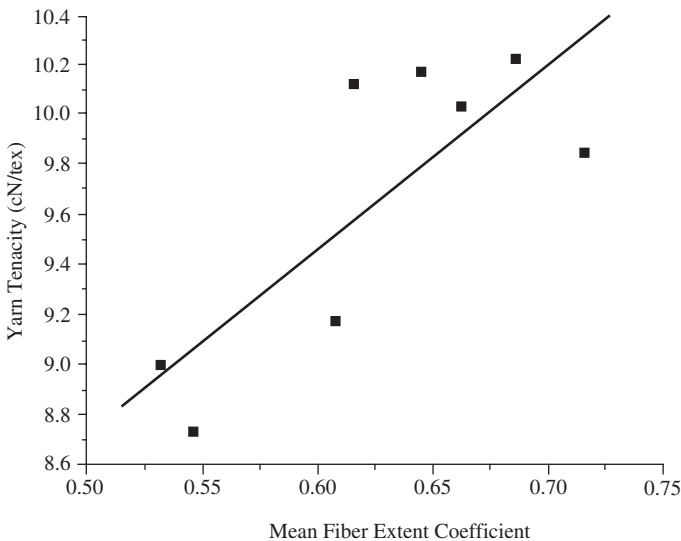


FIGURE 6.51 Effect of mean fiber extent coefficient on rotor-spun yarn tenacity. (Courtesy of Chandraray, S. and Dutta, B., Mean Fibre Extent of Rotor-Spun Yarn, *Indian J. Text. Res.*, 12(6), 133–138, 1987.)

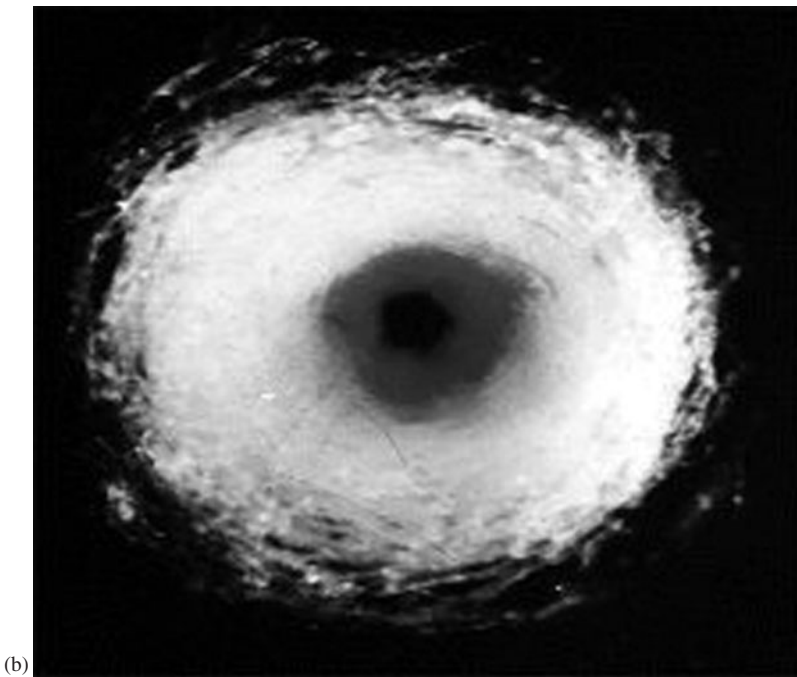
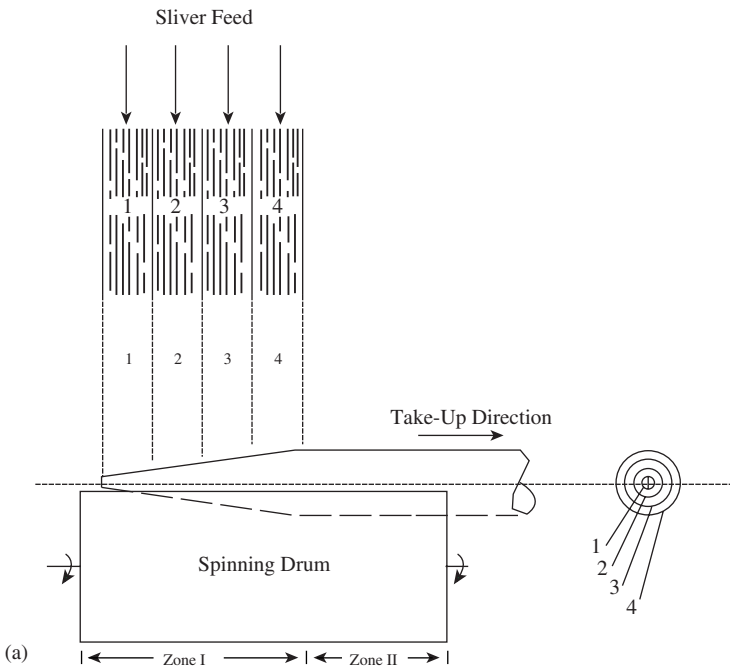


FIGURE 6.52 Dref-2 yarn structure formation.

receives no more fibers. The fibers landing onto the friction drums in zone 1 form a conical yarn end or tail between the drums. Figure 6.53a shows the fibers traveling from the opening roller to the friction drums, and Figure 6.53b shows the fibers landing and being twisted to form the yarn. The fibers are individually twisted onto the conical yarn tail during their deposition. The formation of the Dref-2 yarn structure is therefore a buildup of fiber layers from the sliver feed.

As the forming yarn length moves in the direction of take-up, the separated fibers from each consecutive sliver are deposited onto the previous layer. Thereby, fibers present in a particular sliver become integrated into the corresponding concentric layer of the yarn; the fibers from the first sliver farthest from the delivery rollers forming the center region of the yarn. The yarn cross section shown in Figure 6.52 was obtained with sliver (1) composed of black colored fibers, sliver (2) of red colored fibers, and slivers (3) and (4) of white fibers. It is therefore possible to produce yarns with each concentric layer being composed of a different fiber type.

It is evident that any migration between layers is very small and that the yarn is much more compact in the region of the core. Since spinning tension is low, it is unlikely that this compaction is caused by any applied axial tension on the fibers (as is the case for ring spinning) and is therefore more likely to be the result of a higher twist level at the yarn core. In zone I, the twist level is low, and centrifugal forces cause the yarn tail to swell. The twist in zone II is much greater, and the yarn diameter decreases. As the yarn leaves the friction drum, the amount of twist in each radial position will depend on the length of time fibers in that position stayed within the two zones. This is because the twist gained is cumulative between the point where a fiber lands and the end of the friction drums. This means that fibers forming the yarn core are the most highly twisted.

In Dref-2 spinning, the individual fibers are blown off the opening roller and, during transport to the friction drums or rollers, they become buckled. On landing, and during twisting (see Figure 6.53), fibers have hooked, folded, entangled, and looped configurations. Figures 6.54 and 6.55 show the results of observed tracer fiber configurations in the second and fourth layers of a Dref-2, 270-tex yarn spun from 3.3-dtex, 50-mm acrylic fibers. It can be seen that the fiber configurations fall within classes 4 through 9, the surface layer of the yarn having the greater amount of folded configurations and therefore a much lower K_F value. The folded configuration is sizeable within the second layer and, consequently, the K_F is much lower than for ring and rotor yarns, even though all the fiber length is within the yarn. Nevertheless, there is an indication of a trend that inner layers have the better fiber configuration and mean spun-in coefficient.

Table 6.11 shows that, similar to rotor spinning, machine settings influence fiber length utilization in Dref-2 yarns, and Figure 6.56 shows the relation between K_F and yarn tensile properties.

Dref-3 yarn structure is formed by a drafted ribbon of parallel fibers lying within the nip of the friction drums and being false-twisted, while simultaneously fibers from the opening roller are twisted onto the false-twisted ribbon. Core fibers in Dref-III yarn have, therefore, entrapped false twist.⁸⁸ The lower the core count, the higher is the entrapped twist. Under identical spinning conditions, the twist in the Dref-II yarn structure is greater than the sheath fiber twist in the Dref-III structure. It can

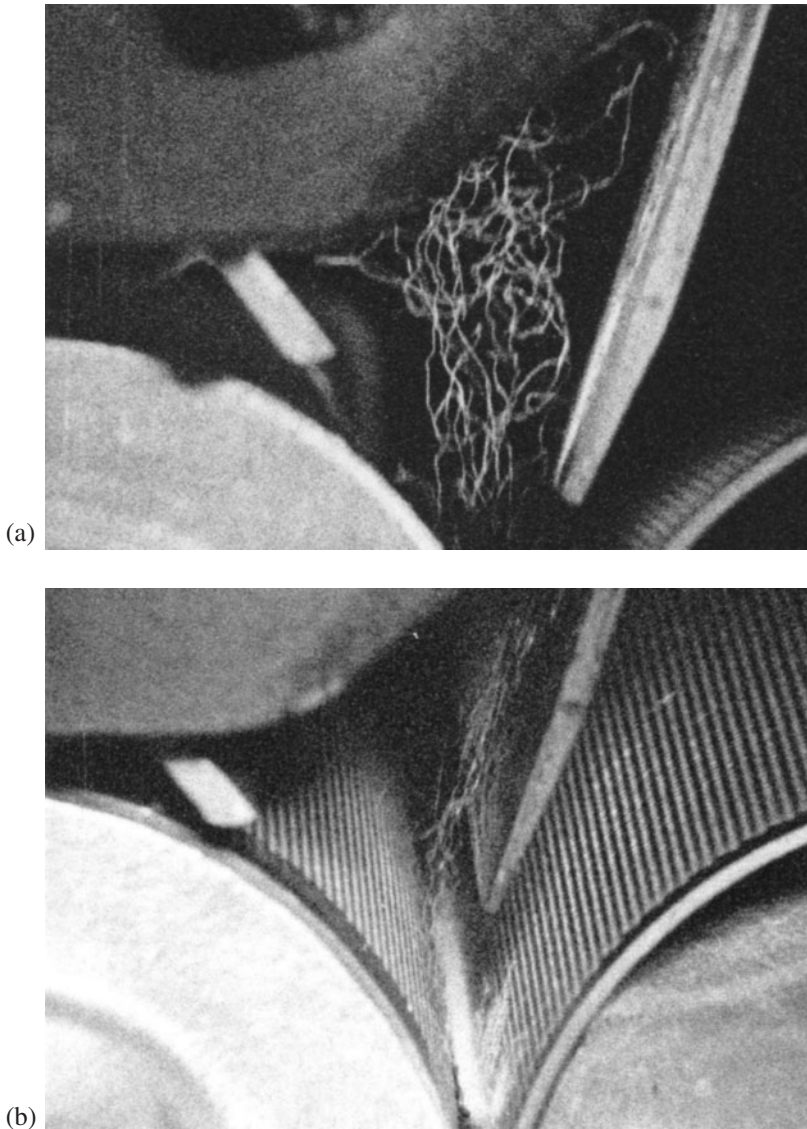


FIGURE 6.53 Transportation, deposition, and twisting of fiber in Dref-2 spinning.

be reasoned that the fiber configurations in the central region of the Dref-III yarn will be of classes 1, 2, and 3 (see [Figure 6.50](#)), whereas the sheath fibers will have similar configurations to those of Dref-2 yarns.

It is evident from the K_f values for the Dref-2 yarns, as compared with those for ring and rotor yarns, that significant improvement in fiber straightening is needed if finer yarn counts are to be produced by the open-end friction spinning technique. To improve fiber straightening, it is necessary for the fibers in flight to approach the

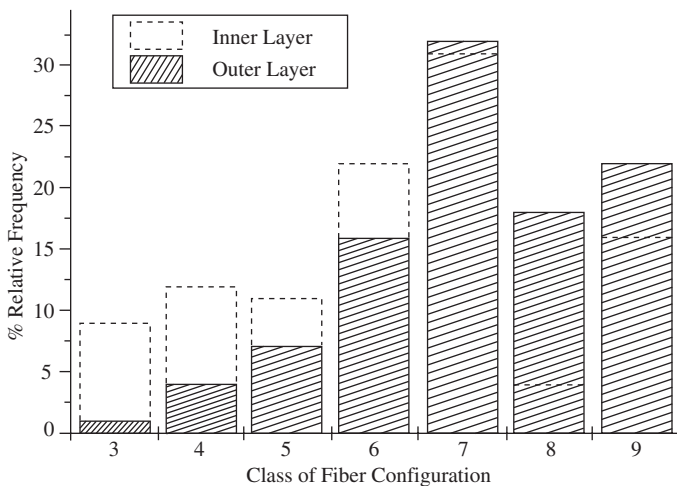


FIGURE 6.54 Relative frequency of fiber configurations in inner and outer layers of Dref-2 yarn.

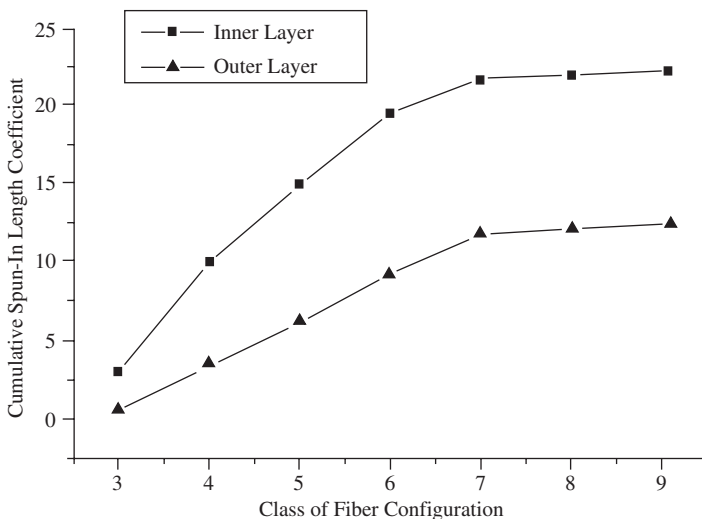


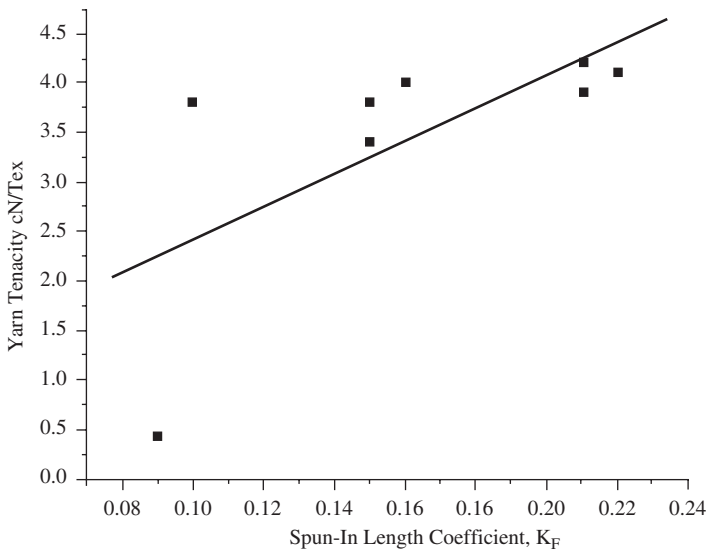
FIGURE 6.55 Spun-in length coefficient K_F for Dref-2 yarn.

forming yarn at a shallower angle than in the Dref-2 system (see Figure 6.58a). Suction rather than blowing is preferable for the transport of fibers, so that some degree of fiber straightening occurs prior to fibers being incorporated into the yarn structure. Much research^{89,93} has been carried out into the development of open-end friction spinning for the production of finer count yarns that have a more ring-spun like structure. A comparison of Figure 6.36 and 6.57 shows that the finer yarn still has fiber loops rather than fiber ends projecting from its surface, but the general

TABLE 6.11**Effect of Spun-In Length Coefficient of Dref-2 Yarn Properties**

ORS	K _F	FDS	K _F	AS	K _F	YD	K _F
3000	0.21/0.12	800	0.15/0.1	90	0.15/0.10	80	0.22/0.12
4000	0.10/0.05	3200	0.09/0.05	165	0.15/0.08	226	0.15/0.07

ORS = opening roller speed (rpm), FDS = friction drum speed (rpm), AS = air suction (mm H₂O), YD = yarn delivery rate (m/min).

**FIGURE 6.56** Effect of spun-in length on Dref-2 yarn strength.**FIGURE 6.57** Structure of friction-spun yarn produced with inclined fiber channel.

structure is more akin to the conventional ring spun than to the Dref-2 yarn. Although the various prototype systems producing this type of friction-spun yarn have yet to reach, successfully, the commercial stage, the mechanism by which fibers are integrated into the yarn structure is fundamentally different from the Dref-2 system and is therefore of technical interest.

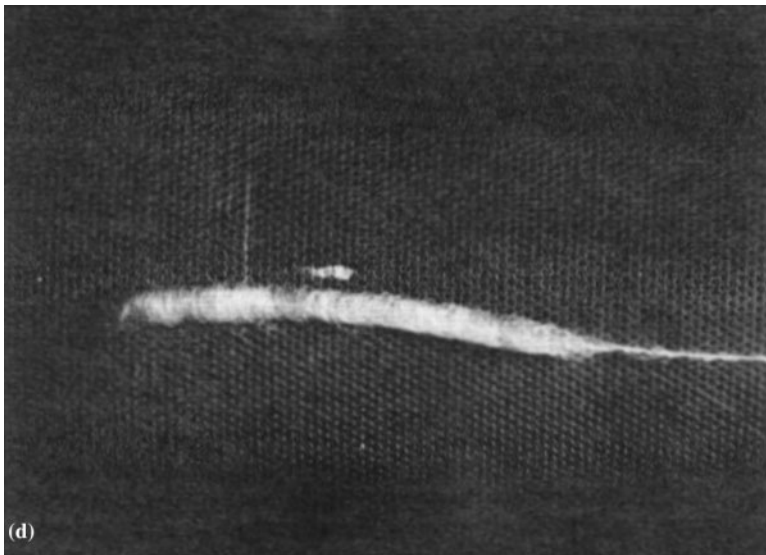
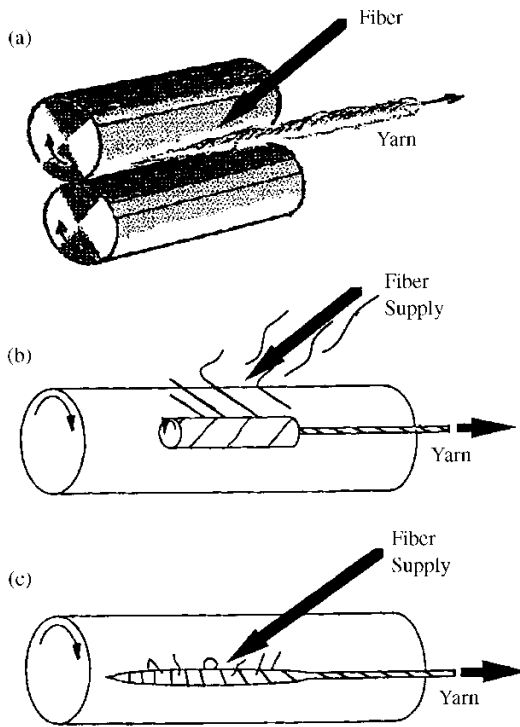


FIGURE 6.58 Yarn formation in fine-count OE friction spinning. (Courtesy of [top] Lord, P. R., and Rust, J. P., Fibre assembly in friction spinning, *J. Text. Inst.*, 4(82), 465–478, 1991, and [bottom] Stalder, H. and Soliman, H. A., A study of the yarn formation process during friction spinning, *Melliand* [Eng. ed.], 2, E44–46, 99–103, 1989.)

Stalder,^{89,90} Lord,⁹¹ and others⁹²⁻⁹⁴ have established that, when fibers are delivered (usually from a single sliver feed) in an airflow down a channel inclined acutely to the yarn length between the friction drums, the fibers are deposited to form a sleeve, lofty in structure, around the conical end of the yarn (see Figure 6.58). The sleeve is usually squashed within the nip of the friction drums. Importantly, it is the fiber sleeve that rotates by frictional contact with the drum, and it does so without moving along the nip line of the drums. The yarn tail is formed within the sleeve, but it does not rotate;⁸⁹ the forming yarn length is only pulled away with the velocity of the delivery rollers.

The fibers are individually twisted onto the sleeve during their deposition. The fibers may be deposited, preferably, at the interface of the sleeve and the drum surface (Figure 6.58b) or directly onto the fiber sleeve (Figure 6.58c). The hypothesis is that the leading end of a fiber makes first contact with the friction drum surface, and the momentum of the trailing end causes the fiber length to flip over its leading end as the fiber is being twisted into the rotating sleeve. This flip-over action tends to give some degree of fiber straightening and results in the sleeve fibers having an S-twist helix. The preferred state of fiber deposition at the sleeve-drum interface is obtained by employing only one perforated drum with applied suction, the other drum (friction drum) being a solid surface, and by positioning the exit of the fiber transport channel close to the interface (see Figure 6.59).

Fiber ends projecting from the rotating sleeve provide the means of capturing the leading ends of the depositing fibers for the latter to be twisted onto the sleeve. Fibers may also be captured as illustrated in Figure 6.60. At (a), the fiber approaches and is pulled into the interface of the sleeve and the first drum surface. The sleeve rotates at a surface velocity $V_y < V_{R1}$. It is, however, likely that the torsion resistance of the sleeve is sufficiently small that slippage between itself and drum is negligible in comparison with the Dref-2 system.⁵³ At (b), the fiber contacts the second drum surface, or is entangled in the sleeve, and moves toward the second interface. In positions (c) and (d), the fiber becomes twisted onto the sleeve.

Figure 6.61 depicts how fibers forming the sleeve are subsequently twisted onto the conical tail of the yarn. The leading ends of fibers in the rotating sleeve become attached to the yarn tail (Figure 6.61a) and, as the yarn length is pulled away by the delivery rollers, the attached fibers are Z-twisted onto the tail (Figure 6.61b). The figure shows a fiber with S-helix angle γ being twisted with Z-helix angle β onto the yarn tail at a point where, locally, the diameter is indicated as “d” (Figure 6.61c). From the geometrical parameters given in the diagram, (Figure 6.61c and d) the following equation can be derived for the yarn twist:^{89,90}

$$\frac{1 - (\pi D_R T_{AV} / Y)}{\sqrt{[1 + (2\pi d_G T_{AV} / 3)^2]}} = \cos \gamma + (\sin \gamma / Y) \quad (6.26)$$

where D_R = fiber sleeve diameter
 T_{AV} = average yarn twist
 Y = ratio of drum surface speed to yarn speed
 d_G = average yarn diameter

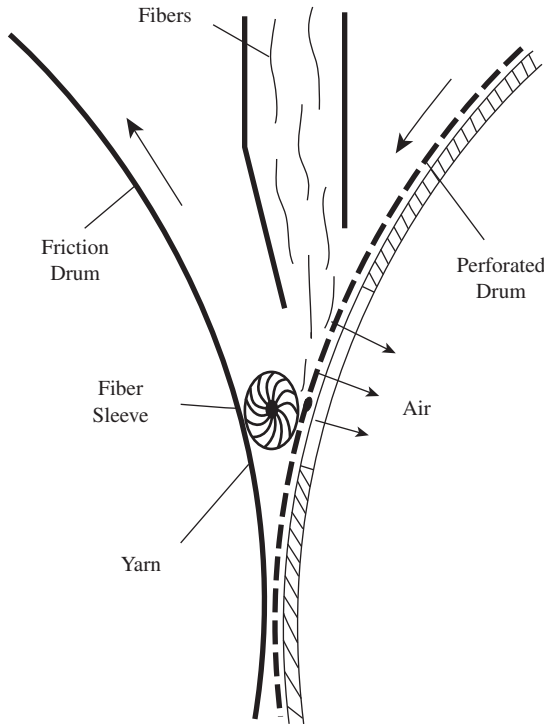


FIGURE 6.59 Fiber deposition at the sleeve-friction drum interface. (Courtesy of Krause, H. W., Soliman, H. A., and Stalder, H., The yarn formation in friction spinning, *Int.Text. Bull., Yarn Forming*, 4, 31–42, 1989.)

The yarn twist decreases with increasing diameter. Hence, because of the conical tail, fibers are twisted onto a variable diameter, “d” (see figure), which results in the twist in friction-spun yarns being higher at the center than the surface. For a given Y , coarser yarns will therefore have a lower average twist than finer yarns. As may be expected with regard to the ratio of drum to sleeve diameter, the yarn twist decreases with increasing sleeve diameter. If γ is too small an angle, then the pulling of yarn length by the delivery rollers may cause slippage between the fibers in the sleeve and the yarn tail.

6.2.2.5 Formation of Wrap-Spun Yarn Structures

6.2.2.5.1 Air-Jet Spun Yarns

The occurrence of the three subclasses of air-jet wrap-spun yarn structure shown in [Figure 6.38](#) may be explained from further consideration⁹⁵ of the mechanism of edge fiber wrapping described in [Section 6.1.4.1.2](#). As the drafted ribbon of fibers issues from the front drafting rollers, similar to conditions in ring spinning, the bulk of the fibers become twisted to form the bulk or core of the yarn, the twist angle being, say, α_K . Not all of the fibers will be caught by the twist triangle. The

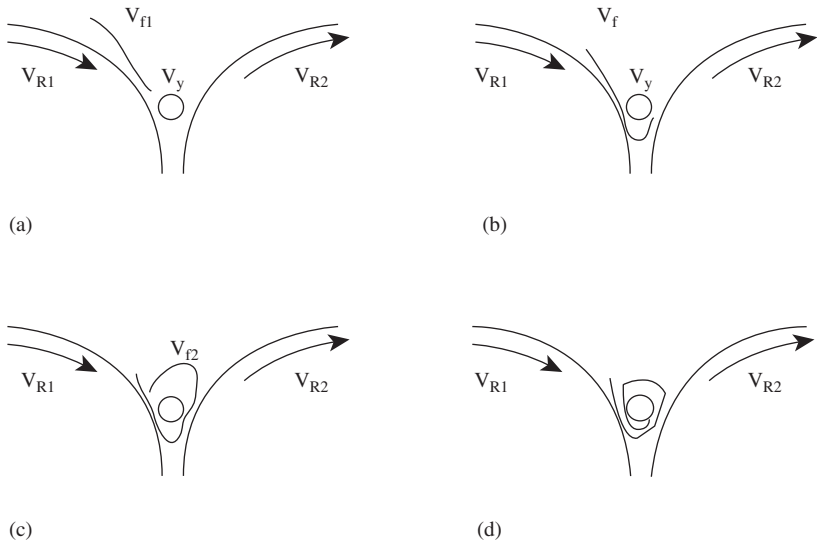


FIGURE 6.60 Fiber capture by rotating sleeve. (Courtesy of Lord, P. R. and Rust, J. P., Fibre assembly in friction spinning, *J. Text. Inst.*, 4(82), 465–478, 1991.)

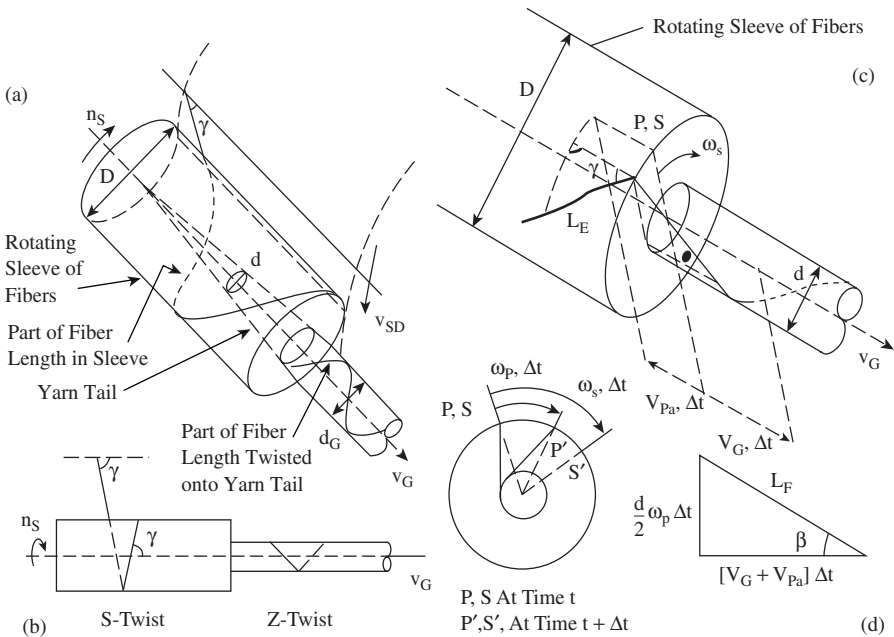


FIGURE 6.61 Twisting of fibers onto yarn tail. (Courtesy of Stalder, H. and Soliman, H. A., A study of the yarn formation process during friction spinning, *Melliand* [Eng. ed.], 2, E44–46, 99–103, 1989.)

free fibers, which are mainly edge fibers, subsequently come into contact with the rotating body of fibers and wrap around it; if the wrap angle of an edge fiber is α_R , then $\alpha_K > \alpha_R$.

The aim is to secure sufficient edge fibers for wrapping so as to obtain useful yarn strength.⁹⁶ To do so, the edge fibers must not get pulled into the twist insertion point but, instead, wrap the balloon length of the forming yarn core well below the twist insertion point. If the spinning conditions are such that the ballooning core has a large circularly polarized amplitude (see Chapter 8) and a high rotating speed, then the airflow generated by the balloon, near the front drafting rollers, will push edge fibers away from the twist insertion point while the suction of the first jet pulls the edge fibers into contact with the balloon below the twist insertion point. The amplitude and rotating speed of the balloon are governed by the jet-nozzle angles, jet pressures, ribbon width, production speed, and thread-line tension, i.e., overfeed ratio. The second-jet angle and applied pressure determine the twist level at the twist insertion point. A low level of twist assists in preventing edge fibers being twisted into the core. More edge fibers are formed with a wide ribbon issuing from the front rollers. Usually, only 6% of all fibers are used for wrapping.⁹⁷

As Figure 6.62 illustrates, the class of substructure wrapper that a fiber eventually forms is governed by the size and direction of the wrap angle the edge fibers have

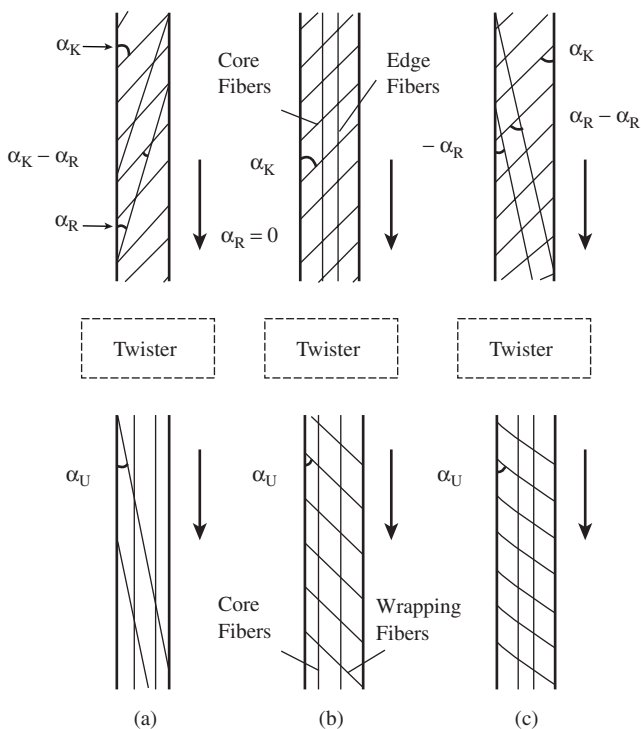


FIGURE 6.62 Edge fiber wrapping before and after air-jet twisting device.

when they are first wrapped onto the core fibers.⁹⁵ If α_R is in the same direction as α_K (say, Z) then the resulting substructure will be Class I (Figure 6.62a). The resulting wrap angle is α_U .

For the case where α_R corresponds to an S-wrap, a Class II type substructure will result (Figure 6.62c); when $\alpha_R = 0$, a Class III substructure is formed (Figure 6.62b). A Class I corkscrew formation occurs when $\alpha_K \gg \alpha_R$. In such circumstances, the untwisting of the core gives a sizeable increase in length but also a sizeable contraction in the length of the wrapping helix, which buckles the core length.

The simple helix model may be modified to basically represent the air-jet structure. For Class I, only the wrapper fibers would collectively adopt the yarn helix angle $\alpha < 90$; the remaining fibers would form the twistless core of the yarn. Class II would have a twistless core of fibers similar to Class I, but each fiber in a group of wrapper fibers would have differing values of α_U . With Class III, the surface (i.e., wrapper) fibers would give the yarn a twisted appearance, $\alpha_U = -\alpha_K$. As each class length is much shorter than a fiber length, parts of a wrapper fiber length will be among the core fibers so that, when a load is applied to the yarn, tension is induced in the wrapper fibers and thereby radial pressure is applied to the core fibers. The resulting interfiber friction prevents slippage.

6.2.2.5.2 Hollow-Spindle Wrap-Spun Yarns

In the formation of hollow-spindle (HS) yarns, the manner of wrapping is an important factor, since wrapping coils must be distributed as uniformly as possible along the yarn. Wrapping takes place at the point where the filament makes contact with the staple fiber core and is done with or without the use of a false-twister. The simplest and most effective is with a false-twister. Based on the description of the process, given in Section 6.1.4.2, Figure 6.63 shows that the location of yarn formation may be divided into three zones. The tension during twisting is much lower than in ring spinning, and therefore fiber migration is negligible, and the formation of hairs is relatively low. Fiber lengths that do project from the core are subsequently bound onto the core by filament wrapper.

As shown, there are two ways by which wrapping can occur using the false-twist spindle technique, depending on the threading of the false-twister. With Case A, positive threading, wrapping occurs within the hollow spindle, giving a low level of S-wraps per unit length. But this increases to the preset value below the false-twister, where there is a much shorter yarn length between the false-twister and the delivery rollers. Note that spindle rotation is clockwise. For Case B, wrapping occurs only below the false-twister, with the S-wraps per unit length generally equalling the preset value. It is found that Case B gives the better yarn properties, as lower core tension occurs (see Figure 6.64).

The HS wrap-spun structure may be spun with a parallel or crimped profile, depending on the relative tensions of the filament and the core, when twisted. As Figure 6.65 illustrates, if the tension, F_s , of a staple core, when twisted, is greater than the filament tension, F_f , during wrapping, then the core will not be crimped when untwisted; the converse gives the crimped profiles shown in the figure. The tension of the core is controlled by the difference in surface speed between the front drafting rollers, V_s , and the delivery roller, V_d . The percentage ratio $[V_s/V_d]$ 100 is

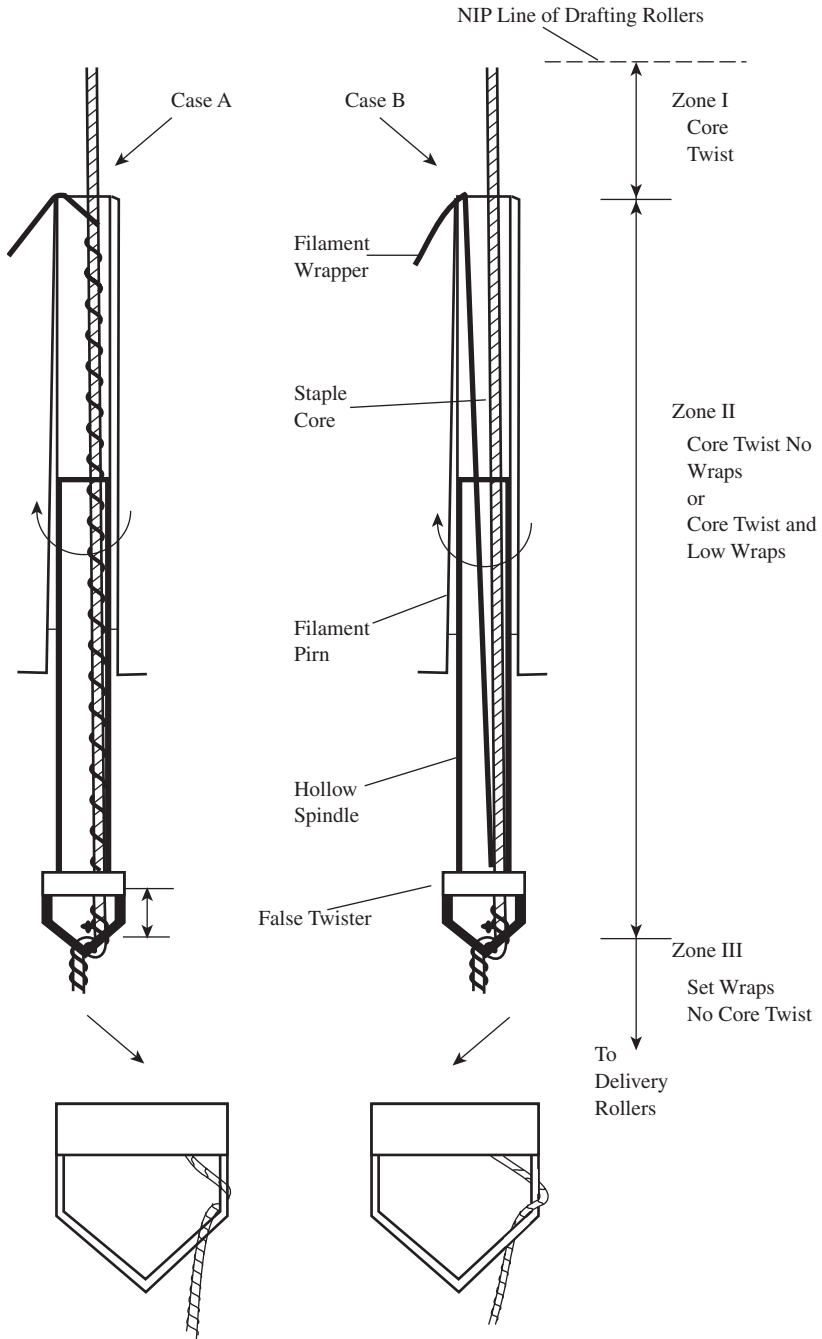


FIGURE 6.63 Hollow-spindle wrap-spun yarn formation. (Courtesy of Srinivasan, K. V., *A Study of Hollow Spindle Yarns*, M.Sc. thesis, U.M.I.S.T, 1984.)

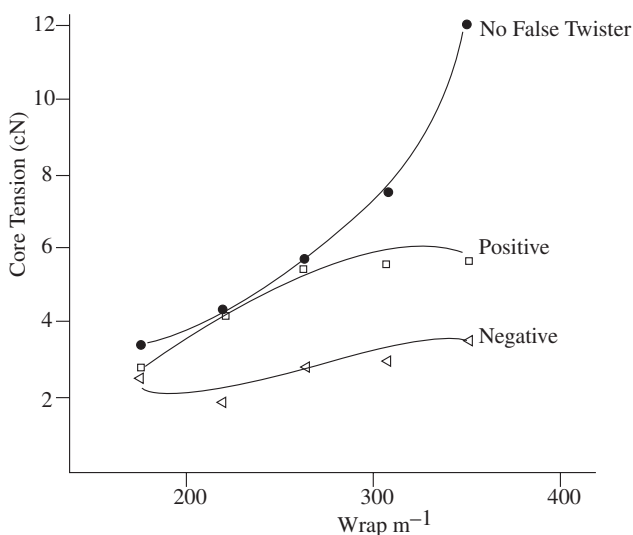


FIGURE 6.64 Spinning tension for Case A and Case B.

referred to as the *overfeed*. If it is less than or equal to unity, a parallel profile can be obtained; if greater than unity, the crimp profile occurs, the amount of crimp being dependent on the overfeed. This facility for structuring the profile makes the HS yarn process suitable for producing fancy yarns (see [Chapter 9](#)).

In principle, all staple fibers can be combined with any type of continuous filament. However, monofilament wraps the staple core in such a way that the filament becomes almost imperceptible among the bulk of the yarn, whereas multifilament appears as a ribbon spirally wound around the yarn.

6.2.3 STRUCTURE PROPERTY RELATION OF YARNS

Yarn properties are usually evaluated from two perspectives:

- The likely performance of the yarn in subsequent fabric manufacturing processes
- The fabric surface appearance and mechanical properties, often of importance to the visual and tactile aesthetics of end products

In weaving and knitting, tensile properties give an indication of the work rupture to withstand cyclic tensioning, the coefficient of variation of breaking load giving a measure of the weak places. Yarn surface friction, compression, and hairiness are also important to the yarn running behavior with respect to peak tensions that may result in yarn breaks and the liberation of fiber fragments from the yarn surface. Although not commonly measured, the bending rigidity and compression are linked to the ease of deforming the yarn around thread guides and in attaining precise fabric geometry.

Of prime importance to fabric appearance are yarn irregularity, the absence of periodic faults, neps, conspicuous thick and thin places, and hairiness. All of these

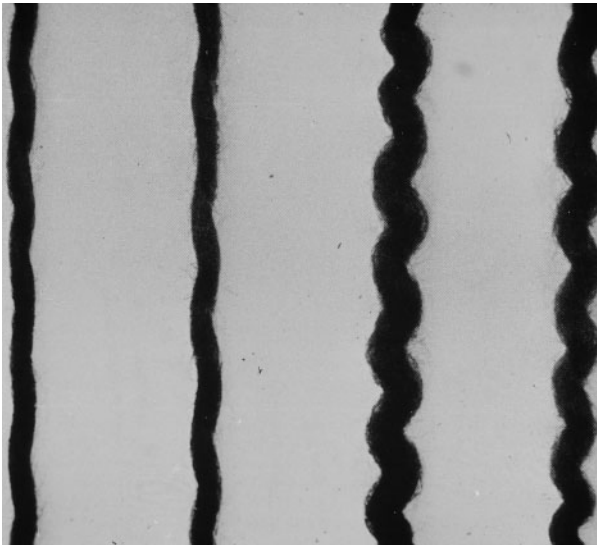
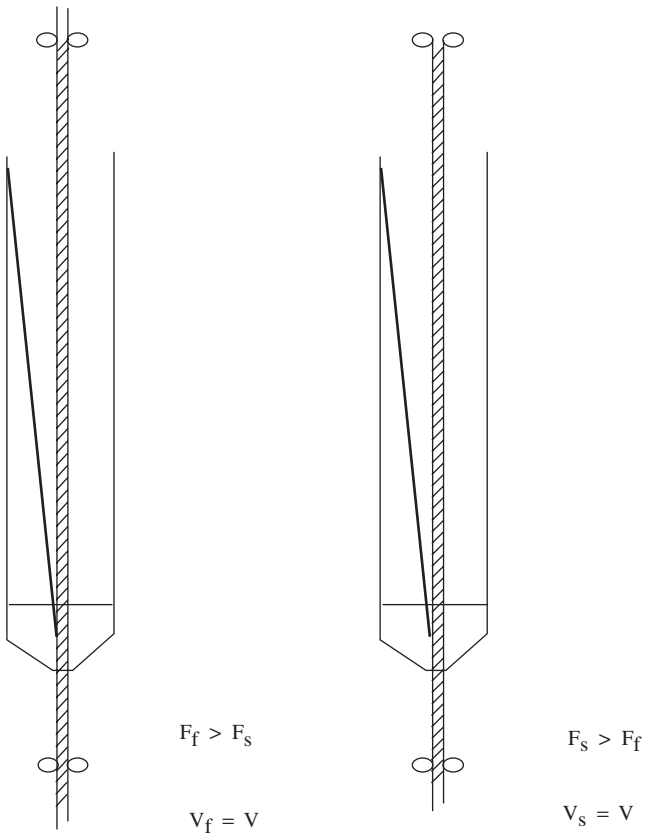


FIGURE 6.65 HS wrapped profiles.

are usually measured to quantify the quality of a yarn. The degree of parallelism of the surface fibers of the yarn strongly influences the light reflectance from a fabric. Even though neither is measured, it is well appreciated that, subject to the effect of the optical properties of the fiber, the higher the degree of parallelism and the lower the hairiness, the more lustrous the yarn will appear in, say, a woven fabric. A more random surface arrangement gives a matt look to the cloth.

Fabric mechanical and surface properties, which may be determined by objective methods,* have been correlated with fabric handle.† These fabric properties are dependent on fabric structure but also on the constituent fiber and yarn mechanical properties. The most basic types of fabric deformation are in-plane extension and shear, bending in a perpendicular plane, and out-of-plane buckling.⁷⁵ In the daily use of fabrics, the deformation that occurs is likely to involve a combination of the basic types. However, it is useful to consider, as a simple example, the part that a yarn plays in the deformation of a plain woven fabric under tensile loading in either warp or weft direction, as this may help to illustrate the importance of some of the properties considered later in this section.

When a plain-woven fabric is subjected to tensile stress in one of the principal weave directions, it is useful to know the physical changes occurring in both directions of the structure.

Figure 6.66 shows a diagrammatic cross section of a plain weave fabric. Since Peirce's⁹⁹ geometrical model of plain cloths, a number of modifications have been made to take account of flattening of the constituent yarns.⁷⁵ We can see that flattening of the yarns is important because this gives the fabric a better cover factor,[‡] but it also reduces the fabric crimp[§] and thickness. The flattening of the yarns will give more frictional contact at their crossover points and this, along with bending rigidity of the yarns, is important to fabric mechanical properties, particularly at low fabric extensions.

Figure 6.67 shows a typical load-extension curve for a plain weave fabric which can be divided into three distinct zones. 0–I is a high initial modulus zone. This

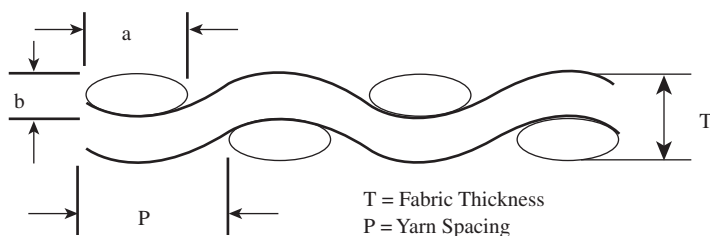


FIGURE 6.66 Diagrammatic cross of plain-weave fabric.

* Kawabata and FAST Systems.

† Defined (in the Textile Institute's *Terms and Definitions*) as the quality of a fabric or yarn assessed by the reaction obtained from the sense of touch, i.e., with regard to roughness, smoothness, harshness, pliability, thickness, etc.

‡ The area of a fabric covered by the constituent yarns.

§ The waviness or distortion of a yarn that is a result of interlacing in the fabric (as defined in the Textile Institute's *Terms and Definitions*).

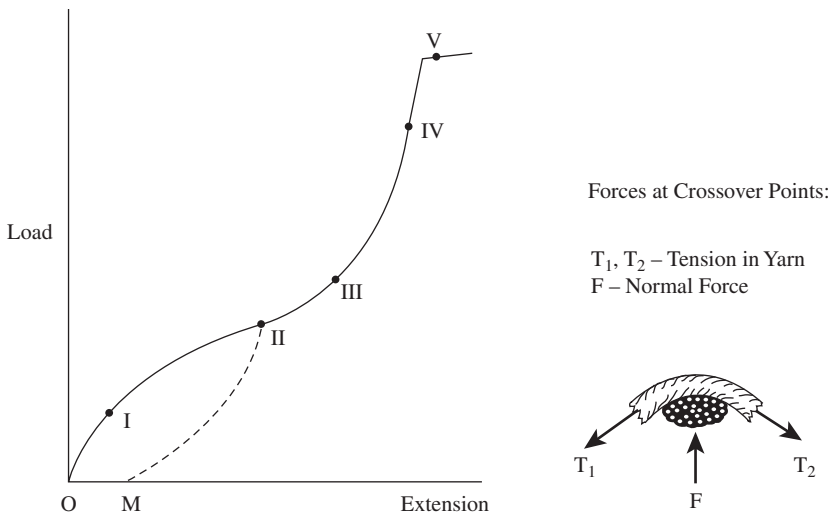


FIGURE 6.67 Load-extension curve of plain-weave fabric.

high resistance to extension is largely caused by the initial bending modulus of both warp and weft yarns as a result of interfiber frictional resistance. I–II is the yarn decrimping zone. Once the load exceeds the frictional resistance to yarn bending, increasing extension occurs at much lower increases in load. The increases in load is required for the following:

1. Decrimping, by unbending, the yarns in the direction of the applied load
2. Increasing the crimp of the orthogonal yarns
3. Increasing the compression at the crossover points

The reduction in crimp in the applied load direction will increase the fabric length, and the increase in crimp in the orthogonal direction will reduce fabric width. The increase in pressure at the crossover points will tend to prevent fiber slippage. This effect is termed *fabric assistance*.

When the crimp has been considerably reduced, further extension causes the fabric modulus to rise (Zones II–III–IV). The compression at the crossover points enables yarn and fiber extension to take place. Beyond point III, the tensile modulus is almost comparable to the fiber modulus and remains constant until the fiber yield point. Beyond the yield point, the modulus decreases rapidly, and the fabric breaks at the point of fiber rupture.

The tensile properties of the fabric within the region III–V and during the process of yielding are largely governed by the fiber and yarn properties rather than the fabric structural properties. However, fabric assistance increases the strength of the fabric, so the fabric strength is greater than the sum of the strengths of the individual threads in the direction of applied stress.

From the above discussion, we can infer that the yarn properties listed in [Table 6.12](#) are important to both post-spinning processing and fabric properties.

Therefore, they are the ones we shall now consider in terms of yarn structure-property relation. The various methods for measuring these properties will not be described in this book. The reader unfamiliar with textile testing is advised to study in parallel with the remainder of this chapter the relevant text in the books listed in the Recommended Readings section of [Chapter 3](#).

TABLE 6.12
Yarn Properties Influencing Post-Processing
and Fabric Properties

Yarn properties
<ul style="list-style-type: none"> • Compression • Bending rigidity • Tensile • Irregularity • Hairiness • Moisture transport

6.2.3.1 Compression

Yarn compression is considered in terms of the changes in yarn diameter, or thickness, with compressive load. There are several definitions^{100,103} of yarn compression, but essentially most take the form

$$\text{Compression}\% = 100 \frac{(d_o - d_w)}{d_o} \quad (6.27)$$

where d_o = yarn diameter at minimum load (1 g/cm²)
 d_w = yarn diameter at w g/cm²

[Figure 6.68](#) illustrates how yarn diameter varies nonlinearly under compressive loading. The percentage ratio of the work done in recovery (i.e., area under the curve EC) to that for compression (i.e., area under the curve FC) is referred to as the *resilience*¹⁰⁴ and may be defined as the ability of the material to recover from compressive loading,¹⁰⁵ or the net recoverable energy.

Much research has been done, primarily on conventional ring-spun yarns, aimed at establishing an understanding of the factors governing yarn compression and deriving general equations for compression and recovery curves. Theoretical studies^{102,106,107} have been based on the simple helix model, assuming that yarn compression may be related to the bending and twisting of the fiber helices (the *compressive resistance* being the resistance of the individual fiber helix to undergoing deformation into a flattened shape). The strain energy of individual helices are then determined, and the strain energy of the yarn is then the sum of the strain energies of the individual units. [Figure 6.68](#) shows that close approximations have been obtained for the general form.¹⁰² The difference between the theoretical and experi-

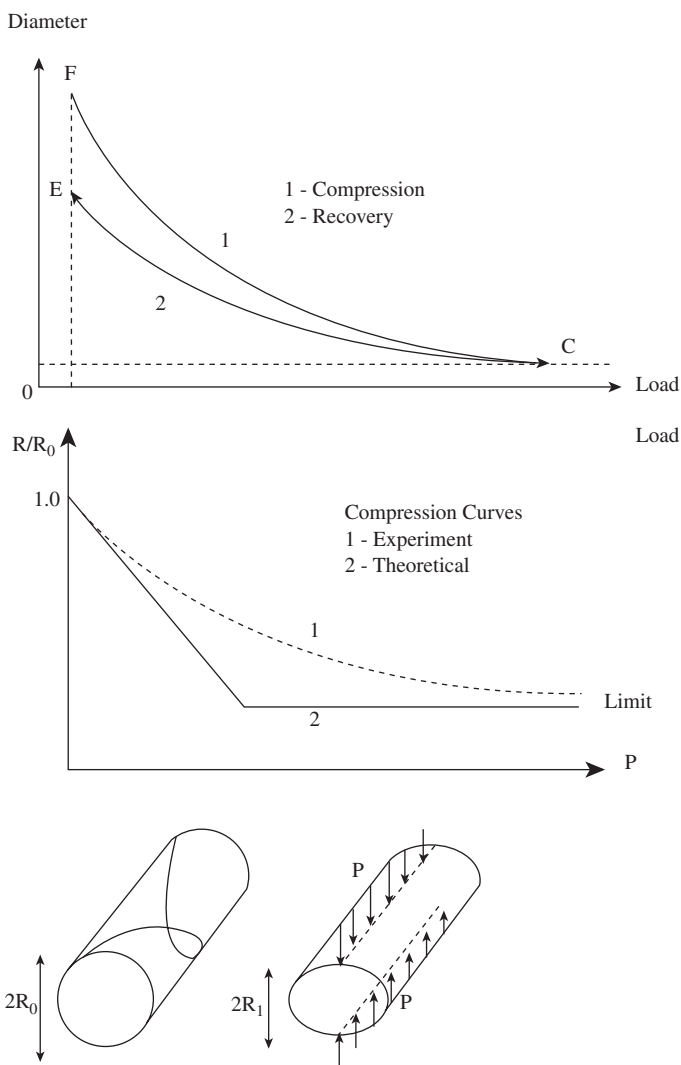


FIGURE 6.68 Idealized yarn compression and recovery curves. (Courtesy of Goktepe, F., *The Effect of Yarn Structure of the Deformation of the Yarn Cross-Section*, Ph.D. thesis, University of Leeds, 1997.)

mental results is attributed to, among other factors, the departures of real yarns from the simple helix model. Empirically derived equations show differences, probably a result of differences in test methods. However, it was generally found that spinning twist and fiber crimp were the most important factors; the compression resistance increased as these increased. Fiber length and cross-sectional shape have less effect.

The word *bulk* is another commonly used term relating to the compression characteristics of textile materials, particularly in relation to the *handle*, which, as

an aesthetic property, has a subjective element. However, the bulkiness of a yarn may be considered in terms of the volume occupied by the constituent fibers or more simply the yarn's specific volume, which is related to the yarn count, T_t , by

$$T_t = \pi R^2 \frac{10^5}{v_y} \quad (6.28)$$

where R = yarn radius (cm)
 v_y = specific volume (cm³/g)

Yarn specific volume is an indication of the fiber packing in a yarn. Ignoring the effects of irregularities, we can consider the fiber packing density, Φ_d , of a yarn to be the number of fibers per unit area perpendicular to the helix angle, in which case

$$\Phi_d = \frac{T_t}{T_f} \pi R^2 \quad (6.29)$$

where T_f = fiber fineness

Alternatively, the degree of fiber packing may be given by the fiber packing fraction, Φ_f , of the yarn, which is the proportion of the yarn cross-sectional area, perpendicular to the yarn axis, occupied by the constituent fibers. If v_f is the fiber specific volume, then the fiber packing fraction is

$$\Phi_f = \frac{v_f}{v_y} \quad (6.30)$$

In [Chapter 1](#), the helix model was illustrated with fibers in what is termed an *open packing arrangement*. Another idealized packing arrangement of fibers is called *hexagonal close packing*, which is depicted in Figure 6.69 along with open packing. Hexagonal packing involves a single or a multiple fiber core around which layers of fibers may be packed to give a hexagonal outline. However, for a large number

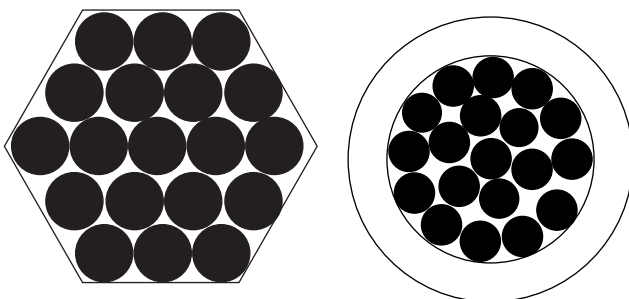


FIGURE 6.69 Hexagonal close packing and open packing.

of fibers typical of real yarns, hexagonal packing can approximate a circular shape.⁷⁵

Real yarns deviate from the above idealized form⁷⁵ because of factors such as differing fiber cross sections, compaction caused by twist, the actual number of fibers in the yarn cross section not precisely filling an exact number of layers, and the effects of migration and wrapper fibers. The net effect is that the packing density of yarns is not uniform over the cross section.

Figure 6.70 shows the cross section for several differing yarn structures spun from polyester fibers/filaments to similar counts and twist, and the deviations with respect to completion of the outer layers is evident. The concentric circles with black dots indicate locations of fibers in the yarn cross sections. It is evident that packing density varies across the yarn cross sections. Figure 6.71 shows that packing density of the filament and ring-spun yarns are fairly similar except at the high-twist levels. However, in both cases, the decrease in Φ_d from the yarn center to the periphery is initially small until the outer zone, where there is a significant decrease. With the rotor-spun structure, Φ_d decreases almost linearly from the center to the outer region of the yarn. It is fairly clear from the microphotograph that the HS yarn has a uniformly low Φ_d over the cross section. It may be expected that, with rotor yarns

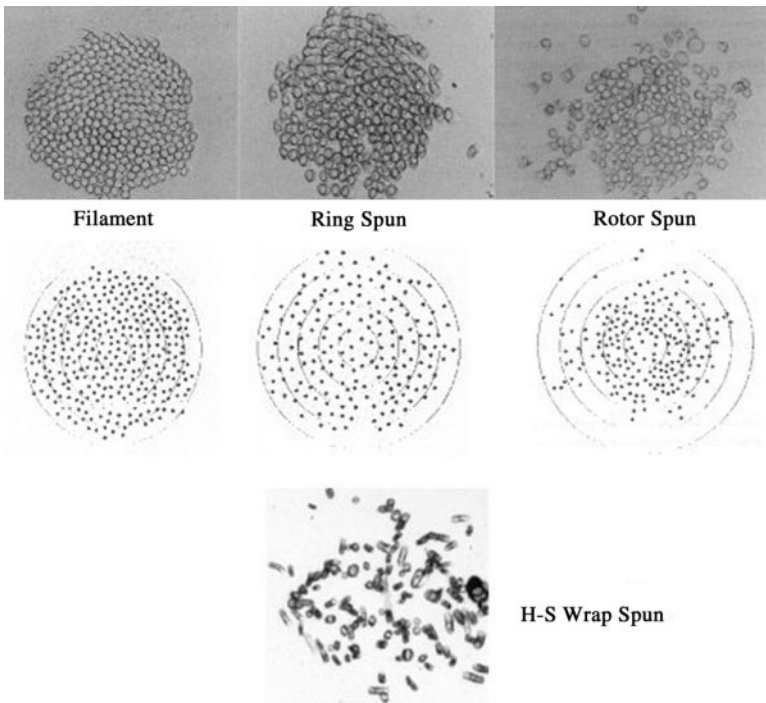


FIGURE 6.70 Cross section of differing yarn structures. (Courtesy of Goktepe, F., *The Effect of Yarn Structure of the Deformation of the Yarn Cross-Section*, Ph.D. thesis, University of Leeds, 1997.)

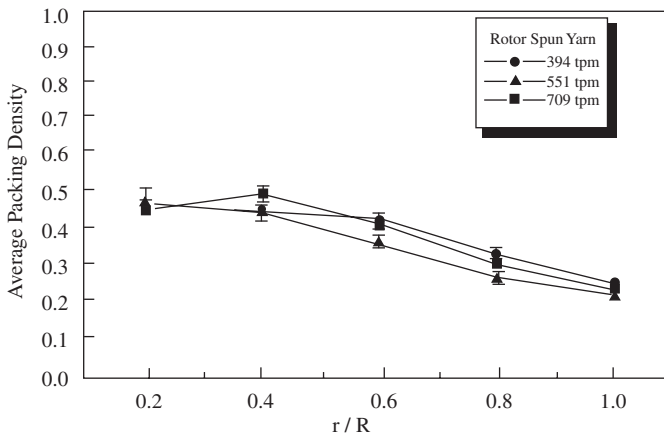
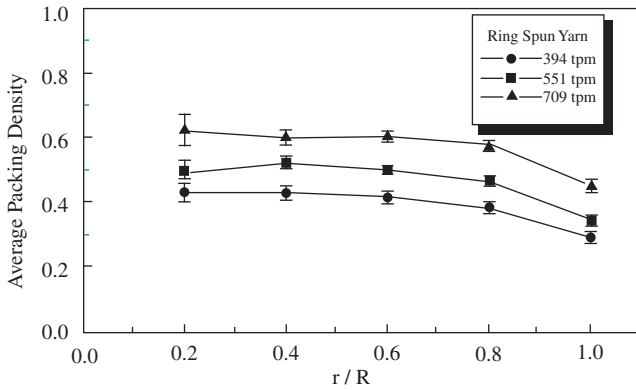
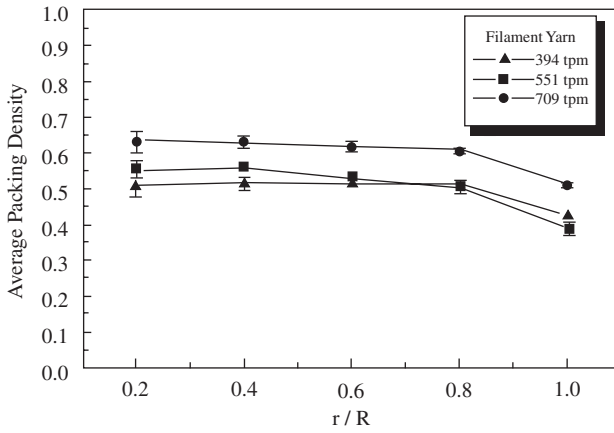


FIGURE 6.71 Packing density according to yarn types. (Courtesy of Goktepe, F., *The Effect of Yarn Structure of the Deformation of the Yarn Cross-Section*, Ph.D. thesis, University of Leeds, 1997.)

being of a lower density than ring-spun yarns, they would flatten more easily at crossover points in a woven fabric. However, because of the presence of wrapper fibers, this not the case, and the effect of the wrapper fibers is also apparent in the flexural characteristics of the yarn.

From observations of yarn cross sections,¹⁰⁹ the following relationship was found to suitably represent the fiber distribution in the cross section:

$$-f(r) = a_1 + a_2 + a_3 r^2 \quad (6.31)$$

In the equation, a_1 , a_2 , and a_3 are constants determined from observations of the yarn cross section.

A simplified form of the parabolic equation is

$$-f(u) = f_o(1 - u^2) \quad (6.32)$$

where u = normalized radius $u = r/R$

f_o = packing density at the yarn central zone, which is related to the packing density $f_o = 2\Phi$

It is claimed⁷⁴ that this model for fiber distribution in a yarn cross section can be fitted to any yarn by estimating the yarn radius and the yarn average packing density.

6.2.3.2 Flexural Rigidity

The minimum stiffness of a yarn should be the sum of the bending rigidities of the constituent fibers. However, for a closer approximation to real yarns, the effect of twist and yarn structures must be considered, i.e., the obliquity of the fibers and interfiber friction. If we assume that fibers in a yarn are sufficiently elastic to closely follow a linear relationship between the bending moment applied to them and their curvature of deformation, then, in the absence of the effects of twist and yarn structure, the yarn should also show a linear relationship given by $M = B/r$, where M is the bending moment, $1/r$ is the curvature, and B is the bending rigidity of yarn. Deviations from this linear relation should indicate the effect of twist and yarn structure.

Figure 6.72 shows a typical bending characteristic curve for yarns. For a yarn to bend, the constituent fibers must be free to move (i.e., to slip past each other). At the start of bending, interfiber friction at fiber contact points, as well as fiber stiffness, resists bending, and a rapid rise in M occurs for small changes in $1/r$. B is therefore greater than the theoretical minimum. Once the friction is overcome and the fibers are free to slip at their contact points, M becomes closer to the theoretical minimum, provided there are no further friction effects with increase $1/r$; and the fibers remain free to bend. Reversing the bending moment gives the hysteresis loop. A similarly shaped hysteresis curve is applicable to fabrics but with the added effect of yarn crimp. As indicated in Figure 6.72, the characteristic parameters of curve are M_o (the coercive couple or bending moment required to overcome the initial

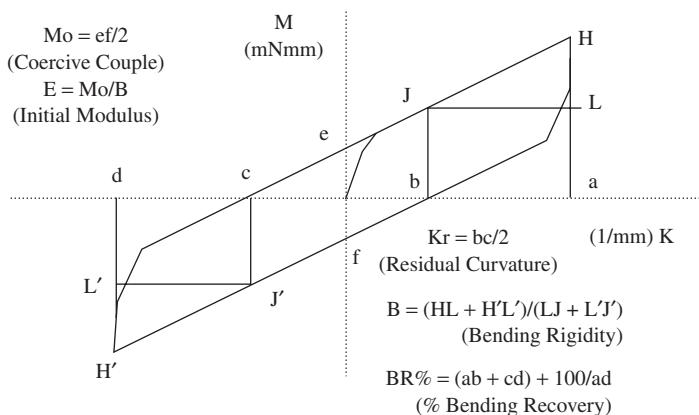


FIGURE 6.72 Yarn bending characteristic curve.

frictional resistance), the residual curvature, the percent bending recovery, the initial modulus E , and the bending rigidity B .

Using the comparison of a steel spring, the helix of twist should reduce the bending rigidity. However, fiber migration, fiber configuration, and other yarn structural features will have counterbalancing effects. Table 6.13 shows the effect of twist on a 28-tex, 48-filament polyester yarn, a 60-tex, 54s-quality worsted yarn, and a 59-tex rotor-spun cotton yarn. The yarns were steam set to prevent snarling. It is evident that twist had no effect on the bending rigidity of the filament or cotton yarn, but the rigidity of the worsted yarn decreases with twist.

Theoretically, B should decrease with twist, as seen with the worsted yarn. In the case of the filament yarn, any reduction due to the twist helix angle is counterbalanced by the increase caused by increased packing density of the filaments and radial compression. With staple yarns, the discontinuities at the fiber ends cause the torsional stresses in the fiber to be lower than in continuous filament yarns. Therefore, for the worsted yarn, this factor, along with the lower packing density resulting from the wool fiber crimp, obviates any significant counterbalance to the effect of the helix angle of twist. This is not the case with the rotor-spun cotton yarn. Here, the counterbalance effect may be attributed to the wrapper fibers, which increase with twist.

The effect of wrapper fibers in the rotor-yarn structure is applicable to air-jet yarns but not to HS yarns, where the packing density of the yarn is very low. Figure 6.73 shows B and M_o values for friction, rotor and air-jet polyester/cotton yarns of similar counts, and the resultant woven fabrics. The values are normalized with respect to ring-spun yarns, and it is evident that these structures are stiffer than the ring-spun structure. Since Table 6.13 indicates that the effect of the helix angle of twist in rotor yarns is negligible, it is likely that the difference in values between the air-jet and rotor is attributable to the frequency, length, and tightness of the wraps as well as the number of fibers wrapping a given point. The values for the friction-spun yarn are shown to be greater than rotor-spun yarn, and this may be the result of fiber compaction in the central region of the yarn caused by the characteristically highly twisted core.

TABLE 6.13
Effect of Twist and Yarn Structure on Yarn Flexural Rigidity

Twist factor (tex ^{1/2} /cm)	Rigidity, B (mN/mm ²)	Coercive moment, M ₀ (mN/mm ²) × 10 ⁻²	Residual curvature (mm ⁻¹ × 10 ⁻²)	M ₀ /B (mm ⁻¹ × 10 ⁻²)
Polyester filament (28-tex/48 fil) yarn				
10	7.2	6.2	1.1	0.9
20	7.1	10.4	1.9	1.5
30	6.8	29.8	4.5	4.4
40	7.3	46.7	6.5	6.4
50	7.2	51.8	7.1	7.2
60	8.5	76.6	8.7	9.0
Worsted (60-tex, 54s-quality wool, conventional ring-spun) yarn				
16	11.4	16.5	1.5	1.5
28	10.8	20.0	1.8	1.9
40	10.4	21.5	1.8	2.1
51	9.6	12.1	1.3	1.3
62	8.4	8.8	1.3	1.0
Rotor spun cotton (59-tex) yarn				
43.1	15.0	12.8	8.5	—
52.6	16.2	15.4	9.5	—
62.2	16.0	19.3	11.4	—

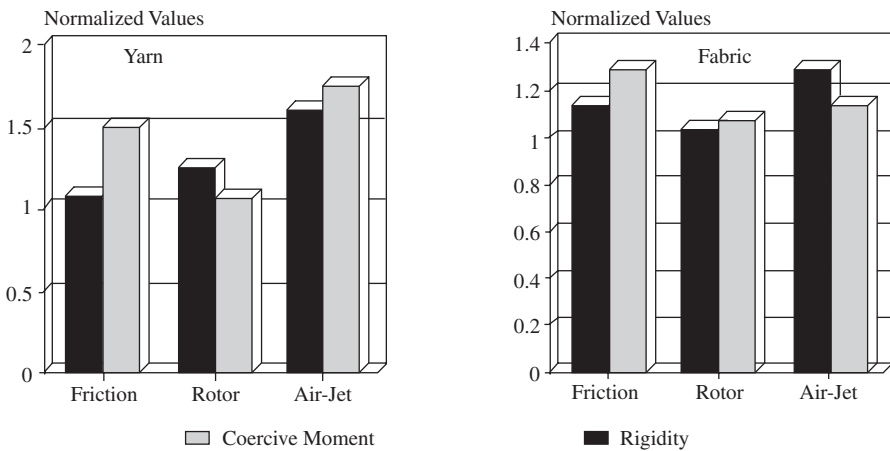


FIGURE 6.73 Effect of yarn structure on coercive moment and bending rigidity. (Courtesy of Looney, F. S., E. I. Du Pont de Nemours & Co., Wilmington, DE, private communication, 1989.)

Usually, with conventional ring-spun yarns, a finer fiber gives lower bending rigidity for a given count. However, Figure 6.74 shows that this is not necessarily the case for rotor and air-jet yarn; for both yarns, B and M_o increase with increased fineness. Figure 6.75 illustrates the results of various steps taken to modify the stiffness of the air-jet yarn, which included replacing a singles yarn with a two-ply yarn, applying a softening agent to the singles, and twisting the singles yarn in the opposite direction to the wrap helix. As may be expected, reverse twisting to loosen

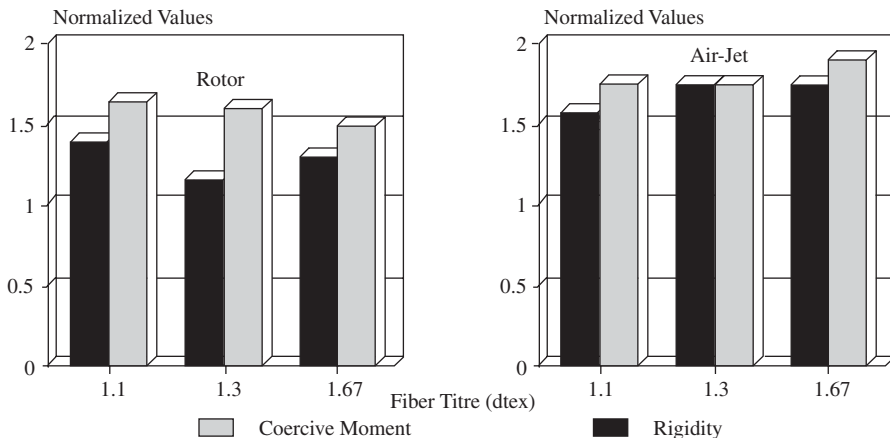


FIGURE 6.74 Effect of fiber fineness and yarn structure on bending characteristics. (Courtesy of Looney, F. S., E. I. Du Pont de Nemours & Co., Wilmington, DE, private communication, 1989.)

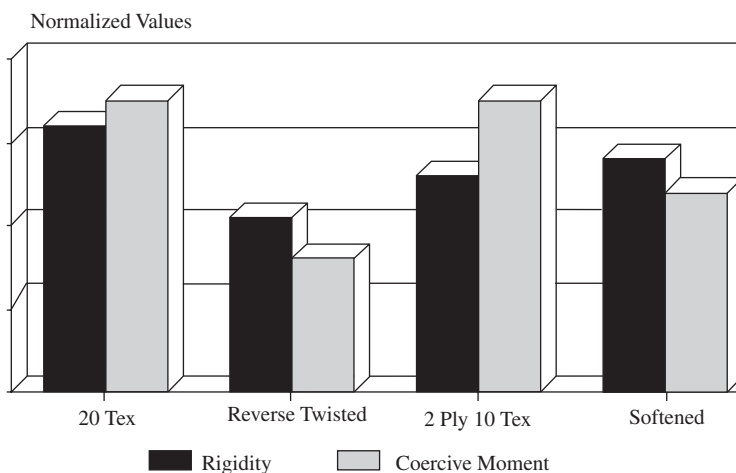


FIGURE 6.75 Effect of modifications to air-jet yarn. (Courtesy of Looney, F. S., E. I. Du Pont de Nemours & Co., Wilmington, DE, private communication, 1989.)

wraps confirms the effect of the wrapper fibers on the bending characteristics of the yarn.

6.2.3.3 Tensile Properties

The strength and extension of spun yarns are two very frequently measured properties, since they govern the processability of a yarn.¹⁰⁸ Much of the understanding of the tensile characteristics and failure mechanism of yarns has resulted from attempts to develop theoretical models simulating yarn behavior. An extensive amount of work has been published on yarn mechanics,^{75,110–114} which primarily consists of theoretical models of yarn structure and application of these models to predict yarn tensile properties based on the properties of the constituent fibers, generally one type of fiber. Because of the complexity of staple yarn structures, no theoretical model for predicting the staple yarn properties has yet reached the position where it is widely accepted to be of practical use. Empirical models^{115,116} have also been reported but have the limitation of being applicable to specific yarn types, usually over a narrow range of conditions. The theoretical and empirical studies have nevertheless given useful insight into the relationship between yarn structure and properties and the effect of processing conditions.

With respect to yarn tensile properties, the failure mechanism of staple yarns containing twist is generally explained in relation to their nonlinear tensile behavior, typified by Figure 6.76. When load is applied to a yarn, tension is induced in each fiber through shear forces between the fibers. The amount of tension induced in a given fiber will depend on the load distribution over the yarn cross section in relation to the fiber position in the yarn, the yarn twist level, and, importantly, the spun-in length of fiber. Figure 6.77 illustrates that relative shear stress is greatest at the fiber ends and that tension builds up from the fiber ends. It can be seen that low twist levels, because of low fiber packing, do not facilitate a good transfer of the load applied to a yarn. It should also be evident that low K_F values and short fiber length do not enable effective utilization of fiber strength.

As a proportion of load pulls on a fiber end, the fiber helix extends until it tightens onto the other fibers around which it is twisted, compressing these fibers together. As the load increases, the fiber itself starts to extend, simultaneously increasing the compression. The relative changes in yarn cross section and length, i.e., the Poisson's ratio for the yarn, σ_y , is given by

$$\sigma_y = \frac{dR/R}{dL/L}$$

where R and L = the yarn radius and length

dR and dL = changes in the parameters with tensile load

Considering the simple helix model and the geometry for one turn of twist, it is evident that the actual extension of a filament will be greater as the filament is closer to the yarn axis; a filament on the yarn axis will begin extending with the onset of loading, i.e.,

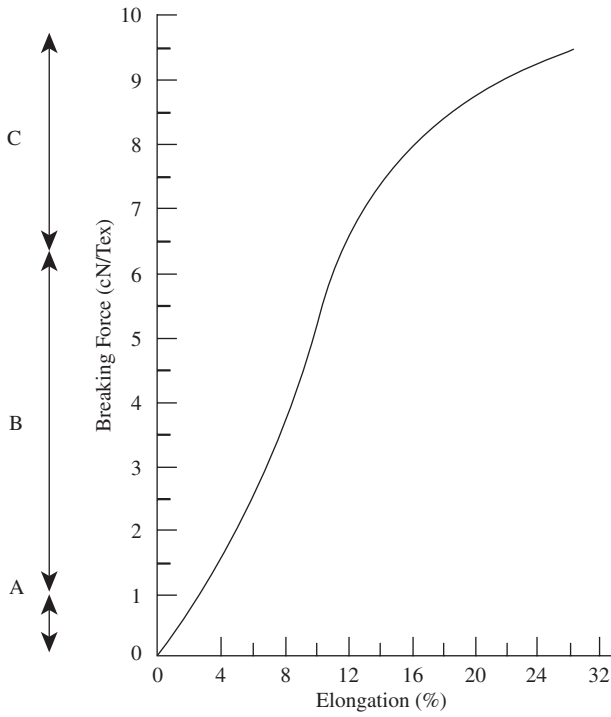
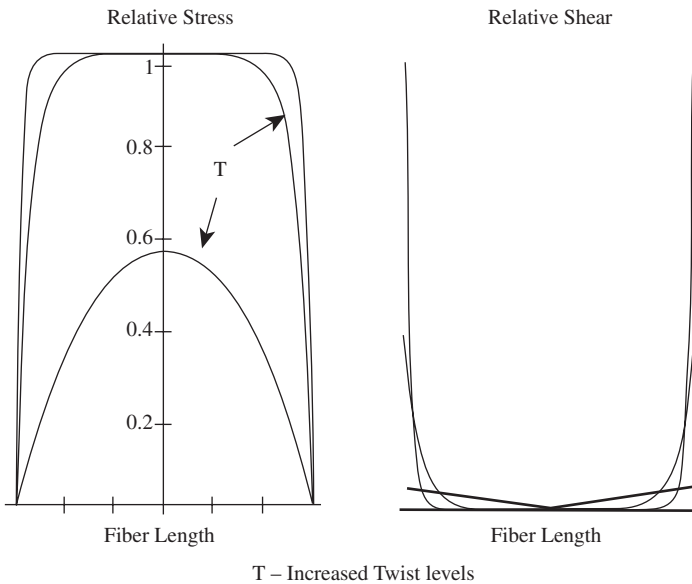


FIGURE 6.76 Example of tenacity-extension characteristics of a twisted yarn.



T – Increased Twist levels

FIGURE 6.77 Stress induced in fiber with applied load to yarn.

$$\varepsilon_f = \varepsilon_y [\cos^2 \theta - \sigma_y \sin^2 \theta] \quad (6.33)$$

$\varepsilon_f = dL_f/L_f =$ filament extension/fiber filament length

$\varepsilon_y = dL_y/L_y =$ yarn extension/yarn length

where $\varepsilon_f =$ filament strain

$\varepsilon_y =$ yarn strain

$\theta =$ the helix angle of the filament at a given radial distance from the axis
(see [Figure 1.4](#), Chapter 1)

For the case of staple yarns, Hearle⁴⁰ has modify the above equation to give

$$(1 + \varepsilon)^2 = (1 + \varepsilon_y)^2 \cos^2 \alpha + (1 - \sigma_y \varepsilon_y^2) \sin^2 \alpha \quad (6.34)$$

where ε_f , ε_y , σ_y , α are the parameters relating to staple yarns.

All fibers in the spun yarn will be performing the above task and, by doing so, resist slipping past each other. The tensions induced in the fibers have radial and axial components. The twist inserted into the yarn causes residual stress in the fibers. This also has radial and axial components, and therefore the induced tension adds to it. The relative size of the tension components will depend on the changed helix angle corresponding to the applied load. The inward pressure from the radial component increases with fiber extension as the load increases; consequently, the inter-fiber friction increases.

The initial part of the curve in [Figure 6.76](#) (region A) denotes a linear behavior where the increasing inter fiber friction prevents fiber slippage when small stress is initially applied. With increased stress, fibers begin to slip (region B) as the helix begins to extend and then locks, and the structure tightens to take further loading. From here on (region C), the load increases with a combination of fiber slippage and fiber breakage that eventually result in the yarn break. To increase the proportion of fiber breakage to slippage and thereby the yarn strength, the twist may be increased so that the induced tension on fibers has a larger radial component.

With a higher level of inserted twist, the helix angle is greater, and the effect of resisting fiber slippage under yarn loading should increase. With the helix model, however, it can be shown that, at low yarn strains, the obliquity of the helix reduces the contribution of filament modulus, E_f , to yarn modulus, E_y , i.e.,

$$E_y = E_f \cos^2 \alpha \quad (6.35)$$

Thus, as the tenacity is given by $S_y = E_y \varepsilon_y$, the yarn strength should decrease with increased twist. Therefore, in staple yarns, there are two opposing effects of twist: the resistance to fiber slippage and the reduced contribution of fiber modulus. Hearle⁷⁵ has modified Equation 6.35 for the case of staple yarns to take into account the effect of migration and discontinuities.

$$E_y = E_f \cos^2 \alpha [(1 - k) \operatorname{cosec} \alpha] \quad (6.36)$$

$$k = \frac{2[(r_f Q)/\mu]^{1/2}}{3L_f} \quad (6.37)$$

where L_f = fiber length
 r_f = fiber radius
 μ = coefficient of interfiber friction
 Q = length of fiber in one migration cycle

6.2.3.3.1 Effect of Twist

Based on the modified equation, Figure 6.78 shows the modulus for staple yarns should increase with twist to a maximum value and then decrease with further increases in twist, whereas, for filament yarn, the modulus decreases with twist. A plot of staple yarn strength against twist or twist multiple gives a similar characteristic curve. Figure 6.79 shows examples for various staple yarn structures. In the case of the Dref-2 and air-jet yarns, the twist and wrap measurements were difficult to obtain; the yarn strength values are therefore plotted against friction drum speed and air-jet pressure, since these parameters are directly related to the degree of twist and level of wraps.

With regard to the ring-, rotor-, and Dref-2 yarns, their strengths initially increase with twist up to a maximum, the corresponding twist or twist-multiple being the optimal twist (t_{op}) or twist-multiple (TM_{op}). This part of the curve is attributed to the interfiber frictional resistance to fiber slippage and is called the *coherence region*. Yarn breaks are usually the result of a combination of a proportion of the constituent

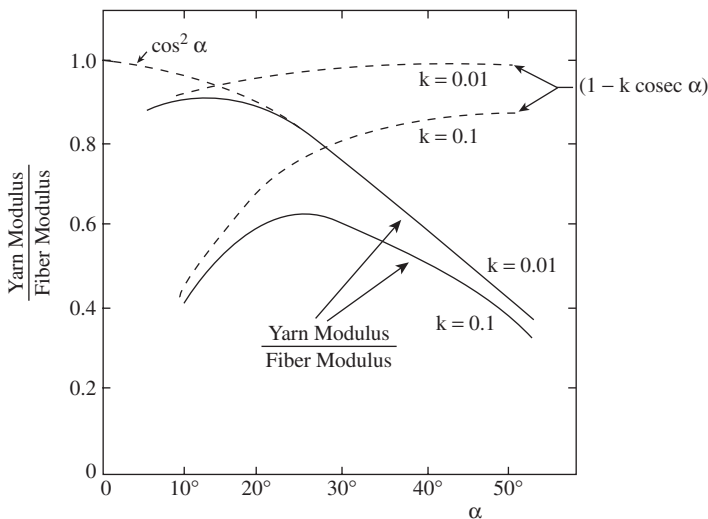


FIGURE 6.78 Effect of twist helix angle on yarn modulus.⁷⁵

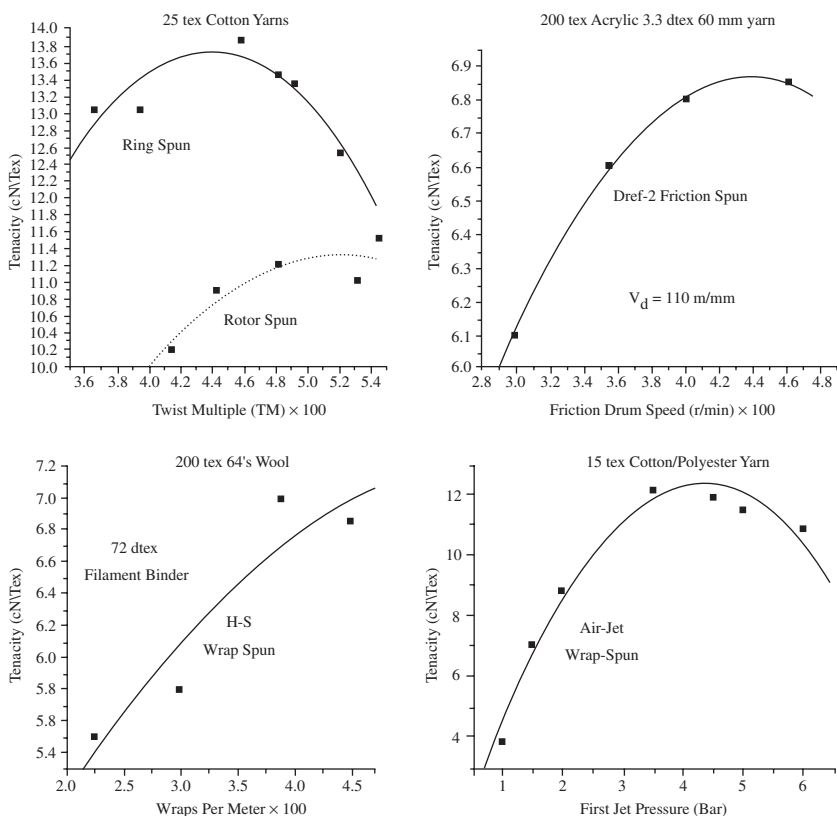


FIGURE 6.79 Effect of increasing twist on yarn strength.

fibers breaking and the rest slipping; the greater the amount breaking, the stronger the yarn. At low twist, yarn failure will be mainly the result of fiber slippage. With increasing twist, yarn diameter decreases, while fiber packing and frictional contact increase, thereby enabling an increasing number of fibers to be extended to break. It is reported that about 60% of fibers break at the peak yarn strength.¹¹⁷ Beyond the optimal twist, the strength decreases with twist resulting from a reducing contribution of the fiber modulus to the yarn modulus as the fiber helix angle becomes more oblique. Physically, this means that more of the yarn extension is being used to extend the helical shape of the fiber rather than the fiber itself. Therefore, the contribution of fiber modulus to yarn modulus decreases.¹¹⁰ This latter part of the curve is termed the *obliquity region*. It is likely that from TM_{op} onward, increasing the twist increases the residual strain in some fibers, particularly those in the outer zone of the yarn, to the point at which their breaking strain is now reached at lower applied loads.¹¹⁷ Thus, added factors to the obliquity effect may be (1) reduced radial pressures, i.e., lower than that at optimal twist, and (2) an associated increase in slippage as more and more fiber lengths reach their breaking strain at lower applied loads as result of further increases in twist. TM_{op} may therefore be seen as the twist multiple at which fibers begin to break because of twist.

Rotor and Dref yarns are, respectively, 21 and 25% weaker than conventional ring-spun yarns of similar counts. The much lower strengths of these yarns are largely the result of their much lower K_F values; both open-end yarns have lower packing densities than ring-spun yarns, and this is therefore a further reason for their lower strengths. Compact ring-spun yarns can be up to 10% stronger than conventional ring-spun yarns.

The above effects of increased fiber helix angle are applicable to wrap-spun yarns. For air-jet yarns, the initial increases in strength with jet pressure suggest increased wrap angles and levels of wrap, and associated increases in cohesion between the parallel fibers of the yarn core. From the peak strength onward, a combination of the breaking of wrapping fibers at lower applied loads, along with fiber slippage and breaking within the core, causes the decreases in yarn strength with further increases in jet pressure. Hollow-spindle yarns are normally spun at the low wrap levels shown in the graph, which are much below the equivalent TM_{op} . However, it can be seen that the coherence trend is present with increased wraps.

For ring-spun yarns, the elongation at break increases continually with twist, initially at a rapid rate, until TM_{op} is reached, after which the increase is at a much-reduced rate (see Figure 6.80). As the figure shows, this is also the general trend for the other yarn structures.^{88,118,119} Like the coherence-obliquity curves, the initial

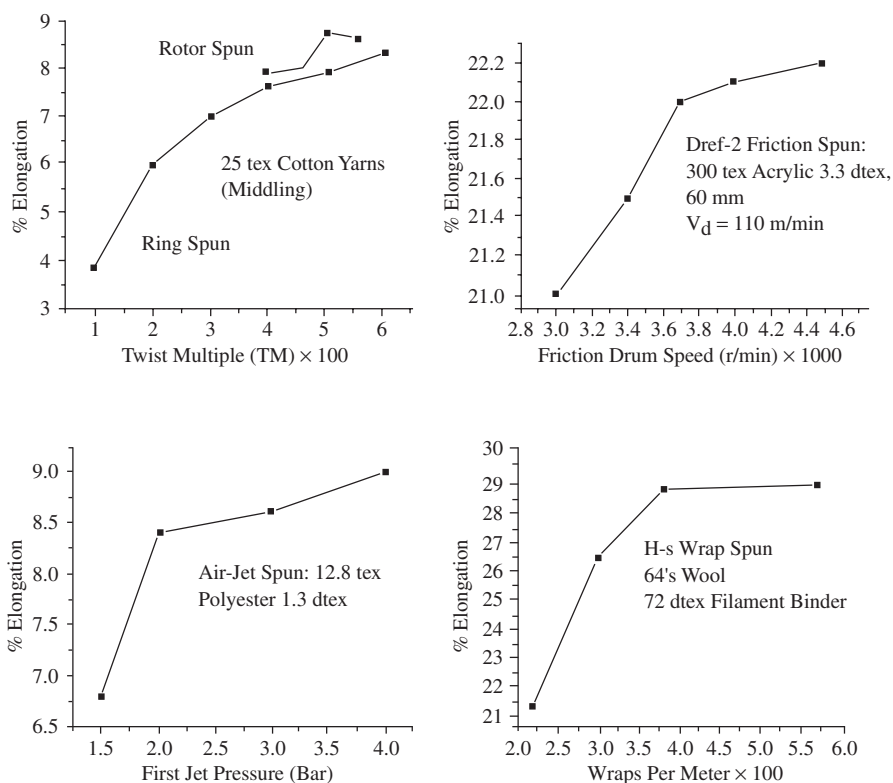


FIGURE 6.80 Effect of twist on yarn elongation at break.

rise in extension is the result of increased interfiber friction; as slippage between fibers decreases, and more and more fibers undergo extension. As fiber breakage, attributed to the effects of increased twist, begin at TM_{op} , the corresponding reduction in radial pressures lead to increased fiber slippage and, consequently, the rate of increase in yarn extension becomes much lower.

The coherence-obliquity curves for yarn strength and elongation follow the same trend for all yarn counts. However, whereas the strength of twisted yarns increases with count, the converse occurs for wrap yarns. In twisted yarns, each fiber helix contributes to the radial pressures that give frictional resistance to fiber slippage; so, at a set twist level, the greater the number of fibers in the yarn cross section, the stronger the yarn. With wrap-spun yarns, only the surface fibers or the wrapping filament impart the radial pressures to give coherence to the core of parallel fibers. Therefore, for the same material and wrap level, as the count increases, the relative proportion of binder and core is insufficient to increase or maintain the yarn strength.

The TM_{op} for a given fiber and yarn structure is not always used in practice. TM values used for spinning twisted yarns in particular largely depend on the end use and fiber type. Table 6.14 gives examples of typical twist multiples used in the spinning of short- and long-staple yarns.³ Because of the difference in required aesthetics and wear, yarns for knitting (knitting yarns) are of lower twist levels, and, generally, wool and wool blends have lower twist that cotton and cotton blends. The latter, being the much shorter fiber, requires greater twist to effect stress transfer through relative shear (see [Figure 6.77](#)).

TABLE 6.14
Typical Twist Multipliers

Cotton and blends		Wool and blends	End use
Short cottons	Long cottons		
3600–4800	3170–3650	2050–2400	Weaving (warp)
2170–3650	2400–2860	1750–2050	Weaving (weft)
	2050–2550	1420–1750	Knitting

TM values based on the yarn tex count system.

Yarn irregularity essentially consists of the variations of the number of fibers in the yarn cross section, and such variations will have a greater reducing effect on the mean yarn strength of fine-count yarns. This is particularly true with twisted yarns, because the inserted twist concentrates in the thinner areas of the yarn. Although irregularity is a contributing factor to the yarn count-strength relationship, the influence of radial pressure is fundamental.

Commonly, spinning systems employing opening rollers for drafting the material feed produce yarns of lower irregularity than those using roller drafting. This difference is reflected in a comparison of the yarn-strength distributions, as is illustrated in [Figure 6.81](#) for ring- and rotor-spun yarns. Even though the mean strength of the rotor yarn is generally lower, it has the better strength variation. The narrower distribution means fewer weak places in the rotor yarn, and this can be an advantage

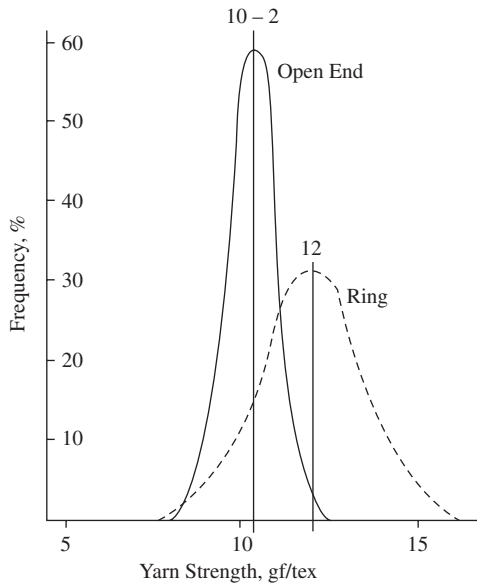


FIGURE 6.81 Yarn strength distribution.

in post-spinning processes where fluctuation in the tension of a running yarn during the process may cause tension peaks to break the yarn. With the appropriate setting of mean tension, there should be fewer peak-tension breaks for rotor yarns than for ring yarns. As explained latter, the rotor yarn irregularity can become greater than ring yarns at the very high production rates possible in rotor spinning. However, for a given count, rotor yarns are the most consistent in strength (CV% 5.1 to 10.8), followed by Dref (CV% 4.36 to 17.5), and then ring (CV% 7.22 to 20.95). This is because rotor-spun and DREF yarns, being made from sliver and with opening roller drafting, are not as affected by roller drafting waves as are ring yarns. For Dref-3 yarns, the CV% increases with count, possibly because of an uneven distribution in the wrapping of fibers around the core. But a staple-fiber core will give a lower CV% than filament core, suggesting that there is good cohesion between the staple-fiber core and the wrapper fiber sheath.¹²⁰

6.2.3.3.2 Effect of Fiber Properties and Material Preparation

From the above discussion, we can reason that, in addition to the obvious properties of strength and elongation, the fiber parameters important to yarn strength are fineness, length, and length distribution. Generally, the finer and the longer the fiber, the stronger the yarn.

Although fiber strength is an obvious principal property, the utilization of the fiber strength is the key factor. In this regard, we can infer from [Figure 6.77](#) that the ratio of the surface area to volume of a fiber has importance in that, with adequate surface frictional properties, long and fine fibers will enable, through relative shear, more efficient transfer between fibers of any load applied to the yarn. Thus, for a given fiber type, the finer and longer the fibers, the stronger the yarn should be.

The efficiency of load transfer is a function of the cohesion of the fibers facilitated by the yarn structure. In essence, this is represented by k in Equations 6.36 and 6.37. However, in more qualitative terms, we may write¹²⁴

$$\text{Cohesion} \rightarrow \mu \times N_Y \times L_f \times P \quad (6.38)$$

where \rightarrow = proportional to the parameters listed

μ = fiber/fiber coefficient of friction

N_Y = number fibers in yarn cross section

P = a yarn structure factor, which enables the occurrence of radial pressures for interfiber friction to come into effect

Thus, for the case of the twisted yarn structures,

$$P \rightarrow \sin \alpha \times E_f \times \varepsilon_f \quad (6.39)$$

and for wrap-yarns

$$P \rightarrow N_F \times \sin \alpha \times E_f \times \varepsilon_f \quad (6.40)$$

where N_F = number of fasciating fibers or filaments

α = mean twist or wrap angle

E_f = fiber modulus

ε_f = fiber strain

It can be seen from the above expressions of proportionality that, the finer the fiber, with regard to N_Y and N_F , the better the cohesion and, hence, the yarn strength. This is illustrated in Figure 6.82. These figures are not strictly representative of commercial values, since a 20-tex yarn count is not within the favorable count range for air-jet yarns (see Figure 6.1); the figures are presented only to illustrate the point being made.

With filament wrap-spun yarns, the load-elongation characteristics of the filament play a significant part in the P factor with respect to the effect changes in E_f , with increasing extension, have on yarn strength. Figure 6.83 illustrates this by showing the load-elongation characteristics of two polyester filaments of different modulus and that of the resultant yarns. The figure also shows the difference in the strength and elongation of the two yarns with increasing wraps per meter. It is clear that the filament with the lower extension but higher breaking load gave the stronger, more extensible yarns. Monofilament and multifilament yarns of similar load-elongation characteristics gave wrap-spun yarns of equivalent tensile properties.

With respect to length, the importance of having the extent of a fiber approximately equal to its full length when twisted into the yarn (see Figures 6.51 and 6.56) has led to the direct association of fiber length utilization, L_U , with fiber strength utilization, n_L where

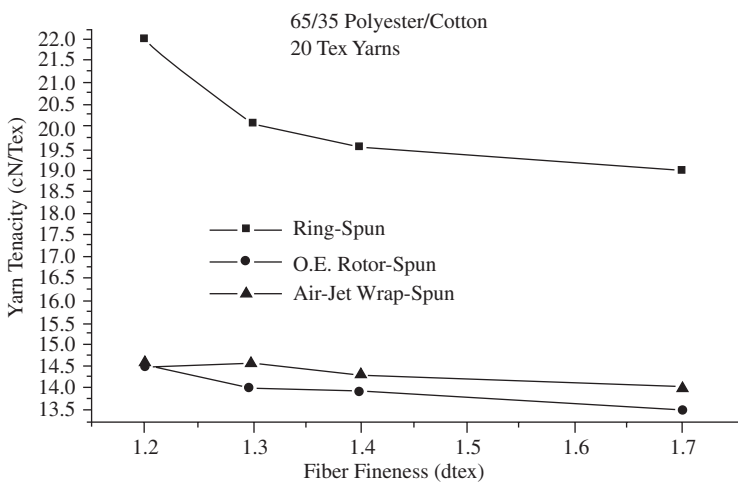
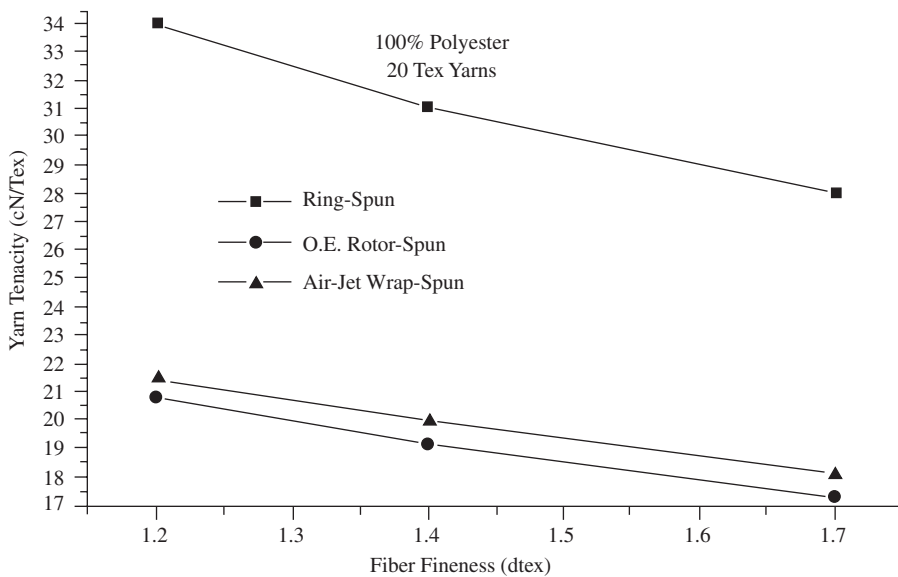


FIGURE 6.82 The effect of fiber fineness on yarn tenacity.

$$n_L = \frac{\text{Actual yarn tenacity}}{n \times \text{single-fiber tenacity}}$$

where n = the number of fibers in the yarn cross section

and

$$L_U = \frac{\text{Mean fiber extent}}{\text{Mean fiber length}}$$

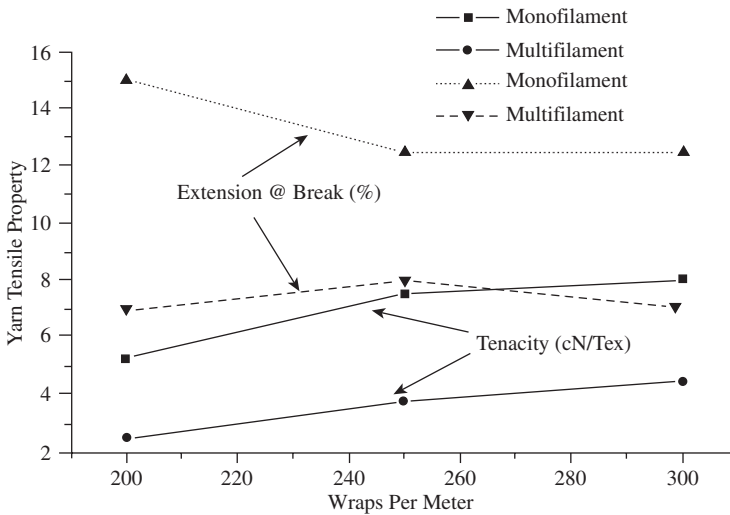
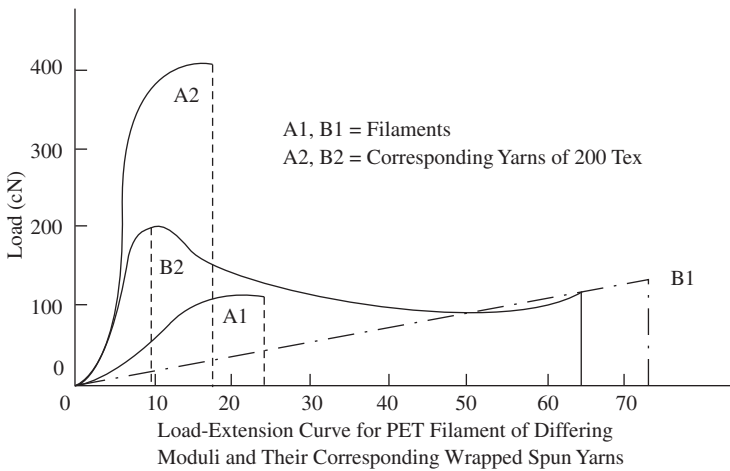


FIGURE 6.83 The effect of filament characteristics on the tensile properties of hollow-spindle wrap-spun yarn.

If lengths equal to 50 to 60% of the staple length were cut from different yarn types (e.g., ring and rotor yarns) and the fibers in the cut lengths of each yarn type straightened and aligned to give comb-sorter diagrams, then the diagrams obtained would be similar to [Figure 6.84](#).¹²⁵

If all the fibers lengths were fully utilized (i.e., fiber extent approximately equal to fiber length), the ideal length distribution would be obtained. The shaded section would be short lengths due to overlapping of the fiber lengths in the yarn. In practice, the ideal distribution is never achieved, but we have seen that material preparation has a major effect on the fiber extent in yarns, particularly ring-spun yarns; combing gives the most straightened fibers in the feed sliver and this is reflected in the yarn.

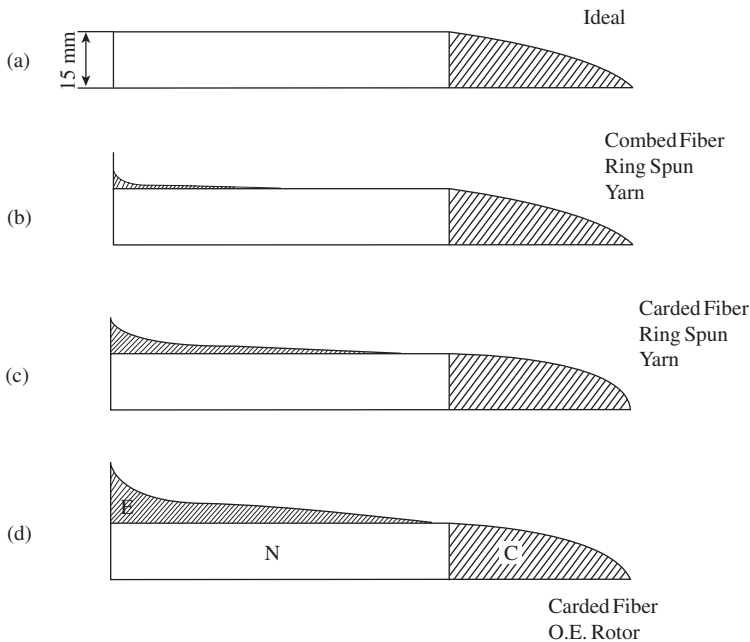


FIGURE 6.84 Fiber parallelism in yarn structure.¹²⁵

Hence, with (b), (c), and (d) in Figure 6.84 showing departures from the ideal length distribution for combed and carded ring-spun yarns, and for OE rotor yarns, it can be seen that combed yarns give the better length utilization. If we ignore the shaded section, C, of the diagram, then the departure from the ideal length utilization can be quantified by what is termed a *parallel factor*, P_π , given by the formula,

$$P_\pi = 1 - E/N \quad (6.41)$$

where E and N are as shown in the Figure. 6.84.

As may be anticipated, P_π values follow a similar trend to K_F values, i.e., spun-in length coefficient, for the three yarn types. However, importantly, Figure 6.85 shows a linear relationship between fiber strength utilization and P_π , the correlation coefficient being 0.959 ± 0.023 . The linear relationship is given by the equation

$$n_L = 1.32 P_\pi - 0.59 \quad (6.42)$$

P_π and K_F will follow similar trends with regard to yarn structure. It therefore may be expected that spinning systems employing drafting roller units for fiber mass attenuation will produce stronger yarns when fiber lengths are increased. With an opening-roller system, possible fiber breakage with increased length and the buckling of fibers during deposition on to collecting surfaces may tend to limit the beneficial effect of increased fiber length, and this would largely explain the contradicting findings reported in the literature.

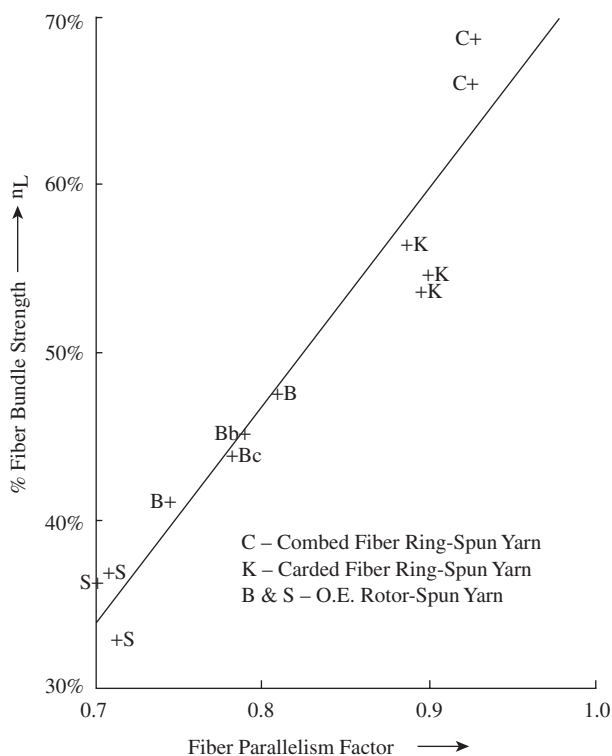


FIGURE 6.85 The effect of fiber parallelism in yarns on fiber strength utilization.¹²⁵

Vaughn and Rhodes¹²⁶ found that increased fiber length contributed to increased strengths of rotor yarns. Solhotra¹²⁷ concluded from his work with viscose fibers that there should be an optimal staple length for obtaining the maximum strength in OE rotor yarns. Stalder¹²⁸ found that rotor yarn strength initially increases with staple length up to 40 mm and then remains constant, although, with coarse fibers (>1.7 dtex), instead of a leveling out, there is a slight increase up to 60 mm. Bancroft and Lawrence¹²⁹ worked with polyester, nylon, acrylic, and polyolefin fibers and found that the best yarn properties were obtained with a near-rectangular staple diagram for staples lengths between 32 and 38 mm. Srinathan et al. claims that, for viscose fibers spun from 38-mm, 44-mm, and 51-mm fibers, the strongest yarn was obtained with the 38-mm fiber length. In contrast to these findings, London and Jordan¹³⁰ found little change in that rotor yarn strength when the mean fiber length was increased from 32 mm to 38 mm or even when 50 mm was tried. For these studies, a 45-mm rotor diameter was used with the shorter lengths and a 55-mm diameter with the 50-mm and 51-mm lengths. In general, the consensus view would appear to be that 38 mm is the optimal fiber length for rotor spinning.

Regarding length distribution, it follows from the discussion in [Chapter 5](#), on the principles of roller drafting, that combed ring yarns are stronger than carded ring-spun yarns, not only because of improved fiber length utilization but also as a result

of the removal of short fibers. Short fiber lengths do not enable a high relative stress to be induced in the short fiber as a result of slippage at the fiber ends. Also, importantly, the associated drafting waves would mean weak places within the yarn. However, owing to the cyclic aggregation of the fibers in rotor spinning and the low K_F of the longer fibers, short fibers have a less detrimental effect on rotor yarn strength.

It then follows that yarns produced from combed material (see Chapter 2) are stronger, as the short fibers removed in combing would contribute little to yarn strength and much to yarn irregularity. Figure 6.86 shows the effect of combing on ring and rotor yarns, and it is clear that the benefit seen with the conventional ring-spun yarns is not as evident with rotor yarns. The improvement in irregularity for the rotor yarn is not fully transferred to its strength because of the K_F factor. The benefit for the conventional ring-spun yarn would be greater for case of compact ring-spun yarn.

In general, combing makes it possible to spin more uniform and stronger yarns and is therefore widely used for production of fine-count short-staple yarns, including rotor-spun^{121,122,123} and worsted yarns. The importance of the number of drawing passages in preparing the material for spinning is illustrated in Figure 6.87. The yarn strength increases because of the improved straightening of fibers, i.e., the associated increase in K_F , and the fiber length utilization. The figure also shows the advantage gained by feeding the prepared material to the spinning process so that any remaining hooked fibers have their hooked ends trailing (T) rather than leading (L) during drafting.

The points made above in relation to ring- and rotor-spun yarns are applicable to the other yarn types, particularly air-jet and HS yarns, where the core fibers are required to be straight and parallel to obtain maximum yarn strength.

In Section 6.1.4.1, it was explained that short-staple air-jet yarns are generally made from 100% polyester or polyester-cotton blends. We can reason from the above discussion that, for the spinning of air-jet yarns, it is necessary to have a well combed

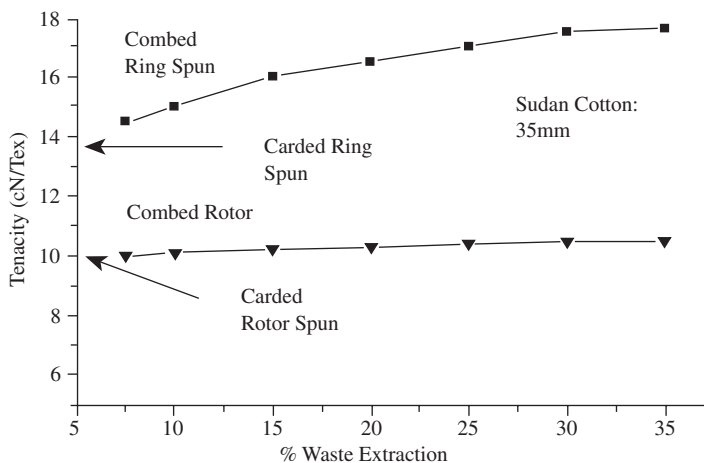


FIGURE 6.86 Effect of combing on yarn strength.

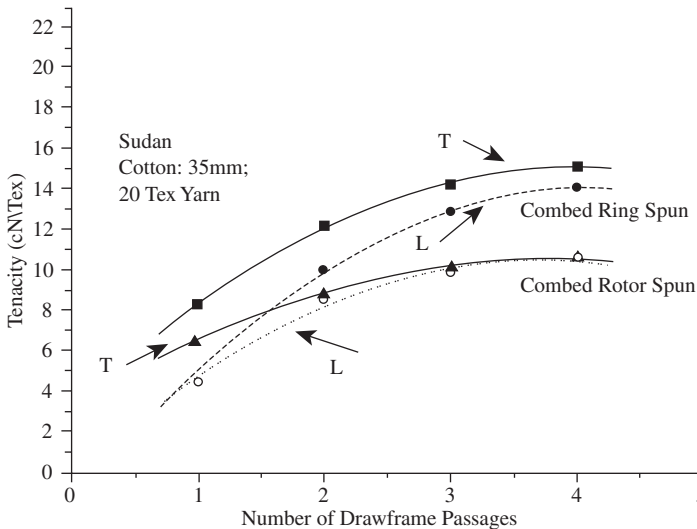


FIGURE 6.87 Effect of drawframe passages and direction of fiber presentation on yarn strength.

cotton component in the blend and a suitable number of drawing passages so as to utilize as much as possible of the fiber length in wrapping.

6.2.3.3.3 Fiber Blends

The blending of fibers is carried out to either reduce the cost of a product or, more often, enhance the product's aesthetics or performance. The influence of fiber properties on yarn tensile properties makes knowledge of the effect of blend ratio an important issue in the production of yarn blends.

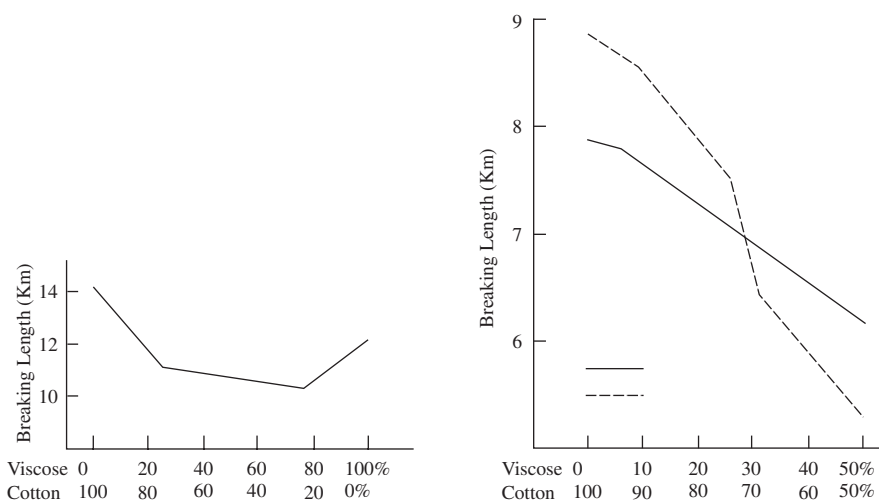
There are three possibilities of mixing textile fibers.

1. Mixing of yarns in a fabric
2. Doubling of different singles yarns
3. Raw fiber blending as discussed in [Chapters 2 and 5](#)

From the position of yarn manufacturing, we will concern ourselves only with items 2 and 3.

The strength of a doubled yarn will depend on the tensile characteristics of the constituent yarns. However, the folded yarn produced from, say, two yarns, each spun from a different fiber (i.e., 100% of fiber A and 100% of fiber B) will not be as strong as a folded yarn produced from yarns of the blended fibers.

In blending two different fiber types, the length and fineness of the fibers are important to the irregularity of the yarn, as will be explained below, and therefore are also of importance to yarn strength variation. Nevertheless, the mean strength of a yarn spun from a blend of fibers will always be less than the yarns of equivalent count spun from 100% of each component, as [Figure 6.88](#) illustrates for a range of



Note: Breaking length is the calculated length at which, theoretically, the yarn should break under its own weight.

FIGURE 6.88 Effect of blend ratio on viscose rayon/cotton yarn strength.

cotton/rayon blend ratios of ring-spun yarns in the wet and dry states. This is largely the result of the difference in tensile properties of the component fibers. The induced stress in the fibers will differ between the blend components. On loading the yarn to break, the component fibers of the lower extensibility will take greater load and are likely to break before the more extensible fiber. To circumvent a premature yarn break, the load-elongation characteristics of the fibers should be similar. It is the difference between the extensibility of the fibers that accounts for yarns spun from blends being weaker than the 100% spun components.

6.2.3.3.4 Effect of Spinning Machine Variables

When describing the properties of the differing yarn structures, it is important to consider the effect of machine parameters, particularly of the open-end and wrap-spinning systems, which have been the focus of much research. The results of most factorial experiments carried out show no substantial interactions between the principal variables, so, for the sake of simplicity, only the main effects will be considered.

As mentioned earlier, opening-roller drafting provides for lower yarn irregularity than roller drafting systems. The factors of importance in opening-roller drafting are the opening roller saw-tooth or pin type clothing, the point density of the clothing, and the opening-roller speed. Similar to carding, different types of clothing are used, based on manufacturers' experiences in spinning a wide range of fiber types. Not surprisingly, then, with several machine and ancillary component manufacturers within the market, there are differences in specifications. But, basically, there are three types of opening rollers, and Table 6.15 gives a typical example of their specifications. Several studies have been published on the effect of opening-roller clothing in rotor spinning.^{131,133} The main findings relate to the required angle of tooth and point density to obtain effective fiber separation with minimal fiber break-

age. The point densities and tooth angles listed in the table are within the range found for optimal performance with regard to the particular fiber types indicated.

TABLE 6.15
Examples of Opening Roller Types

Type	Clothing details
Suitable cotton and viscose, saw-tooth type	80° rake, 120 teeth per 2.54 cm ²
Suitable for synthetics, saw-tooth type	90° rake, 60 teeth per 2.54 cm ²
Suitable for cotton and man-made fibers, pin type	85° rake, 100 teeth per 2.54 cm ²

Figure 6.89 shows the effect of opening roller speed on yarn tenacity. As the speed increases, the degree of fiber separation improves. However, above the peak value, fibers can be broken, and fiber configuration during removal from the roller is not favorable to K_F . Studies into the straightening of fibers during rotor spinning^{134,137} have shown the importance of the ratio of the airflow speed to surface speed of the opening roller, and also of rotor surface speed to the airflow speed at the exit of the transport channel. For improved fiber straightening, these ratios must be greater than unity.

In contrast to friction-drum surface speed, rotor surface speed has a deteriorating effect on yarn tensile properties.^{138,139} Two factors govern rotor surface speed: the rotor rotational speed and the rotor diameter. The effect of increasing the rotational speed of the rotor is illustrated in Figure 6.90. There is a slight decrease in strength with increasing speed but a sizeable decline in the extension at break. In the published data from which the graphs were obtained, the opening-roller speed and machine twist level were kept constant. The production speed increases with increasing rotor

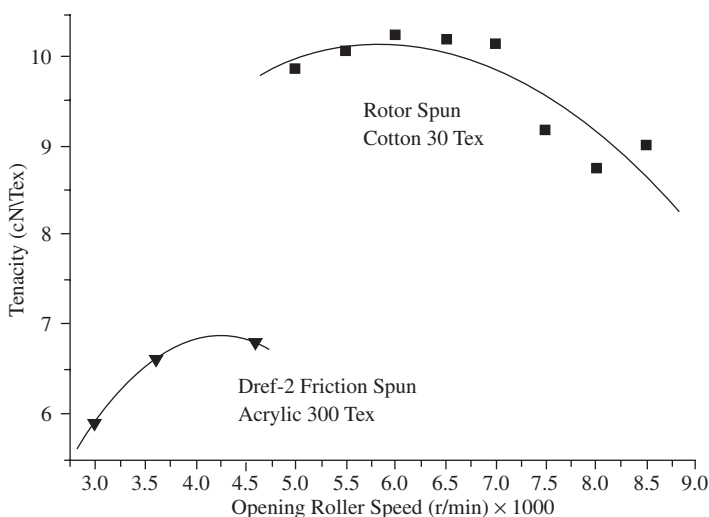


FIGURE 6.89 Effect of opening-roller speed on yarn strength.

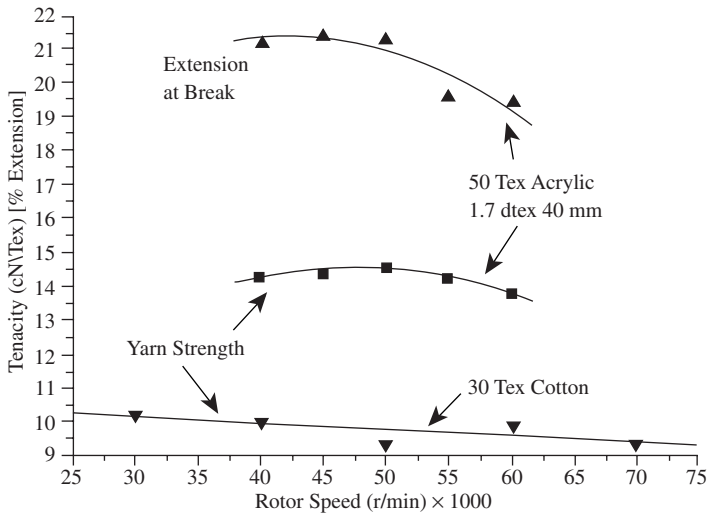


FIGURE 6.90 Effect of rotor speed on yarn strength and elongation at break.

speed, and this means a greater mass flow rate to the opening roller. The degree of fiber separation will therefore decline with increased rotor speed, resulting in reduced yarn strength. Increasing the opening roller should improve the result but, if the increase required is high, any advantage gained may be offset by fiber breakage. The breaking elongation decreases with increased rotor speed because of the increased spinning tension, which causes a permanent strain in the yarn.

To spin at high rotor speed, it is necessary to use a small rotor diameter and narrow rotor groove, which enables tighter packing of the fibers in the yarn. An example of the effect of tightness of the rotor groove is shown in [Figure 6.91](#) and [Table 6.16](#), and it is evident that the tighter rotor groove gives stronger, more extensible yarn. However, the tabulated values show a deterioration in other yarn properties, which will be discussed later.

With respect to the yarn tension within the rotor, it is recommended that this should not exceed 10 to 20% of the yarn breaking load.¹³⁸ The tension in the yarn is largely the result of centrifugal forces and is therefore proportional to the square of the product of the rotor speed and diameter. Reportedly,¹³⁸ a rotor speed and rotor diameter of 70,000 rpm and 40 mm, respectively, give optimal tension. To maintain an optimal tension with increased rotor speed, the following relationship may be used (see [Figure 6.92](#)):

$$n = 3.2 \times 10^6 / D \quad (6.43)$$

where n = rotor speed (rpm)
 D = rotor diameter

With ring spinning, the spinning tension increases as a quadratic function of the spindle speed (see [Chapter 8](#)). Therefore, similar to rotor spinning, elongation

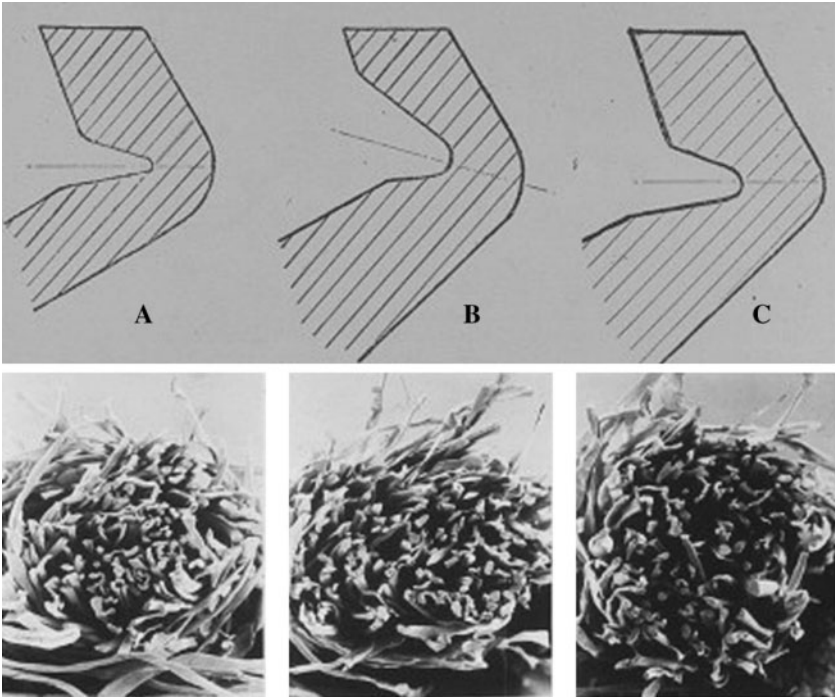


FIGURE 6.91 The rotor groove profiles and corresponding yarn cross sections.

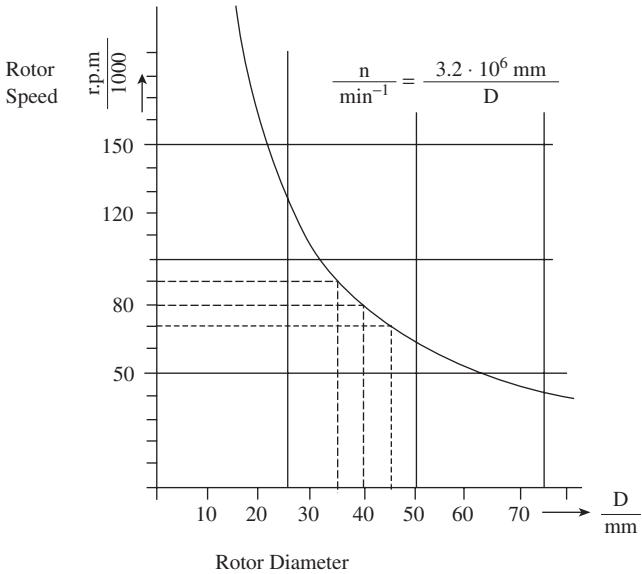


FIGURE 6.92 Relation between rotor speed and rotor diameter for optimal yarn tensile properties. (Courtesy of W. Schlafhorst AG & Co.)

TABLE 6.16
Effect of Rotor Groove Profile of Yarn Properties

Yarn parameter	50/50 Central African/Pakistani cotton, 100 tex		Polyester, 1.7 dtex, 38 mm	
	A	B	A	C
Strength (cN/tex)	12.3	10.9	8.7	8.4
Percent extension at break	7.7	6.0	17.9	14.1
Irregularity (CV%)	113.4	10.1	13.7	14.0
Thin places	8	8		
Thick places	48	8		
Neps	320	8		
Hairs/m	256	340	175	196

Rotor speed = 60,000 rpm, rotor diameter = 40 mm, opening roller type and speed = optimal for fiber type.

decreases with spindle speed.¹⁴⁰ In air-jet spinning, increased jet pressures, as we have seen, improve yarn tenacity owing to the increased wraps. The spinning tension is also influenced by the ratio of the speed of the front drafting rollers to the yarn take-up speed. Yarn strength improves as this ratio increases from 0.9 to 1.00; above unity, the tension in the yarn restricts the ballooning of the yarn and therefore the optimal initial wrapping by the edge fibers below the twist insertion point. Figure 6.64 shows that, for H-S wrap spinning, the increase in spinning tension with wraps per meter is the result of increased spindle speed, and, as stated earlier, Case B results in the better yarn because of the lower tension.

6.2.3.4 Irregularity Parameters

The concept of irregularity with respect to a linear fiber assembly (LFA) has been explained in Chapter 5. It should be understood that, as an LFA, the random and periodic variations along a given sample length of yarn can be determined by the capacitive method as well as by other methods referred to in Chapter 5. That is to say, we can determine the percentage coefficient of variation of overall mass per unit length ($CV_T\%$, or percentage variation in thickness) along the sample length. We can also derive an irregularity spectrograph to identify periodic variations and, if required, determine a variance-length curve. Usually, the practice is to obtain the former two measurements by the capacitive method and to determine the yarn count variation, i.e., $CV\%_{100m}$.

The variations in the yarn length that contribute to $CV_T\%$ irregularity of the yarn, commonly called the Uster CV, include sizeable thin and thick places and some very sizable thin and thick places; the very sizable ones may be termed *objectionable faults*. It is important to know their size and frequency of occurrence. Besides these sizeable thick and thin faults, there are other faults (e.g., manual thread

piecings) that are referred to as *special faults*; these are less attributable to the spinning process and more to the working practice.

Both [Chapters 4](#) and [5](#) discuss the issue of neps and nep removal. Of all yarn defects, neps are considered to be the most serious. If neps are not removed prior to spinning, they can appear on the yarn surface and become a degrading fault in the yarn and in the final fabric. Neps are likely to have a different uptake of dye from that of the main fibers in the yarn. Consequently, they may give a spotty appearance to the fabric, so the frequency of occurrence of neps is another irregularity parameter to consider. Actual neps are more of a problem with twisted yarns spun on systems using roller drafting (e.g., ring spinning) as opposed to opening-roller drafting (e.g., rotor spinning), since with the latter, the separation of the fiber mass feed into individual fibers gives the opportunity to eject trash and neps from yarn formation. With ring-spun cotton yarns, seed coat fragments with fibrous attachments are a main cause of neps, because the attached fibers can become twisted in the yarn (see [Figure 6.93](#)). Although these particles can be removed during the finishing treatments of the final fabric, they leave behind fibrous clusters that were attached to the particles, and these show up as specks.^{141–143}

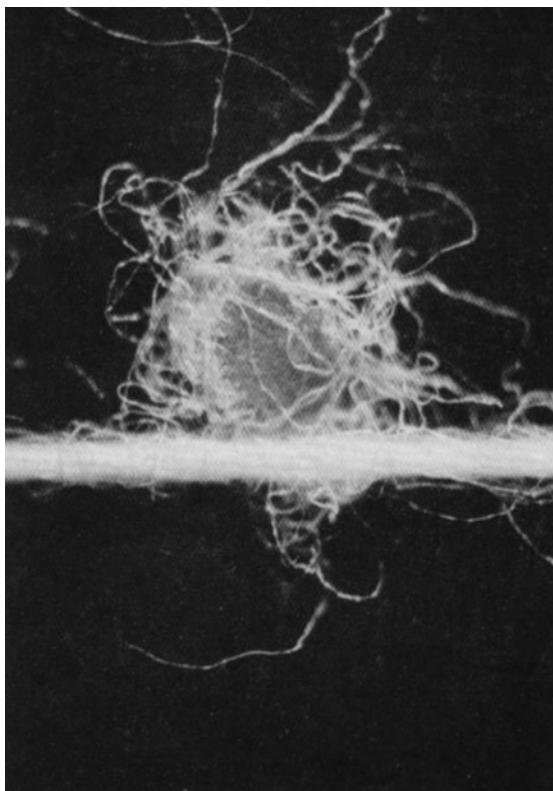


FIGURE 6.93 Example of seed fragment nep in ring-spun yarn. (Courtesy of Zellweger Uster.)

Table 6.17 gives a summary of the above irregularities with regard to size and frequency. Two points must be remembered with respect to the table. First, the numbers 1 through 4 are for convenience labeling and have no significance in terms of value or order. Second, no attempt is being made here to distinguish which among these types of irregularities constitutes a fault, in the statistical sense. The practical stand is taken that all are faults, because they are unwanted and therefore should be minimized. Where feasible, they should be removed from the yarn, provided the piecing is less conspicuous than the fault it replaces.

TABLE 6.17
Summary of Types of Yarn Irregularities

Type of irregularity	No.	Frequency	Size*	Measured parameter
General random cross-sectional variations along the yarn length	1	Very frequent	Variable thickness; lengths \leq shorter fiber lengths	$CV_T\%$ and $CV_{100m\%}$
Periodic variations	2	Frequent	(As above)	Wavelength, determined from irregularity spectrogram
Thin places (a) thin places, (b) thick places, (c) neps	3	Frequent: up to 5000 per 1000 m	(a) -40 to $-70\%^\dagger$ (b) $+40$ to $+100\%^{**}$ (c) $+140$ to $+400\%^{\ddagger}$	Number per 1000 m
Objectionable faults; slubs, very thin places	4	Seldom: 50 per 100,000 m	†	Number per 100,000 m

*Range of variation given with regard to mean yarn cross section

† Lengths \leq shorter fiber lengths

‡ Lengths \leq 1 mm

Courtesy of the Uster system for yarn fault control, *Uster News Bulletin*, 29, November 1981; The Uster automatic electronic yarn clearing installation, *Uster News Bulletin*, 22, 1–28, July 1974; The source and frequency of yarn faults, *Uster News Bulletin*, 21, 1–20, November 1973; Uster statistics, *Uster News Bulletin*, 15, 1–28, January 1971.

This latter point is only applicable to Class 4 faults, called *objectionable faults* because they are more conspicuous in a fabric. Were the worst of these faults to pass through into the fabric, corrective measures¹⁴⁴ would have to be taken to prevent the resulting garment being down graded, and doing so becomes costly. The frequency of Class 4 faults is sufficiently low for it to be more practical to remove the most pronounced of them at the yarn stage. This is an action called *clearing* and is carried out during winding.¹⁴⁵ (See [Chapter 7](#).)

Before any Class 4 faults are removed, it is necessary to determine the propensity and size of those present in the yarn. This can be done by the capacitive method and in relation to a visual reference chart, shown in [Figure 6.94](#), known as the Uster Classimat System, by Zellweger Uster Ltd.¹⁴⁴ The Classimat system uses a 23-grade classification of objectionable faults, based on the thickness and length of a fault. [Figure 6.94](#) shows 16 of the main types. For cotton yarns, the cause of the smaller types of faults, such as Class A and B, have been traced to seed fragments that were not removed in the fiber preparatory stages.¹⁴¹ The larger slub-like faults are generally associated with insufficient opening of fiber tufts that largely comprise short fiber clusters and with “poor housekeeping practices,” e.g., fiber accumulations on machines, bad manual piecings, and so on.¹⁴⁶ Once the number of each type of fault is known, a decision can be made regarding how many of which types are to be cleared from the spun yarns, bearing in mind the effect on the efficiency of the winding machine.

With regard to irregularity types 1 through 3, their frequency of occurrence makes it impracticable to remove them from the yarn. Quality standards have therefore to be agreed upon by the producer and the buyer. A set of data sheets is published every five to seven years by Zellweger Uster, based on measurements from a wide sample of commercially produced yarns from nearly all geographical locations of the world in which sizeable spinning installations are located. For a range of yarn counts spun from the most commonly used fiber types and blends, the data sheets give, for each irregularity type, a typical range of values, called *experienced values*, for the best 5, 25, 50, 75, and 95%, the best 5% meaning the lowest 5% of values. Collectively types 3a, b, and c are referred to as *imperfections* and denoted by IPI (sometimes called the IPI values).

In the hope that the reader may gain an appreciation of the importance of the effect the yarn $CV_T\%$ irregularity (Uster CV%) has on fabric appearance, [Figure 6.95](#) gives a comparison of what may be considered acceptable, seconds quality, and unacceptable for ring-spun cotton yarns in woven and knitted fabrics. The effect seen is caused by effects of drafting waves (see [Chapter 5](#)), which looks like short flacks and give the fabric a cloudy appearance as the $CV_T\%$ increases. [Table 6.18](#) is a summary of the effect of $CV_T\%$ and imperfection values in relation to fabric appearance.¹⁴⁷

A similar set of data sheets is produced for Classimat values and yarn tensile properties, including CV% of strength. The collected data sheets are commonly known as the Uster Statistics. [Table 6.19](#) gives an example of a yarn quality specification based on the 25% Uster values for 100% combed cotton yarns.

6.2.3.4.1 Effect of Fiber Properties and Material Preparation

[Chapters 3](#) and [5](#) discussed, generally, the factors affecting the irregularity of linear fiber assemblies, particularly in relation to roller drafting. With regard to the effect of fiber properties, it is the number of fibers in the yarn cross section and the effect of fiber length distribution on drafting waves, and therefore the short fiber content, that are of importance to yarn irregularity. However, as explained earlier, short-fiber content has less detrimental effect with opening roller attenuation.

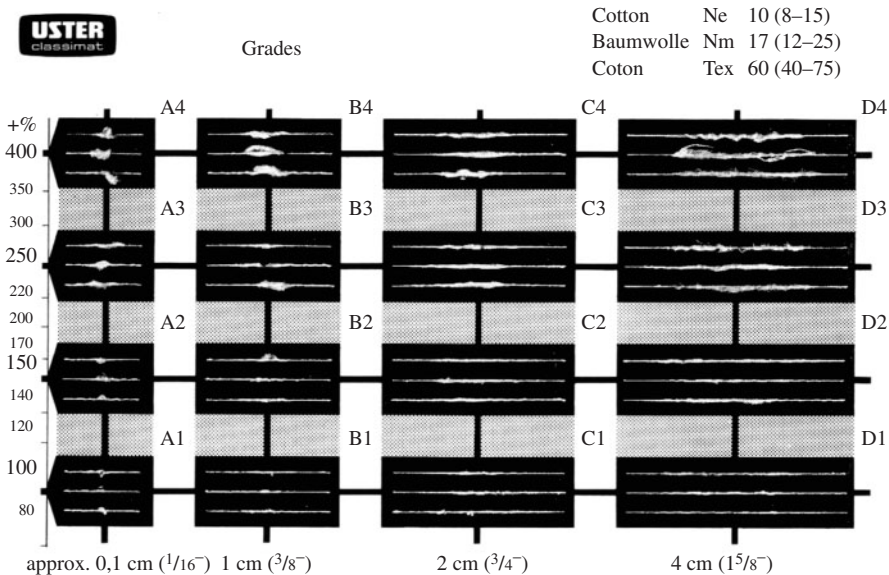


FIGURE 6.94 Uster Classimat (cotton: 16 of 23 grades). (Courtesy of The Uster automatic electronic yarn clearing installation, *Uster News Bull.*, 22, 1–28, 1974.)

For a given yarn count, the finer the fiber, the higher the number of fibers in the yarn cross section and the lower the yarn irregularity should be (see Equation 3.10, Chapter 3). Thus, as Figure 6.96 shows, yarn irregularity decreases with fiber fineness, irrespective yarn type.

Many yarn faults stem from inadequate material preparation, particularly at carding, where (as shown in Figure 6.97) increased production speed can increase yarn irregularities. If faults are already present in the prepared material feed to the spinning process, they will become prominent yarn faults.

A particular example of the importance of carding on yarn irregularity is shown in Figure 6.98 with respect to the production of woolen slubbing. As we learned in Chapters 3 and 4, the swift/doffer surface speed ratio influences the fiber transfer coefficient. With too high a transfer coefficient, the improved separation of microtuftlets obtained with the recycling layer is diminished. On the other hand, too low a transfer coefficient results in a high cylinder load to also give poor fiber separation and increased thick places within the slubbing and, ultimately, in increased Classimat yarn faults (Figure 6.99). Generally, the finer the fiber, the more important is the issue of fiber separation.

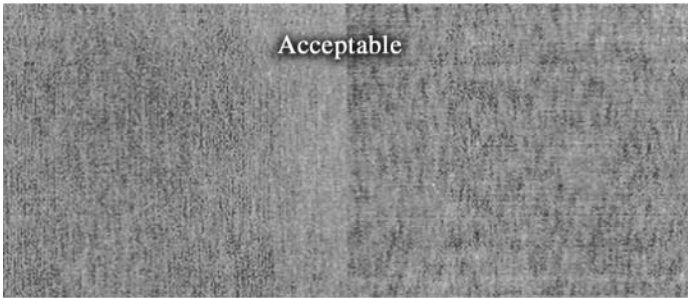
The following expression indicates, qualitatively, that the carding force, F_C , required to separate a single fiber from a tuft is dependent on the interfiber frictional forces and fiber entanglement:

$$F_C \rightarrow \frac{\mu \times L_f \times M_T}{E_f I \times T} \quad (6.44)$$

Carded Cotton Yarns: 20tex

Plain Weave

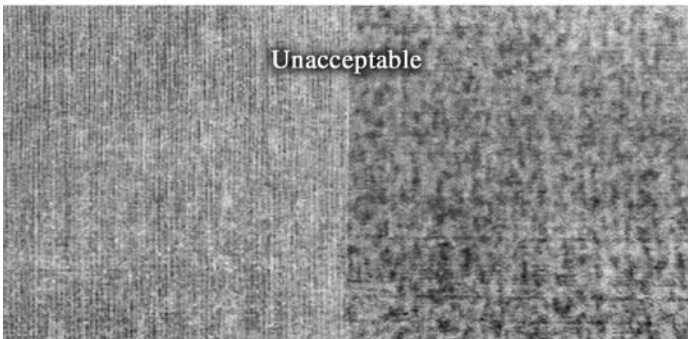
Plain Weft Knitted



$CV_T\% = 15$
Lies Between
10% – 25%
Lines of the
Uster Statistics



$CV_T\% = 18$
Near 50%
Line of the
Uster Statistics



$CV_T\% = 22$
Lies Between
90% – 95%
Lines of the
Uster Statistics

FIGURE 6.95 Effect of irregularity $CV_T\%$ on fabric appearance. (Courtesy of Uster Statistics, *Uster News Bull.*, 15, 1–28, 1971.)

See [Figure 6.100](#).

The interfiber frictional force is governed by the fiber friction, μ , the staple length, L_f , the rigidity of the fiber, EI (see Equation 3.8, [Chapter 3](#)), and by the number of fibers contact points in the tuft, which is directly proportional to the mass of the tuft, M_T , and inversely proportional to fiber fineness, T . The bending rigidity varies to fourth power of the fiber diameter. Therefore, it may be reasoned that fine fibers should have a low-friction finish and a high modulus, E , to be easily separated and to avoid nep formation during carding, thick places in the card web and, ultimately, high yarn irregularity values. [Figure 6.101](#) shows, with ring- and rotor-

TABLE 6.18
Uster Statistics in Relation to Yarn Quality

Parameter	Result	Guideline
Effect of CV%		
High CV _T %	→ Stripiness, streakiness, Barré, cloudiness	<ul style="list-style-type: none"> • >75% line of Uster Statistics → unacceptable • ≤50% line → average appearance • <25% line → good quality fabric CV _T % only a useful indicator if no periodicity present in yarn
Periodic variations: wavelength on spectrogram	→ Patterning, e.g., diamond barring ¹⁰³	
Count CV%	→ Patterning, e.g., bars	
IPI (Imperfections)		
Thin places, thick places	→ Distorted fabric structure, damage machine components (e.g., knitting needles), downgraded fabric (e.g., needle lines in knitted fabrics)	<ul style="list-style-type: none"> • > 75% line of Uster Statistics → unacceptable • < 50% line acceptable for most fabrics
Neps	→ Missed stitches and consequential holes in fabric, damaged machine components, downgraded fabric appearance, differential dye uptake	(As above)

Note: Useful further reading: Hattenschwiler and Eberle, H., *Quality in Staple Fibre Spinning*, Melliand Textilberichte GmbH, 1987.

spun yarns as examples, that, as the fiber modulus increases, the yarn irregularity and imperfections decrease.

What is effective for carding is not necessarily applicable to opening-roller drafting. However, the advantage of high modulus and low friction for fine fibers is also applicable to roller drafting.

Figure 6.100 illustrates the forces present in the front drafting zone during roller drafting. N , the normal force on the fiber ribbon, is generated by the drafting aprons, and F_D is the drafting force.

Drafting occurs when the fibers nipped by the front rollers are extended by an amount that, as a result of the fibers' load-elongation characteristics, generates tension in the fiber to overcome the frictional restraint on the trailing ends of the fibers. The drafting force, F_D , may be represented by

$$F_D = E_f \epsilon_f \tag{6.45}$$

The fiber elongation, ϵ_f , would be dependent on the front-roller surface speed and short duration of time, t , the fiber was under strain, so that

$$\epsilon_f = t V_2 \tag{6.46}$$

TABLE 6.19
Yarn Specification Based on Uster Statistics

Cotton quality	
Description	100% white american cotton
Grade	Strict middling
Mean staple length	28 mm
Yarn, cotton spun, fully combed	
Count	17.5 tex $CV_{100m}\% = 2.5$ based on mean of $10 \times 100\text{mm}$
Twist multiple (twist level)	350 tex ^{1/2} /cm
Twist direction:	Z
Performance	
Yarn strength	Minimum 13 cN/tex
Elongation at break	7%
CV_T	15%
Imperfection (IPI) per 1000 m	Thin places, a maximum of 30 (i.e., -50%) Thick places, a maximum of 70 (i.e., +50%) Neps, a maximum of 110
Classimat values	Total number of grade 1 faults per 100,000 m should with 150 and 300 (i.e., A1 + B1 + C1 +D1) Maximum total number of grade 2 faults per 100,000 m < 18 Other grades unacceptable

Notes

- Percent comber noil: minimum 18
- Yarn cleared and waxed on cone (spliced piecings — see Chapter 8)
- Yarn conditioned to maximum 8.5% regain

Courtesy of a well known retailer.

Irregularity occurs in the drafting if the time, t , required to develop the force, F_D , to initiate fiber movement is long enough for the rear drafting rollers to advance more fibers into the drafting zone before those under strain are accelerated, so that the actual separated distance between sequential trailing and leading ends of fibers in the drafted ribbon is less than the intended value. Fine fibers will have greater frictional restraints on their trailing lengths, owing to the larger total surface area. Therefore, to ameliorate any deleterious effect from this, fine fibers should have a high-modulus and/or a low-friction finish.

6.2.3.4.2 Effect of Spinning Machine Variables

The $CV_T\%$ of yarns is largely dependent on the effectiveness of attenuation of the fiber mass. From the descriptions in the early part of this chapter, it should have been realized that the vast majority of modern spinning systems employ either roller drafting or an opening roller unit to draft either sliver or roving to the count required for the final yarn, bearing in mind the effect of twist contraction. Much of what has

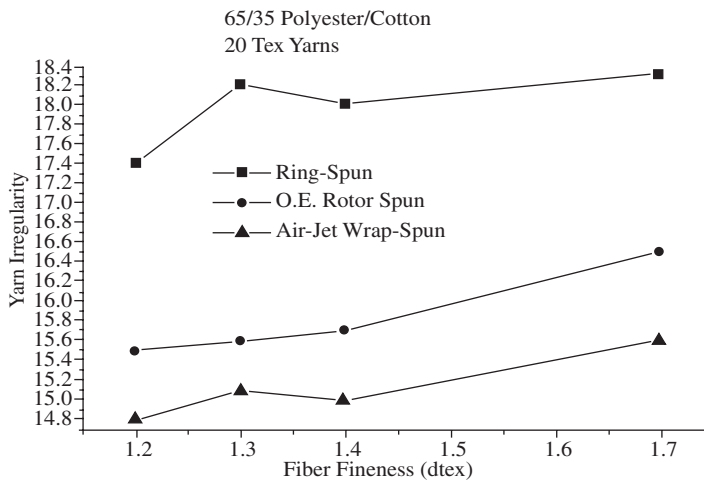
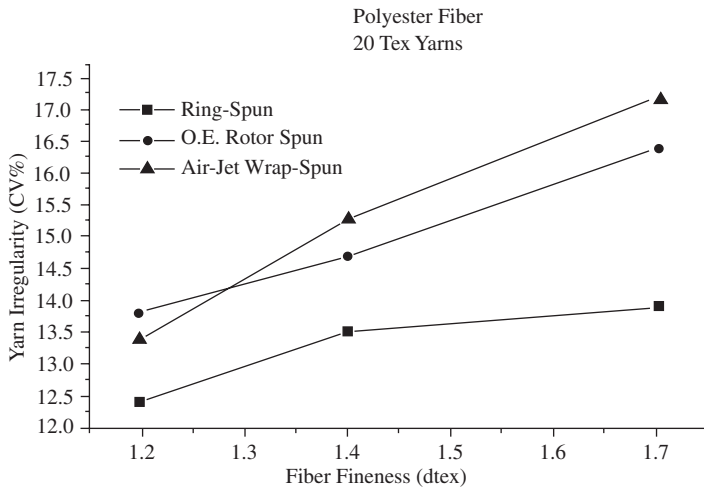


FIGURE 6.96 Effect of fiber fineness on yarn irregularity.

been written in [Chapter 5](#) on the principles of roller drafting is applicable to roller-drafting systems used in spinning. However, of particular practical interest is the work of Anderson and Foster,¹⁵¹ and subsequently by Ratnam and his co-workers,¹⁵² the main points of which will be considered here. Following this, the key findings in opening-roller drafting will be described, based on the many studies cited in the reference on open-end spinning, mainly rotor spinning.

In [Chapter 5](#), it was explained that roller drafting usually introduces an additional CV% of irregularity to that of the input material. Therefore, roller drafting systems that are used in, say, air-jet spinning and hollow-spindle wrap spinning to attenuate slivers to the required yarn count will impart an additional increase to the irregularity of the input sliver. The drafts used in spinning from drawn sliver are generally high,

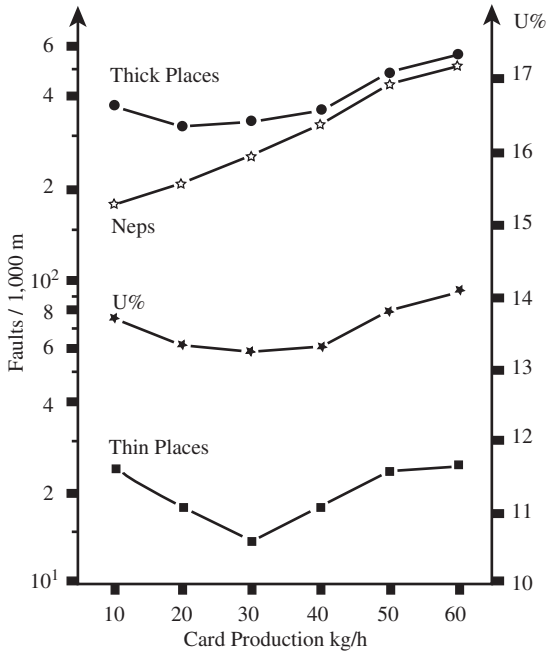


FIGURE 6.97 Effect of carding rate of irregularity values. (Courtesy of Quality control and supervision of yarn faults in the spinning mill, *Uster News Bull.*, 17, 1–15, 1971.)

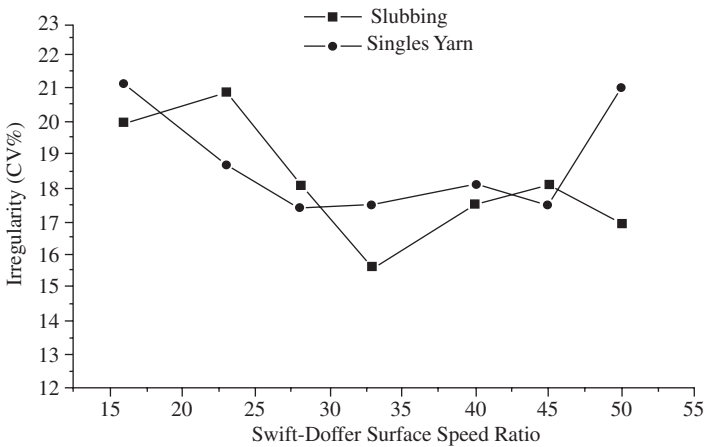


FIGURE 6.98 Effect of swift-doffer surface speed ratio on slubbing and yarn irregularity. (Courtesy of WIRA.)

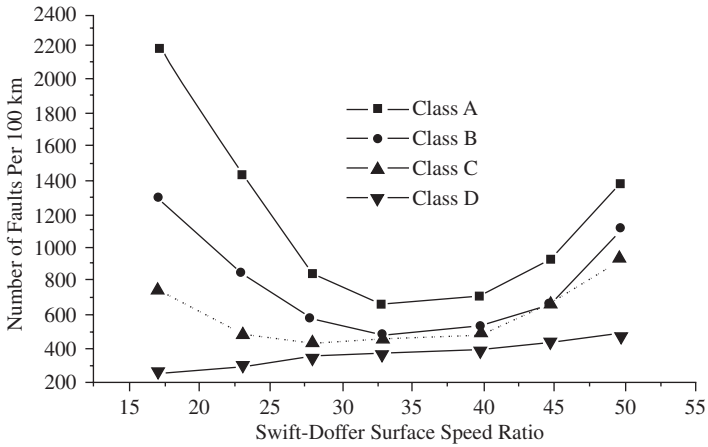


FIGURE 6.99 Effect of swift-doffer surface speed ratio on yarn Classimat values. (Courtesy of Zellweger Uster.)

and therefore the short-term irregularity of a sliver becomes the longer-term irregularity of the spun yarn, which, in practical terms means the variation in the yarn count ($CV\%_{100m}$). It is the short-term irregularity of the yarn that is added by the roller drafting system employed at the spinning stage. In ring spinning processes, where the card sliver is first reduced to a roving and then the roving attenuated in spinning the yarn, there will be two additional increases to the sliver $CV\%$ of irregularity. In other words, the roller drafting at the spinning stage will introduce an added $CV\%$ of irregularity to that of the roving. Drafts used in attenuating rovings during spinning are much lower than for slivers; therefore, the short-term irregularity of a roving becomes the medium-term irregularity of the yarn.

Anderson and Foster¹⁵¹ found that the relation between the spinning draft and the added $CV\%$ of irregularity is given by:

$$(CV\%_{T-yarn})^2 - (CV\%_{T-input})^2 = A (D - 1) \quad (6.47)$$

where $CV\%_{T-yarn}$ = total yarn irregularity

$CV\%_{T-input}$ = irregularity of sliver or roving

D = draft employed at spinning

A = a factor of proportionality

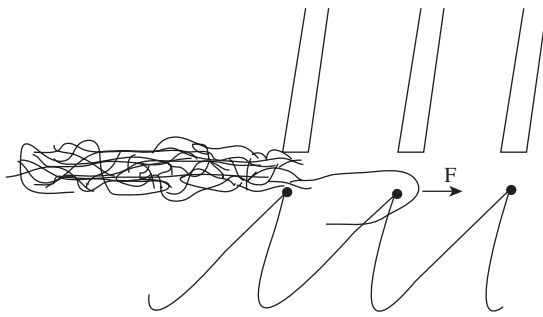
The factor of proportionality, A , has been found by Ratnam¹⁵² to be dependent on fiber properties, the count of the input material, and the proper functioning of the machine (i.e., the good order of the machine). Thus, Equation 6.47 may be rewritten as

$$(CV\%_{T-yarn})^2 - (CV\%_{T-input})^2 = [KT_{input} + z] (D - 1) \quad (6.48)$$

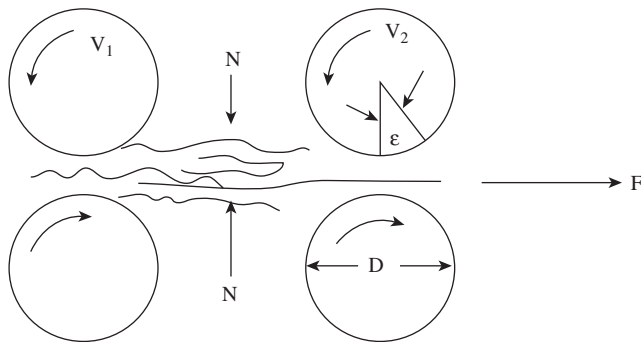
where K = constant for a given fiber

T_{input} = count of the input material (sliver or roving)

z = constant for a given spinning machine



Forces Acting on Fibers in Carding



Forces Exerted on Fiber in Drafting

FIGURE 6.100 Forces in carding and roller drafting.

The constant z enlarges the effect of the draft on the yarn irregularity, so its effect is greater as the count of the input material increases. Since fiber control in drafting systems may be expected to be less efficient with heavy input counts, z may be viewed as a measure of the lack of fiber control by the drafting system, which can be an indication of the proper functioning of the system. In ring spinning, a lack of fiber control may be also caused by increases in the tension on the spinning triangle.¹¹⁷ This is associated with increased spindle speed and results in an increasing number of thin places with increased speed. As mentioned earlier, twist concentrates in thin places. It not only affects yarn strength variation but also gives, visually, a pronounced difference between the cross-sectional areas of the thick and thin places.¹¹⁷

The fiber constant K , is dependent on the fiber state of the input material and the fiber properties, principally the fiber fineness and length. For cottons, $K = 29.4[F/L]^2$, where F is the ratio of fineness to maturity coefficient and L is the 50% span length. The ratio F/L can be taken as a measure of drafting quality of a given fiber with regard to yarn irregularity. For example, Ratnam¹⁵¹ found that, with ring-spun yarns produced from Indian cottons, 60% of the yarn irregularity was due to

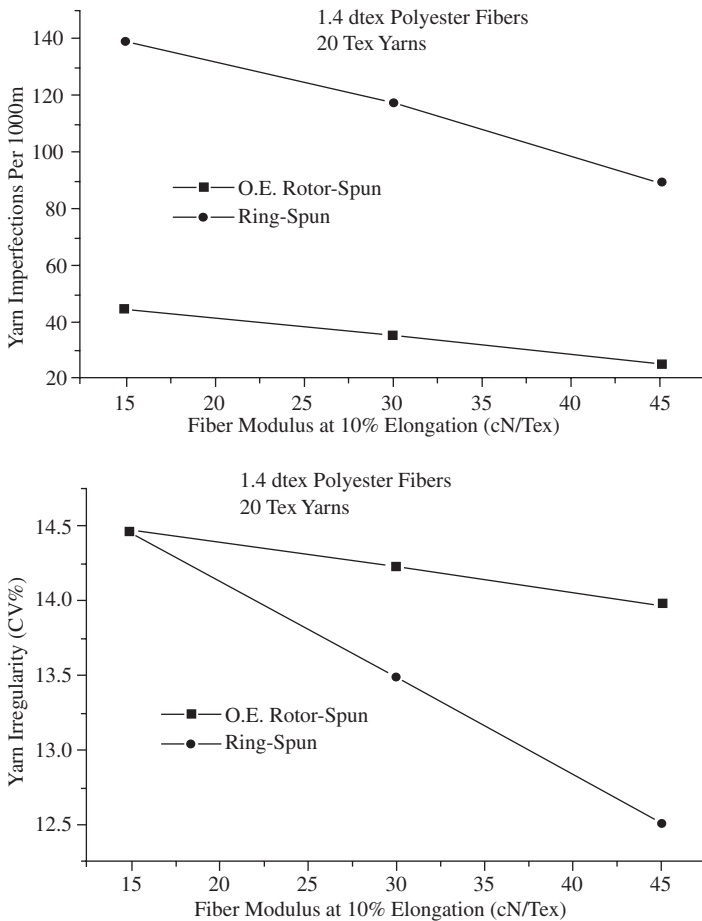


FIGURE 6.101 Effect of fiber modulus on yarn irregularity and imperfections.

cotton quality, 25% the condition of ring frame, and 15% roving irregularity (the latter value having a cotton quality contribution). The 50% span length was found have a larger effect on yarn irregularity than fineness/maturity ratio. The 50% span length by definition is the product of the 2.5% span length and the uniformity ratio (see [Chapter 1](#)) and is indicative of the short-fiber content, which is detrimental to effective drafting. Combing is therefore an important preparatory stage for greatly reducing the irregularity and the nep content of the yarn (see [Table 6.20](#)) and is essential in spinning fine yarn counts. In short-staple spinning, carded cotton yarns are usually within the count range of 20 to 100 tex, whereas combed cotton yarns are of 5 to 25 tex, the upper limit being one of economics.

From the above discussion, it can be seen that Equation 6.48 could be used as a practical tool to assist in assessing the drafting performance of the spinning process, including material quality. The reason for this is that the equation includes the known factors that influence yarn irregularity.

TABLE 6.20
Example of the Effect of Combing on Yarn Quality

Yarn properties	Combed cotton yarn	Carded cotton yarn	Percent difference
Count (tex)	20	20	
CV% yarn irregularity	12	15.5	-23% (less irregular)
Strength (cN/tex)	18	12.0	+50% (stronger)
CV% (strength)	9	12.5	+28% (fewer weak places)
Percent extension at break	7	7	
Thin places per 100 m	15	100	
Thick places per 100 m	100	500	
Neps per 100 m	80	500	

In theory, opening-roller systems provide the means for drafting the input material at the individual fiber level and thereby circumventing the problem of drafting waves (see Chapter 5). Periodic irregularities, however, will still be present. The effect of the separation of fibers is only a part of the action needed for achieving the required yarn count. As we have seen from the rotor and Dref friction spinning techniques, the separated fibers should be reassembled so as to keep any added irregularity to a minimum.

In Dref friction spinning, the parallel feeding of multiple slivers provides the doubling effect described in Chapter 5, and this reduces the longer-term yarn irregularity caused by the short-term irregularity of the sliver. However, as we may infer from Figures 6.51 and 6.53, the fiber transportation in the airflow and deposition on the friction drums result in a low K_F , and bulked and folded fiber configurations will cause variations in the number of fibers in the cross section along a given yarn length, thereby adding a short-term to longer-term irregularity to increase the CV%.

Rotor spinning has the advantage of cyclic aggregation, which is essentially a doubling effect of the deposited layers accumulating to form the ribbon of fibers within the rotor groove. From the description given in Section 6.2.2.3 of the cyclic aggregation process, it can be seen that the number of doublings, B , is usually very high and can be approximated by

$$B = T\pi D_R - 1 \quad (6.49)$$

where D_R = rotor diameter
 T = level of twist

The K_F value for rotor yarns is significantly higher than for Dref-2 friction yarns (see Tables 6.9 and 6.11). Therefore, the factor of fiber configuration is not as severe. Hence, the overall irregularity (the total $CV_{T-yarn}\%$) of rotor yarns (12.1 to 15.2) is lower than for Dref yarn (13.3 to 19.0), and both are lower than for conventional ring-spun yarns (13.6 to 22.5) of similar counts spun from rovings.¹²⁰ The lower irregularity for rotor yarns is dependent on rotor speed, as will be explained below. The fact that the evening out effect of B only involves successive lengths of πD_R indicates that the longer-term irregularity corresponding to the sliver CV% is not

effectively reduced in rotor spinning.¹⁵³ Hence, CV% of yarn count is lower for Dref yarns (0.1 to 2.2) than for rotor yarns (0.8 to 2.5), but the values for both are much lower than for ring yarns (1.82 to 3.5).²⁰

Since configuration of deposited fibers is an important factor with opening-roller drafting systems, it not surprising that many process parameters affect the resulting yarn irregularity. This aspect has been extensively studied for rotor spinning.^{134–137} Rotor yarn irregularity decreases with opening roller speed. This is because of the improved fiber separation with increasing speed, but a point can be reached at which the ratio of the airflow and the opening roller linear velocities is too low to give effective stripping of fibers from the opening roller. Also, high opening-roller speed increases the probability of fiber breakage. Increased rotor speed can lead to increased yarn irregularity. In particular, with the use of high rotor speeds for spinning fine rotor yarns, the yarn irregularity can become greater than that for ring-spun yarns. There are two reasons for this.

First, increased rotor speed is usually used to obtain higher production rates, and this means a high feed rate to the opening roller. If the opening-roller speed is not increased, the degree of fiber separation will be lowered, and the yarn may become more irregular, with increases in the number of thick and thin places. Second, increased rotor speed will result in more wrapper fibers per unit length, and, if the degree of separation is lowered, there will be a higher number of fibers wrapping at a given point on the yarn. As stated before, the belt-type wrapper fibers are detected as neps by the more commonly used yarn irregularity testing instruments. Consequently, the nep level of a yarn increases with increased rotor speed, even though actual neps may be removed by the opening roller or become buried within the fiber ribbon and subsequently in the inner zones of the yarn.

The prepared sliver for rotor spinning is a very important factor with respect to the overall quality parameters of rotor yarns, but especially the irregularity parameters. It is self-evident that the more straight and parallel the fibers are within the sliver, the more effective the opening roller is in separating the fibers. Generally, one or two passages of drawing, with autoleveling, are used except when very short fibers (which may be a blend involving comber waste) permit direct spinning from an autoleveled card sliver; drawing in this case would give a high sliver irregularity.

The trash content of the sliver is of a critical importance and has been a well researched topic.^{154–170} Trash particles in sliver have been classified as shown in [Table 6.21](#). Neild¹⁵⁴ has reported how dust and microdust particles get into the rotor groove during the deposition of fibers and build up to a level where a ring of these impurities are formed in the rotor groove. During fiber deposition, the majority of the impurities land onto the ribbon of fibers; these particles cannot penetrate the thickness of the ribbon and so are twisted into the yarn structure. Impurities landing at the gap, Y, behind the peel-off point will enter the rotor groove and, as the ribbon of fibers is removed, will build up over time to form sizeable ring of deposit in the grooved circumference of the rotor.

From the various studies cited above, it is known that, as the impurities build up, they prevent the required close packing of fibers within the rotor groove and deteriorate the fiber configuration. The result is a constant decrease in yarn tensile properties and an increase in irregularity, until the yarn strength is too weak to

TABLE 6.21
Classification and Composition of Rotor Deposits

Coarse trash	>500 μ , husk, stalk leaf and seed fragments
Dust particles	<500 μ , fine seed fragments, leaf and fiber fragments
Microdust particles	<50 μ , microscopic fragments of fiber, leaf and seed coat

Courtesy of Heap, S. A., *Some Problems and Opportunities for Cotton Spinners, Technical and Economic Aspects*, Dyson, E., Ed., *Textile Trade Press*, The Textile Institute, Manchester, UK, 1975; and Gilbert, D. K., Chemical Composition of Cotton Dust, *Text. Res. J.*, 50, 96–102, 1980.

withstand the spinning tension, and the yarn breaks. A slow rate of build may occur, in which case the impurities may form one or more clusters well before reaching the level at which the yarn breaks. It may also happen that a sizeable trash particle gets stuck within the groove. Such occurrences would cause periodic spots of twist concentration in the yarn, which become periodic faults with a wavelength of the order of πD_R . This type of periodic fault would have little effect on the $CV_{T-yarn}\%$ of the yarn but would be seen in the irregularity spectrogram and, if undetected, would produce the fabric fault termed the Moiré effect (see [Figure 6.102](#)). A sizeable-length of yarn (20 m or more) may be wound around a card of black background to visually detect this type of yarn fault.

A further detrimental effect of impurities getting into the rotor groove is the wear of the rotor wall and the rotor groove. The high speed of the particles results in a sandblasting effect on the wall, and the twisting action of the yarn length in the groove (i.e., the peripheral twist extent) causes the particulate impurities to grind away at the rotor. Wear on opening roller clothing can also occur. This has led to special wear-resistant coatings being applied to the rotor surfaces and hardening treatments of opening roller clothing.

Modern rotor spinning machines have been automated to periodically stop and clean rotors well before the yarn properties deteriorate to an unacceptable level, and then to restart spinning. However, it is also necessary for the impurity levels in the feed sliver to be as low as possible. In the spinning of fine-count rotor yarns, combing is therefore a necessary part of the sliver preparation.^{121–123}

6.2.3.4.3 Yarn Blends

The blending of fibers that have different properties has become an established practice to produce fabrics for diverse applications that are not obtainable by using only one type of fiber. Therefore, the blend irregularity can be as important as the mass irregularity of the yarn. A low blend irregularity is desirable not only for consistency of physical properties of the yarn, but also so that unwanted shade variations do not appear in the finished cloth. Shade variations can arise from the differential dye absorption by different fibers but can be particularly acute in mixtures of spun-dyed fibers or cross-dyed mixtures. Any shade variations along the length of the yarn can cause bars or streaks in the finished cloth. It is thus very important, in processing blends of fibers, to avoid irregularities in the distribution of the component fibers.

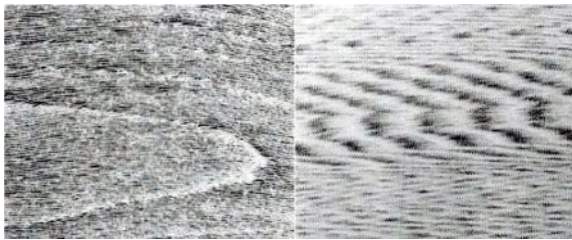
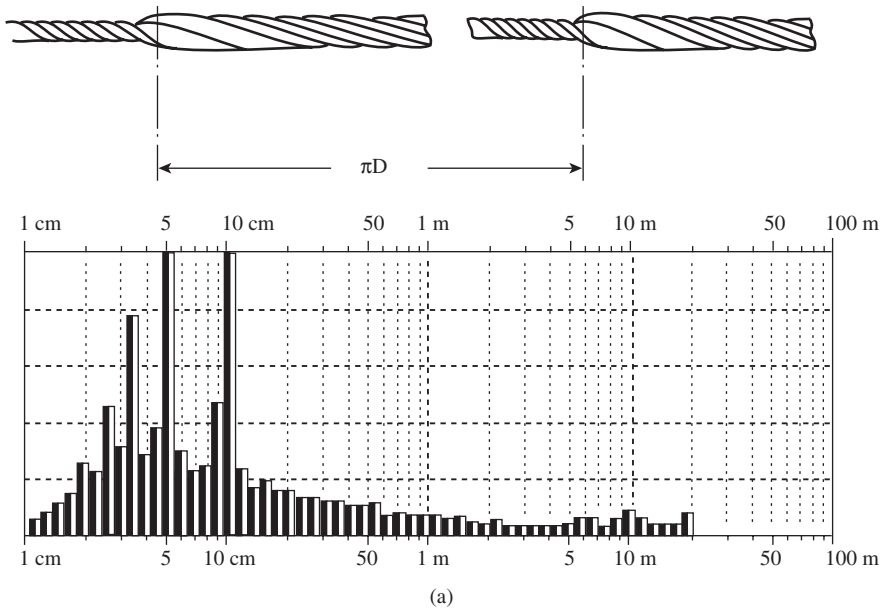


FIGURE 6.102 Effect of rotor deposits. (Courtesy of [a] Lord, P. R., *J. Text. Inst.*, 4, 1980 and [b] *Uster News Bulletin*, 34, February 1987.)

There are three kinds of irregularities that can arise from inadequate blending of, for example, two blend components.¹⁷³⁻⁸²

1. Irregularity due to an uneven arrangement of blend components in the cross section of the yarn
2. Irregularity caused by variations in the relative numbers of each blend component in the cross section of the yarn (related to the CV% of the short-term linear density of the yarn)
3. Very long-term irregularity

The first kind of irregularity is one in which the distribution of the blend components in the yarn cross section deviates from that referred to as the *perfect cross-sectional blend*, even though the number of each blend component matches the total blend proportions. The second concerns the deviations of blend proportions between yarn

cross sections. This is the irregularity of blending along the yarn length. The final irregularity concerns the blend consistency from batch to batch and is just a matter of accurate weighing of the feed to the opening line.

6.2.3.4.4 *The Ideal Blend*

No process machine as yet can put together, say, black and white fibers in an orderly three-dimensional structure. The best that can be obtained is a random distribution of the single fibers of each component along the axis of a yarn. Apart from changes in the composition of the blend over a long period of time (long-term variation), blend irregularities are mainly concerned with separation of fiber groups of each component into individual fibers and the intermixing of them.

The intimacy of a blend may be obtained by determining, microscopically, the relative distribution of the blend components and the size of the aggregates of each component in representative samples of the yarn cross sections. Based on this approach, the ideal blend or most intimate blend would be one in which single fiber units are distributed at random throughout a yarn cross section.

There are various methods for quantifying the irregularity of a blended yarn.^{173–182} One of the simplest and most practical, which will be described here, is that proposed by De Barr.¹⁸² It is based on a general understanding of the widely practiced technique of blending the fiber components in drawing during material preparation (see [Chapter 5](#)).

Imagine white and black slivers having the same number of fibers randomly arranged in the sliver cross section. The fiber types are of the same dimensions and properties. The slivers are then mixed or blended together with a total number of N doublings. Assume the yarn is ring spun and is formed by ideal drafting of the sliver to a ribbon, which is then twisted. Consequently, the fibers in any cross section of the yarn are likely to be in black and white groups, the number of groups corresponding to the number of doublings. If a substantial length of the yarn were to be untwisted, the ribbon of fibers would be composed of a number of thinner sub-ribbons corresponding to the number of doublings N . This means that there is no lateral mixing. However, if the doubling, and thereby its associated drafting, is sufficient to reduce each group to a single fiber, the fibers in the cross section would give the appearance of lateral mixing, but the untwisted yarn would reveal a ribbon composed of sequential black and white parallel lines across the ribbon width, each line extending the ribbon length.

If a group of, let's say, white fibers is defined as a set of one or more adjacent white fibers with a black fiber at each side of the set, then if Q is the number of such groups in the yarn cross section and Q_p the average number of individual white fibers that should be in the cross section, the degree of blending may be represented by

$$\gamma\% = \left[\frac{Q}{Q_p} \right] 100 \quad (6.50)$$

Inadequate blending will give small values of $\gamma\%$, whereas very thorough blending will give values approaching 100%. Only if the fibers are randomly distributed in the cross section will $\gamma\% = 100$.

Q_p can be determined from calculating the number of fibers in the yarn cross section and from knowing the blend proportions. Q is measured from yarn cross sections. Thus, $\gamma\%$ can be used to determine the effect of various process parameters.

Figure 6.103 compares the effect of blend ratio and the number of doubling for 10-tex and 100-tex ring-spun yarn. It can be seen that, irrespective of the blend ratio, the fibers in the cross section approach, at a decreasing rate, the state of completely random distribution as the number of doublings increases. It is also evident that, with fewer fibers in the cross section (i.e., finer counts), fewer doublings are needed to achieve a satisfactory degree of blending. Independent of the blend ratio and yarn count, if the number of doublings exceeds the mean number of fibers in the yarn cross section, the degree of blend will be greater than 95% of an ideal cross-sectional mixing.

The above is applicable to all spinning methods employing roller-drafting systems. Spinning methods utilizing opening-roller drafting have the added benefit that the individual fiber separation facilitates lateral mixing, and the doubling action within rotor spinning is therefore effective in achieving a lower blend irregularity than the other spinning systems.

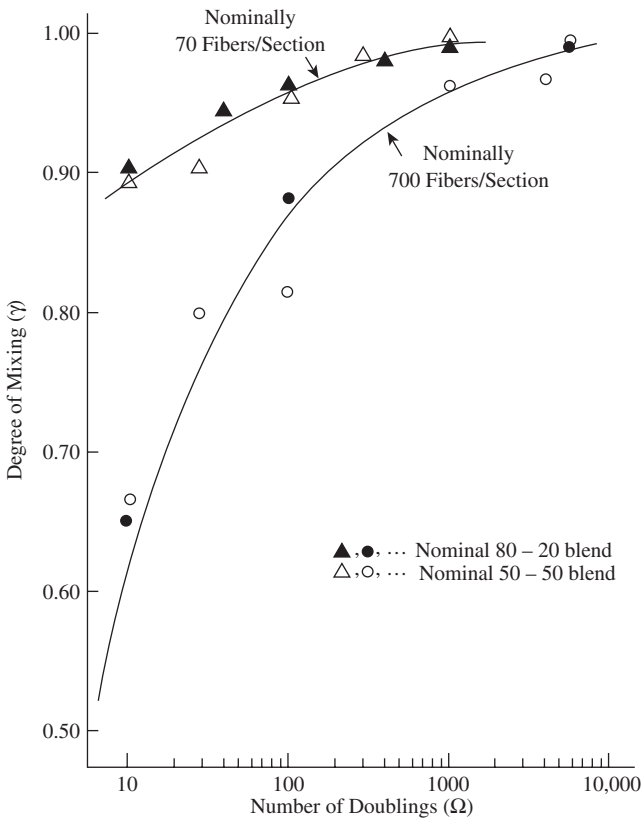


FIGURE 6.103 Relation between the degree of blending, blend ratio, yarn count, and the number of doublings.

With conventional ring-spun yarns, fiber migration can influence the blend intimacy in the yarn. Therefore, factors controlling migration are of importance to blend irregularity. Since tension variation between the component fibers is the principal cause of fiber migration, then significant differences in length or fineness between the blend components will result in the definite tendency for the longer or finer fibers to migrate to the core region of the yarn. This we can call *preferential migration*.

The width of the drafted strand can be used to control the tension differences. A compact strand reduces the tendency of fiber toward preferential migration. An increased width gives pronounced preferential migration, since there are fewer neighboring fibers to hinder migration. However, the level of preferential migration that takes place in a previously well blended feed to the ring frame, although affecting color shades, does not appreciably affect the yarn tensile properties.

For satisfactory blending of fibers that are to appear in different colors in the finished woven fabric, emphasis must be placed on *ideal cross-sectional mixing* because of the fiber arrangement along the yarn length. It is then necessary in such cases to blend before carding. The card intermixes the fibers so as to produce a blend of single fiber elements. With woolen spinning, blending has to be done prior to carding but, for the other processes, blending can be done in drawing. Where the appearance of the blend is not critical, e.g., hosiery or light shade wovens, two or three drawframe or gilling passages are used.

6.2.3.5 Hairiness Profile

There are various techniques for measuring yarn hairiness^{183–188} with which the reader may wish to become familiar. However, from [Figure 6.104](#), we can see that, by using a suitable optical arrangement, transmitted light may be employed to measure, per unit length of yarn, the number of fiber lengths projecting beyond set distances from the body of a yarn (e.g., 1 to 10 mm, in 1-mm increments), thereby gaining a useful indication of a yarn's hairiness profile.

In general, all other factors being equal, conventional short-staple ring-spun yarns will have the greatest number of hairs per unit length compared with other yarn structures. Looking at the other structures ([Figures 6.36](#) and [6.41](#)), it can be seen that compact ring-spun yarns, as a result of the very narrow spinning triangle, have the vast majority of surface fibers bound into the twisted structure. Rotor-spun, air-jet spun, and HS yarns have surface fibers or filaments wrapping the remaining fibers that form the bulk of the yarn, thereby restricting the number and the actual projected length of hairs. It is easily appreciated, then, that compact ring-spun, rotor-spun, and wrap-spun yarns have much lower hairiness values than conventional ring-spun yarns. The Dref-2 structure does not have wrapper fibers and, although the structure is highly twisted, the hairiness is comparable to conventional ring-spun yarn of similar coarseness of count. The surface structure of Dref-3 yarns (not shown) is similar in appearance to that of Dref-2.

Although the above is given as the general case, there are fiber and process variables that may be used to greatly alter the hairiness of these structures. For a given yarn count, the longer the fibers, the fewer the number per unit length of a

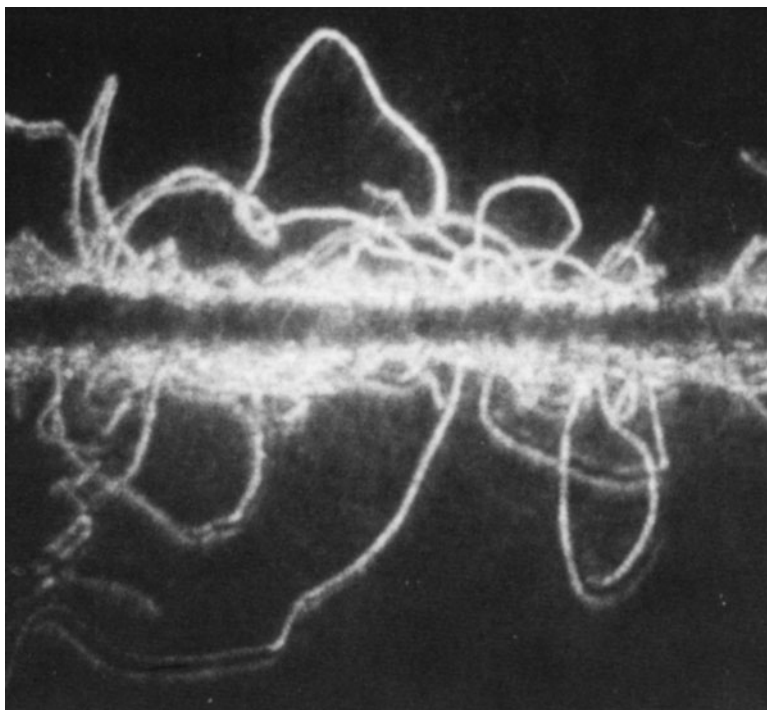


FIGURE 6.104 Yarn structure observed with transmitted light.

given yarn count, and this is reflected in the yarn hairiness. Consequently, the removing of short fibers by combing results in combed yarns being much less hairy than carded yarns of equivalent count. For a similar reason, worsted yarns are significantly less hairy than short-staple ring-spun yarns.

Based on the number of ends per unit length being an important factor, it might be expected that, for the same fiber length, finer fibers should give more hairy yarns. In fact, coarser fibers give the more hairy yarns. The torsional and flexural rigidities of a fiber are directly proportional to the square of the fiber fineness.¹⁸⁷ Therefore, coarser fibers, being more rigid, have a greater resistance to the binding action by the inserted twist, and the fiber ends readily project from the yarn.

The arrangement of the fibers in the material to be twisted also has a strong effect on yarn hairiness. In woolen slubbings, fiber lengths are hooked and disorderly, similar to the card web from which they are formed. Consequently, the resulting woolen ring-spun yarns are hairier than, say, the equivalent count semi-worsted yarn, where the fibers would have been given a much more orderly arrangement by gilling and apron drafting prior to being twisted to form the yarn.

For particular end uses, e.g., filtration cartridges, a yarn may be required to be hairy, but the hairiness may need to be reduced for most applications. In the case of conventional ring-spun cotton yarns, singeing, also referred to as *gassing*, can be employed. In this process, a flame or heated element is used to remove the unwanted

projecting fiber lengths. The singed (or gassed) yarn has approximately a 10% reduction in hairiness. This order of reduction is relatively moderate; therefore, it is of interest to identify the spinning parameters, as well as the fiber properties, that influence yarn hairiness.

An important problem attributed to yarn hairiness is the tendency for yarns to shed fly (i.e., the propensity for fibers to be released from the yarn during post spinning processes, particularly in weft knitting.)¹⁹⁰ Much has been reported^{188,191–194} on the effect of fiber properties and spinning variables on fly generation. Although reported results are mainly for studies involving conventional ring-spun yarns, they are applicable to the other yarn structures and therefore are of general interest.

Table 6.22 summarizes the findings by using what is termed the *mean logarithmic fly decrement*, FD,¹⁹⁵ calculated for each variable, to rank the order of importance of primary spinning variables. Negative values of FD indicate a reduction in the amount of fly for changes in a particular variable; the positive values refer to an increases in fly.

TABLE 6.22
Ranked Order of Fiber and Spinning Variables on Fly Generation

Variable of influence	FD
Mean fiber length	-0.36
Yarn twist	-0.29
Yarn linear density	+0.04
Yarn moisture content (cotton)	-0.03
Yarn tension	+0.03
Yarn coefficient of friction	+0.02

$$FD = \frac{[\log F_1 - \log F_o] / \log K}{[P_1 - P_o] / P}$$

where $F_1 - F_o$ = change in the amount of fly corresponding to the change fly from the minimum (P_o) to the maximum (P_1) value of a particular variable

K = constant = 1 g/kg for short-staple yarns¹⁹⁴

P = mean value of fly for changes in the particular variable

The importance of increased fiber length was previously explained. Twist level is important, because fiber lengths become more tightly bound to the yarn. Most studies have concluded that increasing twist reduces yarn hairiness.¹⁹⁰ However, increased twist means reduced production speed and causes changes to other yarn properties, not all of which are desirable with respect to fabric properties. Since coarser count yarns contain more fiber ends per unit yarn length, it can be appreciated that hairiness and fly generation will increase with yarn count. The effect of the remaining variables concerns the locking of fibers within the yarn structure. For an explanation of this, we must first consider the ways by which fly is generated.

The fibers constituting fly may be pulled out from the yarn or fragments of the fiber length sheared from the yarn as the yarn runs at high speed (>100 m/min) over metal or ceramic guide surfaces of a machine. In the weft knitting of cotton yarns,

rupture of hair lengths resulting from shear is a very significant factor, since up to 90% of the fly collected are shorter than 10 mm. With hydrophilic fiber, moisture results in fiber swelling, which in turn gives a higher frictional contact between fibers to resist pullout of that part of a fiber length caught within the yarn body. Increased mean fiber length and twist also contribute to increased interfiber frictional contact. Reduced surface friction reduces the pullout force and shear rupture of the projecting fiber lengths. A wax coating applied to the yarn surface (see Chapter 7) can reduce the yarn coefficient of friction by up to 44% and fly generation by 11%. Increased twist is also effective in reducing friction. As the yarn twist increases, the surface fibers, because of their helical orientation to the yarn axis, act as a series of ridges inclined at an increasing angle of steepness to the principal direction of movement of the yarn over the machine surfaces. The yarn is in effect supported by these ridges and hence has only a small area of contact with the machine surfaces. This reduces the friction forces acting at the interface of yarn and machine surfaces and, consequently, reduces fly generation. It can be reasoned from this that a surface structure that has wrapper fibers, as well as reducing yarn hairiness, should also be effective in preventing fiber pullout and shear fracture. An example of this is that, for the same count and twist level, rotor yarns tend to shed up to 20% less fly than ring-spun yarns. However, it should be noted that groove doffing tube navels significantly increase the hairiness of rotor yarns.¹⁸⁴

6.2.3.6 Moisture Transport

An important factor in clothing comfort is the translocation of moisture caused by perspiration, as this prevents the sensation of *clamminess*.¹⁹⁶ The spontaneous movement of a liquid through a porous structure such as a yarn, by surface tension forces, is a phenomenon referred to as *wicking*, and it is generally interpreted as hydrodynamic flow through capillaries.^{197–199}

To initiate wicking, it is important to have a wettable surface. When there is contact between water and any solid surface, an attraction between the two takes place. However, the difference between a wettable and nonwettable or hydrophobic surface is that the former causes water to spread over it in a continuous film whereas, with the latter, the water stands in a droplet covering only a small area of the surface (see Figure 6.105). With the droplet, there is a common boundary line between the liquid, solid, and surrounding air. From any point on this boundary line, the tangent to the curved surface of the liquid makes angle, θ , known as the *contact angle*. It has a specific value for different solid-liquid combinations. If the contact angle were to be $\theta = 0^\circ$, the surface would be completely wetted. It would be nonwettable if

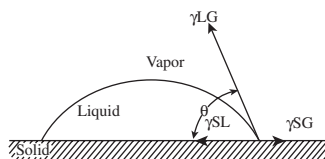


FIGURE 6.105 Interfacial forces at solid, liquid, gas boundaries.

$\theta = 180^\circ$. With contact angles between $\theta = 0$ and 180° the surface will be partially wetted, with the degree of wettability decreasing as θ increases.

Wettability occurs when the attraction between solid and liquid is at least as great as the liquid-liquid attraction. In elementary physics, the surface free energy of the liquid (γLG), the solid (γSG), and the interfacial free energy (γSL) are known to have the relationship defined by Young's equation,

$$\gamma SG - \gamma SL = \gamma LG \cos \theta \quad (6.51)$$

If the surface of the solid is textured, then a surface area factor, ξ , can be defined as

$$\xi = \frac{\text{Actual surface area}}{\text{Nominal surface area}}$$

With the textured surface, there will be an apparent contact, ϕ , so that

$$\xi(\gamma SG - \gamma SL) = \gamma LG \cos \phi \quad (6.52)$$

and

$$\cos \phi = \xi \cos \theta \quad (6.53)$$

Thus, the texture of the surface has increased the contact angle. The textured surface of a yarn will be its hairiness. Assuming that the surface of the fibers constituting the yarn are not treated to be hydrophobic, then, for a liquid to enter the yarn structure spontaneously, the apparent contact angle, ϕ , of the yarn must be less than 90° .

From the laws of hydrodynamic flow through capillaries formed by fibers of a yarn structure, it can be shown that^{197,198}

$$S^2 = \gamma \cos \phi r_e \frac{t}{2\eta} = kt \quad (6.54)$$

where S = advanced by the liquid

γ = liquid surface tension

η = liquid viscosity

t = time

r_e = the effective capillary radius

k = wicking rate

From our early consideration of yarn structure, it is evident that filament yarns would have low ξ factors. At the other end of the scale, conventional ring-spun yarns have higher ξ with respect to yarn hairiness. As a result, a rotor yarn (which has a dense core, an open outer area, and the wrapper fibers surface structure) wets and wicks more quickly than a conventional ring-spun yarn. Twist and fiber crimp, however, play an important part in the wicking mechanism. [Table 6.23](#) shows apparent angles

for a range of fibers and their corresponding ring-spun yarns. All fibers have contact angles of $<90^\circ$; however, wool yarn, because of the level of crimp, has a fairly hairy surface and discontinuities in the interfiber capillary channels, resulting in an apparent contact angle of $>90^\circ$ for the yarn. Figure 6.106 shows effect of twist on wicking rate for 100% nylon, 70% wool/30% nylon, and 100% wool ring-spun yarns. The curves demonstrate again the effect of the capillary discontinuity caused by the wool fiber crimp giving low wicking rates.

TABLE 6.23
Comparison of Fiber and Yarn Contact Angles

Material	Form	Apparent contact angle, θ°
Polyethylene	Fiber	86
	Yarn	25
Nylon	Fiber	83
	Yarn	55
Wool	Fiber	85
	Yarn	108
Cotton	Fiber	33
	Yarn	33

Courtesy of Booth, J. E., *Principles of Textile Testing*, Heywood-Temple Press Books, Ltd., 1964, 444–450.

The trend of the curves is for the wicking rates to increase with increasing twist, reaching a maximum before declining with further twist. There is a critical interfiber spacing (approximately 0.1 to 0.2 times the fiber diameter) below which the capillary forces will cause liquid to flow along an interfiber channel. At low twist levels, and therefore lower packing densities, the distribution of the interfiber distances will contain a small percentage below the critical value. This number increases with twist until twist choking of the capillaries occurs to reduce the liquid transport rate.

Moisture migration in fabric is dependent on several mechanisms, in particular the holding capacity of a fabric and speed of liquid transport through the fabric structure. For many applications, the former factor is given prominence, since it is mostly required that the liquid be distributed over as wide as possible an area of the fabric. However, in the early stages, it is the latter that dominates, and this is largely dependent on yarn structure.

6.2.3.7 Friction

Yarn friction is an important property with respect to the running behavior of a yarn in post-spinning processes and in the effect of yarn surface structure on fabric properties. It was explained earlier that yarn friction influences the mechanical properties of fabrics through the factor of fabric assistance.²⁰⁰ The surface friction is also related to the tactile character of a fabric.²⁰¹ From both perspectives, rotor-spun yarns and air-jet yarns give a crisp fabric handle as compared with the other

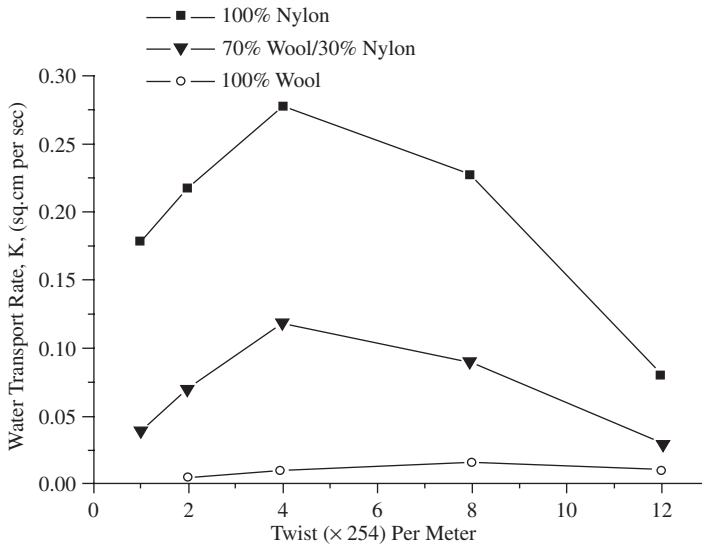


FIGURE 6.106 Effect of twist on wicking rate of yarns.

structures. This comparison does not include the Dref-2 yarn, as it is rarely used for general garments.

With respect to the performance of a running threadline, the static and kinetic yarn frictions are usually measured for a particular reference surface — often ceramic or steel.²⁰²

Figure 6.107 shows the general pattern observed in friction/yarn speed plots for ring and rotor-spun yarns produced from the same cotton and of similar counts.

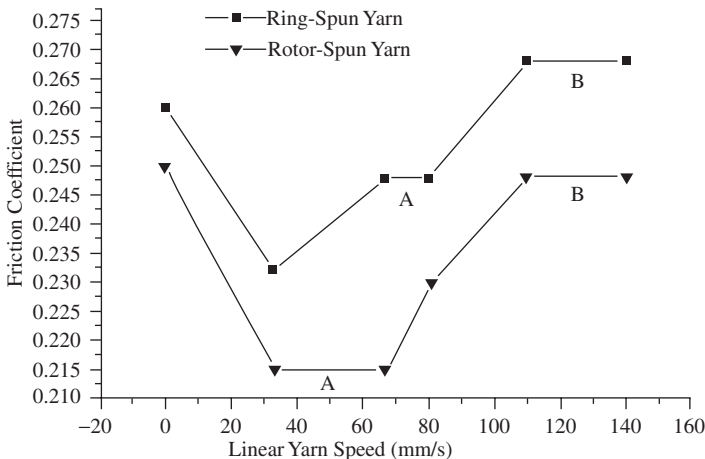


FIGURE 6.107 Friction profile of ring- and rotor-spun yarns.

Initially, as the thread line speed increases, the friction decreases because the protruding yarn hairs prevent full contact between yarn and metal surface. With ring-spun yarns, stick slip is observed at low speed. The first flat region, A, of the curve, seen as the speed increases, is caused by the resilience of longer surface hairs. Rotor yarns are less hairy, but the wrapper fibers prevent the yarn from flattening and spreading, and they more effectively separate the body of the yarn from full contact with the metal surface. The curve for the rotor yarn is therefore much lower, irrespective of speed.

At higher speeds, shear forces bend the hairs down, increasing the area of contact and thereby the friction. At still higher speeds, the shorter hairs come into effect, giving the second flat region, B.

The friction values of the curves will increase with linear density because of the increased area of contact, initially because of the increased number of hairs and subsequently owing to the actual contact area of the yarn. Spun-yarn/metal friction values will depend on yarn lubrication. As would be expected, waxing reduces friction, but only for low speeds. The friction pattern changes in that there is no initial decrease with speed; instead, the second phase of the curve occurs, starting at a lower friction value and increasing with speed, reaching a friction value equal to that of a nonwaxed yarn. Different types of lubricant give different initial friction values but similar trends.

6.3 QUALITY CRITERIA

The quality criteria of a spun yarn are the specified properties of the yarn that indicate its ability to be efficiently converted into, say, a woven or knitted fabric. They enable the resulting finished cloth to be imparted with the visual and tactile aesthetics required by the customer, i.e., *customer acceptability*. This means that there are two aspects to yarn quality requirements: post-process performance and fabric quality.

6.3.1 POST-PROCESS PERFORMANCE CRITERIA

6.3.1.1 Knitting

A yarn's *knittability* first depends on how well it unwinds from the yarn package (see [Chapter 7](#)) and second on its frictional properties (i.e., yarn-yarn friction and yarn metal friction with regard to the knitting needles and generation of fly). For knitting yarns, a strength of approximately 10 cN/tex and as high as possible a breaking extension are normally required.¹²³ These factors, along with the bending and elastic moduli, affect the yarn tension during knitting. When a yarn contacts the needle, it takes up a large curvature. The bending moment is therefore of importance.

6.3.1.2 Weaving

In weaving preparation, beaming machines operate at high draw-off speeds of more than 1000 m/min. The end breaks in beaming are often used as an indication of yarn quality. With cotton yarns, for instance, end breaks greater than one per million

meters of running yarn are viewed as indicating a poor-quality yarn. The tensile strength (cN/tex), variation in strength [CV% (strength)], the breaking strain ($\epsilon\%$) are the important properties in weaving; less strength is required for weft (9 to 12 cN/tex) than for warp (12 to 16cN/tex), and the minimum extension should be around 6.5 to 8.0%.

The weaving performance of a yarn is judged in terms of weaving costs, particularly as a result of downtime. On average, 20 to 30% of stoppages in cotton weaving result from yarn breaks, 30 to 40% are due to faults during warping sizing, and 30 to 40% are loom related.²⁰² With short-staple yarns, the processing behavior of the sized warp yarns is critically dependent on the properties of the sizing agent and the degree of sizing. However, the yarn structure is also a key factor, and loom types have specific requirements regarding the characteristic profile of warp yarns.

Over the years, weaving rates (picks per minute or meters per minute) have significantly increased with continuous improvement in shuttle-less looms. The efficiency in weaving is now highly dependent on downtimes for beam changes and tying in new warps, repair time delays, and, importantly, downtimes caused by short stops caused by warp or weft breaks. The short stops constitute a very important quality factor since, in many instances, greater than two per 100 km of yarn would be seen as below average.

The number of warp stoppages is highly dependent on the abrasion resistance of the warp yarn and a low level of hairiness, since hairs can cause adjacent yarns in a warp to cling together (snag) and hinder the passage of the weft yarn through the shed. Warps for air-jet looms generally need to have high abrasion resistance. A low degree of hairiness is particularly important in air-jet weaving. For projectile and rapier looms, warp yarns are subject to less abrasion during weaving, but the important requirement is a reduced snagging tendency (i.e., a low force needed to separate the threads during shedding). In rapier looms, hairy weft yarns can prevent the efficient transfer of a yarn between grippers. Generally, ring-spun yarns have higher snagging tendency and lower abrasion than rotor yarns.

There is a direct relationship between yarn tension during weaving and weft insertion rates. Hence, for weft insertion rates above 1200 m/min, the CV% of yarn strength is an important quality factor. Particularly important for a weaving yarn is the number of “weak places.” These are the short lengths usually identified by the Uster Classimat system and are of the order of 50 cm with breaking strengths less than 30% of the mean yarn strength. When these weak places are placed under peak tension in the warp or weft, they break.

6.3.1.3 Fabric Quality

The count variation or CV%_{100m} affects fabric appearance. For woven fabric, depending on weave structure, variations in count within and between weft packages can cause stripiness that is discernible to the human eye. With knitted fabrics, the quality characteristics that are particularly important in the evaluation of yarns are elongation at break, Classimat values, and the Uster CV. As seen in [Figure 6.95](#), a high Uster CV% will give knitted fabrics a patchy appearance.

The ideal yarn has been defined as “being the one that is spun from the finest fiber with the least twist, the fullest volume, the best evenness, and the most consistent strength.”²⁰³ Although the perfect yarn will always be the quest of textile technologists, agreements have to be reached between the yarn manufacturer and customer on quality criteria, and these are best based on “as good as necessary” quality specifications rather than “as good as possible.” Whatever the agreed specifications, the yarn manufacturer has to take steps to ensure that every wound package delivered to the customer conforms to the specified requirements, i.e., quality must be assured.

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7 The Principles of Package Winding

The principal objective of winding is to assemble many meters of yarn into package form suitable for use in subsequent operations such as weaving and knitting. A suitable package is one that can be easily unwound at high speed. Faults like very thick and very thin places in the yarn length should be removed, but the number of joint ends (i.e., piecings) must be kept to a minimum and, when required, a lubricant (wax) should be applied to the yarn surface. The yarn on packages for high-speed weft knitting is usually waxed. The removal of faults from the yarn is known as *clearing* and, in practice, *clearing and waxing* are important aspects of winding.

In the case of most unconventional spinning systems, the yarn is cleared, waxed, and wound into a suitable package during spinning. As an example, Figure 7.1 illustrates the situation for rotor spinning. With ring-spinning systems, there is

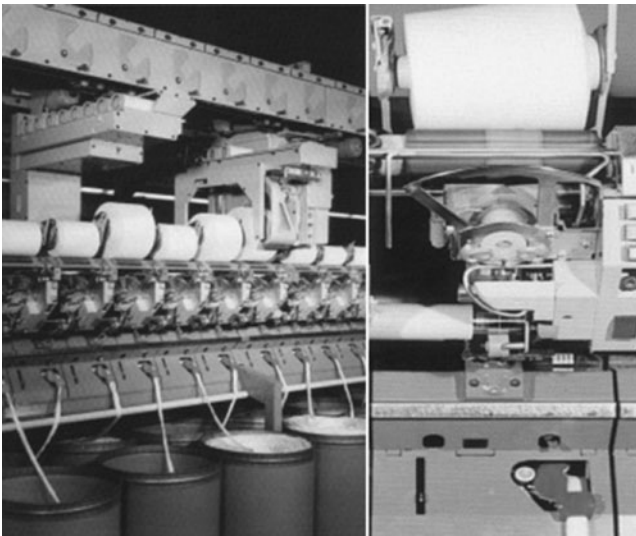


FIGURE 7.1 (See color insert following page 266.) Typical integrated spinning, yarn clearing, and winding on rotor machines. (Courtesy of W. Schlafhorst AG & Co.)

insufficient yarn length on the ring-spinning package. The yarn is therefore removed from a number of such packages and rewound into a suitable one. [Figure 7.2](#) depicts the typical arrangement for the rewinding of ring-spun yarns. As the yarn is removed from the ring bobbin,* it will balloon (see [Chapter 8](#)), which can increase the yarn hairiness. The yarn therefore passes through a balloon controller, then via several tension control devices, followed by the yarn clearer, which cuts sizeable faults from the yarn. A piecing device joins the cut ends, and the yarn then travels via a waxing unit before being wound into a package.

The text of this chapter focuses mainly on the rewind of yarns from ring-spinning packages to larger-size packages. The basic underlying principles are, however, applicable to the unconventional systems. Therefore, where appropriate, comments and descriptions relating to unconventional systems are given. Five important aspects are considered: package formation, thread-line dynamics and tensioning, yarn clearing, the piecing of yarn ends, and waxing.

7.1 BASIC PRINCIPLES

In [Chapter 1](#), it was stated that, in the winding process, the two commonly wound package types are the *parallel-sided cheese* and the *cone* shown in [Figure 7.3](#) alongside a ring-spinning package. Two basic actions are required when producing either package type (see [Figure 7.4](#)). A bobbin forming the core of the package must be rotated so that the yarn, while under tension, can be wrapped around the bobbin circumference. Simultaneously, the point at which the yarn is wound must be traversed along the bobbin length. Control of the yarn position can therefore be achieved by regulation of the traverse in relation to the package rotation. In this way, concentric yarn layers can be made to build up to form the package.

Bobbins may be made of card or plastic, the latter being perforated if the yarn is to be package dyed. Parallel-sided cheeses have tubular bobbins. For cones, the bobbin is of a conical form, i.e., a truncated cone; the angle of taper — the semi-vertical angle — depends on the end use for the resulting package. [Table 7.1](#) lists four common tapers. The wound cone package may have a fixed taper, which gives it flat ends, in which case the package is referred to as *straight-ended*. Cones may also have an accelerated taper, where the taper of the package is greater than the bobbin, resulting in a concave end at the top (the nose) and a convex end at the bottom (the base) of the package. These are called *dished ends*.

7.1.1 WINDING PARAMETERS

The bobbin length over which yarn is wound is termed the *traverse length*. The number of wraps (or coils) of yarn wound within a traverse length is called the *wind*, and the traverse ratio (TR) equals twice the wind, which is equal to the number of bobbin rotations in one traverse cycle. For the sake of simplicity, we will first consider parallel wound packages and discuss cones later on.

* The ring bobbin is a slightly tapered cylindrical tube onto which a yarn is wound during ring spinning. It also may be called a ring tube, yarn bobbin, or spinning bobbin.

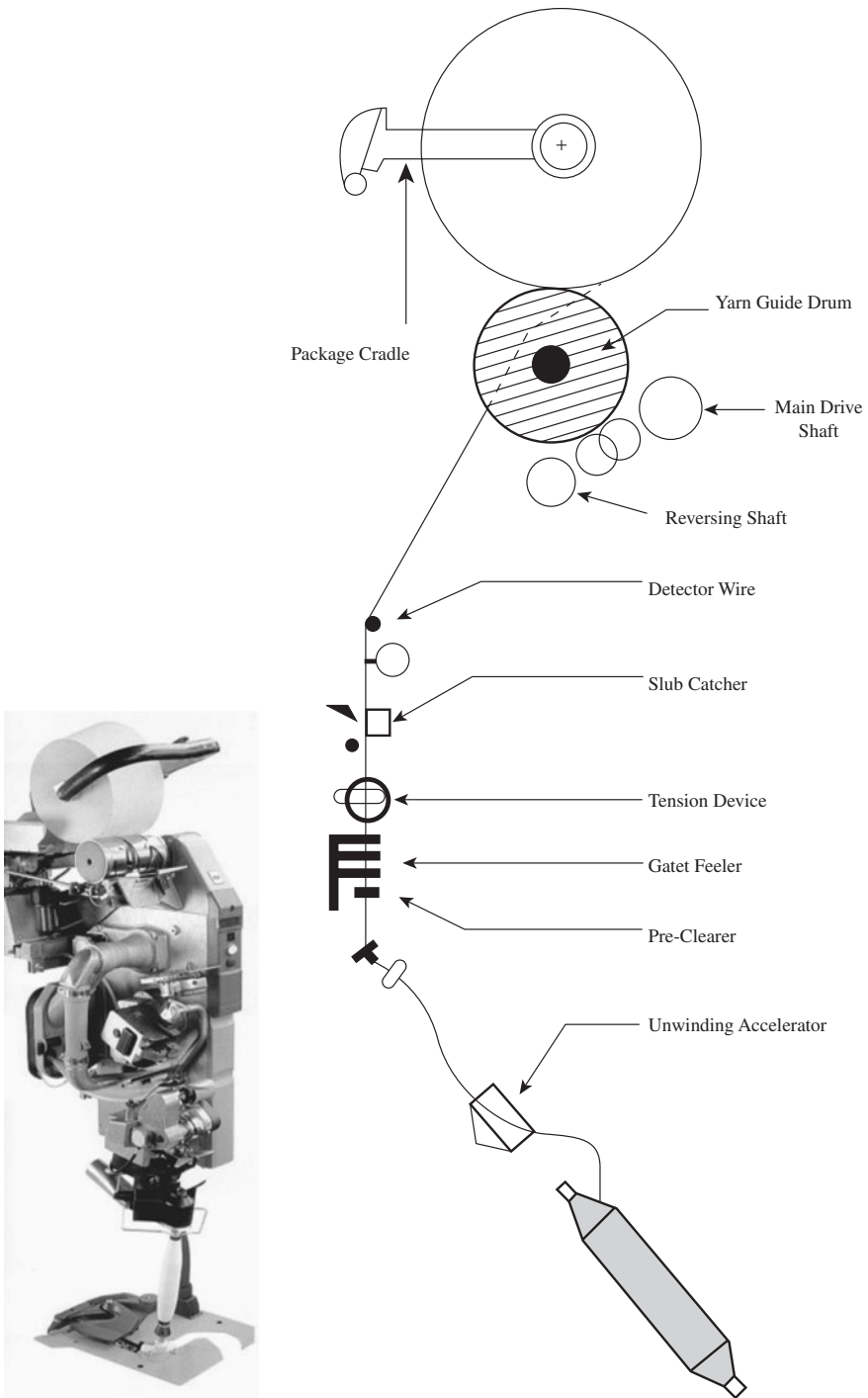


FIGURE 7.2 Typical arrangement of winding unit. (Courtesy of W. Schlafhorst AG & Co.)

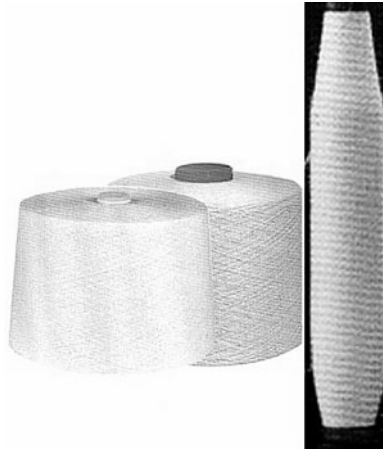


FIGURE 7.3 Ring-spinning package and rewind yarns of cheese and cone packages.

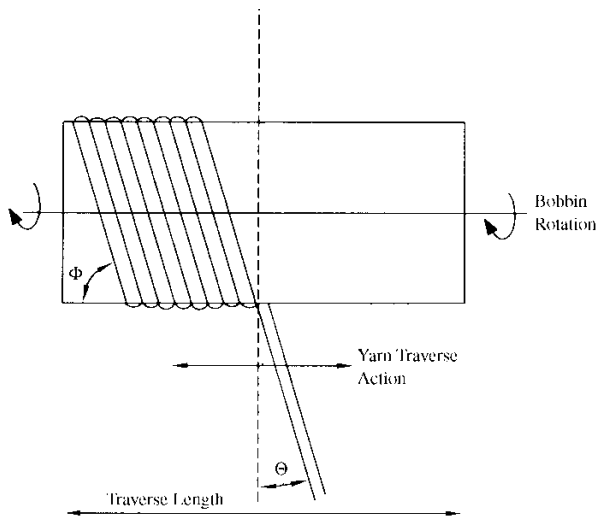


FIGURE 7.4 Basic winding actions.

TABLE 7.1
Common Tapers for Random-Wound Cones

Cone taper (semivertical angle)	End uses
3°30'	General purposes
4°2'	Wet processing (e.g., dyeing)
5°5'	Weft knitting: at final diameter taper may increase to 10°
9°1'	Weft knitting: at final diameter taper may be 14° to 18°

The angle θ between the inclined yarn (the yarn lay) on the package and a plane perpendicular to the bobbin axis is called the *wind angle* and can be calculated according to

$$\tan \theta = V_{ts} / 2\pi r N_b \quad (7.1)$$

where V_{ts} = the traverse speed (m/min), assumed to be constant even at the points of reversal

r = radius (m) of the layer being wound

N_b = bobbin rotational speed (rpm)

It is often found that the greater the wind angle, the more stable the package. The maximum limit to the wind angle is the value that, if exceeded, allows the yarn, on reaching the end of a layer during traverse reversals, to slip over the end of the layer beneath.

The coil angle, ϕ , is the angle between the direction of the yarn on the package and the direction of the traverse length. Therefore, $\phi + \theta = 90^\circ$. Throughout the remaining sections, the coil angle will be used in preference to the wind angle.

The winding speed of the yarn is the resultant speed of the bobbin surface and traverse speeds. It can be calculated from

$$V_{wy} = \sqrt{V_{bs}^2 + V_{ts}^2} \quad (7.2)$$

where V_{bs} and V_{ts} = the bobbin surface and traverse speeds, given by

$$V_{bs} = 2\pi r N_b \quad (7.3)$$

$$V_{ts} = 2LN_t \quad (7.4)$$

where L = traverse length

N_t = traverse frequency

The winding speed is also given by

$$V_{wy} = V_{bs} \operatorname{cosec} \phi \quad (7.5)$$

Yarn reversal at the traverse ends cannot occur instantaneously. Therefore, unless steps are taken, more coils occur in the region of the package ends than in the rest of the traverse length. The package density is then higher at the ends, forming what is termed *hard package ends*. This is considered to give poor package formation and would be unacceptable for package dyeing of yarns. As explained later, a uniform package density can be obtained by slightly displacing the traverse of each layer laterally in one direction and then the other by 3 to 5 mm.

7.2 TYPES OF WINDING MACHINES

There are two widely used types of winding machine: drum winders (used to wind staple-spun yarns into random-wound packages) and precision winders (for winding filament yarns into precision-wound packages). Throughout this book, we are concerned only with staple spun yarns, but it is useful to have a basic understanding of the differences between the two types of winding, so both are discussed in this chapter.

7.2.1 DRUM-WINDING MACHINES

Drum-winding machines rotate the forming package through surface contact with a cylindrical drum, and the yarn is traversed either by an independent traverse, typically a wing cam, or by grooves in the drum. Figure 7.5 illustrates the two types of traverse systems.

7.2.1.1 Wing Cam

There are several different independent traverse systems, but the simplicity of the wing cam makes it a useful example to describe. As shown, the end, A, of a yarn guide bar moves the yarn while the other, B, is made to move around the periphery of the cam, traveling one circuit of the periphery per revolution of the camshaft. As B makes one circuit of the cam, A reciprocates, moving the yarn through a return traverse (i.e., double traverse) along the length of the bobbin. The reciprocating yarn guide limits the winding speed because of the inertia on reversals. A very high rate of traverse is impeded by the mechanics of the guide system, since forces of 16 to 64 times the weight of the yarn guide can be present during the reciprocating action. The reciprocating guide can be replaced by a spirally grooved traverse roller, which

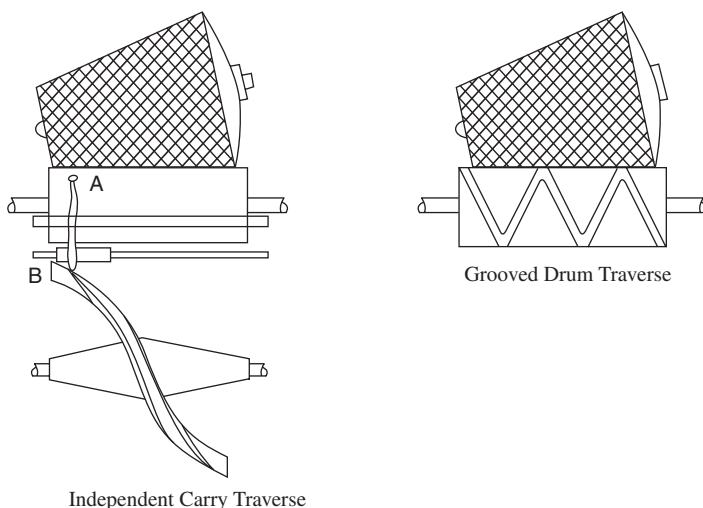


FIGURE 7.5 Winding traverse motion.

moves the yarn along the traverse length. In this case, only the yarn undergoes reversal as it is held in the traversing groove of the rotating roller, and speeds in excess of 1500 m/min can be achieved. A further advantage of the grooved traverse roller is that, as a result of tension, the yarn being wound enters the groove without the need for threading up as is required with the independent traverse system.

7.2.1.2 Grooved Drum

With the grooved drum system, the surface speed of the drum, and the traverse speed are kept constant. A continuous helical groove (i.e., interconnected clockwise and counterclockwise helical grooves) around the drum circumference guides the yarn along the traverse length as the yarn is wound onto the bobbin. A continuous helix has points of crossover of the clockwise and counterclockwise helices. To retain the yarn in the correct groove during its traverse, particularly at the intersections, one groove is made deeper than the other, and the shallower groove is slightly angled.

For both types of traverse, we can refer to a drum constant, k , as the number of turns corresponding to a double traverse of the yarn on the bobbin. This is similar to the wind.

$$k = \frac{N_d}{N_t} \quad (7.6)$$

where N_d = the drum rotational speed

A wing cam traverse provides one double traverse (i.e., a traverse cycle) for every turn of the camshaft. There are therefore N_t traverse cycles per minute (i.e., the traverse frequency). The constant k is purely a ratio that can be, but usually is not, a whole number. With groove drums, the continuous spiral is such that k is always a whole number. The k value of a groove drum is easily found by inspection. It is twice the number of crossings of the spirals, e.g., a 2.5 crossing drum has $k = 5$. However, a drum with $k = 1$ is called a *split drum*, not a 1/2-crossing drum.

From Equations 7.4 and 7.6,

$$V_{ts} = 2L \frac{N_d}{k} \quad (7.7)$$

And the transverse ratio, TR , is

$$TR = k \frac{D_d}{D_b} \quad (7.8)$$

where D_d and D_b = respective diameters of the drum and bobbin

The reader should note that the above equation for TR is applicable only to cylindrical packages. As we shall see later, with cone-shaped packages, it is convenient to refer to the mean diameter, d_m . Thus, for cones, $TR = k D_d/d_m$.

As the package diameter increases, its rotational speed decreases, and so does the wind and the transverse ratio, but the coil angle, ϕ , remains unchanged. To make stable packages in which the coils retain their position and do not drift toward the middle or the ends of the package, ϕ should be within the range of 68° to 81° .

The decrease in the traverse ratio with increasing package diameter presents a problem in the winding process.

7.2.1.3 Patterning/Ribboning

As the traverse ratio decreases, it passes through a series of integer values. How long it remains at any particular integer value depends on the rate of change of the package diameter as winding proceeds. At small diameters, the rate of increase of D_b is too high for patterning to persist. However, when the transverse ratio corresponds to a whole number, the yarn coils of successive traverse will follow exactly the same path of wind. The coils of successive winds are therefore formed on top of each other, producing a raised honeycomb pattern on the bobbin surface.

As the package builds up, we can see from Equation 7.8 that, with D_b increasing, TR decreases and ceases to become an integer. The action of patterning is then disrupted. Nevertheless, a number of patterning zones can exist in a package, because patterning can also occur when TR has certain nonintegral values that result in the yarn returning to its starting point after a small number of double traverses (e.g., $TR = \text{integer} + 1/2$, or $1/3, 2/3, 1/4, 3/4, 1/5, 2/5, 3/5, 4/5$). Patterning is an objectionable fault, as it causes an uneven package density. If package dyeing is carried out, this may result in uneven dyeing of the yarn.

7.2.1.4 Sloughing-Off

As coils in a patterning zone lie exactly on top of each other, two or three such overlaying coils can be inadvertently pulled off together during the unwinding of the yarn from the bobbin. This leads to an entanglement of the coils, which then has to be cut away. This is a fault known as *sloughing-off*. Clearly, sloughing-off causes machine stoppages in warping and in weaving where the package is used as a weft supply. In weft knitting, the effect can be more severe, causing needle breakage. Beside sloughing-off, the coils in the patterning zone can cause snagging and thereby high-tension variations.

7.2.1.5 Anti-patterning Devices

Patterning must be avoided, and drum-winding machines are equipped with anti-patterning devices. They can be one of four types, as described below.

Variation of Traverse Frequency, N_t

For cam-operated traverse machines, a small sinusoidal variation is made to the normal running speed of the camshaft. The lay of yarn coils is therefore not constant but varies slightly from one double traverse to another (see Equation 7.1), and there is also a slight variation in TR (see Equations 7.6 and 7.8). Thus, the start of each double traverse will sometimes be before, and sometimes behind, the previous one. This approach to pattern breaking is a very effective one.

Variation of Drum Speed, N_d

For rotary traverse machines (grooved drums), small reductions and increases are made automatically to the speed of the drum 20 to 30 times per minute. The changes in speed slow and then speed up the package but, as the drum accelerates, there is a small slippage between the package and the drum until frictional contact between the two brings the package up to the increased drum speed. The slipping of the package causes a change in the lay of the coils being wound-on at the time and in TR ; patterning is thereby prevented.

Lifting of Bobbin to Reduce N_b

An alternative way of introducing slip is to rapidly raise (1 mm) and then lower the forming package off and onto the drum 20 to 30 times per minute.

Rock-and-Roll Method

This method is confined to cone winding, which will be discussed later. It involves intermittently tilting the package from the drum so that the base of the cone-shaped package being formed remains in contact with the drum while the nose rises a millimeter or so. This occurs several times per minute.

7.2.2 PRECISION WINDING MACHINES

We can see from the above description that drum-winding systems involve significant frictional contact between the yarn and the package-forming device. Yarns that can be easily damaged by friction, in particular filament yarns, are wound into packages using precision winders.

With precision winders, the package is mounted onto a drive spindle, and a reciprocating yarn guide, driven by a cylindrical cam coupled to the spindle drive, is used to move the yarn along the traverse length. The reciprocating yarn guide limits the winding speed because of the inertia on reversals.

The term *precision* refers to the control of positioning each layer of yarn as it is wound onto the bobbin. There is a precise ratio of spindle to traverse speed. Therefore, as the package diameter increases, the wind and TR are kept constant. Thus, for precision winders, Equation 7.8 becomes

$$TR = \frac{N_b}{N_t} \quad (7.9)$$

The coil angle is given by

$$\tan \phi = \frac{\pi D_b N_b}{V_{ts}} = \frac{\pi D_b N_b}{2LN_t} = \frac{\pi D_b TR}{2L} \quad (7.10)$$

Hence, as D_b increases the coil angle, ϕ , increases, and the angle of wind, θ , decreases. Continuous filament yarns are prone to slip at reversal points; therefore, in contrast to the drum winding of spun yarns, the precision winding of filament yarns requires ϕ to be within the range of 70° to 80° . Importantly, the package diameter should not allow ϕ to exceed 80° , which can mean a small package size

when using a constant spindle speed. But note that, although precision winding is essentially for continuous filament yarns, it can be used for very fine spun yarns.

Provided that the machine is arranged such that TR is not an integer or a multiple of 0.5, patterning will not occur with precision winding, so no pattern-breaking devices are needed. With N_b constant, the surface speed of the forming package increases with D_b , as does the yarn tension. Increasing yarn tension can cause increased package density in the outer layers. All yarns have a limiting speed at which they can be wound into packages economically so, once the package surface speed equals the limiting speed for the yarn, the package will have reached maximum diameter. The limiting speed is governed by the situation in which the mean tension and the variation about the mean are at such a level that peak tension values reach the yarn breaking load. Then, an excessive number of yarn breaks occur during winding, resulting in a low production efficiency, a low production rate, and poor-quality packages with a high number of piecings.

Precision winders may have a constant or variable spindle speed, constant or variable surface speed, or a combination of these. A constant spindle speed requires minimal tension fluctuations. A variable spindle speed provides a constant mean winding tension; a device (a tension-compensator) is used to monitor any change in the mean tension, and it slows or increases the spindle speed to maintain a constant tension. For constant surface speed, the spindle speed has to decrease as the package diameter builds up. When a combination approach is used, the spindle speed first increases to give the required production rate, after which surface speed is kept constant.

The advantage of a combination system, as compared with constant spindle speed, can be illustrated by the following numerical example of building a parallel-sided cheese package. Knowing the traverse length, L , and traverse ratio, TR , the optimal bobbin diameter, or package core diameter, $D_{b(min)}$, and the maximum package diameter, $D_{b(max)}$, can be calculated by applying the coil angle restrictions. Thus, from Equation 7.10,

$$D_b = \frac{2L \tan \phi}{\pi TR} \quad (7.11)$$

Let $L = 20$ cm and $TR = 5$. Then, for $\phi = 70^\circ$ and 80° , respectively, $D_{b(min)} = 7$ cm, and $D_{b(max)} = 14.44$ cm.

If the limiting winding speed for the yarn is 600 m/min, then, for the constant spindle speed system, the actual spindle speed will be the value that enables the surface speed of the package to reach the limiting winding speed at a package diameter that equals $D_{b(max)}$. This will require a traverse frequency or cam speed of

$$600 = \frac{\pi D_{b(max)} N_b}{100} = \frac{\pi D_{b(max)} TR N_t}{100} \quad (7.12)$$

and $N_t = 264.5$ cycles per minute (or revolutions per minute of the wing cam shaft), which must not exceed the maximum available on the machine, say 350 rpm.

The surface speed of the bobbin or package core will be

$$\frac{\pi D_{b(min)} TRN_t}{100} = 290.8 \text{ m/min} \quad (7.13)$$

For combination systems, winding during the earlier stage of package build can occur at the fastest possible spindle speed until the package surface speed equals the limiting speed for the yarn. Then, the spindle speed is controlled to maintain this surface speed as the package diameter increases. Thus, the change of winding mode would occur when the bobbin diameter reaches a value given by

$$\frac{\pi D_b TR350}{100} = 600 \text{ m/min} \quad (7.14)$$

so $D_b = 10.91 \text{ cm}$ and, at this diameter, the coil angle is 76.9° (see Equation 7.10).

In the second stage of winding, the package builds up at 600 m/min until the diameter reaches 14.44 cm ($\phi = 80$). Based on these calculations, Figure 7.6 shows a graph comparing the two modes, and it is evident that the combination mode will always give a higher production rate than can be obtained with a constant spindle speed.

It should also be clear that, if a constant surface speed system were used to build the package at the limiting speed of 600 m/min so as to obtain an even higher

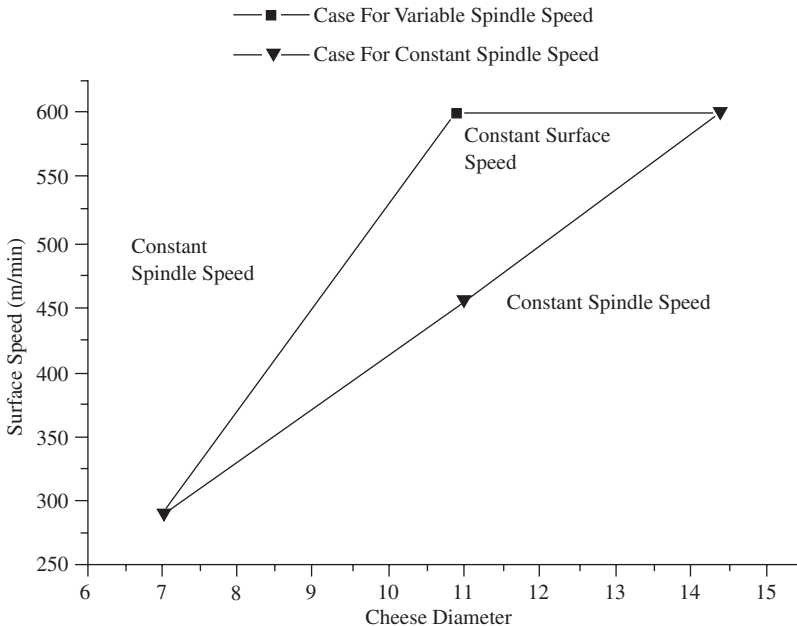


FIGURE 7.6 Package building at constant and variable spindle speed modes.

production rate, the disadvantage would be less yarn length wound on the package. This is because the bobbin diameter, $D_{b(min)}$, would need to be 10.91 cm rather than 7 cm as used for the combined and constant spindle speed systems.

7.2.3 ADVANTAGES AND DISADVANTAGES OF THE TWO METHODS OF WINDING

Advantages and disadvantages of the two winding methods are shown below.

Advantages and Disadvantages of the Two Methods of Winding

Characteristics		Precision winding		Random winding
Patterning	+	No patterning	-	Inherent patterning, anti-patterning device necessary to prevent pattern zones
Package density	-	Not constant	+	Constant
Density of wind	+	High package density	-	Low package density
Geometry of yarn lays	+	Precise yarn laying	-	Irregular yarn laying form layer to layer
Unwinding performance	+	Good winding performance	-	Problem of pattern zones
Technical system	-	Complex systems, expensive machines	+	Simple system, lower-cost machines

+ = advantages, - = disadvantages

Courtesy of Durur, G., *Cross Winding of Yarn Packages*, Ph.D. Thesis, University of Leeds, July, 2000.

7.2.4 COMBINATIONAL METHODS FOR PATTERN-FREE WINDING

With the application of microprocessor control techniques, winding machines have been developed with the objective of combining the positive features of the two basic winding methods. These newer methods are known as *stepped precision winding*, which produces a wound package referred to as *digicone*, and *ribbon free random winding*.

7.2.4.1 Stepped Precision Winding (Digicone)

The aim is to combine the advantage of the constant wind angle of random winding and the advantage of the constant traverse ratio, TR, of precision winding to produce a pattern-free package with a near-constant coil angle, ϕ . Figure 7.7 illustrates the arrangement used. The drum is driven to give a constant winding speed, and the motor also drives a variable ratio-drive unit, V, which controls the traverse drum speed.

Figure 7.7a shows a plot of winding ratio and coil angle, ϕ , during package build. Precision winding is characterized by the horizontal line, P, because of its constant TR, whereas random winding is represented by a hyperbolic curve for a constant ϕ . With precision winding, there is a precisely constant TR, but with random winding, ϕ is not precisely constant. This is because of the required anti-patterning

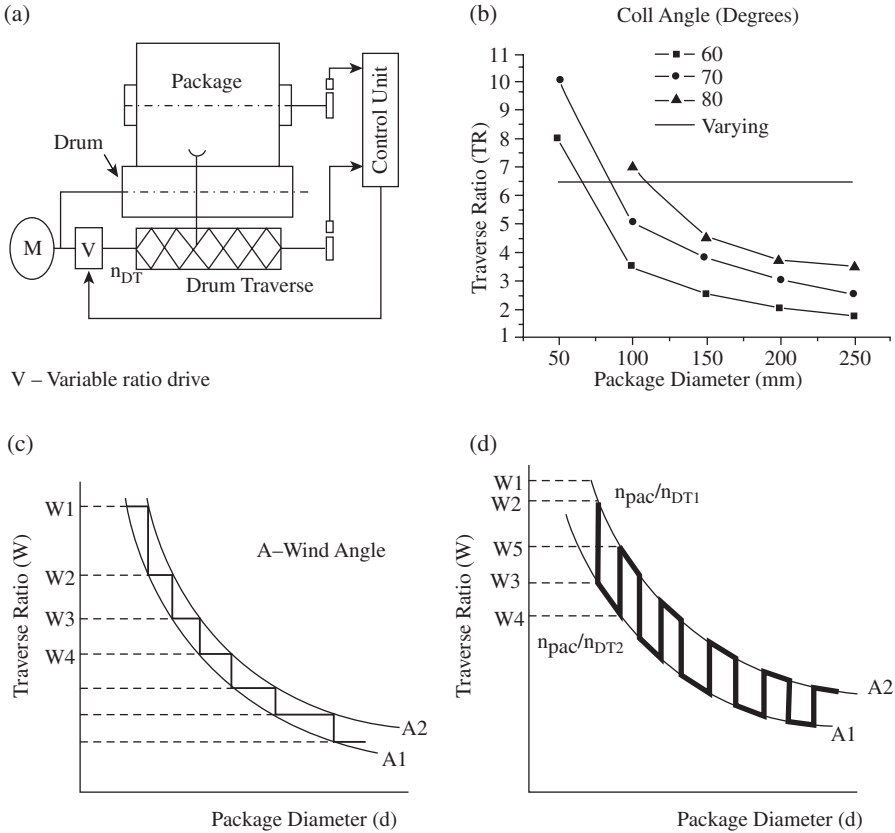


FIGURE 7.7 Stepped precision and ribbon free random windings. (Courtesy of Durur, G., *Cross Winding of Yarn Packages*, Ph.D. Thesis, University of Leeds, July, 2000.)

action. Random winding therefore involves a very narrow range of ϕ values. It is within this range that stepped precision winding simulates the basic principles of precision winding.

At the start of winding (See Figure 7.7c), the TR is set at a high value (W1) that provides the required gain, and $\phi = A1$. As winding proceeds, TR remains at (W1), but ϕ increases to A2, the maximum allowable value. This point is determined from shaft encoders connected to the spindles, and the ratio of the coupling is altered to a lower TR of (W2) so as to reset ϕ to A1 and retain the gain but to avoid an integer value. The procedure is repeated throughout the build of the package. Each resulting yarn layer will be wound with a different TR, but ϕ will be nearly constant.

7.2.4.2 Ribbon Free Random Winding

This method is similar to the basic random winding method, but steps are taken to ensure that the TR does not reach integer values. The control system is arranged in

a similar way to the digicone process, but the difference is in the variable drive control (V) of the traverse cam speed. Instead of varying the speed to achieve step-constant TRs, the speed is varied to obtain step-constant ϕ s.

Figure 7.7d shows that two traverse cam speeds are involved, n_{DT1} and n_{DT2} ; thus there are two set ϕ values relating to the ratio of the package speed, n_{pac} , and the cam speed (see Equations 7.9 and 7.10). Winding begins with ϕ set at A2 and, as the package diameter increases, TR nears the critical value W2, at which stage the yarn traverse speed is changed by the control unit to reduce TR to W3 and ϕ to A1. The package now continues to build in diameter until TR nears W4, when the yarn traverse speed changes again to increase TR to W5 and ϕ back to A2. This reversal of ϕ at critical values of TR proceeds to the full package size. In contrast to digicone, the ribbon free random-wound package has layers that are wound at two very distinct ϕ values.

7.3 RANDOM-WOUND CONES

The above discussion was largely about the winding of parallel-sided cheese-type packages. Although the underlying principles apply to the winding of conical packages, there are important differences in producing random wound cones, as in this case the forming package is surface driven. These differences are therefore not applicable to the precision winding of cones.

7.3.1 PACKAGE SURFACE SPEED

The principal difference between cheese winding and cone winding on drum-driven systems is concerned with the package surface speed. A cone in contact with a rotating cylindrical drum may have a rotational speed of N_b , but the surface speed will vary along the traverse length, the lowest value being at the nose and increasing to the highest value at the base. In contrast, a parallel-sided cheese has the same surface speed at all points along its traverse length. With a cone, there can be only one point on the traverse length that has a surface speed equal to that of the rotating drum, and this is therefore the point of drive of the cone by the drum (see Figure 7.8). Since, at every other point along the traverse length, there is a difference between the surface speeds of the cone and the drum, slippage will occur at all surface-contact points except the point of drive.

The distance along the traverse from the cone base to the point of drive is called the *drive length*, indicated as “ y ” in the diagram, which remains constant during winding of the package. There is initially some variation in y when the cone is small, but this is negligible. Thus, for a fixed drive length, Figure 7.8 also illustrates, in relation to the drum surface speed, the changes in the cone surface speed at points along the traverse length as the cone builds up. N_1-B_1 represents the surface speed of the cone from nose to base at the start of winding. It can be seen that the nose speed is much smaller than the point of drive speed, but the base speed exceeds the point of drive speed. As the nose and base diameters increase, the cone rotational speed decreases. Therefore, the surface speed of the nose increases while the base speed decreases, both moving toward but never achieving the drum speed, as indicated by lines N_2-B_2 and N_3-B_3 .

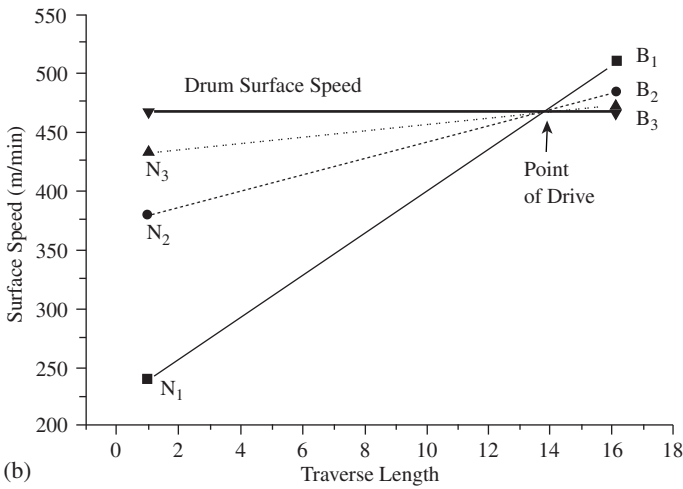
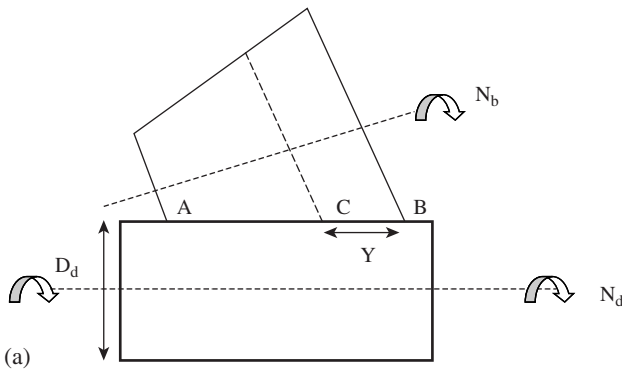


FIGURE 7.8 Random-wound cones.

The production speed of winding is the mean surface speed and not the speed at the point of drive. The mean surface speed can be calculated from the drum diameter, D_d , and the drum surface speed, N_d . Thus, the rotational speed of the cone, N_b is given by

$$N_b = \frac{N_d D_d}{d_d} \quad (7.15)$$

where d_d = the diameter at the drive point of the cone, a distance y from the cone base

If α = the cone angle and d_b = cone base diameter, then,

$$d_d = d_b - 2y \sin \alpha$$

The mean surface speed, V_m , of the cone then becomes

$$\begin{aligned}
 V_m &= \frac{N_b \pi (d_b + d_n)}{2} \\
 &= \frac{\pi N_d D_d (d_b + d_n)}{2 [d_b - 2y \sin \alpha]}
 \end{aligned}
 \tag{7.16}$$

Figure 7.9 illustrates how V_m increases asymptotically toward the drum surface speed as the mean cone diameter increases. It is clearly beneficial to use as large as possible a mean core diameter, but doing so must enable adequate yarn length to be wound into a cone package with the required taper.

7.3.2 ABRASION AT THE NOSE OF CONES

The difference in surface speed that occurs between the nose region of the cone and drum can result in yarn abrasion, and yarns sensitive to friction can be affected adversely by the heat produced. For example, polyester/cotton blend can show localized fusion of the polyester. To alleviate such effects, cam-operated traverse systems have what is termed *split drums*. One part of the drum is solid and drives the bobbin, while a second, shorter part (*a loose shell*) is free to rotate and supports the rotating nose of the cone. With drum traverse systems, the cylindrical drum is replaced by a slightly tapered one, thereby reducing the speed difference between the nose of the cone and the drum.

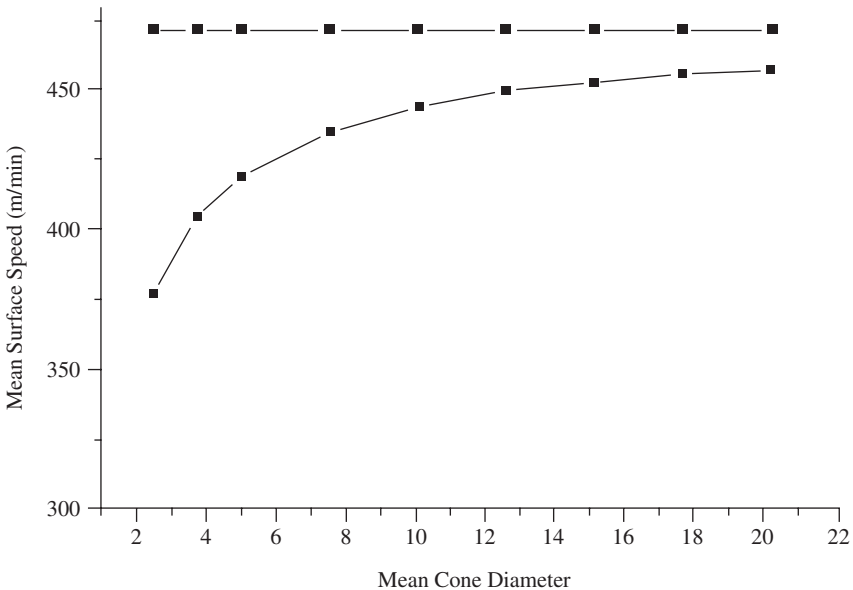


FIGURE 7.9 Mean surface speed with package build.

7.3.3 TRAVERSE MOTIONS

It should be evident to the reader that, with a cone package, the surface area is greater at the base of the cone and decreases toward the nose. Therefore, to build a package of uniform density, it is necessary to ensure that the yarn length wound per unit surface area is a constant value. More yarn then needs to be wound in the region of the base than in the region of the nose, and this is achieved by an accelerated traverse motion in contrast to a constant traverse motion used for cheeses. The yarn guide or winding point moves more rapidly across the traverse near the nose than it does near the base. In this manner, more coils per unit length are wound at the base region.

The accelerated traverse motion is obtained with a cam system by a change in cam profile, whereas, for drum traverse systems, the grooves are widely spaced in the nose region, and the spacing decreases rapidly toward the base region.

7.4 PRECISION OPEN-WOUND AND CLOSE-WOUND PACKAGES

Precision-wound packages may be either cheeses or small-taper cones. As shown in Figure 7.10, the packages can have straight ends or, by varying the traverse length, cone ends that give a pineapple shape to the package.

Pineapple packages reduce the chances of yarn coils slipping over the package ends and causing problems during unwinding in subsequent processes. With cones, it is not common practice to wind continuous filament yarn on to taper bobbins greater than $4^{\circ}20'$. Precision-wound cones of $4^{\circ}20'$ are used for dyeing and, for this purpose, are open wound. In precision open-wound packages, the traverse rate is set to enable succeeding yarn lengths per traverse to be positioned with a regular advance or spacing along the package surface. The positionings of the yarn lengths are not very close, but a dense package is made, and this type of package is widely used in the winding of synthetic filament yarns and of yarns that are to be package dyed. In producing very dense packages for processes in which having the maximum amount of yarn in package form is a requirement, the packages are close wound.

In precision close winding, by appropriate selection of the traverse ratio or wind, each coil of yarn can be made to lie side by side with the preceding coil. Precision-

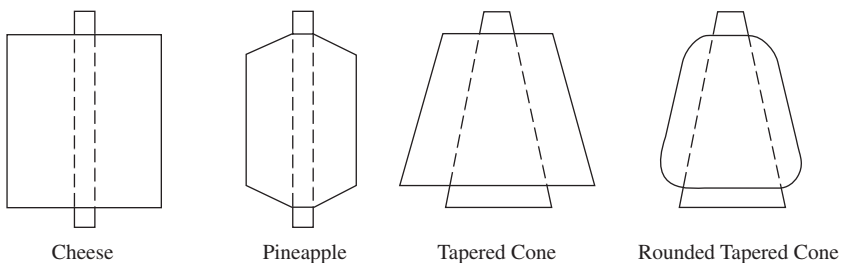


FIGURE 7.10 Precision close-wound and open-wound packages.

wound packages are consequently very firm; they will hold more yarn than any other package of the same size, are more resistant to handling, and will easily unwind.

7.4.1 THEORY OF CLOSE-WOUND PACKAGES

We saw earlier that, when the return of a double traverse ends at its starting point, the next double traverse will lay coils on top of the preceding coil, resulting in patterning. If the wind or TR is an integral number or an integer + 0.5 (i.e., a multiple of 0.5), then, at each double traverse, succeeding yarn layers will be laid on top of one another. If the wind is made to be slightly greater (or less) than an integer, then, as Figure 7.11 illustrates, yarn coils will lie in contact beside each other, producing a precision close-wound package.

We can see that the reversal points of the double traverses being laid precede one another in sequence 1, 2, 3, 4, ..., n counterclockwise around the bobbin circumference, with n being the maximum number that can be contained in the circumference. The $n + 1$ reversal point starts the repeat of the sequence but, in comparison to the first sequence, because the bobbin diameter has increased, the number of reversal points in the second sequence will be greater than n . It should be noted from the figure that coils 2, 3, 4, and so forth, on the return traverse, cross over coil 1. The same occurs for each succeeding coil. Consequently, each cycle of the reversal point sequence gives a double layer of the yarn.

The amount by which a coil is displaced relative to the preceding one is termed the *gain*. Therefore, the concept of *yarn gain* is employed to produce close-wound packages. The traverse ratio, or the wind, is adjusted to a value slightly greater or less than a whole number to prevent yarn length in succeeding layers from lying along the same path on top of one another.

Consider two adjacent coils on the first double layer of a close-wound package. The circumferential displacement, u_c , subtends the angle β at the bobbin axis. Hence,

$$\beta \text{ (in radians)} = \frac{2u_c}{D_b} \tag{7.17}$$

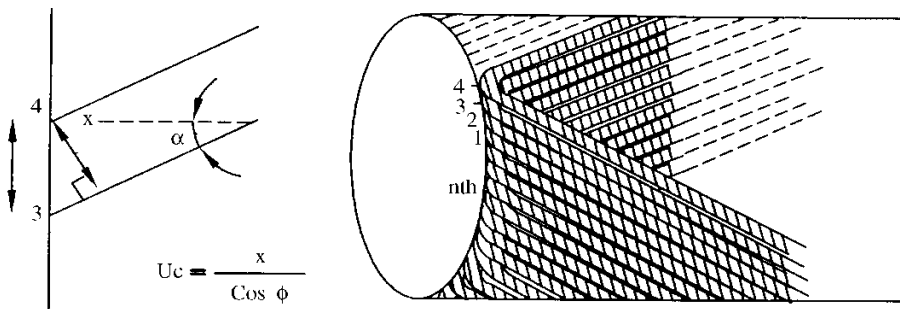


FIGURE 7.11 Close-wound layer.

From the approximate right-angled triangle shown,

$$x/u_c = \cos \varphi$$

Thus,

$$\beta = 2 \frac{x}{D_b \cos \varphi} \quad (7.18)$$

And, dividing by 2π ,

$$\beta = \frac{x}{\pi(D_b \cos \varphi)} \quad (7.19)$$

which is the angular displacement of the two coils per revolutions of the bobbin. This is the *yarn gain*, and it may be defined as angular displacement of the reversal points around the circumference of the package ends. It follows from our earlier discussion that, if $TR_{(nominal)}$ is the integer value or a multiple of 0.5, then a precision close-wound package would be obtained with $TR = TR_{(nominal)} + \beta$ or $TR_{(nominal)} + 0.5\beta$. Put in general terms, if patterning occurs for $TR_{(nominal)} = n$, where $n \leq 1$, then anti-patterning is effective for $TR = TR_{(nominal)} + n\beta$. When $x = d_y$, the yarn diameter, and $\beta = d_y/\pi [D_b \cos \varphi]$, then the anti-patterning becomes precision close winding.

Usually, the gain is set by a gearing arrangement and does not alter as the package builds up. This means that, since β remains constant, u_c will increase, and with small-diameter bobbins having large yarn carry capacity, the reversal points will become more widely spaced as the diameter increases (as compared to larger-diameter bobbins having less yarn capacity).

A close-wound package, produced with appropriate “2gain,” has a diamond pattern appearance at the package surface, and the winding is sometimes referred to as a *diamond wind*. An open-wound package has no characteristic surface pattern appearance. Many faults can be encountered in the winding, but the ones described below are the most important.

7.4.2 PATTERNING OR RIBBONING

This major fault can occur in improperly made random-wound packages. We have already discussed this fault in detail, along with measures taken to prevent its occurrence. Therefore, we will move on to consider other possible faults.

7.4.3 HARD EDGES

Generally, cross-wound packages tend to have hard edges, and these become a problem when yarn is to be wet processed (e.g., dyed or bleached) in package form. The cause of the problem is that the yarn traverse speed decreases to zero within the proximity of the reversal points for the change of direction and then accelerates

to the set speed. Although this occurs in a fraction of a second, more yarn is laid on the forming package at the location of reversal points. As illustrated in [Figure 7.12](#), rather than the ideal sharp reversal point, there is a curvature. The net effect is that the packing density along the traverse length varies, and this can cause uneven flow of, say, the dye liquor into the package layers. Softer edges and a more uniform package density can be obtained by a lateral oscillation of approximately 30 cycles per minute of the drum or of the forming package. This displaces the traverse length, enabling the reversals to be spread over a width of 3 to 5 mm (see [Figure 7.12](#)).

7.4.4 COBWEBBING (WEBBING OR STITCHING OR DROPPED ENDS)

Cobwebbing is a result of some coils slipping over the forming edge of the package during the action of reversal. In subsequent processing, unwinding of the package becomes problematic in that, when the fault is at the package base, the increased unwinding tension often breaks the yarn. The fault may occur because of several reasons such as insufficient tensioning, too large a coil angle, or (on independent traverse machines) an incorrect setting of the yarn guide from the package. The fault is usually corrected by adjustment of the machine settings.

7.4.5 TWIST DISPLACEMENT

Twist displacement can occur with rotary traverse drums. The large contact area of the grooves causes twist displacement, which if periodic can lead to fabric faults. Machines therefore are usually designed to have a ‘scrambling effect’ on the twist displacement to avoid periodicity. When winding low twist yarns the disturbance of the twist may often lead to excessive yarn breaks. The cam and guide arrangement does not cause any appreciable twist displacement and it is therefore preferable to wind low twist yarns on such machines.

7.5 YARN TENSIONING AND TENSION CONTROL

The over-end withdrawal during the unwinding of a yarn from a ring-spinning package causes ballooning, as described in detail in [Chapter 8](#), and marked fluctuations occur in the yarn tension.² In unwinding the coils from the top to the bottom layer of the ring-spinning package, the mean tension and the fluctuation in tension about the mean increase with time as the layers are removed. The fluctuation in tension can be minimized or eliminated by tension devices applied to the tread line as indicated in [Figure 7.2](#), but these will increase the mean tension, which eventually

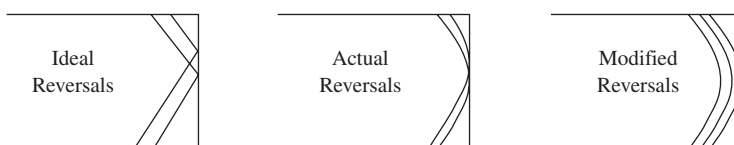


FIGURE 7.12 Hard and soft edges.

becomes the tension at which the yarn is wound into cheeses or cones, i.e., the winding tension. Thus, the greater the mean unwinding tension, the higher the mean winding tension.

When unwinding yarn from the ring-spinning package, little control of the mean winding tension is possible except by changing the winding (or unwinding) speed. Hence, the rise in tension during about the last fifth of a ring-spinning package can be a major factor in determining the take-up speed used throughout winding. The more advanced machines tend to accelerate to a higher unwinding speed while unwinding the first fifth of the package. They maintain this speed before reducing it over the last fifth of the package, thereby gaining a higher production rate. Even with this approach, it is desirable to control the mean tension and fluctuation in tension, as the wound cheese or cone would have a uniform density, and the yarn would subsequently then be easily unwound. Also, because a number of yarn properties are dependent of the stress history of the yarn, the yarn length on the cheese or cone is likely to have more consistent properties.

For example, controlling the tension within set limits permits very weak places in the running length to break and to be repaired more cost effectively than would be the case in subsequent processes. Tension on a yarn can enable fiber ends near the yarn surface to slip from the constraining frictional tact with other fibers and project from the yarn surface. Therefore, tension variation during winding can lead to variation in hairiness along the yarn.

In controlling the unwinding of yarns from the ring-spinning package, various means of applying tension are available, and it is therefore useful to consider the differing features of tension devices.

7.5.1 CHARACTERISTICS OF YARN TENSIONING DEVICES

7.5.1.1 The Dynamic Behavior of Yarns

As the running yarn from a ring-spinning package passes through a tensioning device, the increased tension further stretches the yarn. Assuming that the breaking extension is not reached, some permanent strain could occur, depending on the yarn elastic properties. Other yarn properties susceptible to the applied tension would be count, diameter, degree of twist, bulkiness, and hairiness, as indicated above. It is therefore desirable for the tension device to

1. Give output tensions between known limits
2. Avoid introducing tension fluctuations or magnifying those already present in the tread line
3. Avoid changing the yarn twist distribution

7.5.1.2 The Capstan Effect

Tension devices work by frictional contact between the yarn and solid surfaces. A common feature is that of the yarn passing around a curved or cylindrically shaped surface. To consider the general case of such a situation, it must first be pointed out³

that Amontan's classical law of frictional contact between solid bodies is not applicable to fibers and yarns in its simple well known form of

$$F = \mu R \tag{7.20}$$

where μ is the coefficient of friction and R is the reaction to the normal force.

From a number of studies on the frictional behavior of textile materials,⁴ it has become generally accepted that the relationship between F and R takes the form

$$F = a R^n \tag{7.21}$$

where a changes with the surface characteristics of the materials in contact and n changes with their elastic properties. The value of n approximates to 0.67 for two perfectly elastic solids and increases to 1.0 for two surfaces having an area determined by purely plastic conditions. Clearly, when $n = 1$, $a = \mu$, and Amontan's laws apply.

Equation 7.21 can therefore be used to determine theoretical behavior of yarn passing around a curved surface. Figure 7.13 shows such a situation and indicates the tension forces at the two ends of a small section, ds , of the yarn length in contact with the curved surface.

The angle subtended by ds is $d\theta$. Let R be the reaction (per unit length of the yarn) to the normal force caused by the yarn tension. Then, under static conditions, when $dT = 0$ and the yarn is stationary,

$$2T \sin (d\theta/2) = Rds$$

or, for $d\theta$ being very small

$$T d\theta = Rds \tag{7.22}$$

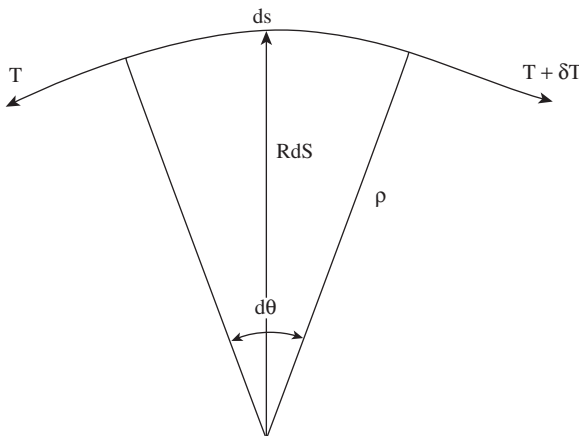


FIGURE 7.13 Tensions in yarn element around cylindrical radius.

Under dynamic conditions, when the yarn is running at a constant speed,

$$Fds = dT \quad (7.23)$$

where F is given by Equation 7.21.

Taking the ratio of Equations 7.23 and 7.22 and substituting for F ,

$$dT/T = aR^{n-1} d\theta \quad (7.24)$$

If ρ is the radius of curvature, then from Equation 7.22, $T d\theta = R\rho d\theta$ or $T = R\rho$, and Equation 7.24 becomes

$$\frac{dT}{T} = \frac{aT^{n-1} d\theta}{\rho^{n-1}}$$

or

$$\frac{dT}{T^n} = a\rho^{1-n} \quad (7.25)$$

The integral of Equation 7.25 between the limits of input, T_i , and output, T_o , tensions, from $\theta = 0$ to ϕ , gives

$$\frac{T_o}{T_i} = (1 + X)^k \quad (7.26)$$

where $X = B\phi/k$

$$B = a(\rho/T_i)^k$$

$$k = 1/[1 - n]$$

The binomial expansion of $[1 + X]^k$ has the form $e^{B\phi}$. Therefore, Equation 7.26 becomes

$$\frac{T_o}{T_i} = e^{B\phi} = \exp\left\{\left[a\left(\frac{\rho}{T_o}\right)^{1-n}\right]\phi\right\}$$

When $n \rightarrow 1$ $k \rightarrow \infty$, Amonton's law applies, so $a = \mu$. Thus, in the general case, the change in tension as a yarn passes around a curved surface can be represented by

$$\frac{T_o}{T_i} = e^{\mu\phi} \quad (7.27)$$

where $\mu = [a(\rho/T_o)^{1-n}]$

7.5.1.3 Multiplicative and Additive Effects

Tension devices can increase the yarn tension by multiples of the capstan effect, by an additional frictional factor, or by a combination of both. The multiplying action can be exploited in the capstan series shown in Figure 7.14a, where the tread line is deflected around a set of pins to establish the desired output tension, T_o .

$$T_o = T_i e^{\mu \Sigma \phi} \quad (7.28)$$

where $\Sigma \phi = \phi_1 + \phi_2 + \phi_3 \dots + \phi_n$

As we saw earlier, the input tension fluctuates during unwinding, and capstan series devices increase the magnitude of these fluctuations. The friction coefficient, μ , is also subject to changes resulting from variations in twist and yarn speed varying the yarn and solid surface contact. The effects from the variations in μ will be magnified because of the exponential relationship. For these reasons, tensioning devices are rarely based on just the multiplicative principle.

Figure 7.14b illustrates a plate tensioner, which is an additive tensioning device, increasing the yarn tension according to

$$T_o = T_i + \mu R \quad (7.29)$$

The output tension now only varies linearly with μ rather than exponentially, allowing the output tension to be more easily adjusted to the required value.

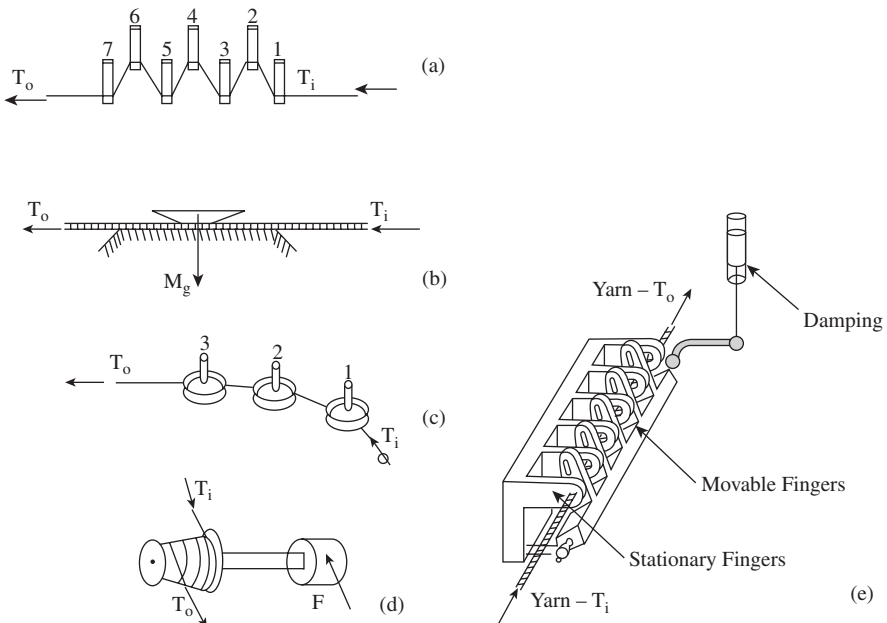


FIGURE 7.14 Tension devices.

7.5.1.4 Combination Tensioning Devices

The disc tensioner (see [Figure 7.14c](#)), which is widely used, combines multiplicative and additive effects. Thus, the output tension is

$$T_o = T_i e^{\mu_1 2\beta} + \mu_1 R(1 + e^{\mu_2 2\beta}) \quad (7.30)$$

where μ_1 and μ_2 are the respective friction coefficients of the disc surface and the curved surface. A purely additive effect can be obtained if the thread line is not deflected by the curved surface.

The pulley or whorl-type tensioner shown in [Figure 7.14d](#) also gives a combined effect. The yarn is wrapped around the pulley, which rotates against the resistance of magnetic breaking force, F . The additive component is therefore the force of the couple needed to overcome the magnetic resistance.

The above-described tensioners may provide the required output tension but do not reduce or damp tension fluctuation. The gate tensioner, illustrated in [Figure 7.14e](#), can be used to smooth or eliminate such fluctuations. The yarn passes through a series of intersecting pins or posts that are arranged so that one set is weighted but free to move back and forth, thereby varying the contact angle with the yarn to compensate for fluctuations in the input tension. The output tension can be derived⁵ as

$$T_o = \frac{W[1 - e^{2\mu\beta}]}{(1 - e^{2[n-1]\pi\beta}) \sin\beta} \quad (7.31)$$

where n = number of posts

β = angle of wrap

W = the applied weight

The gate tension device has a low natural frequency that can amplify tension fluctuations of the same frequency. A damping dashpot, as shown in the figure, is used to eliminate this.

7.6 YARN CLEARING

Spun yarns often have “objectionable faults” such as very thick or very thin places. The thick places, called *slubs*, can be the result of a group of badly drafted fibers twisted together to form a relatively short length in the yarn, several times the yarn diameter. Poor piecing of yarn breaks during spinning, the twisting in of loose airborne fibers, and the defective operation of machinery can also result in slubs and thin places. Ineffective opening and cleaning and/or contamination of raw material can cause unsightly foreign matter in the yarn. [Chapter 6](#) describes typical yarn faults that can arise during processing and that have degrading effects on fabric quality. In ring-spun yarn production, such faults are removed at the winding stage of the production sequence. In unconventional spinning systems, such as rotor and air-jet spinning, the clearing of faults occurs at the automated machines.

There are various types of clearing device, principally mechanical, dielectric (capacitance), and photoelectric (optical). The mechanical clearers are the most basic and essentially consist of an adjustable slot or conical tube through which the yarn passes. The slot or tube traps only thick places that are greater than the set constriction. The device incorporates a cutting edge that breaks the yarn at the fault. Mechanical clearers cannot remove thin places or unsightly impurities or even discriminate between the lengths of thick places. Slubs of elliptical cross section (torpedo-shaped) usually slip past. Because of such limitations, mechanical clearers are rarely used.

The capacitance and photoelectric scanning devices⁶⁻⁸ are the widely used systems. The capacitance system monitors the mass per unit length and generates a voltage that is compared with a set reference value for the mean yarn thickness. When the voltage difference exceeds a given maximum value, the yarn is automatically cut and the fault removed, and the yarn ends are pieced together for winding to continue. With photoelectric scanning, a beam is projected from a light source laterally across the yarn, and a photocell measures the intensity of the light passing by the yarn. In this way, variations in the yarn thickness can be monitored. When the change in intensity is greater than a set level, the fault is removed and the yarn ends pieced. Yarn silhouette can be viewed with optical clearers, the electronic signals are generated from several angles so as to ensure that torpedo-shaped slubs are detected.

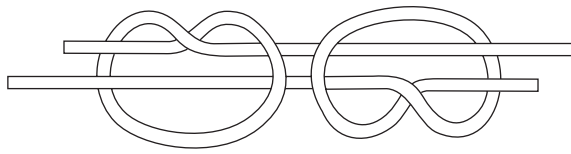
7.7 KNOTTING AND SPLICING

When a detected fault is cut from a yarn, the resulting yarn ends are pieced together, either by knotting or splicing them using an automatic piecing device.

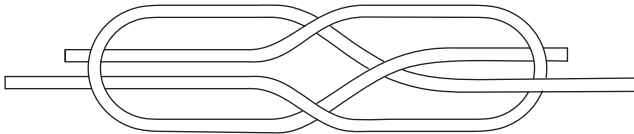
7.7.1 KNOTTING

Among the various types of knot, the weaver's knot and the fisherman's knot, illustrated in [Figure 7.15](#), are the two types that may be used. The latter is suitable for most yarns. The weaver's knot is more appropriate for short-staple yarns, as it is a smaller knot, but it slips more easily when under tension.

The advantage of a knot is that its strength will be several times that of the yarn strength so, if properly tied, it gives reliability to the piecing. However, the knot has many disadvantages for the end user of the yarn and may be seen as "one fault replacing a worst fault." Its main drawback is size, i.e., its thickness and tails. The weaver's knot is two to three times the yarn thickness; the fisherman's knot is three to four times as large. Often, therefore, it may be preferable to accept a thick place in the yarn as a compromise on the final fabric quality, even if it is of comparable thickness, since no tail ends will be present and, as it is less firm than the knot, it could be less visible in the fabric. In processes subsequent to winding, knots can be problematic. When passing at high speed through a tension device (e.g., a disc tensioner), a knot can give rise to a sudden high peak tension, causing a yarn break. Although smaller and hence preferable for finer yarns, the weaver's knot is susceptible to untying when tensioned. In weaving, then, the alternating stresses on the



Fisherman's Knot



Weaver's Knot

FIGURE 7.15 Fisherman's and weaver's knots.

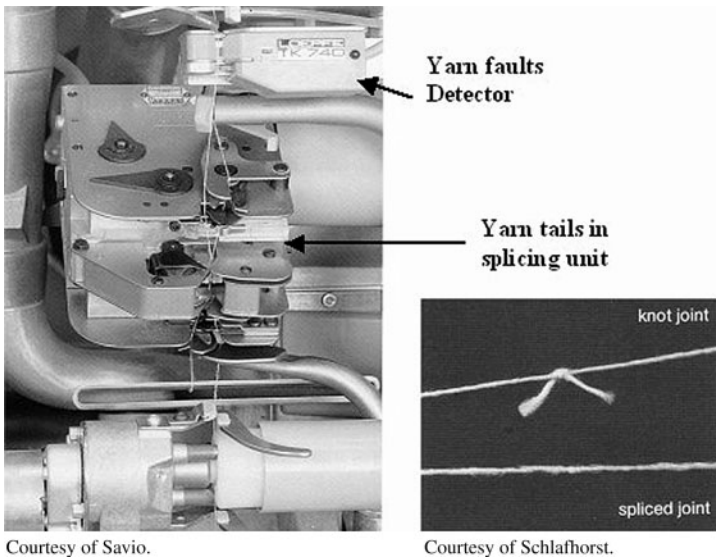
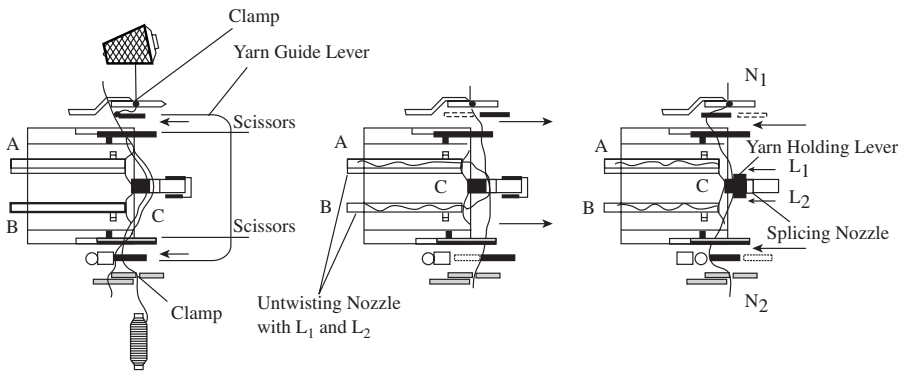
warp yarn can cause slipped knots, especially with plied yarns. With densely woven fabrics, knots and tails can rub neighboring warp ends, hampering shedding and causing yarn breaks. The size of the knot can disturb weft insertion on air-jet looms, leading to fabric faults, and, in knitting, difficulty in passing a knot through needles can cause holes in the fabric because of dropped stitches or needle breaks.

The development of the splice has made a major reduction in the size of pieced ends and has therefore eliminated many of the processing difficulties mentioned above and greatly improved fabric quality. Consequently, splicing is seen as the industry standard and, although not all spun yarns can be spliced, the great majority of winding machines are fitted with automatic splicers.

7.7.2 SPLICING

There are various methods of producing a knot-free yarn joint (e.g., gluing, wrapping, and welding)⁹ but, with spun yarn, only the splice has proved to be a suitable replacement for the knot. The principle for splicing two yarn ends is to untwist a short length at the ends and then intermingle and retwist together the fibers of the two ends. Electrostatic and mechanical techniques have been used for splicing but were unsuccessful because of the complexity of the devices, the time required to make the splice, and, importantly, the very low strength of the joint.

Commercial splicing devices currently employ air jets to untwist, intermingle, and retwist the fibers. [Figure 7.16](#) illustrates the basic actions of the splicing process. The device has two untwisting tubes (A, B) and a twisting chamber (C). The two yarn lengths to be joined are held on opposite sides of the twisting chamber at N_1 and N_2 , while their free ends L_1 and L_2 are placed respectively into the tubes B and A. The lengths lie parallel to each other within the respective twisting chambers. This is the arrangement for untwisting the yarn ends by the air vortices generated by a pulse of compressed air injected through nozzles into A and B. The lengths L_1 and L_2 are then drawn back until there is a certain length of overlap of the untwisted



Courtesy of Savio.

Courtesy of Schlafhorst.

FIGURE 7.16 Yarn splicing.

ends within the splicing chamber. A pulse of compressed air is then injected through other nozzles into the chamber, and the resulting vortex entangles and twists together the fibers of the overlapping ends to form a spliced piecing. Winding of the yarn then continues.

To obtain a suitable splice in terms of size, a compromise may need to be reached between splice strength and appearance. A well spliced joint has a diameter 20 to 30% greater than the yarn over a length of approximately 15 to 20 mm, and an average strength of around 80% of the yarn strength with a low CV% of strength.⁹

Some splicers are equipped to thin the thickness of the yarn ends during untwisting by removing an appropriate amount of fibers. However, in general, splicers have interchangeable splicing chambers of differing geometry as well as adjustable set-

tings so as to optimize tail length overlap and the duration of the compressed-air pulses for untwisting and retwisting. A suitable choice of settings will depend on fiber type and the surface structure, count, and twist of the yarn.

Machine downtimes caused by yarn breaks are expensive, particularly if the entire machine has to be stopped to repair the break. Comparing the cost of repairing yarn breaks in winding with the costs for subsequent processes, we would find that the latter are many times greater: for example, 700× for warping, 2100× for sizing, and 490× for weaving. Steps therefore must be taken in winding to ensure a yarn package quality that will enable high efficiency in later processing. Table 7.2 outlines many of the claimed advantages of replacing the knot with a splice.

TABLE 7.2
Benefits of Knot-Free (Spliced) Yarns

Fabric Production	End Product	Benefits
Weaving	Denim (83-tex cotton yarns)	<ul style="list-style-type: none"> • 60% reduced yarn breaks • 2% increased efficiency • 15% reduced production cost • 40% reduction in second quality fabrics
	Poplin shirting	<ul style="list-style-type: none"> • 50–60% reduced yarn breaks • 10% reduced production cost (warping and weaving) • 40% reduction in second quality fabrics
	Bed sheeting (29-tex cotton yarns)	<ul style="list-style-type: none"> • 50% reduced yarn breaks • 10–12% reduced production cost • 40–50% reduction in second quality fabrics
	Worsted serge (29/2-tex polyester/wool, 55%/45%, yarns)	<ul style="list-style-type: none"> • Fewer stoppages in assembly winding, twisting and weaving • 60–70% reduction in burling and mending*
	Worsted cotele (23/2-tex wool yarns)	<ul style="list-style-type: none"> • 30% reduced yarn breaks • 50% reduction in burling and mending*
Knitting	Outerwear (28-tex worsted yarns)	<ul style="list-style-type: none"> • 30–40% reduced stoppages • 60–70% reduced number of holes • 50% reduction in second quality fabrics
	Underwear (17–20-tex cotton yarns)	<ul style="list-style-type: none"> • 40–60% reduced stoppages • 50–70% reduced number of holes, press-offs and dropped stitches • 70% reduction in second quality fabrics

*Manually removing faults from the back of a fabric; e.g., opening knots, cutting tails, etc.

Courtesy of W. Schlafhorst AG & Co.

7.8 YARN WAXING

It is common practice to wax staple-spun yarns for knitting applications, given the problems of friction associated with the many thread line deflection points of the thread guides and the knitting needles on knitting machines. For optimal running of yarns during knitting, there needs to be a uniform wax distribution along yarns and a minimum of wax rub-off.

The amount of wax deposited on the yarn has a marked influence on the dynamic frictional characteristics of the yarn. Figure 7.17a shows that, at a given running speed, the yarn coefficient of friction decreases to a minimum with increasing wax deposited and then increases with further yarn waxing. Of the three zones indicated, clearly, Zone II is the preferred range of deposition, usually 0.5 to 1.0 g/kg of yarn.

Wax grades vary according to melting point, oil content, microstructure, and hardness. Little has been published in the way of selecting a wax grade for optimal running performance. However, it would seem that selection depends on many factors such as fiber type; yarn structure, count, and moisture content; as well as room temperature and humidity in the winding area and during storage and shipment.

The preference with the commonly used wax disc is for a coarse microcrystalline structure, which allows small wax particles to be removed and held onto the yarn surface as shown in Figure 7.17b, as this should enable a uniform distribution of deposition. Steaming or high-humidity conditioning of wax yarns can result in an increased friction coefficient. Steaming will melt the wax particles and also give a partial penetration of wax into the yarn. If the yarn has to be relaxed in this way, then the deposition should be increased to offset the effect.

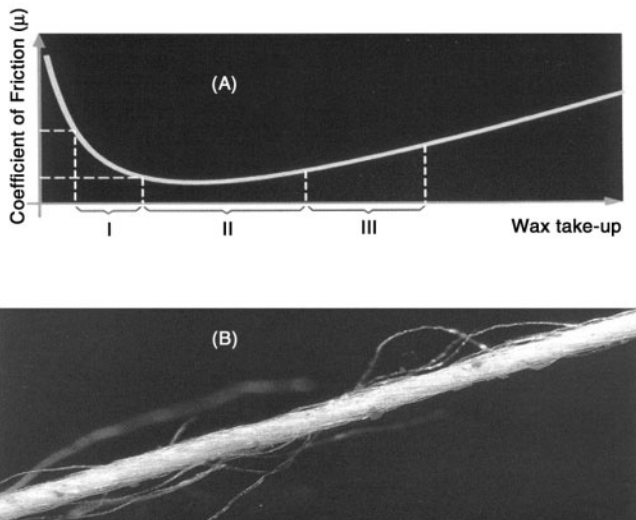


FIGURE 7.17 Yarn thread line friction with increased wax take-up. (Courtesy of W. Schlafhorst AG & Co.)

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8 Yarn Tensions and Balloon Geometry in Ring Spinning and Winding

8.1 INTRODUCTION

The basic principles of ring spinning were described in [Chapter 6](#), and it was explained that, as the traveler circulates the ring, it pulls with it the yarn length between the pigtail lappet guide and the traveler. This length circulates the axis common to the spindle, ring, and lappet guide. In doing so, the yarn length balloons, and the tension, air-drag, and the inertial, central, and Coriolis forces acting on the yarn length govern the balloon geometry.

In winding, discussed in [Chapter 7](#), over-end withdrawal is used to pull the yarn off the ring spinning bobbin in the direction of the bobbin axis, and the yarn passes through a pigtail guide on the axis. At withdrawal speeds of up to, say 25 m/min, the yarn tends to follow a direct path from the unwinding point on the package to the guide. In this situation, the yarn tension is almost entirely the result of frictional drag on the package surface. Winding speeds are very much higher than 25 m/min. At these higher speeds, the yarn balloons and, similar to ring spinning, the balloon geometry is determined by the equilibrium of the above-mentioned forces.

Yarn ballooning is a physical phenomenon of practical interest. It sets the minimum distance of separation that must occur between spindle positions on a ring-spinning machine so as to prevent adjacent balloons from colliding. Stable ballooning is essential for reduced machine stoppages. Balloon stability governs the balloon height/ring diameter relationship and thereby the package size. These factors, in turn, influence the production rate, energy cost, and (in certain cases) fabric quality.

In this chapter, we consider the main physical factors that determine yarn tensions and balloon geometry in ring spinning and winding. The study of yarn balloons was first reported in the literature in 1883 by Escher.¹ Since then, various other studies have been published; some qualitative, others mathematical, several involving fairly complex mathematics employing numerical methods and computer software to obtain exact predictions. Several investigators, however, have made simplifying assumptions to circumvent the mathematical difficulties of the more rigorous approach. Although analyses based on simplifying assumptions give less exact solutions, they are nevertheless useful for gaining a general understanding of the physics of yarn balloons. The justification for this is that such treatments are

easier to understand, yet they readily explain the essential physical phenomena involved and generally lead to results that are in good agreement with observations. Importantly, the results obtained were found to be a useful practical guide for predicting balloon performance. Although reference is made to the more complex solutions, including a number of the latest reported models, the descriptions given in this chapter will therefore follow the semiquantitative approach of some of the reported work, based on simplified assumptions. In particular, it is assumed that the forces acting on the yarn are sufficiently large for the effect of yarn stiffness to be negligible. Also, we assume that the yarn tensions do not cause any appreciable yarn extension. The yarn therefore can be viewed as an inextensible string.

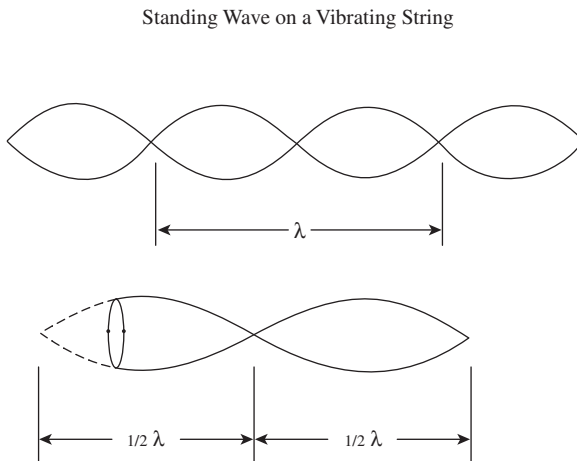
8.1.1 CIRCULARLY POLARIZED STANDING WAVES

Consider a rotating string as shown in Figure 8.1.¹⁷ The sideways appearance would be similar to that of the string vibrating in the vertical plane to form a standing wave or stationary wave.² In the case of the rotating string, we can refer to the waveform as a *circularly polarized standing wave*. Depending on the length, frequency of rotation, and tension of the string, there may be one or more nodal points.

In spinning and winding, yarn balloons are essentially circularly polarized transverse vibrations of a string. Thus, we can apply the basic equations for the velocity, c , of propagation of transverse waves along a string.

$$c = \left[\frac{T_a}{m} \right]^{\frac{1}{2}} \tag{8.1}$$

and



Circularly Polarized Standing Wave on a Rotating String

FIGURE 8.1 Circularly polarized standing waves.

$$\lambda = \frac{c}{f} \quad (8.2)$$

where T_a = tension in the string
 m = mass per unit length
 λ = wavelength
 f = vibration frequency

The tension in the string is an important factor in balloon dynamics, and we will therefore first consider how tension arises in the yarn during ring spinning, followed by the balloon dynamics of this spinning system, and then extend the discussion to the winding process.

8.2 YARN TENSIONS IN RING SPINNING

In ring spinning, tension develops in the yarn mainly because, to move the traveler and the balloon length, L_b , around the common axis, and to wind the yarn onto the spinning bobbin, work must be done against the frictional force of the ring on the traveler and of the traveler on the yarn, as well as against the air drag on the traveler and on the balloon length. This work is additional to that needed to overcome the friction of the spindle bearings and the air drag on the forming yarn package.

The tensions in the yarn during ring spinning may be considered with respect to three zones: the winding zone, the balloon zone, and the yarn formation zone. The winding zone is the area in which the yarn length from traveler to forming package develops a winding tension, T_w . In the balloon zone, tension occurs in the yarn length between the traveler and lappet guide (often referred to as the *balloon tension*). This tension, at a given point on the balloon length, varies with amplitude (i.e., the radius of the point) measured from the common axis. At the ring and traveler, the balloon tension is provided by T_R and is related to T_w by Equation 6.6, given in [Chapter 6](#).

In the yarn formation zone (i.e., the zone between the pigtail lappet guide and the front rollers of the drafting system), the yarn tension is termed the *spinning tension*, T_S , and is related to the balloon tension at the lappet guide, T_O , by Equation 6.5 in [Chapter 6](#). To avoid confusion with symbols used later in this chapter, Equations 6.5 and 6.6 may be rewritten as

$$T_S = T_O e^{-\eta\theta} \quad (8.3)$$

$$T_R = T_w e^{-\nu\alpha} \quad (8.4)$$

To understand the physical causes of these tensions in the yarn, we need to consider the forces acting on the yarn in the three zones.

8.2.1 YARN FORMATION ZONE

Although the yarn rotates around the inner circumference of the lappet guide at almost the same speed as the traveler, the radius of the lappet guide is sufficiently

small for any central forces generated to be ignored. The motion of the yarn between the lappet and front drafting rollers is therefore principally related to the velocity along its length (i.e., the thread line velocity). Consequently, the forces of interest are the air drag along its length, the tension at the lappet guide, and the resistance to bending around the guide. The air drag is proportional to the square of the thread line velocity, but this velocity is usually small as compared with the rotational velocity of the yarn. Thus, the force caused by the air drag along the yarn length is assumed to be negligible. The bending resistance due to the flexural rigidity of the yarn is many times smaller than T_O and can also be omitted from further consideration. T_O is therefore the only effective force governing T_S and, as a result, analysis of the forces present in ring spinning is usually concerned with the remaining two zones.

8.2.2 WINDING ZONE

In steady running conditions, the traveler presses against the bottom of the internal flange of the ring, as illustrated in Figure 8.2.¹⁷ The forces acting on the traveler at the point of contact with the ring are also depicted.

Strictly, T_w is not the true winding tension. This is because centripetal, Coriolis, and air-drag forces act on the mass of the yarn length from the traveler to the ring bobbin. It can be reasoned that the latter two effects negate each other and therefore can be neglected. The effect of the centripetal force is to change the path of the yarn from that of a tangent from the package to the traveler, to one of a curve. The change, however, is small and, for the sake of simplicity, this centripetal force is also neglected.

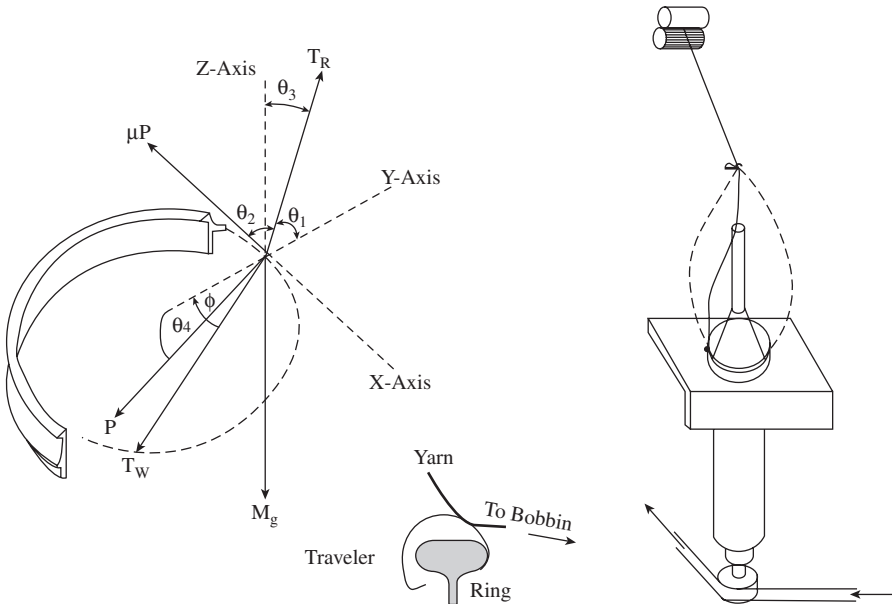


FIGURE 8.2 Forces acting on traveler during steady running conditions.

The simplified approach to relating the tensions of Equations 8.3 and 8.4 to the physical parameters of spinning is to first consider the forces acting on the yarn in the absence of air drag and then to introduce the effects of the drag component.

8.2.2.1 Yarn Tensions in the Absence of Air Drag

Let us first consider tensions T_R and T_W and the other forces acting on the traveler during steady running conditions (i.e., dynamic equilibrium). Resolving the forces shown in Figure 8.2 into their vertical and horizontal components gives

$$C = T_W \cos \phi + P \cos \theta_4 - T_R \cos \theta_1 \quad (8.5)$$

$$T_W \sin \phi = \mu P + T_R \cos \theta_2 \quad (8.6)$$

$$T_R \cos \theta_3 = P \sin \theta_4 + Mg \quad (8.7)$$

where $\theta_1 \dots \theta_4$ and $\phi =$ angles indicated

$C =$ centripetal force $\{MR\omega^2\}$ needed to keep the traveler circulating around the ring

$P =$ reaction force of the ring and traveler

$R =$ ring radius

$Mg =$ weight of the traveler

$\mu =$ friction coefficient between ring and traveler

From Equations 8.4, 8.5, and 8.6,

$$T_R = \chi \mu C / \{ \sin \phi \cos \theta_4 + \mu \cos \phi - \chi [\mu \cos \theta_1 + \cos \theta_4 \cos \theta_2] \} \quad (8.8)$$

and

$$\chi = e^{-\nu \alpha}$$

Equations 8.4, 8.6, and 8.7 give

$$\sin \theta_4 = \frac{\chi \mu [T_R \cos \theta_3 - Mg]}{T_R [\sin \phi - \chi \cos \theta_2]} \quad (8.9)$$

To simplify the above equations and obtain an estimate for T_R , we can assume that the weight of the traveler, Mg , is negligible in comparison to T_R so that Mg can be removed from the equations. From practical observation, it is also reasonable to assume that θ_3 is such that $\cos \theta_3 = 1$. In the absence of air-drag, $\theta_2 \rightarrow 90^\circ$, so $\cos \theta_2 \rightarrow 0$ (with \rightarrow indicating “approximates to”). Hence, $\cos \theta_1 \rightarrow 0$, and $\chi \mu \cos \theta_1$ can be removed the equations. Based on these assumptions, Equations 8.8 and 8.9 become

$$T_R = \chi \mu C / \{ \sin \phi \cos \theta_4 + \mu \cos \phi \} \quad (8.10)$$

$$\sin \theta_4 = \frac{\chi \mu}{\sin \phi} \quad (8.11)$$

From 8.11, $\cos \theta_4 = [\sin^2 \phi - (\chi\mu)^2]^{1/2} / \sin \phi$. Equation 8.10 then becomes

$$T_R = \frac{\chi\mu C}{\{[\sin^2 \phi - (\chi\mu)^2]^{1/2} + \mu \cos \phi\}} \quad (8.12)$$

From deriving T_R , we can now determine the winding tension from Equations 8.4 and 8.12.

$$T_W = \frac{\mu C}{\{[\sin^2 \phi - (\chi\mu)^2]^{1/2} + \mu \cos \phi\}} \quad (8.13)$$

From the above equation, we can deduce certain important general information about the ring spinning system. Equations 8.6, 8.12, and 8.13 show that the yarn tensions T_R and T_W and the frictional drag of the ring on the traveler (μP) are directly proportional to the mass of the traveler, the ring diameter, and the traveler speed or spindle speed.

It can be seen from Equations 8.12 and 8.13 that, when μ is small, such that $\sin \theta_4 \rightarrow 0$, and $\cos \theta_4 \rightarrow 1$, then $T_R \rightarrow \chi\mu C / \sin \phi$ and $T_W \rightarrow \mu C / \sin \phi$. We can see, too, that frictional drag of the ring on the traveler is dependent on ϕ ; $\mu P = T_W \sin \phi$. In general, then, as the package builds up, the winding tension will decrease with the lead angle ϕ determined by the frictional drag of the ring on the traveler, and also of the traveler on the yarn, as the latter passes through the former to the package. During a typical bobbin build, $\sin \phi$ can vary from between 0.45 and 0.5 (empty) to between 0.9 and 0.95 (full), so T_W can almost halve in size during the package build. The variation of T_W during the package build will therefore give a nonuniform package hardness, decreasing in hardness from the inside to outer layers. With a given ring diameter, this governs the amount of yarn that can be wound onto a bobbin.

For a fixed spindle speed and ring diameter, altering the traveler friction and mass will provide a particular winding tension, say, for a package of required hardness. For example, if μ is small, a heavier traveler will be needed than when μ is large. If μ is fixed, the tension becomes governed by the traveler mass. Thus, the selection of the traveler will depend on the maximum tension that the yarn will withstand when winding on an empty bobbin.

Figure 8.3 shows two possible running positions for the traveler. The normal running position is of the traveler contacting the inside of the ring. However, if too light a traveler is used, $T_W \cos \theta_4$ becomes greater than the centripetal force, C , and the traveler runs on the outside of the ring; this is termed *outside tracking*.

Consider now Equation 8.10. With regular running, $\theta_4 < 90^\circ$ and $\cos \theta_4$ is positive. With outside tracking, $\theta_4 > 90^\circ$ and $\cos \theta_4$ is negative. However, T_R and T_W would still be positive, and spinning would still occur if $\mu \cos \phi > \sin \phi \cos \theta_4$. This means that, at low winding angles, a minimum μ is necessary: $\mu > \tan \phi \cos \theta_4$ or, substituting for $\cos \theta_4$, $\mu > \sin \phi / [(\chi^2 + \cos^2 \phi)^{1/2}]$. The mean winding tension, T_W , is greater for outside tracking than for regular running, and abnormally high tension fluctuations occur, making the situation unstable.

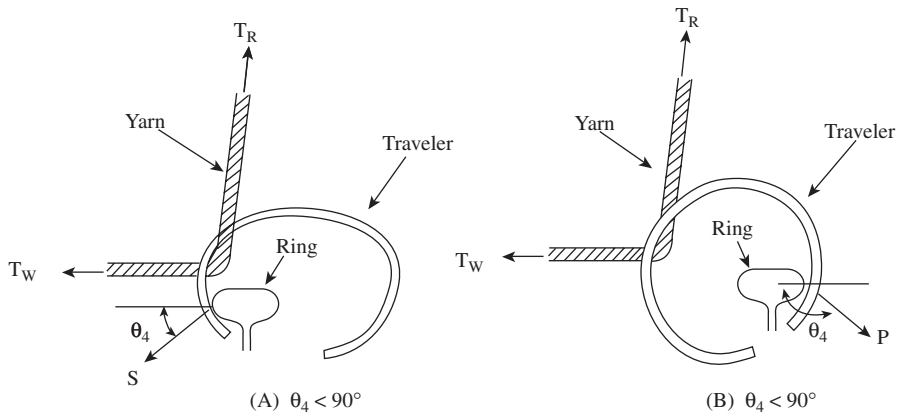


FIGURE 8.3 Normal running and outside tracking of traveler.

The coefficient of friction, μ , is speed dependent, decreasing with increasing speed. Outside tracking can therefore occur at low spindle speeds. Figure 8.4¹⁷ illustrates the condition for outside tracking for a traveler mass of 40.3 mg.⁸ The figure shows how winding tension, T_w , varies with μ and v . The dotted parts of the curves indicate the conditions for outside tracking. The curve $v = 0.5$ shows that there is also a limiting value of μ below which spinning cannot occur. This situation can be reasoned from Equation 8.11. Since $\sin \theta_4$ cannot be greater than unity, there must be a minimum value of ϕ , for a given μ and χ , below which the traveler will

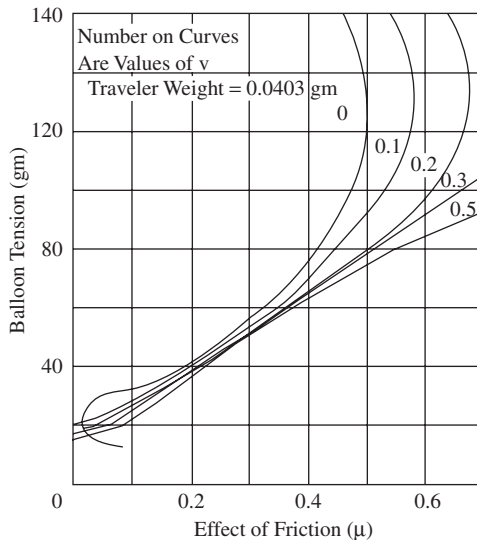


FIGURE 8.4 Relation between balloon tension, T_R , and friction coefficients, P and μ .

not operate. This is given by $\sin \phi = \mu \chi$. The situation is readily seen if attempts are made to spin on empty bobbins of too small a diameter in relation to the ring diameter. This gives too small a ϕ value for the traveler to run. As wide as possible a winding angle is always beneficial and, as a general rule of thumb, the practice is for the empty bobbin to be at least half the ring diameter.

8.2.3 BALLOON ZONE

Let Figure 8.5 represent the balloon length in three-dimensional space as described in Chapter 6. Point o is the first nodal point of the balloon, and the origin of the axes z , x , y , which rotates with the spindle; z is essentially the spindle axis, and $x = R$ is the ring radius.

To gain an understanding of the causes of tension in the balloon zone, we have to consider the forces acting on each elemental length, where r denotes the distance of an element of length from the z -axis. The forces present are as follows:

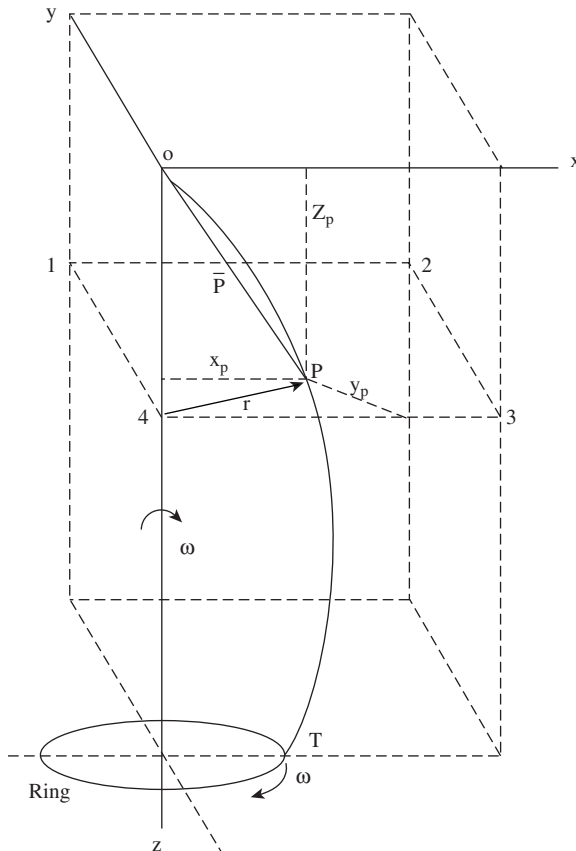


FIGURE 8.5 Three-dimensional representation of balloon length.

- Centripetal and Coriolis forces associated with the yarn motion
- Air drag forces opposing the yarn motion
- The weight of the element acting vertically downward
- The resistance to bending resulting from the flexural rigidity of the element

Let us look at these forces in a little more detail.

There are two components of centripetal force to consider. As an element, dl , of yarn moves from the lappet to the ring and traveler, a component of centripetal force acts on it to make it move through the curved path of the tread line. The size of this component of centripetal force mainly depends on the thread line velocity and is therefore considered to be negligible. The second component of centripetal force acting on the element keeps it rotating around the z-axis. As the angular velocity is high, this component is highly significant.

There are also two components of Coriolis force to consider. As the element of yarn rotates, it actually spirals from one radius to another due to the velocity of the yarn along its length, which gives the production speed. This velocity has three components: a radial velocity, a velocity tangential to the circumference of rotation, and a vertical velocity in the direction toward the ring and traveler. When moving from a smaller to a larger radius, the element's kinetic energy of rotation increases ($KE = 1/2 m dl r^2 \omega^2$) and decreases if the converse. The force acting on the element to cause the increase in KE is the component of Coriolis force associated with the radial velocity.

When KE increases, this component of force is in the direction of rotation but acts in the opposing direction for decreases in energy. Thus, as the element moves from the lappet guide toward the maximum balloon radius, assuming that this is greater than the ring radius, R , this component of force is in the same direction of rotation as the element. It is in the opposite direction when the element moves from the maximum radius to R . A second component of Coriolis force acts radially inward and is associated with the tangential velocity moving in the direction of rotation. These forces are of magnitudes $2mv_r \omega$ and $2mv_t \omega$, where v_r and v_t are the radial and tangential components of the thread line velocity. In ring spinning, because the thread line velocity is usually small by comparison with the rotational velocities, the two components of Coriolis force are neglected.

Similar to the above, there are two components of the air drag force present: the drag along the yarn length, which can be discounted for the reason given earlier, and the resistance of the air to the rotation of the element. The latter drag force component is regarded as being proportional to the square of the rotational velocity of the element relative to the air ($V_N^2 = [r\omega]^2$), which makes it important. This relation is probably not exact, since the power appears to be 1.7 as reported by WIRA.³ However, the square law assumption is generally taken as a reasonable approximation. The velocity concerned is the component normal to the yarn surface. Hence, the air-drag force per unit length can be written as

$$A_D = 1/2 \rho_A \xi d V_N^2 \quad (8.14)$$

where ρ_A = density of air

ξ = drag coefficient
 d = yarn diameter
 V_N = normal velocity component of the element dl as it passes through the air

Drag coefficient ξ is a function of the relative velocity, yarn diameter, and the kinematic viscosity of the air. However, in many calculated approximations for A_D , ξ is assumed to be constant. Yarn diameter d is the diameter of a smooth cylinder with equivalent air drag. This may be termed the *effective diameter*. Mack and Smart⁴ found that, because of yarn hairiness, d varied with balloon speed. However, for the widest range of speeds, d did not greatly differ from the microscopic measured value.

The remaining two forces, *weight of element* and *resistance to bending*, may be assumed negligible in comparison with the other forces present.

8.2.3.1 Balloon Tension in the Absence of Air Drag

Since the balloon tension changes from one given point in the balloon length to another, to obtain an equation for the balloon tension at a point, we consider the forces acting on any element of the yarn balloon in the absence of air drag (see Figure 8.6¹⁷). The figure shows that, in this situation, the curve of the balloon length is in the x - z plane. Since the yarn axis lies within this plane, we can call it the *axial plane*.

Let dl be the element of length under consideration. If m is the mass per unit length of the yarn, then forces acting will be the centripetal force, $C = mdl\omega^2$; the tension at both ends of dl , T , and $T + \Delta T$; the weight of the element acting vertically downward, $w = mdlg$; and the Coriolis force.

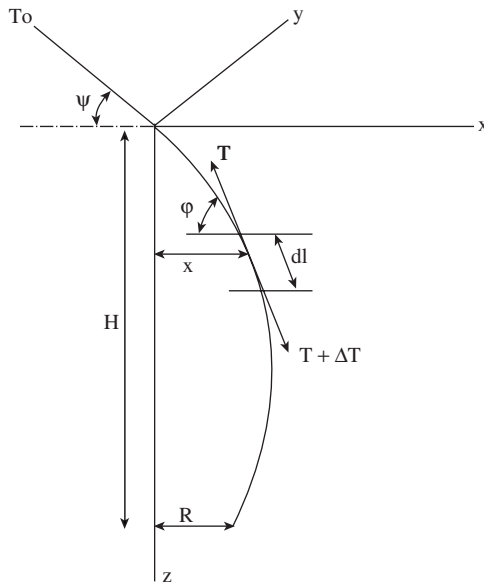


FIGURE 8.6 Yarn balloon in the absence of air drag.

In ring spinning, the rotational velocities are much higher than the velocity of the yarn along the thread line, so the Coriolis force can be neglected. It can also be assumed that the weight of the element is negligible as compared with the other forces present. For steady balloon shape, we can equate the resolved components of the remaining forces.

$$[T \sin \phi + d(T \sin \phi)] - T \sin \phi = 0$$

or

$$d(T \sin \phi) = 0 \tag{8.15}$$

$$T \cos \phi - [T \cos \phi + d(T \cos \phi)] = m d l x \omega^2$$

or

$$d(T \cos \phi) = -m d l x \omega^2 \tag{8.16}$$

Also,

$$\cos \phi = \frac{dx}{dl} \tag{8.17}$$

Using $\frac{d(UV)}{d\phi} = V \frac{dV}{d\phi} + U \frac{dU}{d\phi}$ and $\frac{d}{dl} = \frac{d\phi}{dl} \cdot \frac{d}{d\phi}$, the equations become

$$\sin \phi \frac{dT}{d\phi} + T \cos \phi = 0$$

i.e.,

$$d\phi / \tan \phi = -dT/T \tag{8.18}$$

and

$$\cos \phi \frac{dT}{dl} - T \sin \phi \frac{d\phi}{dl} = -m x \omega^2$$

$$T \sin \phi d\phi - \cos \phi dT = m d l x \omega^2 \tag{8.19}$$

From Equation 8.18, substituting for $d\phi$ in 8.19,

$$-dT/\cos \phi = m d l x \omega^2$$

and from Equation 8.17,

$$-dT = m \omega^2 x dx$$

Integrating, we get

$$T = T_O - \frac{1}{2} m x^2 \omega^2 \quad (8.20)$$

Integrating Equation 8.18,

$$\log \sin \varphi + \text{constant} = -\log T + \text{constant}, \text{ or}$$

$$\sin \varphi / \sin \varphi_0 = \frac{T_O}{T} \quad (8.21)$$

where φ_0 = the angle of yarn element just below the lappet guide

Equations 8.20 and 8.21 describe the tension variation along the balloon length in the absence of air drag. The tension is a maximum at the thread guide and a minimum at the maximum balloon radius. It should be noted that the high tension at the guide is a disadvantage, because it restricts the twist propagation to the front rollers of the drafting system. The yarn length from the guide to the front rollers is therefore susceptible to peak tension fluctuations, particularly if the mean value of T_O , and thereby T_S , is high.

8.2.3.2 Spinning Tension in the Absence of Air Drag

We see from [Figure 8.6](#) that, in basic ring spinning, the balloon shape is approximately half a cycle, i.e., $H = 1/2 \lambda$. Referring to Equations 8.1 and 8.2, $T_a = T_O$ (in units of newtons), the tension in the yarn balloon at the lappet guide, m , is mass per unit length (in units of kg/m), $f = N_t$ (in units of s⁻¹).

Thus, T_O can be obtained from rearranging the equations to give

$$\frac{1}{2} \lambda = \pi P \quad (8.22)$$

where

$$P = [T_O / \omega^2 m]^{\frac{1}{2}} \quad (8.23)$$

and

$$\omega = 2 \pi N_t \quad (8.24)$$

Hence,

$$H = \pi P \quad (8.25)$$

Equation 8.23 can be rewritten in terms of yarn count, T_r , in tex to give

$$P = K \left[\frac{T_o}{N_r^2 T_r} \right]^{\frac{1}{2}}$$

where K is an appropriate constant. Knowing T_o , the spinning tension, T_s can be found from Equation 8.3.

Figure 8.6 and Equation 8.20 show that, when $x = R$,

$$T_R = T_o - \frac{1}{2} mR^2 \omega^2 \quad (8.26)$$

Hence, Equations 8.12 and 8.26 give

$$T_o = \frac{\chi \mu C}{\left\{ [(\sin^2 \phi - (\chi \mu)^2)]^{\frac{1}{2}} + \mu \cos \phi \right\}} + \frac{1}{2} mR^2 \omega^2 \quad (8.27)$$

This equation shows how T_o , and thereby T_s , is also dependent on the winding angle, the traveler mass and angular velocity, and the coefficients of friction of the ring and traveler and the yarn and traveler.

Figure 8.7 depicts how, theoretically, the ratio $T_R/C \cong T_o/C$ varies with ϕ , μ , and χ .¹⁷ It is evident that all the tensions decrease similarly with these parameters. The dashed curve depicts the deviation of experimental values, which is attributed to the effect of air drag neglected in the analysis.

8.2.4 THE EFFECT OF AIR DRAG ON YARN TENSIONS

In the absence of air drag, the balloon length lies in the x - z plane, i.e., the vertical or axial plane. With the presence of air drag, the yarn becomes inclined to the axial plane in such a way that, moving from the lappet guide to the ring, each succeeding element of length is more inclined. We therefore have to consider the balloon length in three-dimensional space as shown in Figure 8.5, where r instead of x now denotes the distance of each element of length from the z -axis.

A rigorous analysis of the effect of air drag on the yarn tensions involves determining how the angles θ_1 through θ_4 in Figure 8.2 vary as ϕ changes during winding. This involves complex mathematics, which may be circumvented by a very simplified approach.²

We saw that, in the absence of air drag, there was effectively only a vertical component of tension in the balloon length, which corresponded to the winding tension needed to overcome the ring-traveler friction. Since air drag inclines the balloon length, its effect is to introduce a horizontal component of tension, causing a corresponding increase in the winding tension. Essentially, then, we can assume that the presence of air drag introduces a tension component in the balloon length, which is added to the frictional drag of ring on traveler to resist the traveler motion. If D is this added resisting force, Equation 8.8 can be modified to give

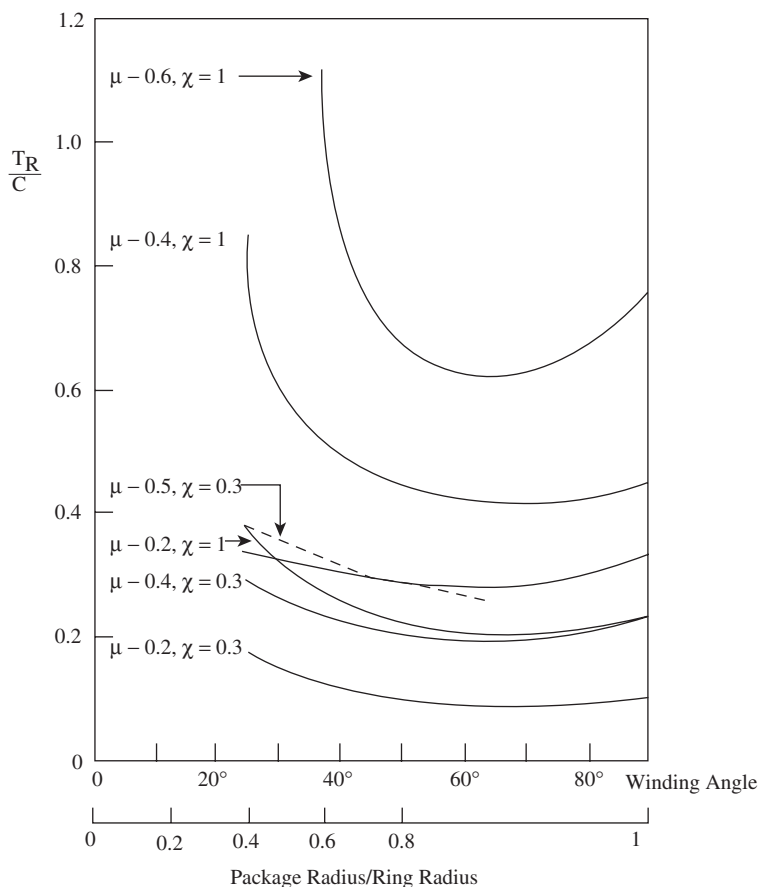


FIGURE 8.7 Yarn tension as a function of winding angle ϕ and friction coefficients.

$$T_R = \frac{\chi(\mu C + D \cos \theta_4)}{\{\sin \phi \cos \theta_4 + \mu \cos \phi - \chi[\mu \cos \theta_1 + \cos \theta_4 \cos \theta_2]\}} \quad (8.28)$$

To derive D , we consider the tension components in an element of balloon length dl at distance r (see Figure 8.8). The air-drag force is given by Equation 8.14 and, from Figure 8.8, $V_N = r\omega \cos \alpha$.

The mechanical power to overcome the air drag on dl is

$$1/2 \rho_A \xi d r^3 \omega^3 dl \cos^2 \alpha$$

For the full balloon length, we integrate from 0 to L_b ,

$$1/2 \rho_A \xi d \omega^3 \int_0^{L_b} r^3 \cos^2 \alpha dl$$

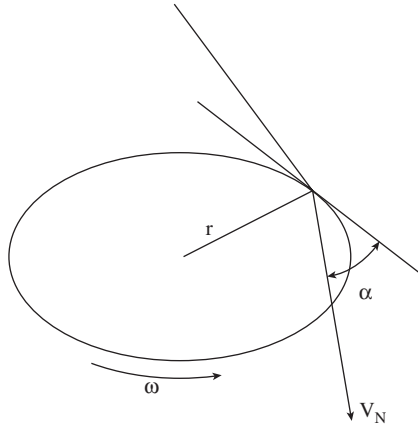


FIGURE 8.8 Relationship between air drag force and normal component of air velocity.

Now, if T_{RA} is the tension in the balloon length at the position of the ring, caused by air drag, the above expression will be equal to $T_{RA} \cos \theta_2 R \omega$. Thus,

$$T_{RA} \cos \theta_2 = \frac{\rho_A \xi d \omega^2}{2R} \int_0^{L_b} [r^3 \cos^2 \alpha] dl \quad (8.29)$$

All the parameters of the integral depend on the balloon shape and therefore on T_{RA} . For a simplified solution to the integral, we may assume that the balloon is sinusoidal,² so $r = r_{max} \sin(2\pi z/\lambda)$, and also that the air-drag force acts in the horizontal plane, so $\alpha = 0$.

Using $dl^2 = dr^2 + dz^2$ and $H = \lambda/2$, then, substituting for dl and r , Equation 8.29 can be now be rewritten as

$$T_{RA} \cos \theta_2 = \frac{\rho_A \xi d \omega^2}{2R} \int_0^{L_b} \left[r_{max}^3 \sin^3(2\pi z/\lambda) 2H \left\{ 1 + \frac{4\pi^2 r_{max}^2}{\lambda^2} \cos^2(2\pi z/\lambda) \right\}^{1/2} (dz/\lambda) \right]$$

and on integrating,

$$T_{RA} \cos \theta_2 = \frac{\rho_A \xi d \omega^2 H r_{max}^3}{4R} = D \quad (8.30)$$

Assuming that $\theta_1 = 90^\circ$ and $\theta_3 = 90 - \theta_2$, which closely approximates the practical situation, Equation 8.28 becomes

$$T_R = \cos \theta_2 = \frac{\chi(\mu C + D \cos \theta_4)}{\sin \phi \cos \theta_4 + \mu \cos \phi} = D \quad (8.31)$$

where $D =$ as given by Equation 8.30

The yarn tensions, T_w , T_o , and T_s can be therefore calculated with Equations 8.3, 8.4, 8.26, and 8.28.

8.3 BALLOON PROFILES IN RING SPINNING

The importance of predicting the balloon shape from set parameters of the spinning geometry was explained at the start of this chapter. With respect to balloon dynamics, the important parameters of the spinning geometry are the balloon height, H , the ring radius, R , the spindle rotational speed (more correctly, the *traveler speed*), ω , and the spinning tension, which is largely governed by the traveler mass.

The objective is to choose these parameters so that we can obtain an acceptable spinning package size without incurring increased unit cost of production or reduced yarn quality. It is therefore useful to have some means of determining if certain combinations of the spinning geometry parameters will give a stable balloon (i.e., $H \approx 1/2\lambda$). One approach would be to theoretically derive a relationship where the radius, r , of any element of the ballooning yarn length is given as function of the distance, z , along the common axis from the origin, which is the lappet guide. For given spinning parameters, such an equation would enable graphs to be plotted of spinning balloons.

Mack⁵ developed the set of differential Equations 8.32 to 8.35, given in [Table 8.1](#), for the three-dimensional motion of an element, dl , of ballooning yarn length l , based on the forces discussed above acting on the element during spinning. The variables x , y , z refer to the Cartesian coordinates. Mack's equations do not account for movement along the thread line and so effectively assume the circulating balloon length to be a constant length between the lappet and the traveler. This may be called a *tied-balloon model*. A more recent model, proposed by Lisini et al.,⁶ uses partial differential equations to take account of the effect of ring rail motion and variations in traveler speed. However, the simplifying assumptions that apply to the tied-balloon model facilitate an easier and more practical understanding of the spinning balloon.

These equations can only be solved directly by numerical integration, for example, using the Runge–Kutta method.^{8,9} By carrying out the following multiplication and addition: $(8.32)(dx/dl) + (8.33)(dy/dl) + (8.34)(dz/dl)$, we get $dT/dl + m\omega^2(xdx/dl + ydy/dl) = 0$, and this can be integrated to give $T = T_o - 1/2 m\omega^2[x^2 + y^2]$. Using this and dividing x , y , and z by P from Equation 8.23, we get the nondimensional forms $X = x/P$, $Y = y/P$ and $Z = z/P$, $\lambda = KP/m$. Similarly, $L = l/P$ and $R = r/P$, where l refers to the balloon length and r the radius of any point on the balloon from the axis z . Thus, Equations 8.32 to 8.35 can be converted to the simpler form of Equations 8.36 to 8.38 using $X = R \cos\delta$ and $Y = R \sin\delta$, where δ is the angle of deviation of the balloon element from x – z plane. These equations enable analytical solutions to be made that can be used to make adequate predictions of balloon stability. The approach that is used is to first establish predicted balloon shapes or profiles in the absence of air drag and then to modify these for the presence of air drag.

TABLE 8.1**Differential Equations of Motion of a Ballooning Yarn Element⁵**

$$\frac{d}{dl}(Tdx/dl) + mx\omega^2 + K\omega^2\{x^2 + y^2 - (x dy/dl - ydx/dl)^2\}^{1/2} \\ \left[y + \frac{dx}{dl}(xdy/dl) - ydx/dl \right] = 0 \quad (8.32)$$

$$\frac{d}{dl}(Tdy/dl) + my\omega^2 + K\omega^2\{x^2 + y^2 - (x dy/dl - y dx/dl)^2\}^{1/2} \\ \left[-x + \frac{dy}{dl}(xdy/dl - ydx/dl) \right] = 0 \quad (8.33)$$

$$\frac{d}{dl}(Tdz/dl) + 0 + K\omega^2\{x^2 + y^2 - (x dy/dl - y dx/dl)^2\}^{1/2} \\ \left[\frac{dz}{dl}(xdy/dl - ydx/dl) \right] = 0 \quad (8.34)$$

$$(dx/dl)^2 + (dy/dl)^2 + (dz/dl)^2 = 1 \quad (8.35)$$

TABLE 8.2**Converted Equations of Motion of a Ballooning Yarn Element⁵**

$$\frac{d}{dL}\{(1 - R^2/2)dR/dL\} + R - (1 - R^2/2)R(d\delta/dL)^2 \\ + \lambda R^3 \frac{dR}{dL} \cdot \frac{d\delta}{dL} \{1 - R^2(d\delta/dL)^2\}^{1/2} = 0 \quad (8.36)$$

$$\frac{d}{dL}\{(R^2 - R^4/2)d\delta/dL\} - \lambda R^3 \{(1 - R^2)(d\delta/dL)^2\}^{3/2} = 0 \quad (8.37)$$

$$(dR/dL)^2 + R^2(d\delta/dL)^2 + (dz/dL)^2 = 1 \quad (8.38)$$

8.3.1 BALLOON PROFILES IN THE ABSENCE OF AIR DRAG

We saw that, when considering balloon tensions, for the situation of no air drag, the balloon length may be assumed to be within the axial plane (see [Figure 8.6](#)). The angle $\delta = 0$, $\lambda = 0$, and Equation 8.36 becomes

$$d/dL \{ [1 - 1/2 R^2] dR/dL \} + R = 0 \quad (8.39)$$

Using $d(UV)/dx = UdV/dx + VdU/dx$, we get

$$-R(dR/dL)^2 + (1 - \frac{1}{2}R^2)d^2R/dL^2 + R = 0 \quad (8.40)$$

Multiply Equation 8.40 by $(1 - \frac{1}{2}R^2)$ and, using the relationship

$$d^2R/dL^2 = \frac{1}{2} \frac{d(dR/dL)^2}{dR}$$

we can integrate Equation 8.40 with respect to R , and since $dR/dL = \sin \psi$ when $R = 0$ (where ψ is the angle of the tangent to the balloon at lappet guide), then

$$R^2 - R^4/4 + [1 - R^2/2]^2(dR/dL)^2 - \sin^2 \psi = 0$$

or

$$\left(\frac{dR}{dL}\right)^2 = \frac{\sin^2 \psi - R^2 + R^4/4}{[1 - R^2/2]^2} \quad (8.41)$$

When $\delta = 0$, Equation 8.38 gives

$$\left(\frac{dZ}{dL}\right)^2 = 1 - (dR/dL)^2 = \frac{\cos^2 \psi}{[1 - R^2/2]^2}$$

If the coordinates R and Z are used in preference to X and Z , then

$$R = 2 \sin \frac{1}{2} \psi \sin \zeta$$

and

$$\frac{dZ}{d\zeta} = \frac{dZ}{dL} \frac{dL}{dR} \frac{dR}{d\zeta} = \frac{\cos \psi}{\cos\left(\frac{1}{2}\psi\right)\left[1 - \tan^2\left(\frac{1}{2}\psi\right)\sin^2\zeta\right]}$$

which, on integrating, in the usual notation for elliptic integrals, gives

$$Z \cos\left(\frac{1}{2}\psi\right) = \cos \psi F\left\{\tan\left(\frac{1}{2}\psi\right), \zeta\right\} \quad (8.42)$$

For most situations, $\psi < 65^\circ$, and an approximate solution to 8.42 for a plot of R against Z is of a sinusoidal wave,

$$R = 2 \sin\left(\frac{1}{2} \psi\right) \sin\left\{[Z \cos\left(\frac{1}{2} \psi\right) f(\psi)]/\cos \psi\right\} \quad (8.43)$$

where $f(\psi) = \frac{2}{\pi} F(\tan(\frac{1}{2} \psi), \frac{1}{2} \pi)$, for which values are given below.

ψ°	0	5	10	15	20	25	30	35	40
$f(\psi)$	1.000	1.000	1.002	1.004	1.008	1.013	1.019	1.026	1.034
ψ°	45	50	55	60	65	70	75	80	85
$f(\psi)$	1.048	1.062	1.081	1.104	1.134	1.175	1.234	1.325	1.501

The above solution is attributed by Mack,⁵ and Figure 8.9¹⁷ shows a set of balloon profiles obtained from this solution. Gregory and Smart¹⁰ have confirmed the validity of the solution for the case of negligible air drag.

Various approximate solutions of the balloon equation have been reported, most of which circumvent dealing with the elliptic integral. We shall now consider several of these, as they help us to further understand the nature of spinning balloons.

Lindner¹¹ assumed spinning balloons, in the absence of air drag, to be long and narrow so that dl is not much greater than dz . Then, referring to Figure 8.6, $T \sin \varphi = \text{constant} = T_b$, the tension at maximum balloon radius. Also, $d(T_b \cos \varphi) = m\omega^2 r dz$, and $\cos \varphi = dr/dz$. Thus, $d^2 r/dz^2 = -m\omega^2 r/T_b$. This is a differential equation of simple harmonic motion that has the solution

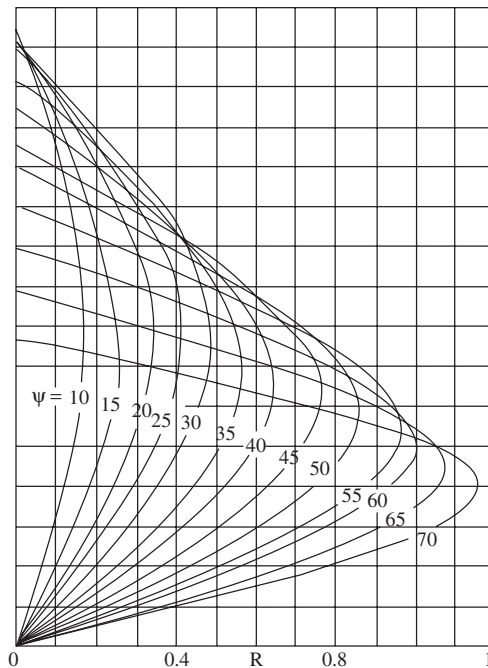


FIGURE 8.9 Balloon profiles based on Mack's solution.

$$r = A \sin \left[\left(\frac{m\omega^2}{T_b} \right)^{\frac{1}{2}} z \right] \quad (8.44)$$

This solution is also reported by Crank,⁷⁻⁹ Grishim,¹² and Honneger and Fehr¹³ and is claimed to give agreement with measurements of photographic images of balloons.

Bracewell and Greenhalgh¹⁴ reported the case of long-lift, large-package spinning. They assumed that the associated balloons can be modeled by the Cartesian equation for a catenary. The result obtained was in good agreement with observations of balloon profiles for long-lift, large-package, ring-spinning systems.

De Barr¹⁵ assumes balloon profiles to be sinusoidal and then develops the concept of the balloon profile being a standing wave system identical to circularly polarized, transverse vibrations of a string. De Barr's approach merits full consideration, as it is much less mathematical and gives comparable results to Mack's⁵ more rigorous treatment.

First, the concept of circularly polarized, transverse vibrations should be further explained. When a string fixed at both ends is vibrated in, for example, the x - z plane, it will form a standing wave (a plane-polarized vibration) if its length equals an integral number of half-wavelengths. This is a classical experiment demonstrated by Melde in which one end of a string was attached to a vibrating tuning fork while the other was fixed. If the string is made to vibrate simultaneously with the same frequency in y - z plane, the string will move according to the resulting superposition of the two component vibrations. Thus, the movement of each point on the string will be the sum of two simple harmonic vibrations at right angles. If the amplitudes of the vibrations are equal and out of phase by 90° , then the motion of each point in the string will be circular about a central axis. We now have a circularly polarized standing wave instead of a plane-polarized one. The radius of the circle at points along the string, i.e., from the z -axis, will vary sinusoidally.

Since the idea is to use circularly polarized standing waves to represent the spinning balloon, Equations 8.1 and 8.2 can be used in relation to the balloon. An approximation of a balloon shape can be obtained once the amplitude and wavelength are known. For a given frequency, the speed of propagation, c , and thereby the wavelength, λ , of a vibrating string decrease with increased amplitude. De Barr applied Rayleigh's principle¹⁶ (that the mean kinetic and potential energies of a vibrating system are equal) to obtain Figure 8.10,¹⁷ showing the decrease in wavelength with increasing amplitude. Thus, for a range of arbitrary chosen λ values, various balloon profiles can be plotted from the following equation:

$$r = A \sin(2\pi z/\lambda)$$

or, nondimensionally,

$$r/P = A \sin(2\pi z/P\lambda) \quad (8.45)$$

where r = balloon radius

A = amplitude

z = distance along the common axis of spindle, ring, and spinning balloon

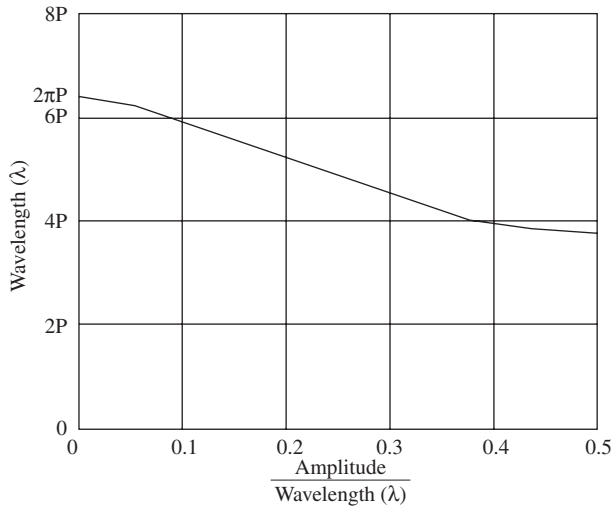


FIGURE 8.10 Decrease in wavelength with increasing amplitude.

An alternative approach is to use Equations 8.20 and 8.21. Noting that, in the absence of air drag, $r = x$, these equations can be combined to give

$$\sin \phi (T_O - 1/2 mr^2\omega^2) = T_O \sin \phi_0 \quad (8.46)$$

Equation 8.46 gives the inclination of the balloon length at any radius and therefore enables the balloon shapes to be determined. At the maximum radius, $\phi = 90^\circ$ and hence, from Equations 8.21 and 8.46,

$$T = T_O \sin \phi_0 \quad (8.47)$$

and

$$r_{max}^2 = 2 T_O (1 - \sin \phi_0)/m\omega^2 \quad (8.48)$$

De Barr found that using Equations 8.46, 8. 47, and 8.48 gave balloon shapes similar to Mack's solutions. The balloon shapes calculated from these equations differ slightly from the sinewave Equation 8.45, as illustrated in Figure 8.11.¹⁷ However, observations have shown that Equation 8.45 gives a better representation of actual balloons shapes, and this is attributed to effects of air drag.¹⁵

8.3.2 THE BALLOON PROFILE IN THE PRESENCE OF AIR DRAG

We must remember that the purpose of determining the balloon shape is to ascertain the spinning conditions that would result in balloon collapse, i.e., the tendency for a half-wavelength balloon profile to change to a profile with a second nodal point. If the balloon collapses onto the spindle, spinning cannot continue. Before describing

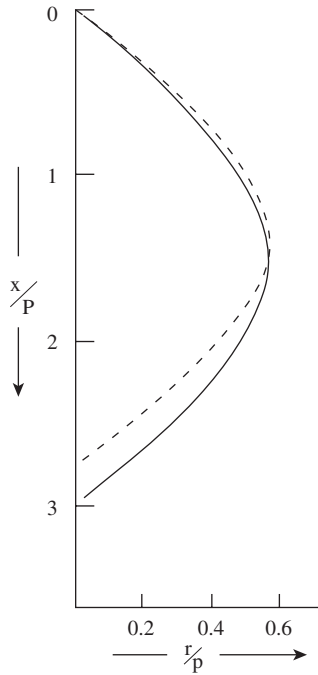


FIGURE 8.11 Decrease in wavelength with increasing amplitude.

how the optimal conditions to prevent balloon collapse can be ascertained from a graph of a series of balloon profiles, it is useful to address the effect of air drag on balloon profiles.

When simplifying the differential equations of balloon motion, given in Table 8.2, δ was referred to as the angle of deviation of a balloon element, dl , from the x - z plane. In discussing the effect of air drag on balloon tension, it was explained that the effect of the air was to introduce a tangential tension component in each yarn element. It is this tension that causes the angle of deviation. The component of tension is greater for yarn elements closer to the ring and traveler. Therefore, as Fig 8.12¹⁷ illustrates, the angle of deviation, δ , of the balloon length increases toward the ring and traveler.¹⁶

Equation 8.14 shows that resistance of the air to the rotation of the balloon is proportional to the square of the normal component of yarn velocity relative to the air. However, if the tension in the yarn attributed to the ring and traveler is high, the tangential component induced by the air drag will be low, and δ will be small.

Dividing Equation 8.14 by P/m gives the nondimensional form for the air drag,

$$\gamma = mA_D/P = 1/2 \rho_A \xi d m V_N^2/P \tag{8.49}$$

Figure 8.13 shows the projections onto the axial plane (i.e., z - x or z - r plane, when $x = r$) of several balloon profiles for various values of γ .¹⁷ Comparing the

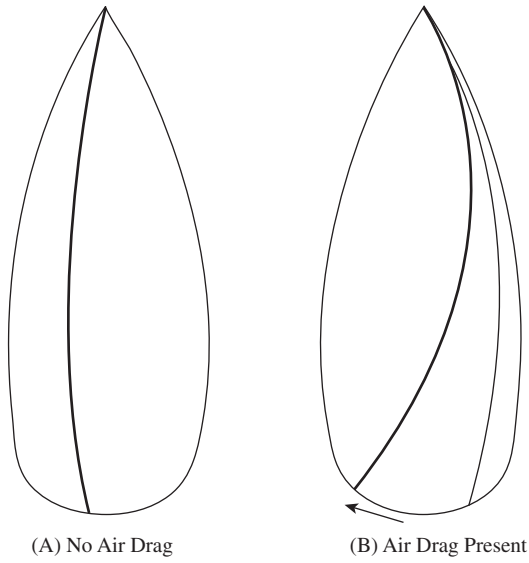


FIGURE 8.12 Shape of yarn balloon in absence of air-drag and the effect of air drag.

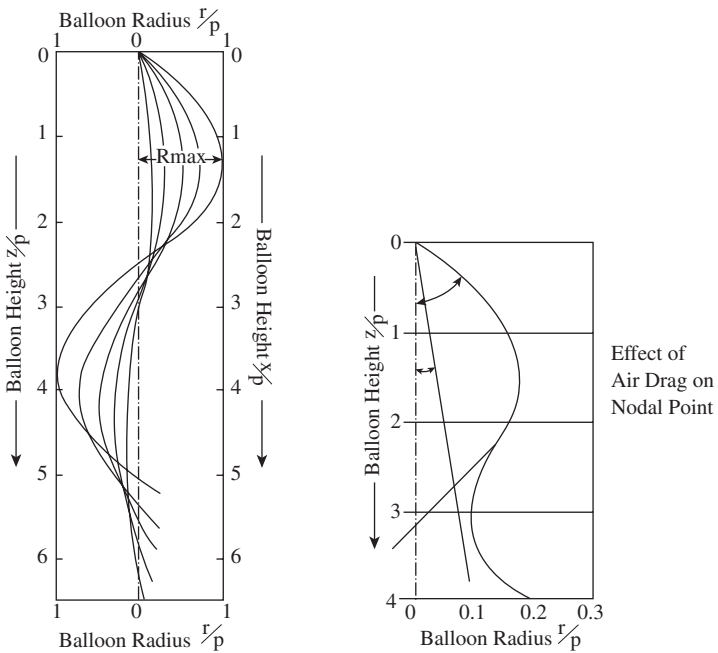


FIGURE 8.13 Projections of balloon profiles on to axial plane.

profiles for $\gamma = 0$ (no air drag) with the other values, it becomes evident that, while the air drag is small, the balloon profiles are little affected by the air drag except near the nodes. In particular, the maximum balloon radius seems unaffected by air drag. De Barr¹⁷ found that, to a first approximation, the maximum balloon radius depends only on the yarn count and the ring radius.

It can be seen from Figure 8.13 that, instead of a nodal point, the air drag causes the balloon profile to narrow to a minimum radius referred to as a *neck*. One way of explaining the reason for this is that, at any element of the balloon length, work is required per revolution to overcome the air drag on the yarn length from the lappet guide to the element. This work is equal to the product of the tangential component and the circumference of the circular path described by that element. Hence, where a nodal point would occur, there has to be a minimum radius. The neck is, however, smaller than the ring bobbin radius, and contact between the two causes the balloon to collapse.

It is evident from Figure 8.13 that, in causing a neck to be formed, the air drag extends the wavelength, i.e., the value of z/P that equals $1/2 \lambda$. This would tend to support De Barr's proposition that the sinusoidal waveform of Equation 8.45 gives a better representation of spinning balloons or, more correctly, their projections onto the axial plane.

8.3.3 DETERMINATION OF RING SPINNING BALLOON PROFILES BASED ON SINUSOIDAL WAVEFORMS

Figure 8.14 shows a range of sinewaves obtained by De Barr's simplified approach, from which the profile of a stable balloon can be determined for known values of balloon height, H , and ring radius, R .¹⁷ Using the nondimensional form, the balloon shape is given by that curve on which the point H/P ($= z/P$), R/P ($= r/P$) lies. As indicated, R and H define the angle β ($\tan\beta = R/H$) between the common axis and the line linking the apex (the lappet guide) and the point H/P , R/P . The importance of β is that it indicates the possible range of balloon profiles for a particular spinning geometry. Balloon profiles predicted by De Barr's approach are shown in Figure 8.15 along with observed values of maximum radii, and there is sufficiently good agreement to make this method of practical use.¹⁷

From Equation 8.23, P is dependent on the spinning tension, the traveler speed, and yarn count, and changes in these parameters will lead to a change of position of the point H/P , R/P along the line $\tan\beta$. The actual balloon size will depend on P ; the smaller this value, the greater the maximum radius. For any combination of H and R , there is a minimum P below which a neck is formed.

Since P will fluctuate as the parameters of Equation 8.23 fluctuate, it is important that the point H/P , R/P be carefully chosen. It can be seen from Figure 8.14 that, in the region just below the maximum radii, the profiles come close together. In this region, quite small changes in P can result in significant changes in the balloon profile and maximum diameter. To produce the largest possible package for a given ring radius, yarn count, and tension, the point H/P , R/P would be chosen close to the boundary for a necked balloon formation. However, allowance should be given for fluctuation that would reduce P and thereby cause balloon collapse.

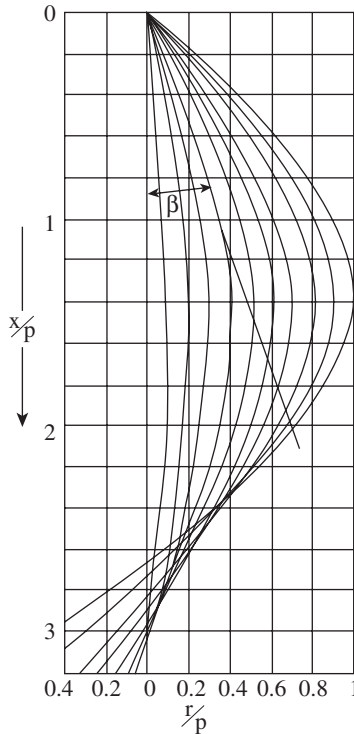


FIGURE 8.14 Determination of balloon shapes in ring spinning.

8.3.4 EFFECT OF BALLOON CONTROL RINGS

It can be seen from Figure 8.14 that, with a given spindle speed, yarn count, and R , for balloon collapse to be avoided with increased H , P must be increased to maintain a constant H/P . This means increasing tension T_o . For any yarn, there will be a limit beyond which end breaks occur. In Chapter 6, it was explained that the use of balloon control rings to divide the balloon length into two or more parts enables H to be increased without excessively increasing T_o . Thereby, larger ring-spinning packages can be made. For optimal effectiveness, control rings are of equal internal diameter to that of the ring, and they must be suitably positioned to keep balloon collapse from still occurring. The simple example is of a single control ring positioned such that two half-wavelengths of equal maximum radius are obtained (see Figure 8.16¹⁷).

The increased balloon length resulting from the use of control rings, and the friction between the length and the rings, result in an increased winding tension. This means that, to obtain a specific level of winding tension for a firm package, a lighter traveler can be used, which reduces the frictional drag of ring on traveler and, consequently, the spinning tension, T_s . A further advantage of control rings is that they damp tension fluctuations reaching the spinning zone, and such fluctuations could cause end breaks.

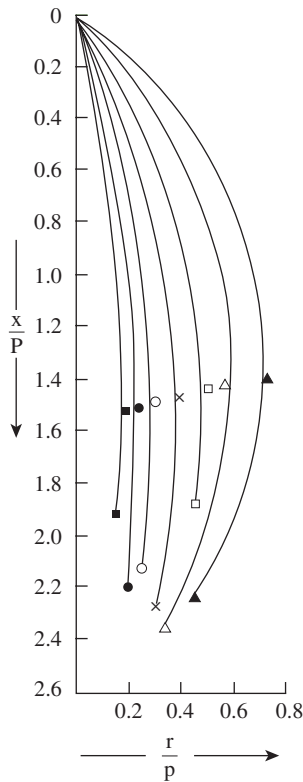


FIGURE 8.15 Observed and calculated balloon shapes.

8.4 TENSIONS AND BALLOON PROFILES IN THE WINDING PROCESS

We have seen above that, in ring spinning, the tensions in balloons are largely determined by the frictional drag of the ring on traveler, and these tensions determine the balloon profiles. In winding off yarns from ring bobbins, balloon tensions are essentially determined by the balloon shape.

8.4.1 YARN TENSIONS DURING UNWINDING FROM A RING-SPINNING PACKAGE

In [Chapter 7](#), the path of the yarn from the ring package to cylindrical or conical bobbins was described, and the tension changes that occur during the process were explained. It is appropriate here to reconsider these tension changes with regard to the unwinding balloon profiles. The terms used have been already explained in the earlier chapter.

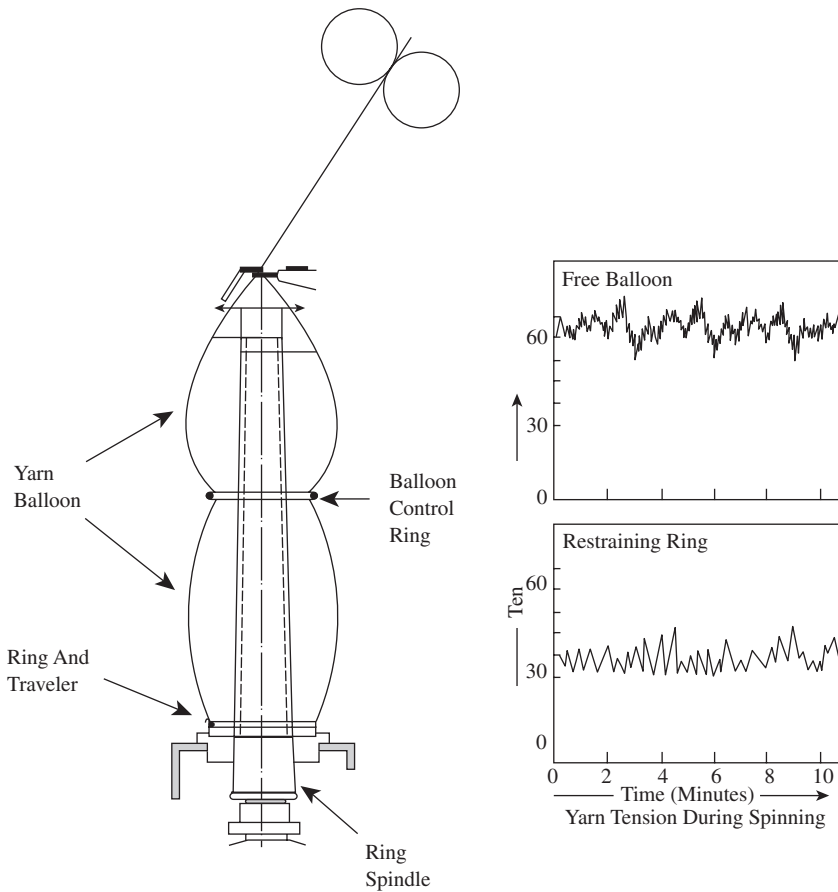


FIGURE 8.16 Effect of balloon control rings.

Figure 8.17 depicts the instantaneous profile of the balloon at the start of over-end unwinding. The point of unwinding is the contact point of the balloon with the package. In the early stages of unwinding from a cop-build ring package, the yarn length between the point of unwinding and the thread guide will form either a single-node or a multiple-necked balloon. The number of necks formed will depend on the speed of unwinding, the height of the tread guide above the package (i.e., H), the balloon height, the yarn count, and the package radius, R_p . Whereas the amplitude of the waveform is constant, the radius of the neck decreases toward the thread guide. As explained earlier for ring spinning, it is the tangential component of tension induced in the yarn by air drag that is responsible for a neck forming rather than a true node. This component of tension increases with distance from the thread guide down to the package. Consequently, the radius of each neck becomes greater than the preceding one when moving down the thread line toward the package. Mack⁵ has shown that the radius of the n th neck is n times the radius of the first. Figure 8.18 illustrates the situation for a typical three-neck profile.

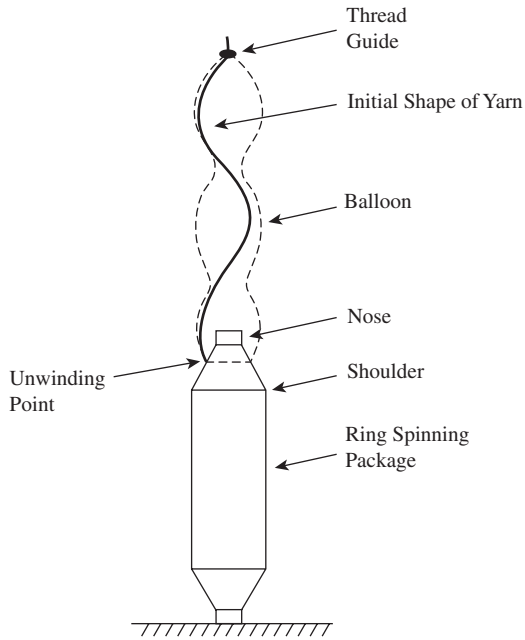


FIGURE 8.17 Balloon profile at the start of unwinding from ring-spun yarn package.

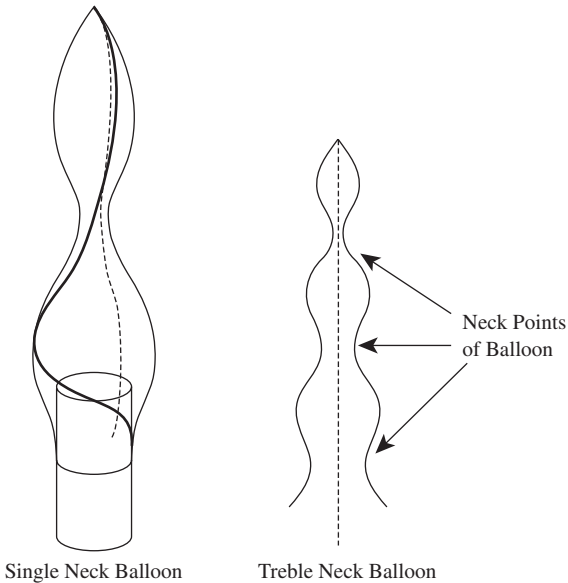


FIGURE 8.18 Treble-neck balloon profile.

The mean unwinding point of the cop-build package moves down the package length, from (a) to (f), etc., as the removal of the yarn proceeds, and this increases H (see Figure 8.19). A point will be reached where the neck nearest the bobbin package (i.e., the package core) coincides with the bobbin diameter, and a two-neck profile results. With further yarn withdrawal, the unwinding point moves within the base region of the bobbin, and a single-node balloon is formed.

Figure 8.20 indicates the changes in the unwinding tension, T_U , measured above the thread guide. If T_O is the tension at the thread guide during the yarn withdrawal, Equation 8.3 applies, with T_U replacing T_S . The mean tension and the variation in tension are of importance. The high-frequency or short-term variation at the start of unwinding comes from fluctuations in H associated with the unwinding point traversing the short length from nose to shoulder of the package. The change from a three- to a two-neck profile corresponds a substantial increase in the balloon wavelength and causes the step increase ΔT_{O1} in the mean tension. The subsequent change to a single-node balloon causes the second step increase ΔT_{O2} . It is clear that, between the step changes, the mean tension increases continuously, but the rate of increase after ΔT_{O1} is higher, and the size of the fluctuations is much greater. Following the changes in the balloon profile, the contact point of the balloon length with the package is no longer also the unwinding point. The yarn length being uncoiled is now dragged over a section of the cleared bobbin surface prior to becoming part of the balloon length. The mean unwinding tension increases because of the frictional drag of the yarn on the bobbin surface.

The increased intension limits the maximum unwinding speed because of a high frequency of end breaks. Figure 8.20 also illustrates the use of computer control drive system, the Autospeed, which uses an optical sensor unit to determine the

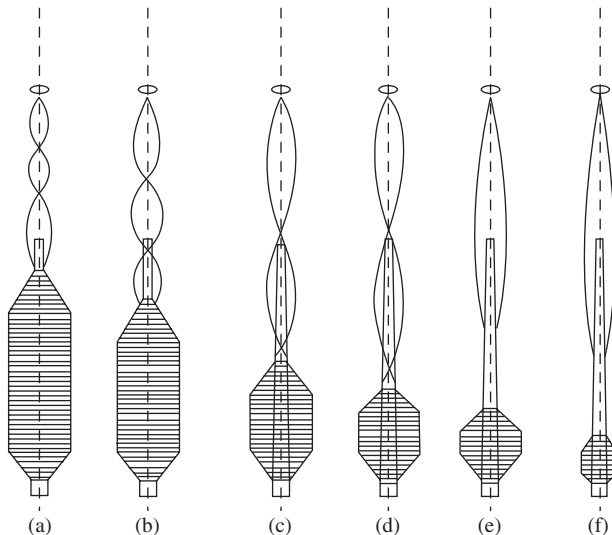


FIGURE 8.19 Changes in balloon profile during unwinding.

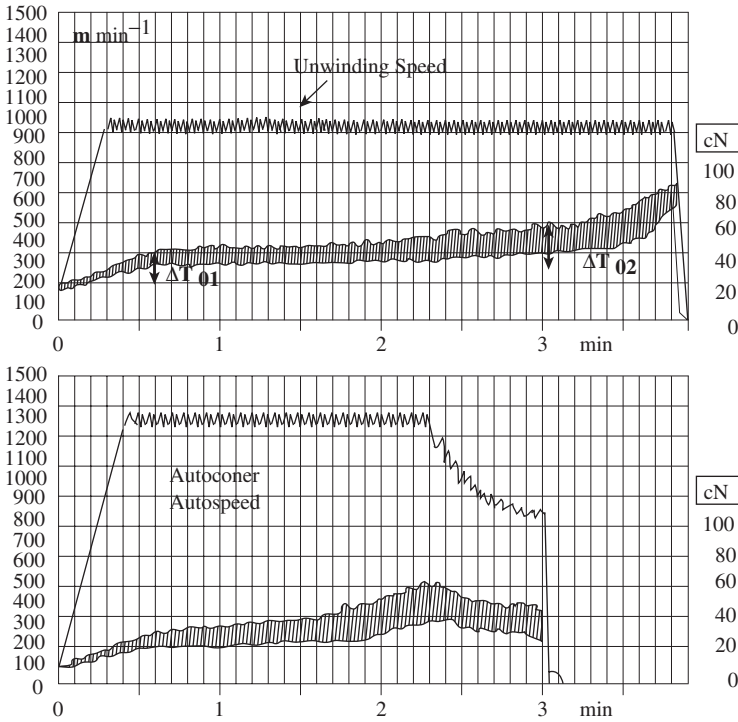


FIGURE 8.20 Changes in unwinding tension. (Courtesy of W. Schlafhorst AG & Co.)

amount of yarn on individual yarn packages and then adjusts the speed profile to prevent the step increase in tension toward the end of package unwinding. As shown, significantly higher unwinding speeds can be achieved.

Figure 8.21 illustrates the path taken by the yarn as it slides over the bobbin surface.¹⁷ There is a gradual change in direction of the path on the bobbin to the direction in which a yarn element becomes part of the balloon. At the point of contact of balloon and bobbin, the angle of inclination, ϑ , of the yarn path on the bobbin surface to the tangent of the bobbin radius is therefore the same as that at the start of unwinding. The friction between yarn and bobbin maintains this equilibrium. Padfield^{18,19} showed that the tension in the balloon at this contact point is largely due to this friction and is given by

$$T_F = 2 \left(T_O - \frac{3}{2} mv^2 \right) \sin^2 \frac{1}{2} \vartheta \quad (8.50)$$

where v = the linear unwinding velocity of the yarn

In ring spinning, T_R is the tension in the yarn balloon at a similar position. In making a comparison with ring spinning, tension T_F and the tension resulting from the balloon profile have importance in how they relate to T_O and thereby T_U . It is therefore appropriate to consider now the tension due to the balloon profile and the associated forces.

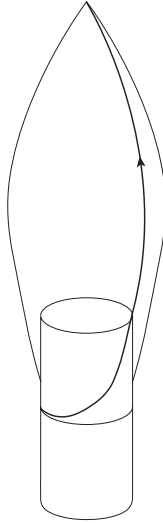


FIGURE 8.21 Yarn path on bobbin surface.

When an element of yarn is pulled from the yarn package through the thread guide, its rotational and translational kinetic energies change along the path. These changes are caused by forces that give rise to the yarn tension.

The early part of this chapter explained that, in moving from one radius to another, the change in kinetic energy of rotation is caused by Coriolis forces. With the high linear yarn velocities used in the winding process, the Coriolis forces are much greater than in ring spinning and now are not negligible. The balloon profile in winding depends, then, on the relative magnitudes of the air drag and Coriolis forces. For any yarn element of the balloon, the component of Coriolis force associated with the tangential component of velocity is greater than the air-drag force. However, the air-drag forces are cumulative from one end of the balloon to the other, whereas the Coriolis forces above and below the maximum radii are of opposite signs. At the package, therefore, the tangential component of tension is effectively the total air drag on the balloon. Near the thread guide, the Coriolis forces will be greater than the air-drag forces, and each yarn element of the balloon will lag behind the element above it. The reverse occurs near the package, where air-drag forces dominate (see Figure 8.21).

The kinetic energy of rotation of the yarn is zero on the package and, effectively, also at the thread guide. Therefore, there is no change in this kinetic energy in the process, so Coriolis forces do not contribute to T_o , even though they influence the tension in any considered yarn element of the balloon.

The translational kinetic energy results from the force required to accelerate each yarn element from its stationary position on the package to the linear velocity, v , along its length as it leaves the package. This force is provided by a component of tension in the yarn of magnitude $1/2 mv^2 \text{ sec } \vartheta$, m being the mass of the element, and is constant over the length of the yarn.

With respect to the above points, we can say that the pulling of the yarn through the thread guide causes work to be done to

- Accelerate the yarn to the unwinding velocity (i.e., the linear velocity v)
- Overcome the frictional drag of the package on the yarn
- Overcome air-drag on the balloon length

The rate at which the work is done is given by vT_o . The work rate against air drag is

$$\int_0^L 1/2 \rho_A \xi d r^3 \omega^3 dl \quad (8.51)$$

where L = total length of yarn in the balloon
 r = radius of rotation of the element dl

Since $v = R_p \omega$, the air drag contributing to the yarn tension is

$$T_A = \frac{mv^2 \rho_A \xi d}{2mR_p^3} \int_0^L r^3 dl \quad (8.52)$$

The tension at the thread guide T_o is the sum of the contributions of friction and drag (Equation 8.50), air drag T_A , and the acceleration of the yarn, $1/2 mv^2 \sec^2 \vartheta$. Thus,

$$T_o = mv^2 \sec^2 \vartheta \left\{ \left[\frac{\rho_A \xi d}{2mR_p^3} \int_0^L r^3 dl + 2(T_o - 3/2mv^2) \sin^2 1/2 \vartheta + 1/2 \right] \right\} \quad (8.53)$$

8.4.2 UNWINDING BALLOON PROFILES

The description given earlier of the three-neck balloon profile was a specific example. To determine the balloon profile of the unwinding yarn by performing a rigorous analysis of the acting forces requires the inclusion of the parameters for Coriolis forces in the differential equations of motion given in Table 8.1, and then with boundary conditions specific to the case of over-end unwinding, solving the equations numerically. A less exact but much simplified alternative is to use De Barr's approach¹⁸ of the approximation of the sinusoidal projection on to the axial plane ($z-r$ plane). In this case, the balloon profile for unwinding will correspond to the largest profile associated with given values of R_p/P and H/P .

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9 Fancy Yarn Production

The earlier chapters dealt with the production of yarns where the objective is to provide as regular and as parallel as possible an arrangement of fibers twisted together to form a continuous length of uniform thickness. Slubs, neps, thin places, and so on are noted as faults and imperfections and are viewed as degrading features of yarn quality. A great deal of care and effort is taken to minimize their occurrence by preventing or removing these features wherever possible. In fancy yarn production, these features are deliberately introduced into the yarn, along with color, to give visually and texturally attractive differences to fabrics. Some yarns that might be called fancy yarns have only color changes as distinctive features, obtained by a number of techniques such as twisting together different colored yarns, spinning irregularly blended dyed fibers or printed slivers, and irregularly printing already spun yarns. The production of such yarns will not be considered here, since the processes described in [Chapters 2 and 3](#) are generally used to make them. In this chapter, the fancy yarns that are of interest are the ones that may be said to have, besides color changes, a specially structured profile and therefore conform to the following definition:

Definition: A fancy yarn is a yarn that is made with a distinctive irregular profile or a construction that differs from basic single and folded yarns, the objective of which is to enhance the aesthetics of the end product with respect to visual and textural properties.

Fancy yarns essentially give fashion touches to a fabric, and they have therefore a broad range of end uses — although not as wide as basic yarns. A significant market segment for fancy yarns is ladies' outerwear. Occasionally, they are used in men's jackets, knitwear, and ties. Hand knitting is a popular end use, mainly for ladies' and children's knitwear. Fashion designers use fancy yarns as a means to diversify style. Therefore, furnishing is also an important market area, particularly in curtains and blinds, wall coverings, and upholstery.

Technically, producing fancy yarns is about creating distinctive features and combinations of these features. With the depth of available technology, the range is restricted only by imagination and commercial acceptance. This chapter gives a classification of the various structured effects and a description of the basic principles for producing such yarn features, as well as of the production machines employed.

9.1 CLASSIFICATION OF FANCY YARNS

[Figure 9.1](#) gives examples of the many fancy yarn types that are commercially used. The list is not comprehensive, but it shows some of the more popular effects.

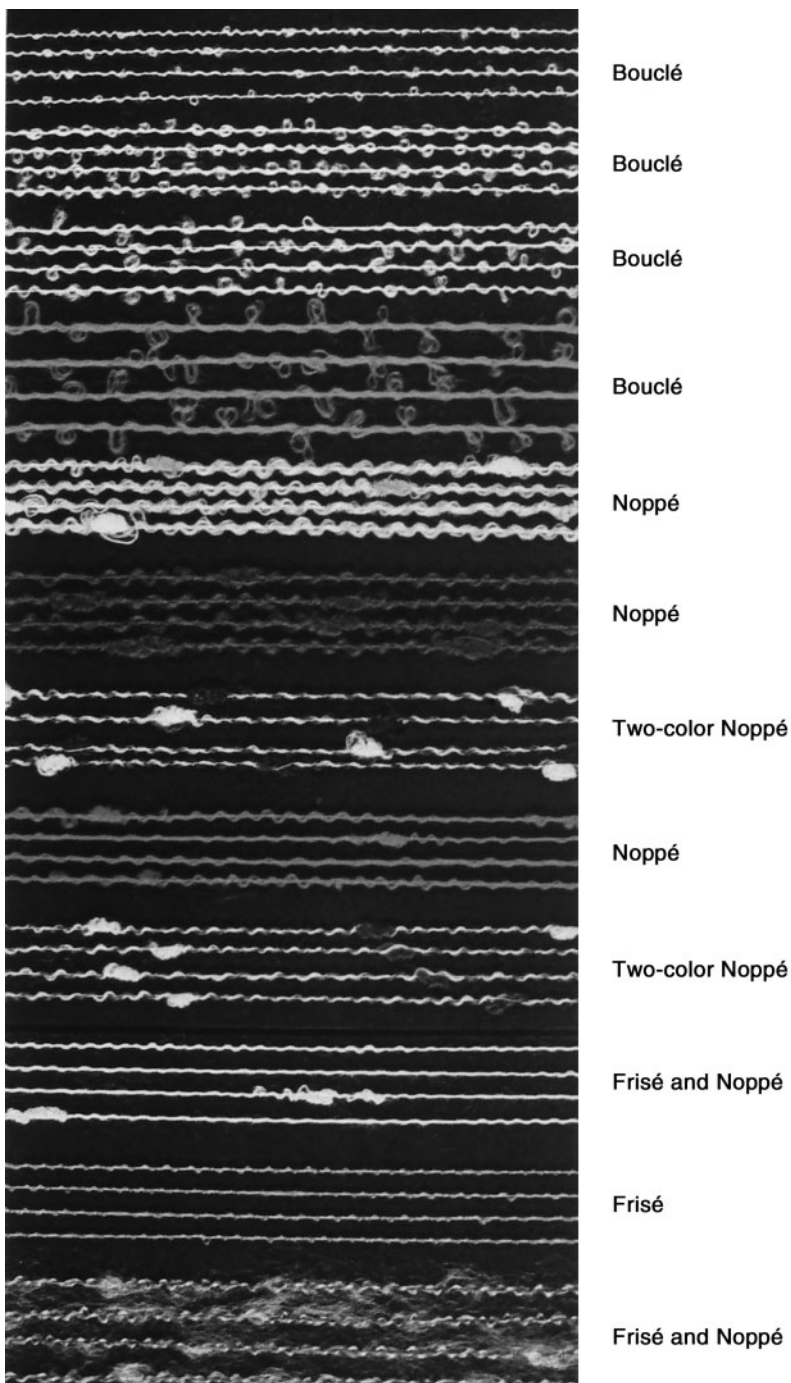


FIGURE 9.1 (See color insert following page 266.) Examples of effect twist fancy yarns. (Courtesy of Saurer-Allma GmbH.)

Various names are used to describe the different yarn effects. However, a careful study would show that many of the effects are variations on the eight basic profiles given in Table 9.1. These yarn effects can be made by plying a number of yarns together or, with modified spinning techniques, most can be spun from sliver or roving.

TABLE 9.1
Fancy Yarn Effects

Basic yarn profile	Effect variations
Spiral	Mock spiral, mouliné, jaspé
Gimp	Frisé, caterpillar, ondé
Slub	Ground slub, injected slub, injected flame (also called tear-off flame)
Knop	Knot, nep, noppé, button, reverse caterpillar, flake
Loop	Bouclé, frotté, prong, mock-spun chenille
Cover	Twisted flame
Chenille	Woven chenille, plied chenille
Snarl	

Several classifications for fancy yarns have been attempted.¹⁻³ The one given here is a further development of these and is based on the different types of yarn features, termed *effects*, and on the methods of their manufacture.

Table 9.2 gives a classification for fancy yarns, which also indicates the two production methods employed. Yarn-produced effects are based on twisting or doubling yarns together to create the fancy yarn effect from already spun yarns. This is the conventional method for producing fancy yarns. Spun-effect yarns are fancy yarns spun directly from fibers fed to the spinning system.

TABLE 9.2
Classification of Fancy Yarns

Fancy yarn classification			
Yarn (produced) effects		Spun yarn effects	
Controlled		Controlled	
Regular effects	discontinuous effects	Regular effects	discontinuous effects
Spiral	Reverse caterpillar	Spiral	Button
Mouliné	Neps	Mouliné	Slub
Loop	Knots	Loop	Caterpillar
Bouclé	Knop	Bouclé	Combinations
Frotté	Slub	Gimp	
Gimp		Ondé	
Ondé		Chenille	
Snarl			
Cover			
Chenille			

As shown in the table, fancy yarns may be divided into regular and randomized effects. Regular effects are where the features appear uniformly along the yarn length. Some features, if made to appear at regular intervals along the yarn length, would cause pattern faults in the end fabric. Therefore, they have to be randomly spaced and are termed *randomized effects*. Both methods of yarn production can be used for regular and randomized effects.

9.2 BASIC PRINCIPLES

Let us now consider the basic principles for producing structured effects, with regard to the conventional method. The spun-effects method is based on similar principles. A suitable way to start is to refer to the general structure of a fancy yarn. Most consist of two or more of the following components as illustrated in Figure 9.2:

- A ground or core component
- An effect component
- A binder

The names of the components indicate the purpose of each in the final yarn structure. It is evident from Figure 9.2 that, to obtain a structured effect, a longer length of effect component, relative to ground components, must be present to form the required feature or effect. The buckling and twisting of the effect component onto ground components produce the aesthetic effect, and the twisting of the binder around the assembly locks the feature in place. The basic principle is therefore to feed the ground and effect components at different speeds into a twisting element, with the latter having the higher rate of feed, and then to reverse-twist the assembly with a binding component. The percentage ratio of the speeds of the effect component to the ground component is called the *overfeed* and, as we shall see later, this is an important factor in constructing various effects.

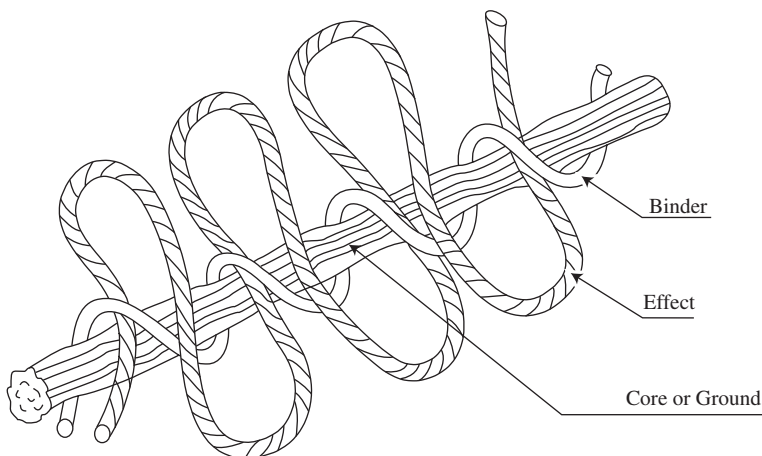


FIGURE 9.2 Basic components of a twist effect or spun fancy yarn.

The exceptions to the above general structure for fancy yarns are spiral yarns and ground slub, flake, and nep spun yarns. For the spiral effect, the basic principle is to feed two yarns of significantly differing counts and twist levels to a ply-twisting device so that, when twisted together, the finer yarn appears to spiral around the coarser yarn. The yarns are usually of different twist directions, and the ply twist direction is the same as the finer yarn. Although the ply twist is of a much lower level than the component yarns, the action of plying will remove some twist from the coarser yarn, thereby increasing its bulk to give a greater contrast with the finer yarn.

The basic principle of producing ground slub effects is based on roller drafting. The ground slub, as the term implies, is part of the base yarn. As the sliver or roving is being drafted to produce the count of the base yarn, the drafting process is deliberately and randomly interrupted to cause the appearance of short, thick places at random intervals in the final yarn. Flake and nep spun effects are also produced as part of the base yarn. In [Chapters 2 and 3](#), the occurrence of neps in the preparatory processes was discussed. Carding was presented as an important stage in the process sequence at which neps could be removed or produced. For the production of flake and nep effects, tightly entangled minituftlets are deliberately scattered, during carding, onto the swift of a woolen card.⁴⁻⁵ They may be of different colors to obtain a distinctive contrast with the host fiber. If deposited onto the swift between the licker-in and the carding zone, the minituftlets will be partially opened to appear as flakes. If introduced after the carding zone but before the cylinder/doffer setting line, the minituftlets are rolled tighter to form neps. The resulting slubbings are then spun in the conventional way.

9.3 PRODUCTION METHODS

As indicated in [Table 9.2](#), fancy yarns that conform to the general structure are produced either by plying techniques, where the various components are in the form of yarns, or by spinning, where the effect component can be a ribbon of fibers, a yarn, or a combination of both.

It should be evident from the description of the basic principles of constructing fancy yarn profiles that different ply-twisting and spinning techniques can be used. [Figure 9.3](#) gives a list of available production methods. For completeness, the brushing process is included. Essentially, this is where a staple yarn is brought into contact with a rotating cylinder fitted with flexible card clothing, and the direction of rotation enables a “back-of-tooth” action to partially pull out fibers and provide a hairy yarn surface. Since the purpose is only to produce hairy yarns and not to construct definite features, no further consideration will be given to the brushing technique.

9.3.1 PLYING TECHNIQUES FOR THE PRODUCTION OF FANCY YARNS

Plying is the conventional method for producing fancy yarns. There are two stages to the process.

1. The profile twisting stage, involving the ground and effect components
2. The binding stage, where the binder is introduced to stabilize the profile

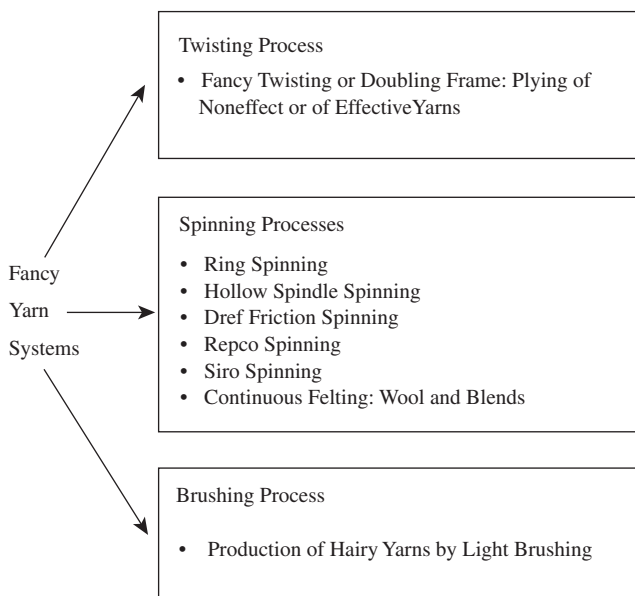


FIGURE 9.3 Fancy yarn manufacturing systems.

9.3.1.1 The Profile Twisting Stage

Specially made ring twisting machines are employed, particularly for the first stage, where threading of the component yarns is important to obtaining the required profile. Figure 9.4 shows that the yarns are fed to the ring and traveler twisting device by a minimum of two sets of rollers. The back rollers, G, control the rate of feed of the ground components, and the front rollers, E, control the effect component. The production rate and twist insertion are calculated using the surface speed of G. The E rollers must not interfere with the controlled running of the ground yarns. To ensure this, either a substantially higher count of yarn is used, its thickness preventing nipping of the ground yarns by E, or grooves are cut into the periphery of the top E roller. As explained earlier, the length of effect yarn needed to form a desired profile is obtained by the percentage overfeed; therefore, the speed of E must be greater than G. When producing regular effect yarns, both sets of rollers run at constant speeds. The rollers are usually computer controlled so that, to produce randomized-effect yarns, the E rollers can be rapidly slowed to the same speed as G and then accelerated to their original speed to give random intervals between repeats of the profile. Speed control of the rollers also enables construction of a yarn with different profiles.

The threading arrangement illustrated in Figure 9.4 can be used for most of the basic eight profiles, with the exception of the knop, cover, and chenille effects. Figure 9.5 shows threading arrangements needed for the knop and cover profiles. One of the two may be used to form, for example, a knop profile and, in both cases, only one ground component is necessary; both yarns are fed forward at the same

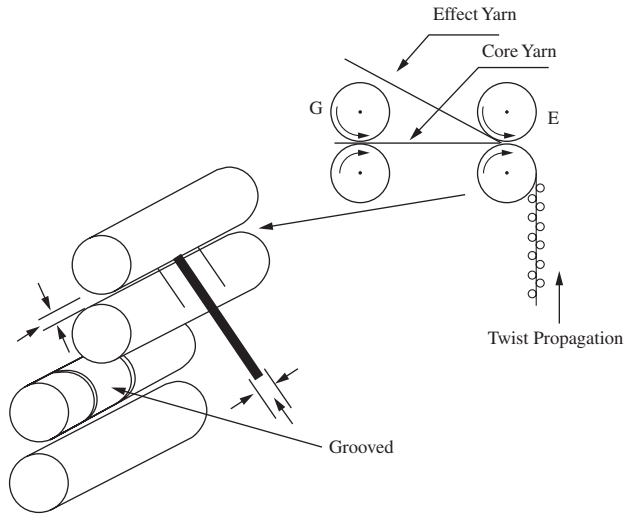


FIGURE 9.4 Threading arrangement for profile twisting stage.

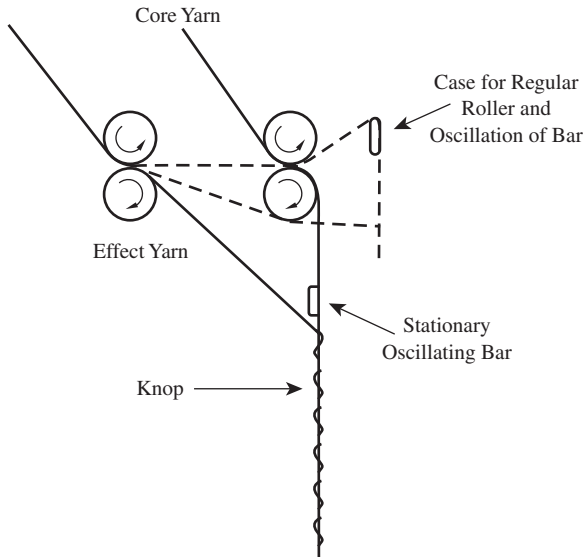


FIGURE 9.5 Threading arrangement for single or two-color knop.

speed. The G rollers can be then made to stop for a very short period at irregular intervals while the E rollers are still feeding the effect yarn into the twisting zone. At the last twist point where the yarns cross, the extra length of the effect yarn will wrap tightly around the ground yarn to produce the knop profile. It is important that, when wrapping occurs, the effect yarn meets the ground yarn at a steep angle (i.e.,

at almost a right angle). To assist this occurrence, a rectangular metal bar — termed a *spacer bar* — is positioned to separate the two yarns. When both yarns are running, the ply twist propagates up to the spacer bar, the last twist point being just below the bar. When the ground yarn stops and twisting continues, the effect yarn will be forced to meet the ground yarn at a steep angle for wrapping.

The second approach in forming the knop profile is to have both yarns constantly running with a small overfeed of the effect component. The spacer bar is made to oscillate up and down to continuously alter the distance of travel of the effect component. When in the up position, the extra length of the effect component, caused by the overfeed, is accommodated by the increased path length. As the oscillating bar moves to the down position, this length becomes tightly wrapped around the ground component to form the knop.

9.3.1.2 The Binding Stage

This is a reverse-twist stage. If the profile twist is of Z direction, the binding twist is usually S direction so as to obtain a balanced yarn (see [Chapter 6](#)). The profile yarn is commonly twisted with a filament yarn, the latter having a slight overfeed of 102 to 105%. The filament yarn, therefore, wraps or binds the profile yarn; hence the reference to it as the *binding component*.

9.3.1.3 The Plied Chenille Profile

The plying process used for constructing the chenille profile is a special case and has to be considered separately from the above descriptions of plied effect yarns. Imitation chenille can be produced by the wrap spinning method but with respect to plied chenille; [Figure 9.6](#) illustrates the plying process.

As shown, rotating steel belts guide two ground yarns through a wrapping zone. There, four small bobbins on which the profile yarns are wound circulate around the steel belts and thereby wrap the profile yarns around the belts. The belts are spaced a small distance apart — sufficient for a sharp blade to be located between them. The motion of the steel belts causes the wrapping layers of the profile yarns to be cut by the blade. Two binding yarns are brought into contact with the cut yarn sections and are plied with the ground yarns. The ply twist locks the cut sections between the ground and the binding yarns, forming two fancy yarns in which the cut yarns appear as a cut pile. The two fancy yarns are termed *cut-chenille yarns*.

9.3.2 SPINNING TECHNIQUES FOR THE PRODUCTION OF FANCY YARNS

The spinning techniques listed in [Figure 9.3](#) have already been described in [Chapter 6](#). Here, we will consider how they are utilized in the production of fancy yarns.

It should be clear from the general principles that ground slub profiles can be made on a conventional ring-spinning system if the drafting system is modified to cause random thick places. Computer control of the drafting rollers is one option, which also has the added benefit that slub sizes can be varied. Cheaper alternatives

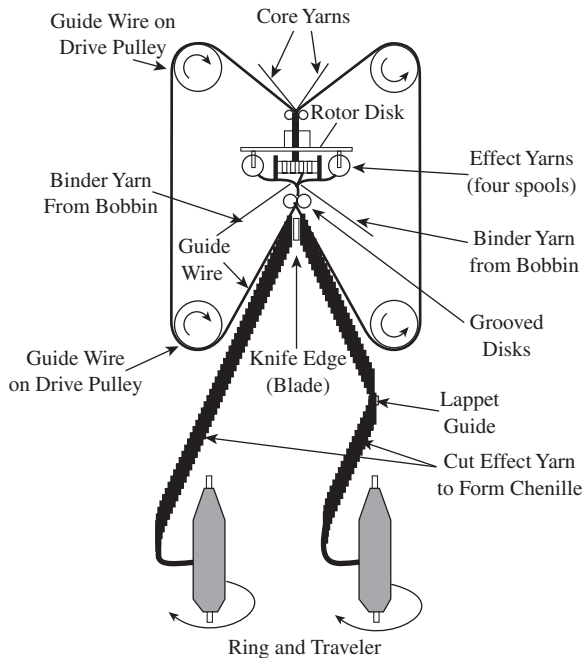


FIGURE 9.6 Production of chenille effect fancy yarn by yarn plying process.

are modification to the mechanical drives of the drafting system and the presence of a high percentage of short fibers in the material being spun.

From the descriptions given in [Chapter 6](#), it can be seen that, by feeding either different colored fibers or different fiber types, or by including a filament yarn and using differential dyeing, the Siro and Repco systems may be employed to produce mock spiral yarns.

Flak and nep yarns can be spun from appropriately carded slubbings using the woolen spinning or the continuous felting process. However, if slivers rather than slubbings are made, using, say, a semi-worsted card, then the Dref-2 friction spinning system can be used to produce flake- and nep-effect yarns. Similar yarns have been produced with salvage waste from weaving fed along side normal carded sliver to the Dref-2 machine.⁶ Loop profiles (largely bouclé) can be also produced with this spinning system. The ground and profile yarns are made to run along the nip line of the friction rollers with only the ground yarns kept under tension. The suction at the nip line causes the profile yarn to buckle into a sinusoidal shape along its length. The friction rollers twist the components together, causing the undulations of the profile yarn to further deform and become small loops. Individual fibers from a light feed to the opening roller are simultaneously being deposited onto the friction rollers and twisted around the ground and profile components, thereby binding the loops in place.

A slub-injection device can be mounted above the friction rollers, as shown in [Figure 9.7](#), to introduce color effects in the yarn, producing an injected flame yarn. Basically, the device consists of two pairs of drafting rollers with a tapered tube

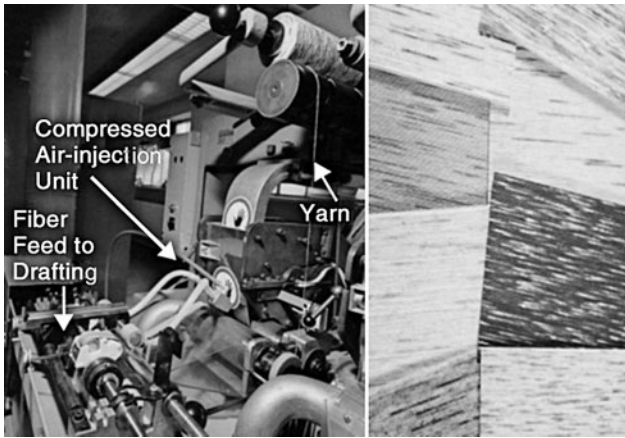


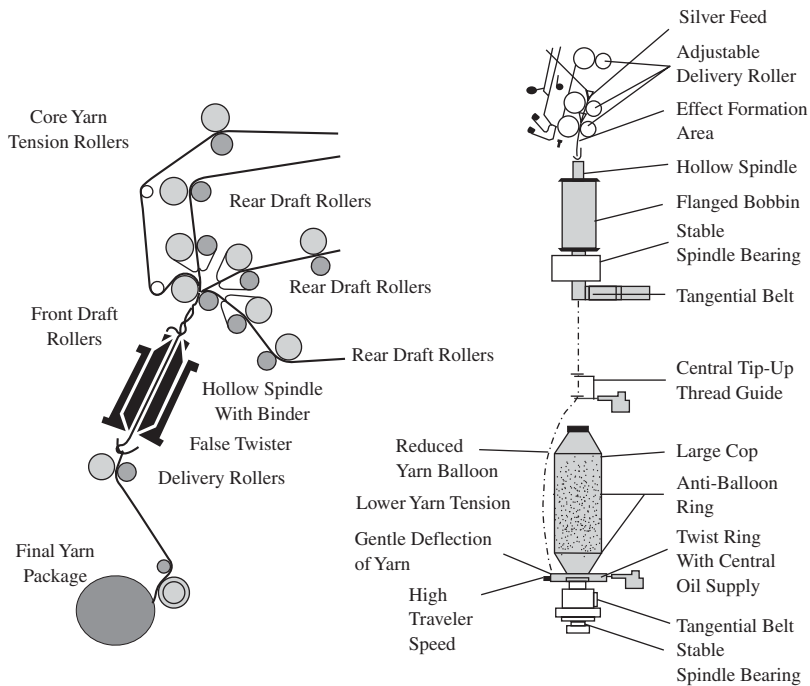
FIGURE 9.7 (See color insert.) Dref spinning of injected slub-effect yarns. (Courtesy of Fehrer AG.).

fitted at the exit of drafting unit. Compressed air passing through the tube removes small tufts from the fiber ribbon leaving the front drafting rollers and injects them into the nip line of the rollers during friction spinning.

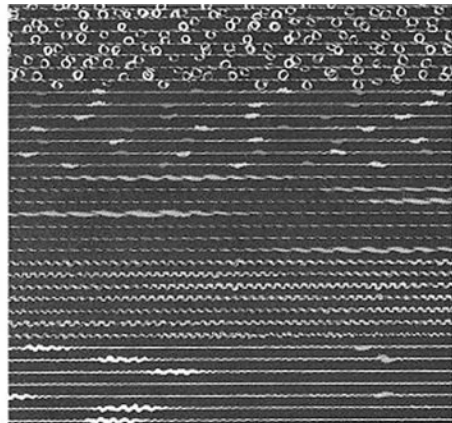
The above spinning processes are restricted in the range of fancy yarns they can produce and are therefore rarely used in the fancy market area. The most popular spinning technique that has been specially developed for the production of fancy yarns is hollow-spindle wrap spinning (see [Chapter 6](#)).

As [Figure 9.8](#) shows, the basic system for plain yarns can be modified to have a main drafting unit with a grooved top-front roller, an added pair of feed rollers for controlling the speed of the ground component yarns, and two additional drafting units to produce multicolor slub injection or mock cover yarns. Similar to the plying system, the tread line of the ground component yarns passes from feed rollers and through the grooves of the top-front drafting roller. The profile component is usually in the form of a drafted fiber ribbon attenuated from a sliver or roving to the required count by the main drafting system and fed into the twisting zone at the percentage overfeed necessary for the desired profile. Yarns can be also used as the profile component, in which case they are fed only through the nip of the drafting-system front rollers. Both the profile and ground components are threaded together down the hollow spindle, around the false-twist device, and through the nip of the delivery rollers to the package-winding unit. As in the plain-yarn system, a filament from a pirn mounted on the hollow spindle is also threaded around the false twister. The false twist action of the rotating spindle twists the two components together to form the profile, and simultaneously the filament wraps the yarns to hold the profile in place.

To produce slub effects, a sliver or roving can be fed to the nip of the front drafting rollers. The injection unit consists of a pair of roller-driven aprons, which guide the sliver or roving into the front drafting zone of the main effect component just behind the front rollers. The control system is programmed to stop the aprons



Source: William Tatham Ltd.



Source: Saurer-Allma GmbH

FIGURE 9.8 (See color insert.) Hollow-spindle fancy yarn-spinning system.

when the front rollers nip the injected fibers, with the result that fiber tufts are pulled into the main effect component and spun into the final yarn.

Figure 9.8 also shows that the hollow spindle, without the false twister, can be combined with a ring and traveler to produce yarns that look very similar to the conventional process but are produced more economically. The false-twist action is replaced by real twist from the ring and traveler.

Using the hollow spindle/false-twister technique, the effect component in the final yarn has no twist. Hence, the fancy yarn is bulky and also may be hairy. The profile is therefore not as well defined as a conventionally made profile, where the constituent yarns are pretwisted. By combining the hollow spindle with the ring and traveler, real twist propagates through to the front drafting rollers, and the effect component becomes twisted and has a well defined profile.

Like the Dref-2 process, the hollow-spindle technique combines the profile twisting and binding stages into one process and, as explained in [Chapter 6](#), the separation of twisting and winding actions enables faster production speeds and larger package sizes to be wound. Therefore, there are obvious economic advantages over the conventional plying process. In contrast to the friction spinning technique, hollow-spindle wrap spinning has the flexibility to produce most of the eight profiles of [Table 6.1](#).

9.4 DESIGN AND CONSTRUCTION OF THE BASIC PROFILES

Our consideration of the design and construction of the eight basic profiles will be restricted to the plying and hollow-spindle spinning techniques, as these are the most commonly used processes. From the above descriptions of these techniques, it can be seen that threading up of the various components is critical to construction of the basic profile. The following factors are also of importance and should be given careful consideration in the design and construction of the profiles:

- *Fiber fineness and length.* Essentially, it is the bending rigidity of the fiber that is strictly of importance. Coarse, long fibers tend to give the best loop definition but, for bouclé or small loops, finer fibers are more effective.
- *Count and twist level and direction of component yarns.* Count and twist are principal factors influencing yarn bulk, which in turn can enhance any contrast of color differences between the various components of a fancy yarn.

9.4.1 SPIRAL

This is usually made with the plying technique. Typically, two single yarns of appreciably differing thickness and twist level and direction are plied together with a slight overfeed of the coarser yarn. Typically, a bulky woolen yarn of around 300 tex with 120-t/m Z-twist would be ply twisted with a 47-tex, 600 to 800 t/m S-twisted cotton yarn, dyed a darker color (see [Figure 9.9](#)). The ply twist would be in the S direction and may be a quarter to a third the twist level of the woolen yarn, depending on the required visual contrast and handle.

A *mock spiral* can be produced in which both yarns have the same twist direction but are plied with the reverse direction of twist. The spiral effect is much less pronounced, because twist is removed from both yarns during the plying action, and the surface fibers of the finer yarn become slightly intermingled with the coarser yarn, thereby diminishing the visual contrast.

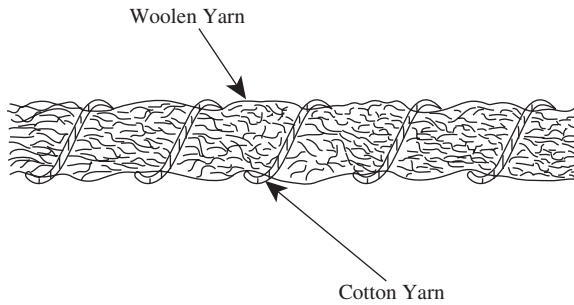


FIGURE 9.9 Illustration of spiral yarn structure.

9.4.2 GIMP

Both the plying technique and the hollow-spindle process can be used to make this yarn (see Figure 9.10). It is produced in a wide range of yarn counts and fiber types, and, with the plying technique, most yarn types (i.e., woolen, worsted, carded ring-spun, filament, etc.) can be used.

Using the hollow-spindle process will require two ground yarns on which the drafted ribbon can be made to buckle into the form of a wavy shape, e.g., a sinewave, using an overfeed within the range of 120 to 200%. The greater the overfeed, the larger the amplitude of the waveform. The propagation of twist from the false-twist device plies the ground yarns around the undulations to retain the profile, which is then locked by a wrapping filament yarn. Typically, two 2/50-tex semi-worsted acrylic yarns may be used for the ground component. The profile component would be an acrylic sliver of 60 mm 3.3-dtex fibers drafted to a count of up to 300, and the binder a 20-dtex multifilament yarn. The binding twist would be within the range of 200 to 300 t/m.

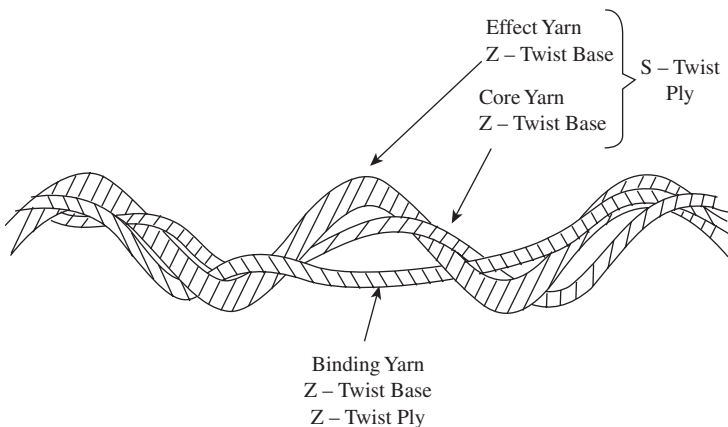


FIGURE 9.10 Structure of gimp effect yarn.

With the conventional technique, yarns of similar counts to the above or finer may be used. The profile component could be a woolen spun wool yarn with an overfeed of 120 to 150%, and the ground components could be two worsted yarns. These would be plied together with 400 to 500 t/m S-twist. For the reverse twisting stage, a single 2/40-tex worsted yarn would act as the binder and 180 to 200 t/m Z-twist used as the binding twist.

9.4.3 LOOP

The threading arrangement of the component yarns to form loops is similar to that for the gimp. Three other factors, however, must also be given careful consideration when constructing loop profiles. They are (1) the type of fiber or yarn used to form the loop, (2) the level twist applied in forming the loop, and (3) the percentage of overfeed employed at the profile stage.

To construct a series of loops, the profile component must have suitable stiffness to deform into a circular shape during overfeeding and twisting with the two ground yarns. The stiffness is also important in retaining the loop shape after the binding twist stage. In spinning, it is the fiber rigidity and staple length that are of importance. In the plying process, in addition to fiber rigidity and length, the twist of the profile component yarn is a key factor. The longer the fiber, the better the loop formation when the profile is made by spinning. In the case of the plying process, longer fibers and a suitable level of twist produce a profile yarn component with low hairiness but high lustre, and this combination aids the visual definition of the loop. The twist of the profile component yarn, however, must not be at a level that will cause snarling during a high-percentage overfeed. The usual practice is to have just sufficient twist to enable the yarn to withstand the tensions involved in the threading arrangement and to unwind from the supply package, typically 240 to 320 t/m, depending on count — the coarser the count, the lower the twist.

Mohair is a popular fiber used for the profile component in the spinning process. With the plying technique, Z-twisted, wool worsted yarns and mohair yarns, typically of 70 to 100 tex, are often used. The ground and binding components may be 40- to 50-tex worsted, semi-worsted, or short staple yarns of natural or synthetic fibers.

At the profile stage, the overfeed is within the range of 150 to 300%, and the applied twist is within 100 to 1000 t/m in the S-direction. A high overfeed (250 to 300%) and low twist level (150 to 500 t/m) will produce large loops (see [Figure 9.11](#)), whereas an overfeed of 150 to 250% with twist levels of 500 to 1000 t/m will produce a profusion of small loops to give a bouclé yarn (see [Figure 9.1](#)).

9.4.4 SNARL

This type of fancy yarn is generally produced with the plying process. The profile component has to be a highly twisted yarn; typically, it is a short staple cotton or synthetic fiber singles yarn of 25 tex with 25% greater twist level than normally used for a conventional singles yarn. The percentage overfeed is similar for the loop profile. The ground and binding components would be of a coarser count yarn, around 2/40 to 2/50 tex. The ground and profile components should have opposite

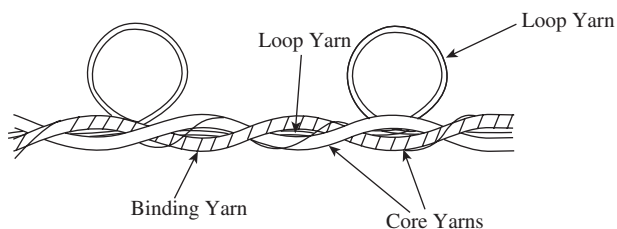


FIGURE 9.11 Effect loop yarn structure.

twist directions, the former S and the latter Z. At the profile stage, S-twist of 500 to 600 t/m would then be used in plying the yarns together; this adds further twist to the ground component so that the snarl shape is conspicuous against the ground yarns (see Figure 9.12). The binding twist is usually on the order of 320 t/m.

9.4.5 KNOP

The knop (see Figure 9.13) can be constructed by the spinning or the plying system using an overfeed of 150 to 200%, but the profile is visually not as well defined in the spinning as in the plying process, because a drafted fiber ribbon is used as the profile component. The earlier description of how a knop can be formed in the plying

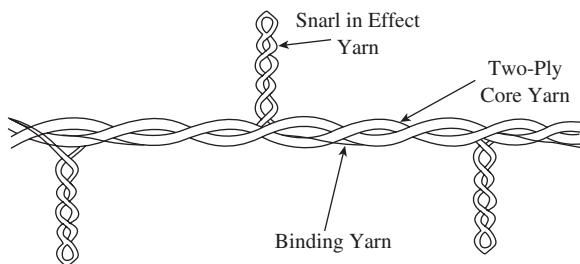


FIGURE 9.12 Structure of snarl effect yarn.

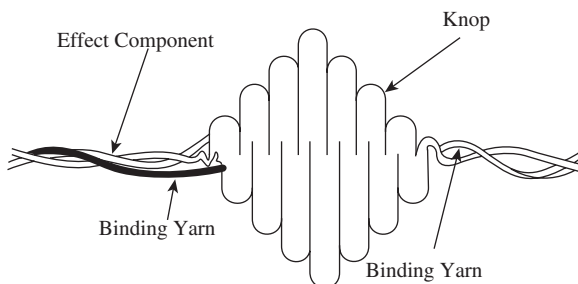


FIGURE 9.13 Effect knop yarn structure.

process concerns a single-color knot. Alternately stopping and overfeeding the two yarns would produce a two-color knot, and the addition of another pair of rollers could be used to produce a three-colored knot. Generally, with a single knot, the profile component is of a coarser count, e.g., 150 tex compared with 2/50 tex for the ground component and 80 tex for the binder. If two- and three-color knots are to be constructed, the yarns are of similar counts. In the plying technique, S-twist of around 700 to 1000 t/m may be used with binding twists of 200 to 250 t/m; if higher twist levels are used, the binding component can be omitted. With spinning, the wrap levels used are equivalent to the lower end of the quoted twist range.

9.4.6 COVER

Strictly cover yarns are made by the plying process. The threading arrangement is identical to the knot, where the two pairs of rollers controlling the yarns are made to stop and start as required. However, instead of stopping, each pair of rollers will, in turn, slow to a speed that allows the other yarn to wrap around that fed by the slowed rollers. The wrapping coils bunch closely to completely cover a length of the slowly moving yarn. The level of twist required is usually high, of the order of 1600 t/m. This wrapping action is made to alternate between the two yarns, which are of different colors. As illustrated in Figure 9.14, the resulting fancy yarn would have alternating sections of color. The length of each colored section should vary so as to avoid patterning defects in the end fabric. The overfeed of the yarns can be within 200 to 250%; each yarn may have different values of percentage overfeed. The yarns are normally of similar count, e.g., 80 to 100 tex, and the binder is of a finer count — 50 tex. The binding twist is within 300–400 t/m.

A mock cover yarn can be produced with the hollow-spindle system. Here, the two- or three-roller drafting systems can intermittently feed different-colored drafted ribbons onto the ground yarns to produce a repeating sequence of two or three different color lengths having a small gimp profile.

9.4.7 SLUB

The production of ground and injected slub yarns was considered earlier. The emphasis was mainly on modification of the conventional ring-spinning system for

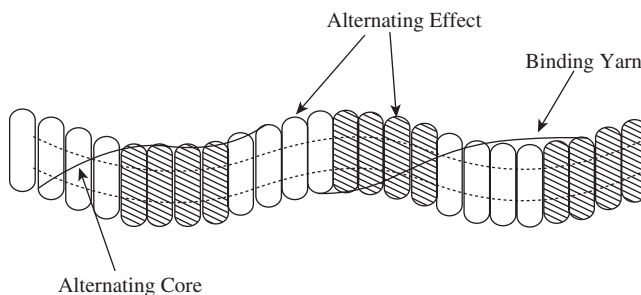


FIGURE 9.14 Structure of cover effect yarn.

producing ground slub yarns or on the hollow-spindle system for both ground and injected slubs where single- and multi-drafting units are used. Injected slub yarns (see Figure 9.15) can be made, however, with the plying process. In this case, a roving replaces a yarn as the profile component, and the rollers feeding the roving periodically stop and start according to required slub length and spacing. The slub thickness is determined by the roving count. As an example, a 600-tex roving of 1.7-dtex acrylic fibers may be fed without overfeed onto two 2/30-tex ground yarns made from the same fiber but dyed a different color. The slub lengths formed by periodic stopping of the roving feed would be twisted with the ground yarns using 650 t/m; the opposite twist direction to that of the ground yarns (i.e., the ply twist) is used, as this would enable the slub to be better embedded between the ground yarns. A singles 34-tex acrylic yarn may be then applied as the binder with a twist level of 250 t/m.

9.4.8 CHENILLE

The chenille profile was originally made by leno weaving (see Figure 9.16), where typically cotton yarns of 60 tex would be used as warp and the staple spun rayon yarns of 150 tex as weft. Two weft yarns (two picks) are placed between each

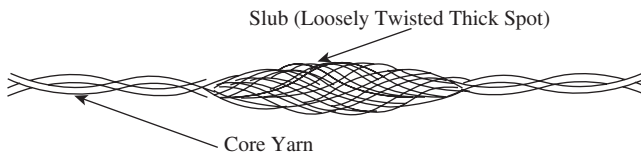


FIGURE 9.15 Structure of injected slub-effect yarn.

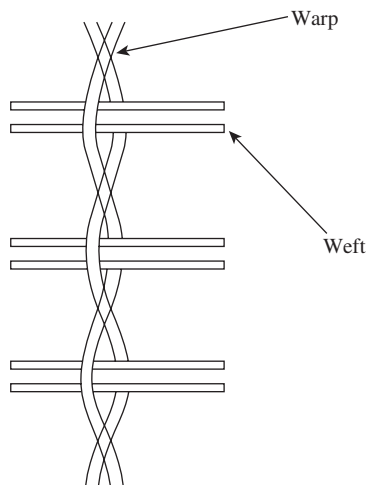


FIGURE 9.16 Traditional chenille-effect yarn structure.

crossing of the warp yarns. After weaving, the weft length extending between the warp yarns is cut to produce the pile effect. This process is clearly time consuming and has been replaced by the spinning process described earlier for cut chenille yarns.

A mock chenille effect can be obtained with either the hollow-spindle or the plying process using settings for the production of a profusion of very small loops. Two such loop yarns are then wrapped or plied together, giving a mock chenille profile.

9.4.9 COMBINATION OF PROFILES

Computer control of the drafting rollers or the rollers feeding the profile yarn enables the superposition of most of the eight to be achieved, giving added fancy effects. The profiles unlikely to form combinations in this way are the snarl, cover, and chenille. However, plying together a number of fancy yarns also makes many combinations, and this approach covers all profiles.

9.5 ANALYSIS OF FANCY YARNS

Although yarn CAD systems have been a subject of study⁷ for use in fancy yarn design and production, a still common practice is to analyze a fancy yarn design to determine how it was made, i.e., reverse engineering. Generally, it can be readily determined if a fancy yarn has been spun on a hollow-spindle system or produced by the conventional plying technique, since the former will show the binding component wrapped around the profile and ground components, whereas the latter would show a twisted configuration. Fancy yarns produced on the Dref-2 system will have staple fibers as the binding components, wrapped around the other components. A cut chenille yarn can also be easily identified from the appearance of the pile and the way it is attached to the ground and binding components. Detailed analysis is usually required only with plied fancy yarns, although, with obvious modification, the steps taken are applicable to spun yarns.

As an example of the analysis of plied fancy yarn, let us consider the simple case of a loop profile. We would wish to determine levels of twist and overfeed used at profile and binding stages, and then the fiber type, yarn structure, count and twist levels used to make each component yarn. Ultimately, we will require the mass of the constituent yarns per kilogram of the loop yarn.

After measuring the count of the loop yarn, C_f , a number of 10-cm lengths are untwisted to obtain the following calculated average values per meter of loop yarn: the binding twist T_b , the profile twist, T_p , and the lengths of each component; L_b (binder), L_p (profile yarn), and L_g (ground yarns). L_{pg} is the measured length of yarn after the binder is removed and represents the plied yarn from the profile twisting stage. Subsequently, the twist in each component can be measured and the structure determined by looking at each yarn under a microscope (see [Chapter 6](#)). From measuring the mass of component lengths, the respective counts in tex can be calculated: C_b (count of binder), C_p (profile yarn), and C_g (ground yarns).

The number of kilometers per kilogram of loop yarn will given by $10^3/C_f$. Thus, the number of kilometers of each component in a kilogram of loop yarn would be

$L_b 10^3/C_f$, $L_p 10^3/C_f$, and $L_g 10^3/C_f$. The mass fraction of each component contributing to a kilogram of the loop yarn would be $C_b L_b/C_f$, $C_p L_p/C_f$, and $2C_g L_g/C_f$. The percentage overfeed at the profile and binding stages are given by L_p/L_g and L_b/L_{pg} . Once these values are known, the machine settings for the respective feed rollers and the ring spindle speeds can be made to produce the loop yarn.

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